Assignment and Report

| 1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) | 2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779055-2018-0250-B1B | | | | | | | |
|--|--|--|--|--|--|--|--|--|
| ECKHOFF, GEORGE C JR. GEORGE'S BARBER SHOP 200 N. LOCUST STREET WRIGHT CITY ,MO 63390, WARREN (219) | 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOUR 543219019J18888 5. REQUESTED BY (SIGNATURE, TITLE AND DATE) | | | | | | | |
| 6. ATF OFFICER(S) ASSIGNED (b)(6) - Assigned Investigator (b)(6) - Lead Investigator | | | | | | | | |
| 7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) | | | | | | | | |
| (b)(6) , Area Supervisor, 01/04/2018 | | | | | | | | |
| 8. PURPOSE/SPECIAL INSTRUCTIONS | | | | | | | | |

| 9. INSPECTION RESULTS | CHE | CK IF NO VIOLATIONS, ADJUSTN | 1ENTS, ETC | 10. TRAVEL EXPENSES | (OPTIONAL) |
|-----------------------|-----|------------------------------|------------|---------------------|------------|
| NO. OF VIOLATIONS | 7 | NO. OF REFERRALS | | 2111 - PER DIEM | |
| NO. OF TECS CHECKS | 13 | NO. OF TECS HITS | | 2112 - P.O.A. | |
| NO. OF TAX | | \$ VALUE OF TAX INCREASES | | 2113 - COMM. AIR | |
| ADJUSTMENTS | | \$ VALUE OF TAX DECREASES | | 2114 - RENTAL CAR | |
| NO. OF ASSESSMENTS | | \$ VALUE OF ASSESSMENTS | | 2115 - GPV EXPENSES | |
| NO. OF CLAIMS | | \$ VALUE OF CLAIMS | | 2116 - MISC. | |
| NO. OF TAX PERIODS | | \$ VALUE OF TAXES VERIFIED | | TOTAL \$ FOR INSP. | |

11. ATF OFFICER'S RECOMMENDATION

Investigator would like to recommend Report of Violations and Warning Letter with Recall Inspection.

Viols WL ONLY and Recall Inspection

| | | 12. TIME ACCOUNTING DATA | |
|---|--------|--------------------------|------------------------|
| ATF OFFICER'S NAME (MONTH, YEAR, | HOURS) |)(6) | |
| MAR 2018 | 5.00 | | |
| ATF OFFICER'S SUBTOTAL | 5.00 | ATF OFFICER'S SIGNATURE | |
| ATF OFFICER'S NAME (MONTH, YEAR, | HOURS) |)(6) | |
| FEB 2018 | 24.00 | | |
| MAR 2018 | 18.00 | | |
| ATF OFFICER'S SUBTOTAL | 42.00 | ATF OFFICER'S SIGNATURE | |
| TOTAL HOURS | 47.00 | | |
| | | 13. REVIEW AND ROUTING | |
| REVIEW COMMENTS AND RECOMMEN | DATION | | |
| Warning letter sent 03/23/18. | | | |
| Viols WL ONLY and Recall Inspec | tion | | |
| | | | |
| | | | |
| | X CON | CUR SEE COMMENTS | |
| SIGNATURE AND TITLE (b)(6) - Area Supervisor | h)(| 6) | REVIEW DATE 04/04/2018 |
| | | | |
| ATF EF 5700.14 (10-98) For Official Us | | | Page 1 of 2 |

Assignment and Report

| . OPERATING NAME AND ADDRESS | 2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) | | | | | |
|--|--|--|--|--|--|--|
| (INCLUDE ZIP CODE AND COUNTY) | 779055-2018-0250-B1B | | | | | |
| ECKHOFF, GEORGE C JR. GEORGE'S BARBER SHOP 200 N. LOCUST STREET WRIGHT CITY ,MO 63390, WARREN (219) | 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS 543219019J18888 | | | | | |
| | 5. REQUESTED BY (SIGNATURE, TITLE AND DATE) | | | | | |

