

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY 2303 INDIANAPOLIS ROAD CRAWFORDSVILLE, IN 47933, MONTGOMERY	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773075-2017-0037-B1B		
	3. PERMIT/LICENSE NUMBER 435107078H04812	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Assigned Investigator
(b)(6) Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/06/2016

8. PURPOSE/SPECIAL INSTRUCTIONS

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	10	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	5	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

Submitted (b)(6) - Industry Operations Investigator

Submitted on: 09/22/2017

This Federal Firearms License (FFL) compliance inspection for Tactical Weapons & Supply LLC d/b/a Tactical Weapons & Supply was completed by Industry Operations Investigator (b)(6) in accordance with the provisions of the Industry Operations Manual (IOM) and special instructions.

An unannounced on-site firearms compliance inspection began on 08/22/2017. The inspection covered a 12-month inspection period, starting 08/22/16 through 08/21/2017. An Open Fox background check was completed on two (2) Responsible Persons (RP) – Mr. Eric Small and Mr. Jerry McClellan and the query and results determined no prohibitive or suspicious information.

All field work was completed and a closing conference was held on 09/05/2017 which included a Federal Firearms Regulation Review and the issuance of a Report of Violations (ROV). An Acknowledgement of Federal Firearms Regulations and the ROV was signed by Mr. Eric Small and Mr. Jerry McClellan on 09/05/2017.

The amount of violations as a result of this inspection (10 violations) is significant. One violation- 27 CFR 478.124(a)- one instance (consignment return); and One violation – 27 CFR 478.102(a)- (b)(6) instance (consignment return) rises to the level of a Warning Letter. Other regulations cited include: 27 CFR 478.123(a); 27 CFR 478.123(d); 27 CFR 478.21(a); 27 CFR 478.124(c)(1); 27 CFR 478.124(c)(3)(i); 27 CFR 478.124(c)(3)(iv); 27 CFR 478.124(c)(5); 27 CFR 478.126a.

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
AUG 2017	42.00
SEP 2017	32.00
ATF OFFICER'S SUBTOTAL	74.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	74.00

Assignment and Report

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

This is the licensee's first compliance inspection since obtaining a Federal Firearm's License. During the inspection the licensee was cited for a total of ten violations. Out of these violations only two rise to the level of a warning letter for returning a consignment firearm without benefit of an ATF Form 4473 and without contacting the National Instant Background Check System. The individual involved was queried through various criminal record databases and determined not to be prohibited. A Warning Letter and Recall inspection is recommended.

On 09/22/2017 a warning letter was mailed certified returned receipt requested mail. Please note that an amended ROV was attached to the warning letter. The amended ROV was necessary due to a technical correction only.

On 09/27/2017 the return receipt was signed by the licensee and is attached.

Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 09/22/2017
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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

Address: 2303 Indianapolis Road
Crawfordsville, IN 47933- Montgomery County

1 - Introduction

Inspection Profile:

This Federal Firearms License (FFL) compliance inspection for Tactical Weapons & Supply LLC d/b/a Tactical Weapons & Supply was completed by Industry Operations Investigator (IOI) (b)(6) in accordance with the provisions of the Industry Operations Manual (IOM) and special instructions.

An Open Fox background check was completed on two (2) Responsible Persons (RP) – Mr. Eric Small and Mr. Jerry McClellan and the query and results determined no prohibitive or suspicious information. IOI (b)(6) performed an ATF de-confliction through FLS, N-Force, Indianapolis III (CE), and INTEL which identified no open criminal enforcement investigations.

An unannounced on-site firearms compliance inspection began on 08/22/2017. The inspection covered a 12-month inspection period, starting 08/22/16 through 08/21/2017. Mr. Eric Small/RP, and Mr. Jerry McClellan, was present and interviewed during the course of the inspection. All field work was completed and a closing conference was held on 09/05/2017 which included a Federal Firearms Regulation Review and the issuance of a Report of Violations (ROV). An Acknowledgement of Federal Firearms Regulations and the ROV was signed by Mr. Eric Small and Mr. Jerry McClellan on 09/05/2017.

Business Profile:

Tactical Weapons & Supply LLC (licensee) is a retail gun shop located in an urban area of Crawfordsville, Indiana. The licensee buys and sells new and used handguns and long guns (b)(4) of the transfers completed were identified as new firearms and (b)(4) % were used firearms). The licensee also sells ammunition, reloading supplies and firearm accessories and offers gunsmith services for repair and modification of firearms. Tactical Weapons and Supply is licensed manufacturer and custom builds AR-Type rifles for the general public. Mr. Eric Small serves as the Chief Executive Officer (CEO) has overall responsibility of all business transactions and the operations of the store. Mr. Jerry McClellan serves as the Chief Operating Officer (COO) and handles firearm transfers and gunsmith repairs.

During the 12-month inspection, the licensee acquired (b)(4) firearms and disposed of approximately (b)(4) firearms. The licensee has several suppliers including: (b)(4). The licensee also acquires firearms

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

through the direct manufacturer. The licensee does not conduct business at gun shows. The licensee advertises on Facebook, local radio and through word-of-mouth. The licensee possesses a Type 07- Manufacturer of Firearms License and has been licensed since July of 2012.

