

## Assignment and Report

<b>1. OPERATING NAME AND ADDRESS</b> (INCLUDE ZIP CODE AND COUNTY)  Jeffrey M. Cagle Honey Lake Firearms & Guide Service 600 Main Street Susanville, CA 96130, Lassen		<b>2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)</b> 786040-2017-0226-B1B																																																		
		<b>3. PERMIT/LICENSE NUMBER</b> 968035018C03136	<b>4a. TARGET DATE</b> 2/15/2018	<b>4b. TARGET HOURS</b>																																																
		<b>5. REQUESTED BY (SIGNATURE, TITLE AND DATE)</b> 06/01/2017																																																		
<b>6. ATF OFFICER(S) ASSIGNED</b> (b)(6) - Assigned Investigator (b)(6) - Lead Investigator																																																				
<b>7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)</b> (b)(6) Area Supervisor, 06/01/2017																																																				
<b>8. PURPOSE/SPECIAL INSTRUCTIONS</b> Conduct full DE compliance inspection. review ownership and zoning to insure nothing has changed. FLS 2/2015 renewal showed A=4231 and D=3991																																																				
<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="2" style="padding: 2px;"><b>9. INSPECTION RESULTS</b></td><td colspan="2" style="padding: 2px;"><input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC</td><td colspan="2" style="padding: 2px;"><b>10. TRAVEL EXPENSES (OPTIONAL)</b></td></tr><tr><td style="padding: 2px;">NO. OF VIOLATIONS</td><td style="padding: 2px;">9</td><td style="padding: 2px;">NO. OF REFERRALS</td><td style="padding: 2px;"></td><td style="padding: 2px;">2111 - PER DIEM</td><td style="padding: 2px;"></td></tr><tr><td style="padding: 2px;">NO. OF TECS CHECKS</td><td style="padding: 2px;">8</td><td style="padding: 2px;">NO. OF TECS HITS</td><td style="padding: 2px;"></td><td style="padding: 2px;">2112 - P.O.A.</td><td style="padding: 2px;"></td></tr><tr><td style="padding: 2px;">NO. OF TAX ADJUSTMENTS</td><td style="padding: 2px;"></td><td style="padding: 2px;">\$ VALUE OF TAX INCREASES</td><td style="padding: 2px;"></td><td style="padding: 2px;">2113 - COMM. AIR</td><td style="padding: 2px;"></td></tr><tr><td style="padding: 2px;"></td><td style="padding: 2px;"></td><td style="padding: 2px;">\$ VALUE OF TAX DECREASES</td><td style="padding: 2px;"></td><td style="padding: 2px;">2114 - RENTAL CAR</td><td style="padding: 2px;"></td></tr><tr><td style="padding: 2px;">NO. OF ASSESSMENTS</td><td style="padding: 2px;"></td><td style="padding: 2px;">\$ VALUE OF ASSESSMENTS</td><td style="padding: 2px;"></td><td style="padding: 2px;">2115 - GPV EXPENSES</td><td style="padding: 2px;"></td></tr><tr><td style="padding: 2px;">NO. OF CLAIMS</td><td style="padding: 2px;"></td><td style="padding: 2px;">\$ VALUE OF CLAIMS</td><td style="padding: 2px;"></td><td style="padding: 2px;">2116 - MISC.</td><td style="padding: 2px;"></td></tr><tr><td style="padding: 2px;">NO. OF TAX PERIODS</td><td style="padding: 2px;"></td><td style="padding: 2px;">\$ VALUE OF TAXES VERIFIED</td><td style="padding: 2px;"></td><td style="padding: 2px;">TOTAL \$ FOR INSP.</td><td style="padding: 2px;"></td></tr></table>					<b>9. INSPECTION RESULTS</b>		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		<b>10. TRAVEL EXPENSES (OPTIONAL)</b>		NO. OF VIOLATIONS	9	NO. OF REFERRALS		2111 - PER DIEM		NO. OF TECS CHECKS	8	NO. OF TECS HITS		2112 - P.O.A.		NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR				\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR		NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES		NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.		NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	
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<b>11. ATF OFFICER'S RECOMMENDATION</b> Submitted by (b)(6) - Industry Operations Investigator  Submitted on: 03/12/2018 Violations WL ONLY and Recall Inspection  Viols WL ONLY and Recall Inspection																																																				
<b>12. TIME ACCOUNTING DATA</b>																																																				
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## Assignment and Report

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	3. PERMIT/LICENSE NUMBER 968035018C03136	4a. TARGET DATE 2/15/2018	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 06/01/2017		

12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	Roger ISQUIERDO
MAR 2018	9.50
ATF OFFICER'S SUBTOTAL	150.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	190.50

13. REVIEW AND ROUTING	
REVIEW COMMENTS AND RECOMMENDATION	

WL with Recall: IOI (b)(6) initiated a compliance inspection at the licensed location in Susanville, CA on 6/6/2017, and completed on 6/9/2017. The inspection disclosed 9 violations: 27 CFR 478.21(a) - (b)(3) forms; 27 CFR 478.124(c)(1) - (b)(3)-1 forms; 27 CFR 478.124(c)(3)(i) - (b)(3) forms; 27 CFR 478.124(c)(3)(iv) - (b)(3) forms; 27 CFR 478.124(c)(4) - (b)(3) forms; 27 CFR 478.124(c)(5) - (b)(3) forms; 27 CFR 478.125(e) - (b)(3) instances (no missing firearms); 923(g)(5)(A) - (b)(3) instances; and 27 CFR 478.126a - (b)(3) instances, involving (b)(3) handguns. An ROV was issued, and subsequently amended ROV was issued due to additional errors being disclosed. No referrals were submitted. Completion of the assignment was delayed several times due to licensee's (b)(6) and difficulty in reaching the licensee to obtain additional documentation. The licensee has implemented additional measures, such as double checking the forms, to insure future compliance. Area Supervisor (b)(6) concurs with IOI (b)(6) recommendation of a WL, and Recall inspection. Deconfliction was conducted with negative results. A Warning letter, with a copy of the final ROV, was mailed to the licensee via certified mail on 3/13/2018. No further field action is required, and a recall inspection will be conducted.  
E-mailed to: A/S (b)(6), FEL Actions-San Francisco (b)(6)

