

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) GOLD MINE PAWN LLC 1823 NORTH HWY CC NIXA, MO 65714, CHRISTIAN	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779075-2017-0711-B1B		
	3. PERMIT/LICENSE NUMBER 543043020F11867	4a. TARGET DATE 12/30/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6) Area Supervisor, 08/23/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
FULL COMPLIANCE

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	10	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Investigator
Submitted on: 10/12/2017

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
OCT 2017	18.00
ATF OFFICER'S SUBTOTAL	18.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	18.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	(b)(6)	REVIEW DATE 10/13/2017
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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor GOLD MINE PAWN LLC	Street Address 1823 NORTH HWY CC	City NIXA	State MO	Zip Code 65714-	County CHRISTIAN	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 543043020F11867		Expiration Date 6/1/2020		Date(s) or Period of Inspection 10/03/2017 through 10/06/2017		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee transferred firearms to individuals who indicated that they were prohibited in Section A of ATF F 4473 on two separate occasions.

Citation: 27 CFR 478.99(c)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will not transfer firearms to any individual that presents information indicating that they may be prohibited.

Number: 2

Nature of Violation:

The licensee failed to log (b)(3) - 112 F firearms out of the A & D Record in a timely manner.

The licensee failed to record the acquisition of (b)(3) - 112 F firearms into the A & D Record in a timely manner.

The licensee failed to record the complete address and/or FFL number in the A & D Record on at least (b)(3) - 112 F separate occasions.

The licensee failed to record the manufacture and importer in the A & D Record on at least (b)(3) - 112 F separate occasions.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

10/06/2017

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will update the Acquisition and Disposition Record to include all missing firearm information. Further the licensee will maintain the record in a manner prescribed by these regulations.

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The Licensee failed to keep the 4473s in a manner described by these regulations.

Citation: 27 CFR 478.124(b)

Date Corrections to be Made: 10/06/2017

(If not corrected immediately)

Corrective Action to be Taken: The licensee will reorganize all firearms into a "Date of Transaction Order".

(If not corrected immediately)

Number: 4

Nature of Violation:

The Licensee transferred firearms to individuals who failed to provide answers in Section A of ATF F 4473 on at least ^{(b)(3) - 112 F} separate instances.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee will ensure that the 4473 is accurate and complete before transferring a firearm to an individual.

(If not corrected immediately)

Number: 5

Nature of Violation:

The Licensee failed to record the firearm information onto the 4473.

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee will ensure that the 4473 is accurate and complete before transferring a firearm to an individual.

(If not corrected immediately)

Report of Violations

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Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

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License/Permit/Registry Number (If any) 543043020F11867	Expiration Date 6/1/2020	Date(s) or Period of Inspection 10/03/2017 through 10/06/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:

The Licensee failed to record the transfer date in block 37 of ATF F 4473 or ^{(b)(3)-112 PLOI} separate occasions.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure that the 4473 is accurate and complete before transferring a firearm to an individual.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of A

(b)(6)

Date

10/6/17

Date

10/06/17

For Official Use Only

FIREARMS INSPECTION REPORT

Name: Gold Mine Pawn, LLC
Trade Name:

UI#: 779075-2017-0711
FFL#: 5-43-02-11867

Address: 1823 North Hwy CC
Nixa, MO 65714

1 - Introduction

Inspection Profile:

On 10/03/2017 IOI (b)(6) and (b)(6) conducted an unannounced full compliance inspection with members of Gold Mine Pawn, LLC. The IOIs were assisted by Mr. Michael Paul McTeer (Member; MO DL: (b)(6); W/M; DOB: (b)(6) Exp.: 12/24/2021) and his sister Mrs. Rebekah Anngeleen Arwood (Member; MO DL: (b)(6); W/F; DOB: (b)(6); Exp.: 09/05/2021). The investigation period will include all dates from 10/01/2016 through 10/03/2017.

Business Profile:

Gold Mine Pawn, LLC is a pawn shop located in suburban Nixa, MO. The shop specializes in pawning and selling jewelry and coins, but will also pawn and sell firearms, tools, sporting goods, and electronic equipment. Mr. McTeer and Mrs. Arwood stated that they are the only people responsible for firearms sales and record keeping.

During the inspection period the Licensee acquired approximately (b)(4) firearms (b)(4) percent handguns and (b)(4) percent long guns) and disposed of approximately (b)(4) firearms during the same time period. The majority of the firearms for sale are from forfeited pawns. A review of the A & D Record shows that the main suppliers for this FFL are (b)(4)

The Licensee holds the required MO State Retail Tax ID (b)(3) - 26 USC 6103 Christian County Merchant's License (220151), and City of Nixa business license (1563). No other licenses or permits are required.