Ownership and Control:

Tactical Weapons & Supply operates as a Limited Liability Company (LLC) and is owned and controlled by Mr. Eric Small – CEO and Mr. Jerry McClellan – COO. Mr. Small has held a previous Type-01 Dealers license (4-35-03722) from 03/2011 through 08/2012 and Mr. McClellan has held a previous Type 01-Dealer/Gunsmith license (4-35-37793) from 12/1997 through 06/2012. No indications of hidden ownership were determined during the inspection. No changes were made to ownership or control of the business.

Variance:

The licensee does not hold any current ATF variances.

2 - Recommendation

Warning Letter with a Recall Inspection within 36 months.

The amount of violations as a result of this inspection (10 violations) is significant. One violation- 27 CFR 478.124(a)-(b)(1) instance (consignment return); and One violation – 27 CFR 478.102(a)- one instance (consignment return) rises to the level of a Warning Letter.

3 - Inspection History

Date of Inspection: 07/10/2012
Type of Inspection: FFL Application Inspection
UI#: 773075-2012-0447-B1B
Inspection Results: Application approved and license was issued.
Cited Violations: No Violations

*** It should be noted that while Mr. Eric Small held a license (4-35-03722) for approximately 15 months and Mr. Jerry McClellan held a license (4-35-37793) for approximately 15 years. This is the first ATF Compliance Inspection for both individuals.

4 - Acquisition & Disposition (A&D) Record - Inventory

IOI (b)(6) conducted a full inventory and reviewed the licensee's Acquisition and Disposition (A/D) records during the inspection. The A/D record is maintained in hard-

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

bound form and separated into several categories including: Manufacturing, Consignment and Inventory. The separate Manufacturing A/D record records the acquisition of AR-Type Receivers that will be manufactured/built into a rifle. All entries made to the A/D record were in the proper format. There no thefts or loss reports submitted during the inspection period. No firearms were found with obliterated serial numbers.

A complete inventory of new and used firearms was conducted and compared to the corresponding entries in the A/D record. The initial inventory completed found the licensee had 159 firearms in inventory and had [REDACTED] open entries. Upon reconciliation – using completed ATF 4473's, sales invoices, and miss located firearms- the licensee was able to account for all 159 firearms. Discrepancies and violations identified in the A/D record and during the inventory process included:

- 1) [REDACTED] instances, the licensee listed an inaccurate serial number for the firearm;
- 2) [REDACTED] instance, a firearm was found in inventory but listed as disposed/ transferred in the A/D record;
- 3) [REDACTED] instance, a firearm was listed as disposed but was considered a duplicate entry.

**The licensee was cited violations of 27 CFR 478.123(a) and 27 CFR 478.123(d).
(See Exhibit 1 – Violations of 27 CFR 478.123(a)(d))
(See Section 13- Violations)**

Licensee Standard Operating Procedures: It was observed and through an interview of Mr. Eric Small and Mr. Jerry McClellan that Tactical Weapons & Supply receives firearms and moves them off of the sales floor area to a back room to be processed. By the close of the business day, each firearm is removed from its packaging and all identifying information on the firearm is entered into the A/D record by Mr. McClellan or Mr. Small. A tag with an A/D serialized number is completed for each firearm moving to the sales floor. The dispositions are maintained by Mr. McClellan or Mr. Small. All disposition information is added to the A/D record by the close of the business day following a transfer. The licensee indicated that they complete an inventory two times a year.

5 - ATF Forms 4473 – NICS & Other Dispositions

It was determined that Tactical Weapons & Supply had [REDACTED] ATF Forms 4473 on file during the inspection period that included all “Denied” forms. The “Denied” ATF Forms 4473 were separately filed. The Licensee transfers firearms to other licensed dealers and keeps the copies of the Federal Firearms Licenses obtained and verified the licenses through FFL EZcheck. The transfers were determined to have been completed

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

correctly. There were no sales to law enforcement in an official capacity during the inspection period.

An FFL Audit log was obtained prior to the inspection and 30 NICS transaction numbers were compared to their corresponding numbers on the ATF Forms 4473. All of the transaction numbers reviewed were accurately entered on the ATF 4473's. The examination of the [REDACTED] ATF Forms 4473 completed during the inspection period determined that there were discrepancies on [REDACTED] ATF Forms 4473 (24% Error Rate).

The violations were cited under the following Federal regulations:

- 27 CFR 478.21(a);
- 27 CFR 478.102(a);
- 27 CFR 478.124(a);
- 27 CFR 478.124(c)(1);
- 27 CFR 478.124(c)(3)(i);
- 27 CFR 478.124(c)(3)(iv);
- 27 CFR 478.124(c)(5);

(See Section 13 – Violations and the Report of Violations- ATF 5030.5)

(See WS-2: ATF 4473 Review)

(See Exhibit 2 – 27 CFR 478.124 Errors - Sampled)

Licensee Standard Operating Procedures: It was observed and through an interview of Mr. Eric Small and Mr. Jerry McClellan that Tactical Weapons & Supply does not have a specific area dedicated for the completion of ATF Forms 4473 and the form may be completed anywhere on the display cases. The licensee copies the buyers Identification Document and attaches the copy to all ATF 4473's and all FBI NICS responses (completed through E-check) are attached to all ATF 4473's. All completed 4473's are NOT secondarily reviewed. The licensee uses the information on the physical firearm to complete Section D of the ATF Form 4473.