Viols WL ONLY and Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONC	(b)(6)	<input checked="" type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) Area Supervisor			REVIEW DATE 03/12/2018

ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Jeffrey M. Cagle Honey Lake Firearms & Guide Service	Street Address 600 Main Street	City Susanville	State CA	Zip Code 96130-	County Lassen	Page 1 of 8 Pages
License/Permit/Registry Number <i>(If any)</i> 968035018C03136		Expiration Date 3/1/2018	Date(s) or Period of Inspection 06/06/2017 through 06/09/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

#### Nature of Violation:

27 CFR 478.21(a)

Nature of violation: Administrative

"...All of the information called for in each form shall be furnished ...by the headings on the form and the instructions on or pertaining to the form..."

The licensee failed to have the buyer answer the following questions and number of instances:

o ATF Forms 4473 found in violation of 27 CFR 478.21(a), for total instances.

o instances, Item 10.a. (Ethnicity) was "blank" (2012 edition);

o instances, Item 11.a. (Actual Buyer) was incorrect (2012 edition);

o instances, Item 10.a. (Ethnicity) was "blank" (2016 edition); and

o instances, Item 23 (Recertification Date) was "blank" or incorrect (2016 edition).

#### Date Corrections to be Made:

*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee was instructed to review all of the information

*(If not corrected immediately)* on each ATF Form 4473 to ensure its accuracy.

Citation: 27 CFR 478.21(a)



## Report of Violations

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Name of Proprietor Jeffrey M. Cagle Honey Lake Firearms & Guide Service	Street Address 600 Main Street	City Susanville	State CA	Zip Code 96130-	County Lassen	Page 2 of 8 Pages
License/Permit/Registry Number <i>(If any)</i> 968035018C03136		Expiration Date 3/1/2018	Date(s) or Period of Inspection 06/06/2017 through 06/09/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

#### Nature of Violation:

27 CFR 478.124(c)(1)

"... the...dealer ... shall obtain a Form 4473...showing the transferee's name, sex, residence address, date...place of birth; height, weight, race...country of citizenship; ... State of residence; and certification...that the transferee is not prohibited ..."

The licensee failed to have the purchaser (transferee) correctly answer the following questions:

(b)(3) ATF Forms 4473 found in violation of 27 CFR 478.124(c)(1), for (b)(3) total instances.

(b)(3) - 112 Public Law 55 instances, Item 1 (Transferee's Name) was incorrect (2012);

instance, Item 2 (Residence Address) was incorrect (2012);

instance, Item 3 (Place of Birth) was incorrect (2012);

instances, Item 10.b. (Race) were "blank" (2012 edition);

instance, Item 11.c. was incorrect (2012 edition);

instance, Item 11.d. was "blank" (2012 edition);

instance, Item 11.h. was "blank" (2012 edition);

instance, Item 11.i. was incorrect (2012 edition);

instance, Item 11.j. was incorrect (2012 edition);

instances, Item 11.l. were "blank" (2012 edition);

instances, Item 13 were "blank" (2012 edition);

instances, Item 14 were "blank" (2012 edition);

instances, Item 16 were "blank" (2012 edition); and

instances, Item 17 were blank & (b)(3) - 112 Public Law 55 instances were incorrect (2012 edition);

instance, Item 1 (Transferee's Name) was incorrect (2016 edition); and

#### Date Corrections to be Made:

*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee was instructed to review all of the information

*(If not corrected immediately)* on each ATF Form 4473 to ensure its accuracy.



## Report of Violations

### Instructions

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License/Permit/Registry Number <i>(If any)</i> 968035018C03136		Expiration Date 3/1/2018		Date(s) or Period of Inspection 06/06/2017 through 06/09/2017		

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) - 112 Public Law instance, Item 15 was "blank" (2016 edition).

**Citation:** 27 CFR 478.124(c)(1)

**Number:** 3

#### Nature of Violation:

27 CFR 478.124 (c)(3)(i)

Nature of violation: Records & Reports

The licensee failed to verify the identity of the transferee (purchaser) by examining the identification document (as defined in §478.11) presented, and shall note on the Form 4473 the type of identification used; specifically:

(b)(3) - 112 Public ATF Forms 4473 were found to be in violation of 27 CFR 478.124(c)(3)(i); for (b)(3) - 112 Pub total instances.

(b)(3) - 112 Public Law instance, Item 20.a. (Identification) incorrect (2012 edition); and  
instance, Item 18.a. (Identification) incorrect (2016 edition).

**Citation:** 27 CFR 478.124(c)(3)(i)

#### Date Corrections to be Made:

*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee was instructed to review all of the information on each ATF Form 4473 to ensure its accuracy.