ROUTING SEQUENCE AND DATE

| <u> </u> | |
|----------|--|
| 2. | |
| 3. | |
| 4. | |

CONTROL FILE POSTED DATE

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

| Name of Proprietor ECKHOFF, GEORGE C JR. GEORGE'S BARBER SHOP | Street Address 200 N. LOCUST STREET | | City WRIGHT CITY | Y MO | Zip Code 63390- | County WARREN (219) | Page 1 of 5 Pages |
|---|--|------|---------------------|--|--------------------|------------------------|----------------------|
| License/Permit/Registry Number (If any) 543219019J18888 | | | | Date(s) or Period of Inspection 02/14/2018 through 03/12/2018 | | | |
| | | Insp | ection Results | | 0 | | |

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

on

Nature of Violation:

occasions the licensee transferred a firearm to a non-licensee who left question 10a blank (ethnicity), (0(0)=112000(1005) ccasions the licensee transferred a firearm to a non-licensee who responded "no" to question 11a (Are you the actual buyer?), on occasions the licensee left question 16 blank (type of firearm), on (0(8)-112 point ccasions the licensee transferred a firearm to a non-licensee who left question 22 blank (Buyer's signature), on ceasions the licensee transferred a firearm to a non-licensee who left question 23 blank (recertification date), on (0(3)-112 POOL AWE occasions the licensee failed to correctly complete or left blank question 29 (total number of firearms), on operation occasions the licensee failed to correctly complete or left blank question 33 (Trade name and address), on occasions the livensee failed to correctly complete or left blank question 34 (Transferor's name), occasions the licensee failed to correctly complete or left blank question 36

(Transferor's title).

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

(If not corrected immediately)

Corrective Action to be Taken: Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, and make corrections to the copy and attach the copy to the original Form 4473.

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

| Name of Proprietor ECKHOFF, GEORGE C JR. GEORGE'S BARBER SHOP | Street Address 200 N. LOCUST STR | EET | City WRIGHT CITY | State MO | Zip Code 63390- | County WARREN (219) | Page 2 of 5 Pages | |
|---|--|-----|---------------------|-------------|------------------------------|------------------------|----------------------|--|
| License/Permit/Registry Number (If any) 543219019J18888 | 10 10 10 10 10 10 10 10 10 10 10 10 10 1 | | | | f Inspection h 03/12/2018 | | | |
| | | | | | | | | |

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

ceasions the licensee transferred a firearm to a non-licensee who left question 2 blank becasions the licensee transferred a firearm to a non-licensee who left question (address). occasion the licensee transferred a firearm to a non-licensee who 10b blank (race), on pecasion the licensee failed to respond to question 11g (dishonorable discharge?), on transferred a firearm to a non-licensee who failed to respond to question 12a (country of citizenshin?), or occasion the licensee transferred a firearm to a non-licensee who failed occasion the licensee to respond to question 12b (renounced US citizenship?), or transferred a firearm to a non-licensee who failed to respond to question 12c (alien illegally in occasions the licensee transferred a firearm to a non-licensee who failed the US?), on to respond to question 12.d.1 (alien admitted to the US under a nonimmigrant visa?), on occasion the licensee transferred a firearm to a non-licensee who recorded the incorrect information or left blank question 14 (buyer's signature), on (00)=112E00 occasions the licensee transferred a firearm to a non-licensee who recorded the incorrect information or left blank question 15 (certification date).

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

(If not corrected immediately)

Corrective Action to be Taken: Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, make corrections or have customer make corrections to the copy, and attach the copy to the original Form 4473.