A review of the completed ATF 4473's indicated that both Mr. Small and Mr. McClellan did not understand what the date of transfer was (Item 36 or Item 37) with regards to those individuals who receive a delay response from FBI/NICS. An inquiry into the error found that the licensee thought the date of transfer was the date the FBI was originally contacted. IOI (b)(6) explained to both Mr. Small and Mr. McClellan that the transfer date was the day of the actual physical transfer of the firearm to the customer. In addition, while every FBI/NICS E-Check was printed out and attached to the completed ATF 4473, the licensee consistently neglected to add the NICS response in Item 21c or 19c. IOI (b)(6) explained to Mr. Small and Mr. McClellan that a NICS response was required to be added to 21c or 19c even if the NICS documentation is printed out and attached.

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

In one instance, the licensee failed to execute an ATF Form 4473 and a NICS background check for the return of firearms acquired on consignment. The licensee indicated that they were unaware that an ATF Form 4473 or NICS check was required to return firearms acquired on consignment. The licensee indicated that they thought it was similar to a gunsmith repair return and thought the "owner" of the firearms were exempt from having to fill out an ATF Form 4473 or to go through a NICS background check. IOI (b)(6) explained the regulations to both Mr. Small and Mr. McClellan. IOI (b)(6) advised them that firearms acquired on consignment are considered transfers to the dealer and that once they are acquired have to be transferred like any other firearm that they acquire. IOI (b)(6) told the licensee that the original owner of the firearms is not exempt from the transfer procedures (like a gunsmith repair return) and would be required to fill out an ATF Form 4473 and an FBI/NICS background check would have to be conducted. The licensee was told to execute an ATF Form 4473 and a NICS background check for all firearm transfers, including consignment returns back to the original owner.

An Open Fox/III background check was completed on the consignment owner and no hits/prohibited information was provided. In addition, and for the licensee's internal liability purposes, the licensee contacted (b)(6) (b)(6) agreed to return to the store and fill out an ATF Form 4473. The licensee completed a FBI/NICS background check (b)(6). While the ATF Form 4473 is not accurate in terms of transfer date, it does provide the licensee an FBI/NICS proceed and a current assurance (b)(6) is not a prohibited person- as the licensee (b)(6)

6 - Multiple Sales

A Firearms Trace History report was requested and received prior to this inspection. The report listed the submission of zero (0) multiple handgun sales transactions reported to the ATF during the 12-month inspection period examined. During the inspection, the licensee's A/D record and the ATF Forms 4473 executed during the period were reviewed for multiple handgun sales transactions. It was found that the licensee failed to complete and submit (b)(3) - 112 Public L multiple sale forms to ATF.

***The licensee was cited violations of 27 CFR 478.126a**

(See Section 13 – Violations and the Report of Violations- ATF 5030.5)
(See WS-2: ATF 4473 Review)
(See Exhibit 3 – Violations of 27 CFR 478.126a)

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

7 - Suspicious/Prohibited Purchasers

Through examination of the completed ATF 4473s, it was found that the following individuals had potentially prohibitive information or incomplete answers on the forms:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

These individuals received a "Proceed" through FBI/NICS and were queried through the NCIC/III-NLETS and no prohibitive information was disclosed. The licensee indicated that they were just in a hurry and did not review the form thoroughly before transferring the firearm. The licensee was advised not to transfer firearms if a Yes answer is provided for Item 11b.-11i..

***The licensee was cited violations of 27 CFR 478.124(c)(1)**

(See Section 13 – Violations and the Report of Violations- ATF 5030.5)

(See WS-2: ATF 4473 Review)

(See Exhibit 2 – 27 CFR 478.124 Errors - Sampled)

8 - Trace Activity

A Firearms Trace History report was requested and received prior to this inspection. Examination of the report and through ATF E-trace determined that during the inspection period 02/22/2015 to 02/21/2016, the licensee had (b)(3) - 112 Public L successful firearms traces.

9 - NFA/Importer/Collector/Manufacturer

The licensee did not acquire or transfer any NFA firearms during the inspection period and does not have any NFA firearms registered with ATF. The licensee is not an importer of firearms and has not imported a firearm during the inspection period. The licensee is a Type-07, Manufacturer of Firearms and is an active manufacturer of AR-Type rifles and has manufactured/built approximately 100 AR-Type rifles since the inception of the license. Prior to starting this inspection, IOI (b)(3) - 112 Public L requested copies of all Annual Firearms Manufacturing and Exportation Reports (AFMER) from the ATF Firearms and Explosives Division. A review of the reports found that the licensee has been submitting an AFMER report as required.

The licensee manufactured (b)(4) rifles during the inspection period and maintains a small inventory (b)(4) firearm receivers at any given time to custom build/manufacture a rifle. IOI (b)(3) - 112 Pu verified that all completed firearms were correctly engraved/stamped with the proper marks of identification.

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

10 - Referrals

There were no referrals of information resulting from this inspection.

11 - Other

There were a total of five (5) Open Fox/III background checks conducted during the inspection which included the two (2) responsible persons listed on the license and three (3) individuals purchasers. No prohibitive information was provided.

