

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) RC Gunworks LLC 417 Kimberly Rd. Warner Robins, GA 31088, Houston	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 760555-2017-0283-B1B			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 158153010H10644</td> <td style="width: 33%;">4a. TARGET DATE 9/30/2017</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table>	3. PERMIT/LICENSE NUMBER 158153010H10644	4a. TARGET DATE 9/30/2017	4b. TARGET HOURS
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	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			

6. ATF OFFICER(S) ASSIGNED
(b)(6) Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6) Area Supervisor, 07/05/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
 Conduct compliance inspection in accordance with current guidelines.
 *** Please check for possible hidden ownership.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	1	NO. OF REFERRALS	1	2111 - PER DIEM
NO. OF TECS CHECKS	34	NO. OF TECS HITS	1	2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** - Industry Operations Investigator

Submitted on: 07/20/2017
 A Report of Violations was issued and a Warning Letter is recommended due to the licensee's failure to prepare and submit more than **(b)(6)** Multiple Sale Reports.
 Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
JUL 2017	28.00
ATF OFFICER'S SUBTOTAL	28.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	28.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
 A Report of Violations was issued and a Warning Letter is recommended due to the licensee's failure to prepare and submit more than **(b)(6)** Multiple Sale Reports.

Reviewed the compliance inspection report. This was the first compliance inspection for this licensee since the FFL was issued in 2014. The licensee accepts and transfers firearms from the internet and believed that since he was not the original seller of the firearm that he was not required to complete the Multiple Sales Reports. The licensee corrected the violation immediately and fully understands the requirement in completing the Multiple Sales Report. I concur with the recommendation of the IOI for Viols, WL Only and No Recall Inspection as a result of this inspection.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 08/16/2017
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ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor RC Gunworks LLC	Street Address 417 Kimberly Rd.	City Warner Robins	State GA	ZIP Code 31088	County Houston	Page <u>1</u> of <u>1</u> Pages
License/Permit/Registry Number (if any) 1-58-153-01-0H-10644		Expiration Date 08/01/20	Date(s) or Period of Inspection 07/05/16 - 07/05/17			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27 CFR 478.126a	Failure to prepare and submit ATF Form 3310.4, Report of Multiple Sale ^{(b)(3) - 112 P} occasions.	Licensee prepared and submitted the forms prior to the close of the inspection and was instructed to ensure future compliance.	Immediately

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

JOAC LS
MANAGER

Date *7/13/17*

(b)(6)
ATF INVESTIGATOR

Date *7/13/17*

Copy 3 - Area Supervisor's Copy

ATF E-Form 5030.5
Revised April 2005

FIREARMS INSPECTION REPORT

Name: RC Gunworks LLC
Trade Name:

UI#: 760555-2017-0283-B1B
FFL#: 1-58-153-01-0H-10644

Address: 417 Kimberly Rd.
Warner Robins, GA 31088

1 - Introduction

Inspection Profile:

Investigator (b)(6) conducted a full firearms compliance inspection on RC Gunworks LLC on 07/06/17 through 07/13/17. The inspection was conducted in accordance with firearms compliance inspection guidelines set forth in the Industry Operations Manual and special instructions listed on ATF EF 5700.14, Assignment and Report. Robert Hanlin, Manager, was interviewed. Licensee was contacted prior to the field visit due to the fact that the business is conducted from his private residence and maintains limited hours. Inspection period covered 07/05/16 through 07/05/17. Investigator (b)(6) notified ATF Macon Field Office Special Agent (b)(6) of this inspection to determine if the inspection would compromise any ongoing investigation and in order to obtain any additional information that would be useful in conducting the inspection. No derogatory information was provided. Investigator (b)(6) also conducted Federal Licensing System (FLS) and N-Force queries on the licensee and responsible person which did not disclose any significant information.

Business Profile:

The licensee conducts business in retail sales of new and used firearms and gunsmithing from his private residence. Retail sales, which account for approximately (b)(4) of the business activity, involve firearms acquired on a special order basis or to facilitate dealer transfers. Gunsmithing, including coating and refinishing services, accounts for the remaining (b)(4) of firearms transactions. The business is located in suburban, residential area and has been operating since July 2014. The licensee acquired and disposed of approximately (b)(4) firearms (b)(4) handguns, (b)(4) long guns). Used firearms account for the majority of transfers (approximately (b)(4)). His primary suppliers of new firearms and gunsmithing supplies are (b)(4) (b)(4). The licensee maintains a website at rcgunworksllc.com for advertising purposes only. No activity is conducted at gun shows. The business is in compliance with all state and local laws and zoning ordinances. No other ATF licenses are held or required.

Ownership and Control:

The business is a Type 01 licensee operating under a limited liability company business structure. No changes in ownership or business structure were

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Name: RC Gunworks LLC
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disclosed. Robert Hanlin is correctly listed as the sole responsible person in the FLS. No additions or corrections to the information on file are necessary.

Variance:

Licensee has not requested any variance.

2 – Recommendation

A Report of Violations was issued and a Warning Letter (No Recall) is recommended.

3 - Inspection History

Inspection history for the last 5 years is as follows:

Date of Inspection: 06/24/14
Type of Inspection: Firearms qualification inspection conducted by Investigator Pelt.
UI#: 760555-2014-0296-B1B
Inspection Results: Issuance of the license was recommended.
Cited Violations: No violations were cited.