*(If not corrected immediately)*

## Report of Violations

### Instructions

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License/Permit/Registry Number <i>(If any)</i> 968035018C03136		Expiration Date 3/1/2018	Date(s) or Period of Inspection 06/06/2017 through 06/09/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 4

**Nature of Violation:**

27 CFR 478.124(c)(3)(iv)

Nature of violation: Records & Reports

The licensee failed to comply with the requirements of §478.102 and record on the form the date on which the licensee contacted the NICS (DROS in CA), as well as any response provided by the system, including any identification number provided by the system; specifically:

(b)(3) - 112 Public ATF Forms 4473 found in violation of 27 CFR 478.124(c)(3)(iv), for (b)(3) - 112 Pu total instances.

(b)(3) - 112 Public Law 5 instance, Item 21.a. (NICS/DROS Date) was incorrect (2012 edition); and  
instance, Item 21.c. (NICS/DROS Response) was "blank" (2012 edition).

**Citation:** 27 CFR 478.124(c)(3)(iv)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee was instructed to review all of the information on each ATF Form 4473.  
*(If not corrected immediately)*

## Report of Violations

### Instructions

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License/Permit/Registry Number <i>(If any)</i> 968035018C03136		Expiration Date 3/1/2018	Date(s) or Period of Inspection 06/06/2017 through 06/09/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 5

**Nature of Violation:**

27 CFR 478.124(c)(4)

Nature of violation: Records & Reports

The licensee failed to identify the firearm to be transferred by listing on the Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm.

(b)(3) - 112 PubL ATF Forms 4473 found in violation of 27 CFR 478.124(c)(4), for (b)(3) - 112 PubL total instances.

(b)(3) - 112 Public Law 55 instance, Item 28. (Serial Number) was "blank" (2012 edition);  
instance, Item 29. (Type) was "blank" (2012 edition);  
instances, Item 30. (Caliber or Gauge) were "blank" (2012 edition); and  
instance, Item 27 (Type) was "blank" (2016 edition).

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee was instructed to review all of the information on each ATF Form 4473.  
*(If not corrected immediately)*

**Citation:** 27 CFR 478.124(c)(4)



## Report of Violations

### Instructions

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License/Permit/Registry Number <i>(If any)</i> 968035018C03136		Expiration Date 3/1/2018	Date(s) or Period of Inspection 06/06/2017 through 06/09/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 6

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Nature of Violation:**

**Corrective Action to be Taken:** The licensee was instructed to review all of the information on each ATF Form 4473.  
*(If not corrected immediately)*

27 CFR 478.124(c)(5)

Nature of violation: Records & Reports

The licensee failed to sign and date the form upon the transfer of the firearm as described on the Form 4473.

(b)(3) - 112 Public Law 56 ATF Forms 4473 found in violation of 27 CFR 478.124(c)(5), for (b)(3) - 112 Public Law 56 total instances.

(b)(3) - 112 Public Law 56 instance, Item 36 (Date Transferred) was incorrect (2012 edition); and (b)(3) - 112 Public Law 56 instances, Item 37 (Date Transferred) were incorrect (2016 edition).

**Citation:** 27 CFR 478.124(c)(5)

## Report of Violations

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Name of Proprietor Jeffrey M. Cagle Honey Lake Firearms & Guide Service	Street Address 600 Main Street	City Susanville	State CA	Zip Code 96130-	County Lassen	Page 7 of 8 Pages
License/Permit/Registry Number (If any) 968035018C03136		Expiration Date 3/1/2018	Date(s) or Period of Inspection 06/06/2017 through 06/09/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 7

**Nature of Violation:**

27 CFR 478.126a

Nature of violation: Records & Reports

"Licensee shall prepare a report of multiple sales or ... disposition whenever the licensee sells or ... disposes ... at one time or ... any five consecutive business days, two or more pistols, or revolvers, or any combination ... to an unlicensed person..."

The licensee failed to complete and submit ATF Form 3310.4 (Multiple Sales of Handguns Report) as disclosed on (b)(3) - 112 Public ATF Forms 4473 (2012 edition) and for (b)(3) - 112 Public instances (2016 edition); accounting for a total of (b)(3) - 112 Public handguns.

**Citation:** 27 CFR 478.126a

**Date Corrections to be Made:**

(If not corrected immediately)

**Corrective Action to be Taken:**

(If not corrected immediately)

Corrective action was immediately implemented as the licensee completed and submitted the forms via facsimile as instructed between June 6, 2017 and June 21, 2017. The licensee was also instructed to review all of the information on each ATF Form 4473 to ensure its accuracy.

**Number:** 8

**Nature of Violation:**

18 U.S.C. 923(g)(5)(A)

Nature of violation: Records & Reports

The licensee shall, submit on a form, all record information required to be kept by this chapter. The licensee failed to report and submit ATF Form 3310.12 (Report of Multiple Sale of Certain Rifles (b)(3) - 112 Public occasions; accounting (b)(3) - 112 Public rifles.

**Date Corrections to be Made:**

(If not corrected immediately)

**Corrective Action to be Taken:**

(If not corrected immediately)

Corrective action was immediately implemented as the licensee completed and submitted the form via facsimile as instructed between June 6, 2017 and June 21, 2017. The licensee was also instructed to review all of the information on each ATF Form 4473 to ensure its accuracy.



## Report of Violations

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Citation:** 18 U.S.C. 923(g)(5)(A)

**Number:** 9

**Nature of Violation:**

27 CFR 478.125(e)

Nature of violation: Subpart H – Records & Reports

Each licensed dealer shall enter into a record each receipt and disposition of firearms. The licensee failed to record the timely receipt (acquisition) of [REDACTED] firearms for [REDACTED] instances; and failed to record the timely disposition of [REDACTED] firearms for [REDACTED] instances; for a total of [REDACTED] discrepancies/errors.