The licensee operates as a Limited Liability Company (LLC) in the State of Indiana and as of 08/21/2017 was listed in Active status with the Indiana Secretary of State. During the inspection, IOI (b)(6) inspected several business and industry licenses required by the State of Indiana. IOI (b)(6) found the following licenses posted on the licensed premises: State of Indiana Retail Merchant Certificate TID (b)(3) - 26 USC 6103 and the State of Indiana License to Sell Handguns No. 49961.

12 - Closing Actions

On 09/05/2017, IOI (b)(6) conducted a final review of the inventory and records. IOI (b)(6) conducted a Federal Firearms Regulation Review with Mr. Eric Small/RP and Mr. Jerry McClellan/ RP.

The applicable law, regulations and recordkeeping requirements were reviewed and a question/answer session was held. IOI (b)(6) thoroughly reviewed several areas of the regulations including:

- A/D Record
- ATF Form 4473 – All Sections- and special emphasis on including Items 11a.-11l.; 15; 19a.- 19e.; and 32-35;
- Corrections to the ATF Form 4473;
- Consignment firearms;
- Manufacturing and Marking Requirements;

During the regulation review, IOI (b)(6) explained the importance of doing at least a once a year inventory using the A/D record to minimize untimely disposition entries and to minimize the possibility of a lost or stolen firearm.

IOI (b)(6) pointed out areas of the ATF 4473 that Tactical Weapons & Supply was falling short of compliance. Overall, IOI (b)(6) emphasized the importance of a secondary review of all completed ATF forms 4473 to minimize errors. Specific areas of the ATF Form 4473 were addressed as follows:

Under Items 11a-11l., IOI (b)(6) emphasized the importance of verifying that all questions are answered and answered correctly for the licensee to move forward to

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

Section B. and performing a background check. IOI (b)(6) instructed Mr. Small and Mr. McClellan to not perform a background check if a "Yes" answer is identified in questions 11b.-11l. or if a blank (not answered) question is identified.

Mr. Eric Small/RP and Mr. Jerry McClellan signed an Acknowledgement of Federal Firearms Regulations form and IOI (b)(6) provided a copy of the form to Mr. Small. (See Exhibit AD 1: Acknowledgment of Federal Firearms Regulations)

On 09/05/2017, IOI (b)(6) presented ATF Form 5030.5 – Report of Violations and all of the discrepancies discovered on the ATF Forms 4473 and the A/D record completed during the inspection period were reviewed. IOI (b)(6) provided Mr. Small and Mr. McClellan with a blank copy of an ATF Form 4473 showing the amount of errors per section and offered optional techniques and procedures that would help minimize ATF Form 4473 errors including secondary reviews. An ATF Form 5030.5 was issued, reviewed and signed by Mr. Eric Small/ RP and Mr. Jerry McClellan/ RP. All questions posed by Mr. Small and Mr. McClellan were answered and there were no objections to the violations cited by anyone present at the closing conference. A copy of the ATF Form 5030.5 – Report of Violations was provided to Mr. Eric Small/RP. (See Report of Violations)

13 - Violations

1. 27 CFR 478.123(a)

Nature of Violation: A complete review of the licensee's Acquisition and Disposition (A/D) record for accurate information determined the following violations:

- 1) (b)(3) - 112 P instances, the licensee listed an inaccurate serial number for the firearm.
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

2) In (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance, a firearm was found in inventory but listed as disposed/ transferred in the A/D record;

3) In (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance, a firearm was listed as disposed but was considered a duplicate entry.

Licensee's Response: Mr. Small/ RP, said that the mistakes were oversights. Mr. Small indicated that they have do at least two inventories a year but generally have not checked each other's work regarding A/D record entries or ATF Form 4473 execution. Mr. Small indicated that they have corrected all incorrect entries in the A/D record and on ATF Form 4473's – where applicable (on a copy and attached)

Corrective Action: The licensee was instructed on how to properly enter and timely enter acquisition and disposition information into the A/D record.

Reference: Worksheet 1 – A&D- Inventory;
Exhibit 1 – 27 CFR 478.123(a)(d)

2. 27 CFR 478.123(d)

Nature of Violation: A complete review of the licensee's Acquisition and Disposition (A/D) record for accurate information determined the following violations:

1) (b)(3) - 112 Public Law 55 125 Stat 552 instance, a firearm was found in inventory but listed as disposed/ transferred in the A/D record;

2) (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance, a firearm was listed as disposed but was considered a duplicate entry.

Licensee's Response: Mr. Small/ RP, said that the mistakes were oversights. Mr. Small indicated that they have do at least two inventories a year but generally have not checked each other's work regarding A/D record entries or ATF Form 4473 execution. Mr. Small indicated that they have corrected all incorrect entries in the A/D record and on ATF Form 4473's – where applicable (on a copy and attached)

Corrective Action: The licensee was instructed on how to properly enter and timely enter acquisition and disposition information into the A/D record.