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

| Name of Proprietor ECKHOFF, GEORGE C JR. GEORGE'S BARBER SHOP | Street Address 200 N. LOCUST STR | EET | City WRIGHT CITY | | State MO | Zip Code 63390- | County WARREN (219) | Page 3 of 5 Pages |
|---|-------------------------------------|---|--|-------------------------|------------------------|-------------------------------------|--|---------------------------------------|
| | | Expiration DateDate(s) or Period of9/1/201902/14/2018 through | | | | | | |
| | | | ion Results | | | | | |
| An examination of your premises, records and open | ations has disclosed the | e following violations v | which have been o | explained | to you: | | | |
| Number: 3 Nature of Violation: Or Control Decasions the licensee failed to correctly complete or left blank question 18a (identification). | | | Date Corrections to be Made: (If not corrected immediately)Corrective Action to be Taken: (If not corrected immediately)Licensee was instructed on federal regulations regarding proper completion of ATF F 4473. Licensee was instruct to make a copy of pages to be corrected in the future, mai corrections to the copy and attach the copy to the origina Form 4473. | | | | see was instructed the future, make | |
| Citation: 27 CFR 478.124(c)(3)(i) | | | | | | | | |
| Number: 4 Nature of Violation: Or the second second or left blank the response in question 19c (Initial NICS response), on the second or left blank the response in blank the response to question 19d (NICS response after an initial "delayed" response). | | | Date Correc (If not corre Corrective A (If not corre | cted imme ction to l | ediately) be Taken: | proper completion to make a copy of | structed on federal regulat on of ATF F 4473. Licens of pages to be corrected in e copy and attach the copy | see was instructed the future make |

Citation: 27 CFR 478.124(c)(3)(iv)

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

| Name of Proprietor ECKHOFF, GEORGE C JR. GEORGE'S BARBER SHOP | Street Address 200 N. LOCUST STREET | | | | State MO | Zip Code 63390- | County WARREN (219) | Page 4 of 5 Pages |
|---|--|-----------------------------|-------------|--|-------------|------------------------------|------------------------|----------------------|
| License/Permit/Registry Number (If any) 543219019J18888 | | Expiration Date 9/1/2019 | | | | f Inspection h 03/12/2018 | | |
| | | Insneet | ion Results | | | | | |

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

0 occasions the licensee failed to respond or recorded an incorrect response to question 24 (manufacturer), on total pecasions the licensee failed to respond or recorded an incorrect response to question 25 (model), on a construction occasions the licensee failed to respond or recorded an incorrect response to question 26 (serial number), on (00)-112 point occasions the licensee failed to respond or recorded an incorrect response to question 27 (type), on occasions the licensee failed to respond or recorded an incorrect response to question 28 (caliber/gauge).

Citation: 27 CFR 478.124(c)(4)

Number: 6

Nature of Violation:

occasions the licensee failed to correctly record or left blank response to On question 35 (transferor's signature), on (0) - 112 point occasions the licensee failed to correctly record or left blank response to question 37 (date transferred).

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:

(If not corrected immediately)

(If not corrected immediately)

Corrective Action to be Taken: Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, make corrections or have customer make corrections to the copy, and attach the copy to the original Form 4473.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: (If not corrected immediately)

Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, make corrections or have customer make corrections to the copy, and attach the copy to the original Form 4473.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

| Name of Proprietor ECKHOFF, GEORGE C JR. GEORGE'S BARBER SHOP | Street Address 200 N. LOCUST STRE | eet | City WRIGHT CITY | State MO | Zip Code 63390- | County WARREN (219) | Page 5 o 5 Pages |
|---|--------------------------------------|-----------------------------|---------------------|-------------|--------------------------------|------------------------|---------------------|
| | | Expiration Date 9/1/2019 | | | of Inspection gh 03/12/2018 | | |
| An examination of your premises, records and oper | | | ion Results | | | | |

Number: 7

Nature of Violation:

0 bccasions the licensee failed to record the disposition of firearms into the A&D occasions the licensee failed to record the acquisition and disposition of book. firearms into the A&D book.

Citation: 27 CFR 478.125(e)

Number: 3

Nature of violation:

casion the licensee failed to report on ATF-F 4473 3310.4, Report of Multiple Sale or Other Disposition of Pistol and Revolvers involving

Date Corrections to be Made:

(If not corrected immediately)

(If not corrected immediately)

Corrective Action to be Taken: The licensee was instructed to record the dispositions and acquisitions into the A&D book.

Date Corrections to be Made: (If not corrected immediately) Corrective Action to be Taken:

(If not corrected immediately)

Licensee was instructed on federal regulations requiring the reporting of multiple sales of pistols and/or revolvers. Licensee was instructed that if an unlicensed individual acquires two or more pistols or revolvers within a five business day period, the multiple sale must be reported on ATF F 3310.4. Multiple sale forms were completed and submitted.