**Citation:** 27 CFR 478.125(e)

**Date Corrections to be Made:**

(If not corrected immediately)

**Corrective Action to be Taken:**

(If not corrected immediately)

Corrective action was immediately implemented and the discrepancies were reconciled immediately by the licensee discovering the invoices from the firearm distributors or ATF Forms 4473; verifying the acquisition/receipt and/or disposition of each firearm. The licensee was also instructed to enter the recording of the acquisition of the firearm on 06/07/2017.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

*J. Cagle*

Licensee

Date

2/21/18

Signature and Title of ATF Officer

(b)(6)

ATF Senior ID Investigator

Date

2-21-18



# FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M.  
**Trade Name:** Honey Lake Firearms & Guide Service

**UI#:** 786040-2017-0226-B1B  
**FFL#:** 9-68-035-01-8C-03136

Address: 600 Main Street  
Susanville, CA 96130

## 1 - Introduction

### Inspection Profile:

ATF Industry Operations Senior (IO) Investigator (b)(6) initiated a firearms compliance inspection on June 6, 2017, at the above rural commercial site, within the city limits of Susanville, within the County of Lassen. Mr. Jeffrey Mark Cagle, owner, was interviewed and assisted with the inspection at the above location. IOI (b)(6) assisted from June 7- 8, 2017.

The licensee is a sole proprietorship with the DBA name of Honey Lake Firearms & Guide Service. The period for the inspection covered was June 6, 2016 through June 5, 2017. No other participants, e.g., other Federal, State, or local agencies were present or assisted.

ATF Senior IO Investigator (SIOI) (b)(6) verified the identity of the licensee through his California Drivers' licenses; # (b)(6), expiring 02/28/2020. Advance notification provided with supervisory approval, since the licensee is the sole responsible person and assurances were necessary for this onsite full firearms compliance inspection. This assignment called for a full DE compliance inspection, and was the licensee's first.

The completion and submission of this report and assignment was delayed by the licensee; with the details listed accordingly:

1. Copies of ATF Forms 4473 were pending for the issuance of the licensee's Report of Violations (ROV) Statement;
2. On June 20, 2017, Investigator (b)(6) forwarded an email message, reminding Mr. Cagle of this matter;
3. On June 21, 2017, Mr. Cagle's (b)(6) as told via an email sent by Mr. Cagle to SIOI (b)(6) on June 24, 2017;
4. Subsequent emails were exchanged after SIOI (b)(6) provided a few weeks to the licensee to handle details under the circumstances; whereby some of the copies were obtained;
5. On September 19, 2017, Mr. Cagle was able to forward all of the information requested; thus prompting the issuance of his ROV Statement, via email, on September 22, 2017; and
6. The issuance of an amended ROV Statement via email on October 30, 2017, and was returned and signed on November 25, 2017.

(See Exhibits 1 & 2 and Report of Violations Statement (ATF E-Form 5030.5)).

## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide Service **FFL#:** 9-68-035-01-8C-03136

### Business Profile:

Honey Lake Firearms & Guide Service is a medium volume dealer of firearms at the owned commercial, rural address. Mr. Cagle sells firearms to the public; local law enforcement and correctional officers (two prisons in the area) and friends/acquaintances, with approximately (b)(4) of them known and (b)(4) via FFL transfers (b)(4) per year). The primary firearm suppliers for the licensee are (b)(4). The licensee also sells ammunition and therefore Investigator (b)(6) ensured that he was aware of the age restrictions concerning the purchase of ammunition. He has sold black powder firearms in the past, but not any black powder. SIOI (b)(6) informed Mr. Cagle that he would need to obtain an ATF Explosives Permit should he decide to sell black powder in the future.

The licensee acquired approximately (b)(4) firearms and disposed approximately (b)(4) firearms. These figures were for a 12-month period (June 6, 2016 through June 5, 2017). The licensee advertises via the local newspaper, radio and Facebook. Mr. Cagle also has a website; [www.honeylakefirearms.com](http://www.honeylakefirearms.com) but does not utilize any online brokers (gunbroker.com, gunsamerica.com, etc.). Honey Lake Firearms & Guide Service's sales of firearms breaks down to the following:

- ✓ (b)(4) of his firearms being new; (b)(4) used; and
- ✓ (b)(4) handguns and (b)(4) ng guns.
- ✓ (b)(4) retail; an (b)(4) wholesale;
- ✓ (b)(4) raffle dinners (participates in 4-6 dinners per year with (b)(4) firearms per dinner) and (b)(4) toward gun shows.
- ✓ (b)(4) toward gunsmithing services and (b)(4) manufacturing activities;
- ✓ (b)(4) via internet sales (transfers) are conducted; and
- ✓ No offsite storage of firearms discovered.

Mr. Jeffrey Cagle, DBA Honey Lake Firearms & Guide Service, is conducting his firearms business from the above commercial site. The space is suitable for the business activities conducted by the licensee. (b)(6), City Clerk with the City of Susanville, confirmed via telephone to Investigator (b)(6) on June 9, 2017 that the licensee properly zoned and in compliance for said business. The licensee is in compliance with all State and local requirements; listed below:

1. California Firearms Dealer (CFD) #20887;
2. California Seller's Permit # SR KHM 100-255728;
3. City of Susanville Business License #(Letter), expires 9/14/2017; and
4. California Certificate of Eligibility (COE) #12115, expires 7/21/2017.