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

**Reference: Worksheet 1 – A&D- Inventory;
Exhibit 1 – 27 CFR 478.123(a)(d)**

3. 27 CFR 478.21(a)

Nature of Violation: A review of [REDACTED] completed ATF Forms 4473 determined the licensee failed in [REDACTED] instances [REDACTED] forms) to verify that complete and accurate information was completed for the following sections of the ATF Form 4473:

- Item 10a. [REDACTED] instances- blank [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

[REDACTED] (b)(3) - 112 Public Law 55
- Item 11a. [REDACTED] instance – Blank - [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

- Item 18/16 [REDACTED] instances - Blank - [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

[REDACTED] (b)(3) - 112 Public Law 55
- Item 23 - [REDACTED] instance – Blank/wrong- [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

- Item 30a - [REDACTED] instances – Wrong or Blank – [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
[REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Small/ RP, said that the mistakes were oversights. Mr. Small indicated that they generally have not checked each other's work regarding A/D record entries or ATF Form 4473 execution. Mr. Small indicated that they have corrected all incorrect entries in the A/D record and on ATF Form 4473's – where applicable (on a copy and attached)

Mr. Small indicated that they are initiating a mandatory review of all forms before a gun is transferred.

Corrective Action: The licensee has been instructed on how to properly complete all sections of an ATF Form 4473 and to ensure that transferee answers "Yes" to being the actual buyer in question 11a. in Section A. If the transferee answers "No" to being the actual buyer, the licensee has been instructed to stop the transaction and to not transfer the firearm.

Reference:

**Worksheet 2- 4473 (2012 Edition/ 2016 Edition);
Exhibit 2 – 27 CFR 478.124 Errors - Sampled**

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

4. 27 CFR 478.124(c)(1)

Nature of Violation: A review of (b)(3) - 112 completed ATF Forms 4473 determined the licensee failed in (b)(3) instances (b)(3) forms) to verify that complete and accurate information was completed for the following sections of the ATF Form 4473:

- Item 1 - (b)(3) instance - wrong last name - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 11b. - (b)(3) instance - Yes - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 11c. - (b)(3) instance - Yes - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 11d. - (b)(3) instance - Yes - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 11e. - (b)(3) instance - Yes - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 11f. - (b)(3) instance - Yes - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 11g. - (b)(3) instance - Yes - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 11h. - (b)(3) instance - Yes - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 11i. - (b)(3) instance - Yes - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 13 - (b)(3) instances - blank - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 14 - (b)(3) instances - blank - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
 - Item 15/17 - (b)(3) instances - blank or wrong - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
- (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Small/ RP, said that the mistakes were oversights. Mr. Small indicated that they generally have not checked each other's work regarding A/D record entries or ATF Form 4473 execution. Mr. Small indicated that they have corrected all incorrect entries in the A/D record and on ATF Form 4473's - where applicable (on a copy and attached)

Mr. Small indicated that they are initiating a mandatory review of all forms before a gun is transferred.

Corrective Action: The licensee has been instructed on how to properly complete Section A of the ATF Form 4473 and to ensure/verify that the transferee answers item 11b. through 15 including a signature and an accurate date of certification. The licensee has been instructed not to complete a background check or to transfer the firearm if the transferee answers "yes" to any question under 11b.-11l.

Reference:

Worksheet 2- 4473 (2012 Edition/2016 Edition);

Exhibit 2 - 27 CFR 478.124 Errors - Sampled

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

5. **27 CFR 478.124(c)(3)(i)**

Nature of Violation: A review of (b)(3) - 112 completed ATF Forms 4473 revealed the licensee failed in (b)(3) instances (b)(3) forms) to complete or accurately complete the following sections of the ATF Form 4473:

- Item 18a. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) - incomplete ID info - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6);
- Item 18b. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) - secondary ID not provided - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Small/ RP, said that the mistakes were oversights. Mr. Small indicated that they generally have not checked each other's work regarding A/D record entries or ATF Form 4473 execution. Mr. Small indicated that they have corrected all incorrect entries in the A/D record and on ATF Form 4473's – where applicable (on a copy and attached)

Mr. Small indicated that they are initiating a mandatory review of all forms before a gun is transferred.

Corrective Action: The licensee was instructed on how to properly complete all portions of Item 18a. and Item 18b. and what government documentation is acceptable and used for verification.

The licensee has been advised to correct the violations (where they can) on a photocopy of the ATF 4473 and to attach the correction to the original ATF 4473.

Reference:

Worksheet 2- 4473 (2016 Edition);
Exhibit 2 – 27 CFR 478.124 Errors - Sampled

6. **27 CFR 478.124(c)(3)(iv)**

Nature of Violation: A review of (b)(3) - 112 completed ATF Forms 4473 revealed the licensee failed (b)(3) - 112 instances (b)(3) - 112 forms) to complete or accurately complete the following sections of the ATF Form 4473:

- Item 21c. (b)(3) - 112 instances – no response/blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
- Item 21d. (b)(3) - 112 instances – blank/wrong (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

- Item 19a. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6);
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6);

- Item 19c. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Small/ RP, said that the mistakes were oversights. Mr. Small indicated that they generally have not checked each other's work regarding A/D record entries or ATF Form 4473 execution. Mr. Small indicated that they have corrected all incorrect entries in the A/D record and on ATF Form 4473's – where applicable (on a copy and attached)

Mr. Small indicated that they are initiating a mandatory review of all forms before a gun is transferred.

Corrective Action: The licensee was instructed on how to properly complete all sections of Item 19 on the ATF 4473 and has been instructed to verify that all sections have been accurately completed prior to transferring a firearm.