Citation: 27 CFR 478.126a

| I Have Received a Copy of This Report of Violations (Proprietor's signal | ture and title) | Date 2-10-10- |
|--|-----------------|--------------------|
| Signature and Title of (b)(6) | | Date 3-12-18 |
| For Official Use Only | | ATF E-Form 5030.5 |
| December 2019 Production | - 668 | Revised April 2005 |



U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

www.atf.gov



March 23, 2018

WARNING LETTER

George C. Eckhoff, Jr. D/b/a George's Barber Shop 200 N. Locust St. Wright City, Mo 63390

Re: Federal Firearms License Number: 5-43-219-01-9J-18888

Dear Mr. Eckhoff:

As a result of a recent compliance inspection conducted by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) at your firearms business located at 200 N. Locust St. Wright City, Mo 63390 on 2/14/18 through 2/15/18, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, ATF Form 5030.5, was issued to you.

All violations were fully explained to you by ATF Industry Operations Investigator (b)(6)(b)(6) You indicated that you understood the requirements of the firearms laws and regulations as a licensee. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is

-2-Mr. Eckhoff Federal Firearms License Number: 5-43-219-01-9J-18888

essential that you comply with all Federal laws and regulations that govern your firearms business. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditional upon compliance with Federal laws and regulations. Because the violations cited in this most recent inspection were fully explained to you and you stated you understood your compliance responsibilities as a licensee, any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigato (b)(6)



cc: Federal Firearms Licensing Center St. Louis Area Office

Attachment

| Name: | George C. Eckhoff Jr. |
|-------------|-----------------------|
| Trade Name: | George's Barber Shop |

UI#: 779055-2018-0250-B1B FFL#: 5-43-219-01-9J-18888

Address: 200 N. Locust St Wright City, Mo 63390

1 - Introduction

Inspection Profile:

On 02/14/18, Industry Operations Investigator (IOI) (b)(6) initiated an unannounced Federal Firearms Compliance Inspection at the licensed business premises of George C. Eckhoff Jr. dba George's Barber Shop, at 200 N. Locust St., Wright City, MO 63390. The inspection began 02/14/18 and ended 02/15/18. The scope of the inspection was 02/14/17-02/13/2018. On the first day of the inspection, IOI (b)(6) was unable to complete a full inventory due to some records not being on premise. IOI (b)(6) completed the inspection the following day once licensee brought all the records back to the business. Licensee stated he took the records home because he was updating his books (this was the same situation from previous inspection).

George Eckhoff (owner/responsible person) was available for inspection; positive identification verified.

Business Profile:

The business operates as a store front located inside of licensee's barber shop located in suburban Wright City, Mo. The licensee is in the business of selling and buying primarily new handguns and long guns. During the past (12) months, licensee acquired(b)(4)) firearms; (b)(4)) hand guns, (b)(4) guns, and (b)(4) frames. Licensee disposed of(b)(4) firearms. The business inventory consist of (b)(4) new firearms and (b)(4) used firearms. The main supplier used by the licensee is (b) (4) . The licensee does not participate in gun shows. Licensee does not have any other ATF licenses.

Licensee is compliant with local and state zoning requirements to include Missouri Retail Sales license and Warren County Merchants license. The licensee has held an ATF license since 1976.

Ownership and Control:

Business is listed as individually owned, with George Eckhoff listed as owner/rp. IOI(b)(6) did not suspect any form of hidden ownership. No responsible persons added or removed from license.

Variance:

No active variances on file.

Revised 7/1/15

Page 1 of 8

Narrative Reports for Dec 19 Production

| Name: | George C. Eckhoff Jr. | UI#: |
|-------------|-----------------------|-------|
| Trade Name: | George's Barber Shop | FFL#: |

UI#: 779055-2018-0250-B1B FFL#: 5-43-219-01-9J-18888

2 - <u>Recommendation</u>

Investigator would like to recommend Report of Violations and Warning Letter with Recall Inspection.