Ownership and Control:

Mr. McTeer confirmed that he and Mrs. Arwood were the only members of the LLC as well as the only people that can make day to day decisions on behalf of the business. There were no changes made to the list of RPs and no hidden ownership is suspected.

Variance:

No variances.

2 - Recommendation

FIREARMS INSPECTION REPORT

Name: Gold Mine Pawn, LLC
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FFL#: 5-43-02-11867

Viols WL ONLY and Recall Inspection

3 - Inspection History

Date of Inspection: 4/10/2014
Type of Inspection: Application Approved
UI#: 779075-2014-0450
Inspection Results: Approve Original
Cited Violations: None

4 - Acquisition & Disposition (A&D) Record - Inventory

IOIs (b)(6) and (b)(6) conducted a full inventory and A & D Record review during this investigation. The Licensee had 144 firearms in inventory and open entries in the A & D Record. The licensee failed to record firearms out of the A & D Record in a timely manner. The licensee had also stopped entering firearms into the A & D Record as of 09/08/2017. The licensee was entering them into a computer system that by their own admission did not meet the requirements of ATF ruling 2016-1. In the physical A & D Record they were recording the disposition, but there was no corresponding firearm. In total the licensee failed to record firearms into the A & D Record. The licensee failed to log the Importer of the firearm on at least separate occasions, and failed to record a full address and/or the full FFL from whom the firearm was received on at least separate occasions.

There were no theft/loss reports submitted during this inspection period or as a result of this inspection. There were no trafficking issues discovered as a result of the review of the A & D Record. There were no firearms with obliterated serial numbers or any other illegal firearms discovered during this on-site inspection.

SOP: The licensee's stated that they complete a firearms inventory after they get back from a gun show, but they do not reconcile the book with their inventory, so they had no way of knowing if a firearm had been sold, but not disposed of correctly in the A & D Record. The licensee's stated that they plan to institute a bi-annual inventory as well as a weekly double check of the 4473s to the A& D Record.

Worksheet 1

Violation 2

Exhibits 1, 2 and 3

5 - ATF Forms 4473 – NICS & Other Dispositions

IOI (b)(6) reviewed all ATF F 4473s on file for the inspection period. There were several violations identified. The forms were not in a true serial number. The

FIREARMS INSPECTION REPORT

Name: Gold Mine Pawn, LLC
Trade Name:

UI#: 779075-2017-0711
FFL#: 5-43-02-11867

licensees were instructed to reorganize the forms to a date of transfer order from the start of business forward. The licensee transferred firearms to individuals who provided yes answers to prohibiting questions on (b)(3)-(4) separate occasions. The licensee failed to obtain complete answers in Section A of ATF F 4473 on at least (b)(3)-(4) separate occasions. The licensee failed to record the firearm that was being purchased on (b)(3)-(4) occasion and failed to record the date of transfer on (b)(3)-(4) separate occasions. The Licensee maintains copies of FFLs for verification of FFL for dealer to dealer transfers. There were no law enforcement letters were accepted during the inspection. IOI (b)(6) verified 77 NTNs that were on the FFL audit log. There were no discrepancies discovered.

SOP: The licensees have decided to introduce a double check system of the 4473s, both before the transfer of the firearm, and before they are filed away for good.

Worksheet 2 **Violations 1, 3, 4, 5 and 6**

6 - Multiple Sales

Per the VCAB Report this licensee was associated with (b)(3)-(4) multiple handgun sales which involved the transfer of (b)(3)-(4) handguns. There were no unreported multiple sales found. There were multiple sales reported that were actually redemptions of pawned handguns. IOI (b)(6) reiterated that ATF F 3310.4 was sales only.

7 - Suspicious/Prohibited Purchasers

Mr. McTeer reported no suspicious purchasers. He stated that he and his sister are constantly looking for the possibility of straw purchases.

8 - Trace Activity

Per the VCAB Report this licensee was not associated with any trace requests. The employees were aware that they have 24 hours to respond to a trace request from ATF.

9 - NFA/Importer/Collector/Manufacturer

This licensee is neither a NFA Dealer, Importer, Collector nor a Manufacturer.

10 - Referrals

There were no referrals generated as a result of this UI.

FIREARMS INSPECTION REPORT

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11 - Other

The Licensee is in compliance with the YHSA Poster and Notice requirements.