The licensee has been advised to correct the violations (where they can) on a photocopy of the ATF 4473 and to attach the correction to the original ATF 4473.

Reference:

Worksheet 2- 4473 (2012 Edition/2016 Edition);
Exhibit 2 – 27 CFR 478.124 Errors - Sampled

7. **27 CFR 478.124(c)(5)**

Nature of Violation: A review of (b)(3) - 112 completed ATF Forms 4473 revealed the licensee failed in (b)(3) - 112 instances (b)(3) - 112 forms) to complete or accurately complete the following sections of the ATF Form 4473:

- Item 36. (b)(3) - 112 instances – blank or incorrect date – (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6);
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

- Item 37. (b)(3) - 112 instances - blank or incorrect date – (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6);
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

Licensee's Response: Mr. Small/ RP, said that the mistakes were oversights. Mr. Small indicated that they generally have not checked each other's work regarding A/D record entries or ATF Form 4473 execution. Mr. Small and Mr. McClellan indicated that they did not understand what the date of transfer was when FBI/NICS delayed the transaction. Mr. Small and Mr. McClellan thought the date of transfer was the date the transaction was initiated through NICS. Mr. Small indicated that they have corrected all incorrect entries in the A/D record and on ATF Form 4473's – where applicable (on a copy and attached)

Mr. Small indicated that they are initiating a mandatory review of all forms before a gun is transferred.

Corrective Action: The licensee was instructed on how to properly complete Item 37 on the ATF 4473 and has been instructed to verify that all sections have been accurately completed prior to transferring a firearm.

The licensee has been advised to correct the violations (where they can) on a photocopy of the ATF 4473 and to attach the correction to the original ATF 4473.

Reference:

Worksheet 2- 4473 (2012 Edition/2016 Edition);
Exhibit 2 – 27 CFR 478.124 Errors - Sampled

8. **27 CFR 478.126a.**

Nature of Violation: A review of [REDACTED] ATF Forms 4473 completed during the inspection and the licensee's A/D record found that the licensee failed to complete and submit [REDACTED] Forms 3310.4 –Report of Multiple Handguns – Pistols and Revolvers. multiple sale forms to ATF.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Small indicated that the mistakes were oversights. Mr. Small indicated that they do not get a lot of multiple handgun sales and that they just missed these. Mr. Small indicated that they have completed an ATF Form 3310.4 for both transactions and have submitted them to ATF and the local Crawfordsville (IN) Police Department.

Mr. Small indicated that they are initiating a mandatory review of all forms before a gun is transferred.

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

Corrective Action: The licensee has been instructed on the proper completion of ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers. Licensee was instructed to timely submit all ATF Forms 3310.4 by the close of the business day that the multiple sale occurred and to retain a copy attached to the related ATF 4473.

Reference:
Worksheet 2- 4473 (2012 Edition);
Exhibit 3 - Violations of 27 CFR 478.126a

9. **27 CFR 478.124(a)**

Nature of Violation: A complete review of the licensee's acquisition and disposition record (A/D) and completed ATF Form 4473's found the licensee failed to execute and complete an ATF Form 4473 for the transfer of firearms that were for sale on consignment back to their original owner of the firearms.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: The licensee indicated that they were unaware that an ATF Form 4473 or NICS check was required to return firearms acquired on consignment. The licensee indicated that they thought it was similar to a gunsmith repair return and thought the "owner" of the firearms were exempt from having to fill out an ATF Form 4473 or to go through a NICS background check.

Corrective Action: The licensee was instructed on how to transfer firearms that have been acquired on consignment and how to transfer those firearms back to their original owner. The licensee was instructed to execute an ATF Form 4473 for firearm transactions are considered transfers.

Reference:
Worksheet 2- 4473 (2016 Edition);
Exhibit 4 – Consignment - (b)(6)

10. **27 CFR 478.102(a)**

Nature of Violation: A complete review of the licensee's acquisition and disposition record (A/D) and completed ATF Form 4473's found the licensee failed to conduct a background check prior the transfer of firearms that were acquired under consignment and transferred back to the original owner. The original owner of the firearms was not licensed.

FIREARMS INSPECTION REPORT

Name: Tactical Weapons & Supply LLC
Trade Name: Tactical Weapon & Supply

UI#: 773075-2017-0037-B1B
FFL#: 4-35-107-07-8H-04812

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: The licensee indicated that they were unaware that an ATF Form 4473 or NICS check was required to return firearms acquired on consignment. The licensee indicated that they thought it was similar to a gunsmith repair return and thought the "owner" of the firearms were exempt from having to fill out an ATF Form 4473 or to go through a NICS background check.

Corrective Action: The licensee was instructed to conduct a background check for all firearm transfers to those who are unlicensed including all transfers related to firearms that have been acquired on consignment and being returned to the original owner.