3 - Inspection History

| Date of Inspection: Type of Inspection: UI#: Inspection Results: Cited Violations: | 01/29/2013 Compliance Inspection 779055-2013-0297-B1B Violations ROV ONLY and Recall CFR 478.125(e) Licensee failed to maintain a correct and up- to-date Acquisition and Disposition (A&D) Bound Book. On coccasion, the licensee failed to record the acquisition of a firearm in the A&D Bound Book. (REPEAT VIOLATION 1992) On coccasions, the licensee failed to record the correct serial number in the A&D Bound Book. Licensee failed to accurately record the FFL's name and license number in the A&D Bound Book. 27 CFR 478.124(c)(3)(iv) On coccasions, the licensee failed to record in Section B, item 21c of the ATF F. 4473, the initial response provided by NICS. On coccasions, the licensee failed to record in Section B, item 21d of the ATF F. 4473, the final response provided by |
|--|---|
| | NICS. 27 CFR 478.124(c)(4) On Contract occasion, the licensee failed to record in Section D, item 28 (Serial Number) on the ATF F. 4473 prior to transferring the firearm. 27 CFR 478.21(a) On Contractor occasions, the licensee failed to recertify items 25 & 26 on ATF F. 4472. One Contractor occasions, the licensee failed to record on ATF F. 4473, item 31 (Trade/corporate name and address of transferor). On Contractor occasions, the licensee failed to record on ATF F. 4473, item 32 (Federal Firearms License Number). |

Name:George C. Eckhoff Jr.Trade Name:George's Barber Shop

UI#: 779055-2018-0250-B1B FFL#: 5-43-219-01-9J-18888

| Date of Inspection: Type of Inspection: UI#: Inspection Results: Cited Violations: | 01/07/1992 Compliance Inspection 55180 920324 FB Re-inspection of licensee in FY 93. 27 CFR 178.125(e) was disclosed for failure to make timely entry of firearm recently acquired in the bound book | |
|--|--|--|
| | record and for lack of disposition dates on primiting firearms transferred to licensed dealers. 27 CFR 178.124(c) was also disclosed (but not cited) for | |

27 CFR 178.124(c) was also disclosed (but not cited) for failure of licensee to insure proper completion of ATF Forms 4473. The licensee assured prompt corrective action on all discrepancies disclosed

4 - Acquisition & Disposition (A&D) Record - Inventory

Investigator conducted a full inventory. At the time of inspection, there were firearms in inventory including in) open dispositions, resulting in firearm discrepancies. All firearm discrepancies were reconciled. There were firearms traced through licensee's records during the past (12) months. There were no thefts/losses to report, no trafficking issues to report, and no firearms in business inventory containing an obliterated serial number. The licensee had some issues with the A&D books. The licensee changed A&D books once a year. When the licensee transferred firearms from one book to another book, the licensee failed to record the original acquisition date and whom the firearm was received from. Instead the licensee would write that the firearm was transferred from another A&D books with the date that it was transferred from that book. Also, the licensee had several duplicate entries. Investigator advised licensee that it was not necessary to start new books every year. Corrections to the A&D books were made at the time of the closing conference; no violation cited. Licensee was cited for other A&D violations:

27 CFR 478.125(e)

(See Violation Report and Inventory, A&D-Worksheet 1)

5 - ATF Forms 4473 - NICS & Other Dispositions

There were Formed) Forms 4473 on file for the last 12 months. All Forms 4473 were reviewed. Investigator obtained the NICS FFL audit log for the last (60) days including all the denied transactions over the last (12) months. The FFL Audit Report indicates (2) denials in the last (12) months and (35) proceeds in the last (60) days. Investigator verified all NICS transaction numbers from the FFL Audit Report with the ATF F 4473;

Revised 7/1/15

| Name: | George C. Eckhoff Jr. | UI#: | 779055-2018-0250-B1B |
|-------------|-----------------------|-------|----------------------|
| Trade Name: | George's Barber Shop | FFL#: | 5-43-219-01-9J-18888 |

no discrepancies. The review disclosed various record keeping violations. The licensee was cited for the following ATF Form 4473 violations:

27 CFR 478.21(a)

27 CFR 478.124(c)(1)

27 CFR 478.124(c)(3)(i)

27 CFR 478.124(c)(3)(iv)

27 CFR 478.124(c)(4)

27 CFR 478.124(c)(5)

(See Violation Report and Forms 4473- Worksheet 2)

6 - <u>Multiple Sales</u>

According to the Firearms Trace History Report, the licensee was associated with) multiple sales of handguns involving firearms. Licensee was originally cited for violation 27 CFR.126(a) for failing to report on ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistol and Revolvers. During closing conference, licensee stated he found the original ATF F 3310.4 (exhibit 1). Licensee stated the form had already been completed; violation removed.