## FIREARMS INSPECTION REPORT

<b>Name:</b>	Cagle, Jeffrey M.	<b>UI#:</b>	786040-2017-0226-B1B
<b>Trade</b>	Honey Lake Firearms & Guide	<b>FFL#:</b>	9-68-035-01-8C-03136
<b>Name:</b>	Service		

Since the licensee is involved with gunsmithing services, additional targeted questions relating to (b)(4) (unfinished castings) and (b)(4) (completed) receivers were raised during the course of this inspection by Investigator (b)(6). Some of the licensee's responses listed here include:

- ❖ Mr. Cagle has not conducted any gunsmithing but does offer the service via (b)(6), who runs the local gunsmith class at the Lassen Community College.
- ❖ No manufacturing activities with firearms, ammunition, or any other related items conducted.
- ❖ Honey Lake Firearms & Guide Service does not anticipate any such sales (80% receivers); as Mr. Cagle has not conducted any such sales in the past; or wishes to in the future.
- ❖ The licensee has not converted any 80% receivers into completed receivers in the past; nor anticipates such activities in the future.
- ❖ The licensee is aware of the difference between an 80% receiver and a 100% one, as confirmed by SIOI (b)(6) and by Mr. Jeffrey Cagle by signing the "Acknowledgment of Federal Firearms Regulations" on June 9, 2017.

A full interview (FFL Compliance–Gunsmith) consisting of all related 80% & 100% receivers and manufacturing is included with this report. No further action is required at this time.  
(See Exhibit 1).

### **Ownership and Control:**

Mr. Jeffrey Mark Cagle, owner of Honey Lake Firearms & Guide Service, is the sole responsible person for the above license; and correctly reflected in the Federal Licensing System (FLS) "License Registration Report", with no changes required and there have not been any unreported changes to ownership. The business is a sole proprietorship and no signs of hidden ownership.  
(See Exhibit 1).

### **Variance:**

The licensee does not have any approved variances. Investigator (b)(6) discussed the request process, for any future submissions and no requests made at the time of inspection.

## **2 - Recommendation**

Violations WL ONLY and Recall Inspection.



## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide **FFL#:** 9-68-035-01-8C-03136  
**Name:** Service

### 3 - Inspection History

This is the licensee's first compliance inspection; with previous history listed below:

Date and Type of Inspection: 01/11/2012 –Firearms Qualification Inspection  
UI#: 786040-2012-0161-B1B  
Inspection Results: Application Approved.  
Cited Violations: None.

Note that the licensee was involved with a prior FFL, #9-68-00717 whereby Mr. Cagle and (b)(6) applied in 2008 (U.I. #786040-2008-0178). Upon its failure, another assignment (U.I. # 786090-2012—0195) issued to collect the OOB records of this license. The assignment listed is the only one currently associated to the licensee.

### 4 - Acquisition & Disposition (A&D) Record - Inventory

Investigator (b)(6) conducted a full, 100% physical inventory of the licensee's firearms; with 166 firearms recorded and accounted for during the inspection. The 166 firearms compared against the licensee's manual A & D records; revealing (b)(3) - 112 Public Law 55 125 Stat 552 open dispositions. The total results disclosed (b)(3) - 112 Public Law 55 125 Stat 552 discrepancies; listed:

- ✓ The licensee was found to be in violation of 27 CFR 478.125(e) – failure to record the timely receipt (acquisition) of (b)(3) - 112 Public Law 55 125 Stat 552 firearms for (b)(3) - 112 Public Law 55 125 Stat 552 instances; and failed to record the timely disposition of (b)(3) - 112 Public Law 55 125 Stat 552 firearms for (b)(3) - 112 Public Law 55 125 Stat 552 instances; for (b)(3) - 112 Public Law 55 125 Stat 552 discrepancies/errors.

All of the records maintained in the proper format and with the above exceptions; all other entries made timely. Zero (0) firearms were traced during the inspection period of (June 2, 2016 through June 2, 2017) per the VCAB Query Report. No evidence or signs of trafficking firearms discovered, albeit SIOI (b)(6) did target five (5) firearms and two (2) individuals as possibly suspicious. An additional check conducted on the licensee, Mr. Jeffrey Mark Cagle. The results on all of these checks resulted in no "hits". No discovery of any obliterated serial numbers discovered. There was no evidence of any illegal firearms discovered during the period.

The VCAB Query Report also listed (b)(3) - 112 Public Law 55 125 Stat 552 firearms reported stolen on (b)(3) - 112 Public Law 55 125 Stat 552; and indicated as still unrecovered. However, during the inspection; Mr. Cagle informed SIOI (b)(6) that (b)(3) - 112 Public Law 55 125 Stat 552 firearms had been recovered; (b)(3) - 112 Public Law 55 125 Stat 552. SIOI (b)(6) emailed this information to (b)(6), Contactor; whom originally forwarded the VCAB Query Report, to update; on September 25, 2017.

## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M.  
**Trade Name:** Honey Lake Firearms & Guide Service

**UI#:** 786040-2017-0226-B1B  
**FFL#:** 9-68-035-01-8C-03136

On September 28, 2017, (b)(6), Contractor, Stolen Firearm Program, ATF National Tracing Center, emailed Investigator (b)(6) with an update on the associated theft; listing the (b)(3) - 112 Public Law 55 125 Stat 552 that recovered; confirming what Mr. Cagle stated during the interview of this inspection.