12 - Closing Actions

A closing conference was held with Mr. McTeer and Mrs. Arwood, on 10/06/2017. During the closing conference, IOI (b)(6) reviewed requirements for the A & D Record, the newest computerized record ruling and how to properly complete and maintain ATF F 4473s. IOI (b)(6) provided the Licensee with multiple sales of pistols or revolvers forms, theft/loss reports. All regulations that were specified on the Acknowledgement of Federal Firearms Regulations were also reviewed in detail (**See Attachment # 4**). Mr. McTeer signed the acknowledgement at the conclusion of the review of the regulations. IOI (b)(6) provided the Licensee with applicable phone numbers and encouraged both RPs to contact ATF if they had any questions during the course of business.

13 - Violations

1. 27 CFR 478.99c

Violation: The licensee transferred firearms to individuals who indicated that they were prohibited in Section A of ATF F 4473 on (b)(3)-112 separate occasions.

Corrective Actions: The licensee will not transfer firearms to any individual that presents information indicating that they may be prohibited.

Licensee's Response: Mr. McTeer stated that he was upset about all of the violations, but wanted to be clear that he would never transfer to a person who he actually believed could not own a firearm. He added that he and his sister will be doing a better job of double checking the 4473 before the firearm is transferred.

2. 27 CFR 478.125(e)

Violation: The licensee failed to log (b)(3) firearms out of the A & D Record in a timely manner.

The licensee failed to record the acquisition of (b)(3) firearms into the A & D Record in a timely manner.

The licensee failed to record the complete address and/or FFL number in the A & D Record on at least (b)(3) separate occasions.

FIREARMS INSPECTION REPORT

Name: Gold Mine Pawn, LLC
Trade Name:

UI#: 779075-2017-0711
FFL#: 5-43-02-11867

The licensee failed to record the manufacture and importer in the A & D Record on at least [REDACTED] separate occasions.

Corrective Actions: The licensee will update the Acquisition and Disposition Record to include all missing firearm information. Further the licensee will maintain the record in a manner prescribed by these regulations.

Licensee's Response: Mr. McTeer was agitated the moment the inspection began. He was upfront and honest that the A & D Record were not up to date, but swore that this was the day he was going to catch up.

3. **27 CFR 478.124b**

Violation: The Licensee failed to keep the 4473s in a manner described by these regulations.

Corrective Actions: The licensee will reorganize all firearms into a "Date of Transaction Order".

Licensee's Response: Mrs. Arwood stated that they believed that they were keeping those correct, but would correct the problem.

4. **27 CFR 478.124 (c)(1)**

Violation: The Licensee transferred firearms to individuals who failed to provide answers in Section A of ATF F 4473 on at least [REDACTED] separate instances.

Corrective Actions: The licensee will ensure that the 4473 is accurate and complete before transferring a firearm to an individual.

Licensee's Response: Mr. McTeer and Mrs. Arwood stated that they would do a better job of double checking the 4473s before the transfer was complete.

5. **27 CFR 478.124 (c)(4)**

Violation: The Licensee failed to record the firearm information onto the 4473.

Corrective Actions: The licensee will ensure that the 4473 is accurate and complete before transferring a firearm to an individual.

Licensee's Response: Mr. McTeer and Mrs. Arwood stated that they would do a better job of double checking the 4473s before the transfer was complete.

FIREARMS INSPECTION REPORT

Name: Gold Mine Pawn, LLC
Trade Name:

UI#: 779075-2017-0711
FFL#: 5-43-02-11867

6. **27 CFR 478.124 (c)(5)**

Violation: The Licensee failed to record the transfer date in block 37 of ATF F 4473 on (b)(3) - 112 P.01 separate occasions.

Corrective Actions: The licensee will ensure that the 4473 is accurate and complete before transferring a firearm to an individual.

Licensee's Response: Mr. McTeer and Mrs. Arwood stated that they would do a better job of double checking the 4473s before the transfer was complete.

X

Industry Operations Investigator

Index of Worksheets and Exhibits **Work Sheets in N-Spect**

Exhibits:

1. **ROV in N-Spect**
2. **Photographs of A & D Violations**
3. **Photographs of up to date A & D Record**
4. **Acknowledgement of Federal Firearms Regulations**

October 13, 2017

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Gold Mine Pawn LLC
1823 North Hwy CC
Nixa, MO 65714
RE: 5-43-11867

Dear Mr. McTeer and Ms. Arwood,

During a recent inspection at your firearms business covering the period October 2016 to October 2017, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact IO Investigator (b)(6) at (b)(6)

Sincerely yours,

(b)(6)
Area Supervisor, KC VI

Enclosure
cc: Federal Firearms Licensing Center