Reference:

Worksheet 2- 4473 (2016 Edition);

Exhibit 4 – Consignment - (b)(6)

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Work Sheets

Exhibit AD 1 - Acknowledgement of Federal Firearms Regulations

Exhibit 1 – Violations of 27 CFR 478.123(a)(d)

Exhibit 2 – Violations of 27 CFR 478.124

Exhibit 3- Violations of 27 CFR 478.126a

Exhibit 4 – Consignment - (b)(6)



U.S. Department of Justice

Bureau of Alcohol, Tobacco
Firearms & Explosives
151 N. Delaware Street, Suite 1000
Indianapolis, IN 46204
(317) 287-3500 (317) 287-3502 FAX

www.atf.gov

September 25, 2017

773075:(b)(6)
5373

CERTIFIED MAIL 7012 1010 0003 4127 3741
RETURN RECEIPT REQUESTED

Mr. Eric Small
Mr. Jerry McClellan
Tactical Weapons & Supply, LLC
2303 Indianapolis Road
Crawfordsville, IN 47933

FFL: 4-35-097-07-7M-04393

Dear Mr. Small & Mr. McClellan:

During a compliance inspection at your firearms business covering the period of August 22, 2016 through August 21, 2017, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, Form 5035.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator(s). You indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Eric Small & Jerry McClellan

Should you have any questions regarding this matter, please contact (b)(6) at (b)(6)
(b)(6)

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

C: National Licensing Center
Indianapolis Area Office

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY	Street Address 2303 INDIANAPOLIS ROAD	City CRAWFORDSVILLE	State IN	Zip Code 47933-	County MONTGOMERY	Page 1 of 9 Pages
License/Permit/Registry Number (If any) 435107078H04812	Expiration Date 8/1/2018	Date(s) or Period of Inspection 08/23/2016 through 08/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

I** A complete review of the licensee's Acquisition and Disposition (A/D) record for accurate information determined the following violations:

1) ^{(b)(3) - 112 Pub} instances, the licensee listed an inaccurate serial number for the firearm.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee was instructed on how to properly enter and
(If not corrected immediately) timely enter acquisition and disposition information into the
A/D record.

Citation: 27 CFR 478.123(a)

Report of Violations

Instructions

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Name of Proprietor TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY	Street Address 2303 INDIANAPOLIS ROAD	City CRAWFORDSVILLE	State IN	Zip Code 47933-	County MONTGOMERY	Page 2 of 9 Pages
License/Permit/Registry Number (If any) 435107078H04812	Expiration Date 8/1/2018	Date(s) or Period of Inspection 08/23/2016 through 08/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

2**A complete review of the licensee's Acquisition and Disposition (A/D) record for accurate information determined the following violations:

1) In ^{(b)(3) - 112 Public Law} instance, a firearm was found in inventory but listed as disposed/ transferred in the A/D record:

^{(b)(3) - 112 Public Law 55 125 Stat 552, (b)(3)}

2) In ^{(b)(3) - 112 Public Law} instance, a firearm was listed as disposed but was considered a duplicate entry.

^{(b)(3) - 112 Public Law 55 125 Stat 552, (b)(3)}

Citation: 27 CFR 478.123(d)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed on how to properly enter and timely enter acquisition and disposition information into the A/D record.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY	Street Address 2303 INDIANAPOLIS ROAD	City CRAWFORDSVILLE	State IN	Zip Code 47933-	County MONTGOMERY	Page 3 of 9 Pages
License/Permit/Registry Number (If any) 435107078H04812	Expiration Date 8/1/2018	Date(s) or Period of Inspection 08/23/2016 through 08/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

3** A review of 295 completed ATF Forms 4473 determined the licensee failed in 12 instances (10 forms) to verify that complete and accurate information was completed for the following sections of the ATF Form 4473:

- Item 10a. (b)(3) instances- blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
- Item 11a. (b)(3) instance - Blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
- Item 18/16 (b)(3) instances - Blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
- Item 23 (b)(3) instance - Blank/wrong (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
- Item 30a (b)(3) instances - Wrong or Blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee has been instructed on how to properly complete all sections of an ATF Form 4473 and to ensure that transferee answers "Yes" to being the actual buyer in question 11a. in Section A. If the transferee answers "No" to being the actual buyer, the licensee has been instructed to stop the transaction and to not transfer the firearm.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY	Street Address 2303 INDIANAPOLIS ROAD	City CRAWFORDSVILLE	State IN	Zip Code 47933-	County MONTGOMERY	Page 4 of 9 Pages
License/Permit/Registry Number (If any) 435107078H04812	Expiration Date 8/1/2018	Date(s) or Period of Inspection 08/23/2016 through 08/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

A review of (b)(3) - 112 P (b)(3) - 112 P forms) to verify that complete and accurate information was completed for the following sections of the ATF Form 4473:

- Item 1 - (b)(3) instance - wrong last name - (b)(3) - 112 Public Law 55 125 Stat
- Item 11b. (b)(3) instance - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
- Item 11c. (b)(3) instance -
- Item 11d. (b)(3) instance -
- Item 11e. (b)(3) instance -
- Item 11f. (b)(3) instance -
- Item 11g. (b)(3) instance -
- Item 11h. (b)(3) instance -
- Item 11i. (b)(3) instance -
- Item 13 - (b)(3) instances -
- Item 14 - (b)(3) instances -
- Item 15/17 - (b)(3) instances - blank or wrong (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee has been instructed on how to properly complete Section A of the ATF Form 4473 and to ensure/verify that the transferee answers item 11b. through 15 including a signature and an accurate date of certification. The licensee has been instructed not to complete a background check or to transfer the firearm if the transferee answers "yes" to any question under 11b.-11i.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY	Street Address 2303 INDIANAPOLIS ROAD	City CRAWFORDSVILLE	State IN	Zip Code 47933-	County MONTGOMERY	Page 5 of 9 Pages
License/Permit/Registry Number (If any) 435107078H04812	Expiration Date 8/1/2018	Date(s) or Period of Inspection 08/23/2016 through 08/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