With respect to the licensee's standard operating procedures relevant to the A & D record and inventory; the licensee had issues with his maintenance of the manual A & D records. The licensee conducts physical inventories of their firearms on a monthly basis, however, does not maintain any written record of the physical inventories conducted. Because of the discrepancies and violations noted, Investigator (b)(6) recommended to Mr. Cagle to record all acquisitions and dispositions of firearms; on the same day instead of the regulated allowance of "close of business the next day (acquisition) or seven (7) days (disposition)". Mr. Cagle stated to SIOI (b)(6) that he would.

Finally, Investigator (b)(6) conducted 25 crosschecks from ATF Forms 4473 to the disposition record to verify the purchaser, date of transfer and the make/model and description of the firearm, with no discrepancies discovered.  
(See Exhibits 1, 3 – 5 and Worksheet 1 – Inventory, A & D Review).

### 5 - ATF Forms 4473 – NICS & Other Dispositions

Honey Lake Firearms & Guide Service completed (b)(4) ATF Forms 4473 accounting for approximately (b)(4) firearm dispositions during the inspection period (June 6, 2016 through June 5, 2017). IOIs (b)(6) and (b)(6) reviewed (b)(4) ATF Forms 4473, for 10 months, during the inspection period. Due to time constraints, the months of April and May of 2017 not reviewed. Therefore, (b)(4) of (b)(4) ATF Forms 4473 on hand reviewed. Investigator (b)(6) received approval via an email from acting supervisor (b)(6); "as long as the entire period was sampled". With the first nine (9) months and the last month (12) being reviewed; the sample was covered.

The results discovered the following instances where a regulation was violated; including:

- ✓ (b)(3) - ATF Forms 4473 found in violation of 27 CFR 478.21(a); for both the 2012 & 2016 editions; for (b)(3) - 1 total instances.
  - In (b)(3) - 1 instances, Item 10.a. (Ethnicity) was "blank" (2012 & 2016 editions);
  - In (b)(3) - 112 Public Law instances, Item 11.a. (Actual Buyer) was incorrect (2012);
  - In (b)(3) - 112 Public L instances, Item 23 (Recertification Date) was "blank" (2016);
  - In (b)(3) - 112 Public instances, Item 24 (MFG/IMP Info) was "blank" (2012); and
  - In (b)(3) - 112 Public L instances, Item 25 (Model Info) was "blank" (2012).



## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M.  
**Trade Name:** Honey Lake Firearms & Guide Service

**UI#:** 786040-2017-0226-B1B  
**FFL#:** 9-68-035-01-8C-03136

- ✓ (b)(3) - ATF Forms 4473 found in violation of 27 CFR 478.124(c)(1); for both the 2012 & 2016 editions; (b)(3) - 112 Public Law 55 125 Stat 552 total instances.

(b)(3) - 112 Public Law 55 125 Stat 552 instances, Item 1 (Name) were "incorrect" (2012 & 2016);  
instance, Item 2 (Address) was "incorrect" (2012 edition);  
instance, Item 3 (POB) was "incorrect" (2012 edition);  
instances, Item 10.b. (Race) were "blank" (2012 edition);  
instance, Item 11.c. was incorrect (2012 edition);  
instance, Item 11.d. was blank (2012 edition);  
instance, Item 11.h. was blank (2012 edition);  
instance, Item 11.i. was incorrect (2012 edition);  
instance, Item 11.j. was incorrect (2012 edition);  
instances, Item 11.l. were blank (2012 edition);  
instances, Item 13 (State of Residence) were blank (2012);  
instances, Item 14 (Country – Citizenship) were blank (2012);  
instance, Item 15 (Certification Date) was blank (2016 edition);  
instances, Item 16 (Buyer's Signature) were blank (2012); and  
(b)(3) - 112 Public Law 55 125 Stat 552 instances, Item 17 (Certification Date) were blank (2012 edition).

- ✓ (b)(3) - 112 Public Law 55 125 Stat 552 ATF Forms 4473 found in violation of 27 CFR 478.124(c)(3)(i); for both the 2012 & 2016 editions; for (b)(3) - 112 Public Law 55 125 Stat 552 total instances.

(b)(3) - 112 Public Law 55 125 Stat 552 instance, Item 18.a. (Identification) was incorrect (2016); and  
instance, Item 20.a. (Identification) was incorrect (2012).

- ✓ (b)(3) - 112 Public Law 55 125 Stat 552 ATF Forms 4473 found in violation of 27 CFR 478.124(c)(3)(iv); for the 2012 edition only; for (b)(3) - 112 Public Law 55 125 Stat 552 total instances.

(b)(3) - 112 Public Law 55 125 Stat 552 instance, Item 21a. (NICS/DROS Date) was incorrect (2012).  
instance, Item 21c. (Response by DROS) was blank (2012).

- ✓ (b)(3) - 112 Public Law 55 125 Stat 552 ATF Forms 4473 found in violation of 27 CFR 478.124(c)(4) for both the 2012 & 2016 editions; for (b)(3) - 112 Public Law 55 125 Stat 552 total instances.

(b)(3) - 112 Public Law 55 125 Stat 552 instance, Item 27 (Firearm Type) was blank (2016);  
instance, Item 28. (Serial Number) was blank (2012);  
instance, Item 29. (Firearm Type) was blank (2012); and  
instances, Item 30. (Caliber or Gauge) were blank (2012).