7 - Suspicious/Prohibited Purchasers

TECS/NCIC check was conducted on (3) purchasers and (4) firearms; no derogatory information.

8 - Trace Activity

A FFL History Query was obtained. There were successful firearm trace requests for the past 12 months. A secondary market analysis was conducted on (6) used firearms located in the licensee's inventory. NCIC/TECS checks were conducted; no derogatory information.

9 - NFA/Importer/Collector/Manufacturer

No NFA/Importer/Collector/Manufacturer activity.

10 - <u>Referrals</u>

No referrals generated.

Revised 7/1/15

| Name: | George C. Eckhoff Jr. | UI#: | 779055-2018-0250-B1B |
|-------------|-----------------------|-------|----------------------|
| Trade Name: | George's Barber Shop | FFL#: | 5-43-219-01-9J-18888 |

11 - <u>Other</u>

Note: During the previous inspection, Investigator discussed with licensee some safety issues concerning firearms in clear view of anyone walking pass the building. Investigator also discussed with licensee the safety issue concerning unsecured ammunition stored in the waiting area of the barber shop. Since last inspection, licensee has secured the window with a covering that can move in front of the window and away from the window. The security of the ammunition had not been addressed but licensee stated he plans on addressing the issue.

12 - Closing Actions

Investigator conducted closing meeting on March 12, 2018 with George Eckhoff (owner/ responsible person). ATF rules and regulations were reviewed with special emphasis placed on violations cited. Acknowledgement of ATF rules and regulations was obtained (exhibit 2). The licensee was given a copy the ROV (Report of Violations) statement which was signed and dated (exhibit 3). All questions and concerns were answered by the investigator.

13 - Violations

1. 27 CFR 478.21(a) "REPEAT OF VIOLATION CITED JAN 2013 COMPLIANCE INSPECTION"

Nature of violation:

On **Destination** occasions the licensee transferred a firearm to a non-licensee who left question 10a blank (ethnicity), on **Destination** occasions the licensee transferred a firearm to a non-licensee who responded "no" to question 11a (Are you the actual buyer?), on **Destination** occasions the licensee left question 16 blank (type of firearm), on **Destination** occasions the licensee transferred a firearm to a non-licensee who left question 22 blank (Buyer's signature), on **Destination** occasions the licensee transferred a firearm to a non-licensee who left question 23 blank (recertification date), on **Destination** occasions the licensee failed to correctly complete or left blank question 29 (total number of firearms), on **Destination** occasions the licensee failed to correctly complete or left blank question 33 (Trade name and address), on **Destination** occasions the licensee failed to correctly complete or left blank question 34 (Transferor's name), on **Destination** occasions the licensee failed to correctly complete or left blank question 36 (Transferor's title)

(Transferor's title).

Licensee's response: The licensee stated he was unaware of the mistakes.

Corrective Action: Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be

Page 5 of 8

| Name: | George C. Eckhoff Jr. | UI#: | 779055-2018-0250-B1B |
|-------------|-----------------------|-------|----------------------|
| Trade Name: | George's Barber Shop | FFL#: | 5-43-219-01-9J-18888 |

corrected in the future, and make corrections to the copy and attach the copy to the original Form 4473.

2. 27 CFR 478.124(c)(1)

Nature of violation:

On set occasions the licensee transferred a firearm to a non-licensee who left question 2 blank (address), on set occasions the licensee transferred a firearm to a non-licensee who left question 10b blank (race), on set occasion the licensee transferred a firearm to a non-licensee who failed to respond to question 11g (dishonorable discharge?), on set occasion the licensee transferred a firearm to a non-licensee who failed to respond to question 12a (country of citizenship?), on set occasion the licensee transferred a firearm to a non-licensee who failed to respond to question 12b (renounced US citizenship?), on set occasion the licensee transferred a firearm to a non-licensee who failed to respond to question 12c (alien illegally in the US?), on set occasion the licensee transferred a firearm to a non-licensee who failed to respond to question 12c (alien illegally in the US?), on set occasion the licensee transferred a firearm to a non-licensee who failed to respond to question 12.d.1 (alien admitted to the US under a non-licensee who recorded the incorrect information or left blank question 14 (buyer's signature), on set occasions the licensee transferred a firearm to a non-licensee who recorded the incorrect information or left blank question 14 (buyer's signature), on set occasions the licensee transferred a firearm to a non-licensee who recorded the incorrect information or left blank question 15 (certification date).