5** A review of (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) completed ATF Forms 4473 revealed the licensee failed in (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms) to complete or accurately complete the following sections of the ATF Form 4473:

- Item 18a. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance - incomplete ID info (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
- Item 18b. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances - secondary ID not provided - (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed on how to properly complete all portions of Item 18a. and Item 18b. and what government documentation is acceptable and used for verification. The licensee has been advised to correct the violations (where they can) on a photocopy of the ATF 4473 and to attach the correction to the original ATF 4473.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY	Street Address 2303 INDIANAPOLIS ROAD	City CRAWFORDSVILLE	State IN	Zip Code 47933-	County MONTGOMERY	Page 6 of 9 Pages
License/Permit/Registry Number (If any) 435107078H04812	Expiration Date 8/1/2018	Date(s) or Period of Inspection 08/23/2016 through 08/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

6** A review of (b)(3) - 112 completed ATF Forms 4473 revealed the licensee failed (b)(3) - 112 instances (b)(3) - 112 to complete or accurately complete the following sections of the ATF Form 4473:

- Item 21c. (b)(3) - 112 instances - no response/blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

- Item 21d. (b)(3) - 112 instances - blank/wrong (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

- Item 19a. (b)(3) - 112 instances - Wrong or Blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

- Item 19c. (b)(3) - 112 instance (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(3)(iv)

The licensee was instructed on how to properly complete all sections of Item 19 on the ATF 4473 and has been instructed to verify that all sections have been accurately completed prior to transferring a firearm.

The licensee has been advised to correct the violations (where they can) on a photocopy of the ATF 4473 and to attach the correction to the original ATF 4473.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY	Street Address 2303 INDIANAPOLIS ROAD	City CRAWFORDSVILLE	State IN	Zip Code 47933-	County MONTGOMERY	Page 7 of 9 Pages
License/Permit/Registry Number (If any) 435107078H04812	Expiration Date 8/1/2018	Date(s) or Period of Inspection 08/23/2016 through 08/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:

7** A review of (b)(3) - 112 F completed ATF Forms 4473 revealed the licensee failed (b)(3) - 112 F instances (b)(3) - 112 F forms) to complete or accurately complete the following sections of the ATF Form 4473:

- Item 36 - (b)(3) instances - blank or incorrect date (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

- Item 37 - (b)(3) - 112 instances - blank or incorrect date (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee was instructed on how to properly complete Item 37 on the ATF 4473 and has been instructed to verify that all sections have been accurately completed prior to transferring a firearm.

The licensee has been advised to correct the violations (where they can) on a photocopy of the ATF 4473 and to attach the correction to the original ATF 4473.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY	Street Address 2303 INDIANAPOLIS ROAD	City CRAWFORDSVILLE	State IN	Zip Code 47933-	County MONTGOMERY	Page 8 of 9 Pages
License/Permit/Registry Number (If any) 435107078H04812	Expiration Date 8/1/2018	Date(s) or Period of Inspection 08/23/2016 through 08/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 8

Nature of Violation:

8** A review of (b)(3) - 112 ATF Forms 4473 completed during the inspection and the licensee's A/D record found that the licensee failed to complete and submit (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) Multiple Handguns - Pistols and Revolvers. multiple sale forms to ATF.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee has been instructed on the proper completion of ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers. Licensee was instructed to timely submit all ATF Forms 3310.4 by the close of the business day that the multiple sale occurred and to retain a copy attached to the related ATF 4473.

Number: 9

Nature of Violation:

9** A complete review of the licensee's acquisition and disposition record (A/D) and completed ATF Form 4473's found the licensee failed to execute and complete (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) transfer of firearms that were for sale on consignment back to their original owner of the firearms.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee was instructed on how to transfer firearms that have been acquired on consignment and how to transfer those firearms back to their original owner. The licensee was instructed to execute an ATF 4473 for firearm transactions that are considered transfers.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TACTICAL WEAPONS & SUPPLY, LLC TACTICAL WEAPONS & SUPPLY	Street Address 2303 INDIANAPOLIS ROAD	City CRAWFORDSVILLE	State IN	Zip Code 47933-	County MONTGOMERY	Page 9 of 9 Pages
License/Permit/Registry Number (If any) 435107078H04812	Expiration Date 8/1/2018	Date(s) or Period of Inspection 08/23/2016 through 08/22/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 10

Nature of Violation:

10** A complete review of the licensee's acquisition and disposition record (A/D) and completed ATF Form 4473's found the licensee failed to conduct a background check prior the transfer of firearms that were acquired under consignment and transferred back to the original owner. The original owner of the firearms was not licensed.

Citation: 27 CFR 478.102(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed to conduct a background check for all firearm transfers to those who are unlicensed including all transfers related to firearms that have been acquired on consignment and being returned to the original owner.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

(b)(6)

IOI

Date

9/21/2017