- ✓ (b)(3) - 112 Public Law 55 125 Stat 552 ATF Forms 4473 were found to be in violation of 27 CFR 478.124(c)(5) for both the 2012 & 2016 editions; for (b)(3) - 112 Public Law 55 125 Stat 552 total instances.

(b)(3) - 112 Public Law 55 125 Stat 552 instance, Item 36 (Date Transferred) was incorrect (2012); and  
instances, Item 37 (Date Transferred) were incorrect (2016).

All of the discrepancies and violations discussed with Mr. Jeffrey Mark Cagle, owner of Honey Lake Firearms & Guide Service. The error rate for the (b)(3) - 112 Public Law 55 125 Stat 552 forms was



## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide Service **FFL#:** 9-68-035-01-8C-03136

approximately 12% of the licensee's (b)(3)-112 ATF Forms 4473 (reviewed) for the inspection period (total forms for period were (b)(3)-112). SIOI (b)(6) utilized the following to resolve all of the discrepancies for the previously listed violations:

1. Original or copy of the licensee's ATF Forms 4473; and/or
2. The State of California DROS Worksheet.

Zero (0) "denied" ATF Forms 4473 occurred during the inspection period and confirmed by the CA DOJ DROS report. SIOI (b)(6) ensured the licensee understands to maintain such forms separately, and Mr. Cagle stated as such. Honey Lake Firearms & Guide Service files ATF Forms 4473 alphabetically per month; for example, Forms 4473 contained monthly within a folder, and then alphabetized.

All transfers to other licensees conducted properly. The licensee obtained copies of other FFL dealers (b)(3) transactions) and is maintaining them. There were (b)(3)-112 Public Law transfers to law enforcement officers on an official capacity; and likewise, the licensee was already aware to maintain the letters with the required information.

With respect to the licensee's standard operating procedures relevant to the ATF Forms 4473 – NICS (DROS) and other dispositions, Mr. Jeffrey Cagle had several issues, already highlighted and addressed. Because of these concerns, Investigator (b)(6) highly recommended the following internal control systems for Mr. Cagle to employ, regarding multiple checks for the review of all ATF Forms 4473:

1. Mr. Cagle to review the ATF Form 4473 upon the initial purchase; and
2. Mr. Cagle to review the ATF Form 4473 at the customer pick-up.

[Prior to this ATF inspection, Mr. Cagle did not conduct multiple reviews of all forms.]

Concerning the multiple sales of handguns and/or certain rifles; Honey Lake Firearms & Guide Service's handling of all such transactions; also has issues with the completion and submission of ATF Form 3310.4 (Multiple Sales of Handguns Report) and ATF Form 3310.12 (Report of Multiple Sale of Certain Rifles). The licensee failed to complete and submit (b)(3)-112 Public ATF Forms 3310.4 and 15 ATF Forms 3310.12. Upon review of the (b)(3)-112 Public ATF Forms 4473 for the inspection period; the licensee properly completed and submitted (b)(3)-112 Public ATF Forms 3310.4 and (b)(3)-112 ATF Form 3310.12 (multiple reports), properly, and timely.

Additionally, SIOI (b)(6) verified 63 DROS/NICS checks with no discrepancies found. No evidence or signs of trafficking relating to the licensee's acquisition and disposition of firearms discovered. Finally, Investigator (b)(6) conducted 25 crosschecks from the disposition record to the ATF Forms 4473 to verify the purchaser, date of transfer and the make/model and description of the firearm, with no discrepancies discovered.

(See Exhibits 1, 6 – 9 and Worksheet 2 and ATF Form 5030.5 – ROV Statement).

## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide **FFL#:** 9-68-035-01-8C-03136  
**Name:** Service

### 6 – Multiple Sales

The review of the (b)(3) - 112 Public (sample size) of the licensee's (b)(3) - 112 Public (total) ATF Forms 4473 during the period of June 6, 2016 through June 5, 2017, resulted in the licensee's handling and reporting of all such multiple sales transactions; listed accordingly:

- ✓ (b)(3) - 112 Public ATF Forms 4473 found in violation of 27 CFR 478.126a; for (b)(3) - 112 Public total instances, accounting (b)(3) - 112 Public handguns.

- The licensee failed to submit ATF Form 3310.4 (Multiple Sales of Handguns Report) for (b)(3) - 112 Public ATF Forms 4473 (2012 & 2016 editions).

- ✓ (b)(3) - 112 Public ATF Forms 4473 found in violation of 18 U.S.C. 923(g)(5)(A); (b)(3) - 112 Public total instances, accounting (b)(3) - 112 Public rifles.

- The licensee failed to report and submit ATF Form 3310.12 (Report of Multiple Sale of Certain Rifles) (b)(3) - 112 Public occasions (2012 edition only).

[Note: Upon review of the (b)(3) - 112 Public ATF Forms 4473, the licensee submitted (b)(3) - 112 Public ATF Forms 3310.4 and (b)(3) - 112 Public ATF Form 3310.12 multiple reports, timely.]

SIOI (b)(6) used the listed ATF forms to resolve all discrepancies involving the unreported multiple sale transactions; attached to each appropriate exhibit:

1. ATF Form 3310.4 (Multiple Sales of Handguns Report);
2. ATF Form 3310.12 (Report of Multiple Sale of Certain Rifles); and/or
3. The National Tracing Center's "Multiple Sale Summary" report.