4 - Acquisition & Disposition (A&D) Record - Inventory

Investigator (b)(6) conducted a full physical inventory which disclosed 2 firearms on hand (b)(6) open entries in the A & D Record. The A & D entries were found to be timely, accurate and in the proper format. No thefts or losses were reported during the last 12 months. Investigator (b)(6) traced 20 firearms disposed of during the inspection period through the licensee's records from acquisition to disposition with no discrepancies disclosed. New firearms are acquired pursuant to pre-arranged dealer transfers and are normally picked up on the day of arrival. Mr. Hanlin ensures that the A & D Record is updated immediately upon the receipt or transfer of a firearm. Due to minimal physical inventory on hand, an in-depth system of inventory controls is not necessary.

5 - ATF Forms 4473 – NICS & Other Dispositions

Investigator (b)(6) reviewed each of the (b)(6) ATF Forms 4473 completed during the inspection period with no violations, traffickers or suspicious purchases disclosed. No transfers to law enforcement officers or other licensees were noted. Investigator (b)(6) verified each of the 16 NICS transactions listed on the FFL Audit Log with no discrepancies disclosed. Mr. Hanlin stated that he is the only person involved in maintaining the firearms transaction records. He stated that he reviews forms at the time of sale and promptly files them by transaction number. He also attaches copies of

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drivers licenses and firearms permits (when applicable) to each form. No internal control deficiencies were noted.

6 - Multiple Sales

No multiple sale reports were prepared during the inspection period. Review of ATF Forms 4473 disclosed that the licensee failed to prepare and submit ATF Form 3310.4, Report of Multiple Sale, [REDACTED] occasions, involving approximately [REDACTED] firearms. **(Reference Violation 1, Worksheet 1 and Exhibit 1)** The licensee prepared and submitted each of the reports prior to the close of the inspection.

7 - Suspicious/Prohibited Purchasers

Investigator [REDACTED] reviewed the ATF Forms 4473 and A & D Records, and also conducted NCIC and Etrace queries in an effort to disclose any straw purchasers, firearms traffickers and suspicious/prohibited purchasers. Inspection disclosed the following:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6), (b)(7)(C)



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8 - Trace Activity

The licensee had no trace activity within the last 12 months. Etrace queries were conducted on 30 used firearms sold by the licensee during the inspection period with no suspect or traced firearms disclosed.

9 - NFA/Importer/Collector/Manufacturer

The licensee is not an NFA dealer, importer, collector or manufacturer.

10 - Referrals

Investigator (b)(6) prepared and submitted a referral of information regarding the following potential trafficking of, and/or unlicensed dealing in firearms:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6), (b)(7)(C)



11 - Other

The licensee is aware of the requirement to provide the Youth Handgun Safety Act Notice as well as a safety device with each handgun transfer and has properly posted the

FIREARMS INSPECTION REPORT

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Youth Handgun Safety Act poster. The licensee also maintains an inventory of secure gun storage/safety devices available for sale on the premises.

12 - Closing Actions

Investigator (b)(6) held a closing conference with Robert Hanlin, Manager, on 07/13/17. The violation for which the licensee was cited on ATF Form 5030.5, Report of Violations, was discussed, as well as preventive action. In addition, the Acknowledgement of Federal Firearms Regulations was reviewed and discussed with Mr. Hanlin. **(Reference Exhibit 3)** Particular emphasis was placed on the portion related to the violation disclosed during the inspection. Mr. Hanlin acknowledged this review by signing the worksheet guide. The FFL Best Practices Guide and Important ATF Phone Numbers were provided to the licensee. The licensee has not attended any FFL seminars.

13 - Violations

1. 27 CFR 478.126a

Nature of violation: Failure to prepare and submit ATF Form 3310.4, Report of Multiple Sale, (b)(3) - 112 Pub occasions. Each instance involved customers who purchased firearms online from other dealers and had them transferred to RC Gunworks LLC.

Licensee's response: Mr. Hanlin stated that he was under the impression that facilitating transfers for individuals who purchased firearms online from other dealers was not considered a "sale" and that the form was not required.

Corrective action: Investigator (b)(6) advised the licensee of the requirement to prepare and submit the form. Mr. Hanlin prepared and submitted each of the reports prior to the close of the inspection and he acknowledged that he understood the requirement.

Reference Worksheet 1 and Exhibit 1

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Exhibit 1 – ATF Forms 4473 – 126a Violations

FIREARMS INSPECTION REPORT

Name: RC Gunworks LLC
Trade Name:

UI#: 760555-2017-0283-B1B
FFL#: 1-58-153-01-0H-10644

Exhibit 2 – ATF Form 5000.14, Referral of Information (2017-0037)
Exhibit 3 – Acknowledgement of Federal Firearms Regulations



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Macon, GA 31210

August 17, 2017

www.atf.gov

76055 (b)(6)
5300

CERTIFIED MAIL

RC Gunworks LLC
417 Kimberly Rd.
Warner Robins, GA 31088

FFL# 1-58-153-01-0H-10644

Gentlemen:

During a recent compliance inspection at your firearms business covering the period of July 5, 2016 through July 5, 2017, you were cited for a violation of 27 Code of Federal Regulations, Part 478. A copy of the ATF Form 5030.5, Report of Violations, explaining your violation was issued at the time of the inspection.

The violation was fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

RC Gunworks LLC, 1-58-10644

Should you have any questions regarding this matter, please contact Investigator (b)(6) at (b)(6)

Sincerely yours,

(b)(6)

Area Supervisor

c: Federal Firearms Licensing Center
Atlanta Field Division