Licensee's response: The licensee stated he was unaware of the mistakes.

Corrective Action: Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, make corrections or have customer make corrections to the copy, and attach the copy to the original Form 4473.

3. 27 CFR 478.124(c)(3)(i)

Nature of violation:

On **Determinant** occasions the licensee failed to correctly complete or left blank question 18a (identification).

Licensee's response: The licensee stated he was unaware of the mistakes.

Corrective Action: Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, make corrections to the copy and attach the copy to the original Form 4473.

| Name: | George C. Eckhoff Jr. | UI#: | 779055-2 |
|-------------|-----------------------|-------------|----------|
| Trade Name: | George's Barber Shop | FFL#: | 5-43-219 |

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4. 27 CFR 478.124(c)(3)(iv) "REPEAT OF VIOLATION CITED JAN 2013 **COMPLIANCE INSPECTION"**

Nature of violation:

On 107(3)112 FUDIC Law 55-125 STAT 552 occasions the licensee failed to record or left blank the response in question 19c (Initial NICS response), on permanent occasions licensee failed to record or left blank the response to question 19d (NICS response after an initial "delayed" response).

Licensee's response: The licensee stated he was unaware of the mistakes.

Corrective Action: Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future make corrections to the copy and attach the copy to the original Form 4473.

5. 27 CFR 478.124(c)(4) "REPEAT OF VIOLATION CITED JAN 2013 **COMPLIANCE INSPECTION**"

Nature of violation:

On present occasions the licensee failed to respond or recorded an incorrect response to question 24 (manufacturer), on provide occasions the licensee failed to respond or recorded an incorrect response to question 25 (model), on second occasions the licensee failed to respond or recorded an incorrect response to question 26 (serial number), on provide an incorrect response to question 27 (type), on provide occasions the licensee failed to respond or recorded an incorrect response to question 28 (caliber/gauge).

Licensee's response: The licensee stated he was unaware of the mistakes.

Corrective Action: Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, make corrections or have customer make corrections to the copy, and attach the copy to the original Form 4473.

6. 27 CFR 478.124(c)(5)

Nature of violation:

On contract occasions the licensee failed to correctly record or left blank response to question 35 (transferor's signature), on a occasions the licensee failed to correctly record or left blank response to question 37 (date transferred).

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Name:George C. Eckhoff Jr.Trade Name:George's Barber Shop

UI#: 779055-2018-0250-B1B FFL#: 5-43-219-01-9J-18888

Licensee's response: The licensee stated he was unaware of the mistakes.

Corrective Action: Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, make corrections or have customer make corrections to the copy, and attach the copy to the original Form 4473.

7. 27 CFR 478.125(e) "REPEAT OF VIOLATION CITED JAN 2013 AND JULY 1992 COMPLIANCE INSPECTION"

Nature of violation:

On **DOMESTIC** occasions the licensee failed to record the disposition of firearms into the A&D book, on **DOMESTIC** occasions the licensee failed to record the acquisition and disposition of firearms into the A&D book.

Licensee's response: The licensee stated he got off track because his mother had just passed away.

Corrective Action: The licensee was instructed to record the dispositions and acquisitions into the A&D book.



Joye Huddleston Industry Operations Investigator Signed by: (b)(6)

Index of Worksheets and Exhibits

EXHIBIT 1 - ATF F 3310.4, Report of Multiple Sale EXHIBIT 2 – Acknowledgement of ATF Rules and Regulations EXHIBIT 3 - Report of Violations WORKSHEET 1 – Inventory, A&D WORKSHEET 2 – Forms 4473 Review

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