Investigator (b)(6) ensured that Mr. Cagle understood that semi-automatic rifles "equipped with parts/accessories that encase/enclose the magazine release" are required to be reported; because most of the unreported multiple sales forms involved these "certain rifles" [18 U.S.C. 923(g)(5)(A)]. SIOI (b)(6) had Mr. Jeffrey Cagle, owner, confirm his understanding by signing the Acknowledgment of Federal Firearms Regulations on June 9, 2017, and employ multiple checks to prevent more occurrences. (See Exhibits 1, 6 – 10 and Worksheet 2 and ATF Form 5030.5 – ROV Statement).

### 7 – Suspicious/Prohibited Purchasers

There were no (0) "denied" ATF Forms 4473 during the inspection period and confirmed by the CA DOJ DROS report. SIOI (b)(6) ensured Mr. Cagle understands to maintain such forms separately, which he stated as such. There was no evidence of any illegal transfers of firearms and no straw purchases revealed. He understands the definition and potential situation(s) leading to possible straw purchases, confirmed by signing the Acknowledgment of Federal Firearms Regulations on June 9, 2017.

## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide Service **FFL#:** 9-68-035-01-8C-03136

Computerized criminal history checks conducted on five (5) firearms and two (2) individuals. An additional check conducted on Mr. Jeffrey Cagle, licensee. The outcome resulted in no "hits". No further action taken or warranted. No discovery of any obliterated serial numbers discovered, likewise with any illegal firearms during the inspection period. There was a theft of (b)(3) - 112 Public Law firearms, with (b)(3) - 112 Public Law recovered. The two (2) individuals (not including licensee) forwarded selected as possible suspicious purchasers, possible traffickers and/or prohibited persons identified during this inspection. No further action necessary.

### 8 - Trace Activity

An FFL history query conducted for the period of June 2, 2014 to June 2, 2017. The query revealed the licensee associated with (b)(3) - 112 Public Law firearm trace requests; however, none within the inspection period (June 6, 2016 through June 5, 2017), with (b)(3) - 112 FFL Theft report involving (b)(3) - 112 firearms, none recovered. As stated earlier, (b)(3) - 112 Public Law of the (b)(3) - 112 Public Law firearms recovered, as informed by the licensee and email confirmation from the ATF National Tracing Center (b)(6), Contractor, Stolen Firearm Program). No new or any additional "Open" and/or unsuccessful/unresolved trace requests discovered. Investigator (b)(6) reminded Mr. Cagle of the 24-hour requirement for future ATF trace requests, which he understood.

Secondary market analysis conducted on six (6) firearms with no "hits" resulting. Honey Lake Firearms & Guide Service retails approximately (b)(4) firearms, and the approximate number of used firearms sales in the last 12 months was (b)(4). The review of ATF Forms 4473, confirmed the licensee's non-completion and un-reporting of several multiple sales transactions and its associated forms; accounting for (b)(3) - 112 Public on ATF Form 3310.4, resulting (b)(3) - 112 Public handguns; and (b)(3) - 112 Public on ATF form 3310.12; resulting (b)(3) - 112 Public "certain" rifles.

Computerized criminal history checks conducted on five (5) firearms and three (3) individuals (includes licensee), resulting in no "hits". The individuals forwarded selected as possibly suspicious purchasers, possible traffickers and/or prohibited persons identified during this inspection. No further field action warranted at this time.

### 9 - NFA/Importer/Collector/Manufacturer

Mr. Jeffrey Cagle DBA Honey Lake Firearms & Guide Service is conducting activities of a firearms dealer, with no activities under NFA, as an Importer or Collector. The licensee does offer gunsmithing services, through a local gunsmith; but no operations involving manufacturing; likewise, as a manufacturer of ammunition or the transfer of any assault weapons.



## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade:** Honey Lake Firearms & Guide **FFL#:** 9-68-035-01-8C-03136  
**Name:** Service

### 10 - Referrals

No referrals generated because of this compliance inspection.

### 11 - Other

The Youth Handgun Safety Act YHSA notices (poster & pamphlets) were present and SIOI (b)(6) provided Mr. Cagle with some extra pamphlets and the information for him to order more pamphlets in the future as needed. The licensee is properly distributing trigger locks to his customers.

Investigator (b)(6) notified the Sacramento ATF Field Office, RAC (b)(6) (b)(6), prior to this compliance inspection regarding any current CE investigation and/or relevant intelligence that would assist with this inspection; negative to all. Deconfliction verified by the Lead IOI, Senior Industry Operations Investigator (b)(6) (b)(6) and completed by IA (b)(6), through NFORCE, NCIC and ATF CE and Intel with negative results. No other findings to report at this time.

### 12 - Closing Actions

A closing conference held on June 9, 2017, with ATF Senior Industry Operations Investigator (b)(6) and Mr. Jeffrey Mark Cagle, owner of Honey Lake Firearms & Guide Service. The findings of the inspection discussed and the licensee advised of the ATF regulations and Acknowledgment of Federal Firearms Regulations, and informed of the pending ATF Form 5030.5 (Report of Violations Statement). Furthermore, in keeping with the highlighted discrepancies and violations previously noted; emphasis discussed with the licensee on the following recordkeeping requirements:

1. the completion of ATF Form 4473; including instilling multiple checks;
2. the completion and submission of ATF Form 3310.4 (Multiple Sales of Handguns Report); and
3. the completion and submission of ATF Form 3310.12 (Report of Multiple Sale of Certain Rifles).

The Acknowledgment of Federal Firearms Regulations signed on June 9, 2017 by Mr. Jeffrey Cagle. Furthermore, he was provided with the local ATF office number, ATF P 5300.4, 5300.15, and 3317.2. SIOI (b)(6) also provided copies of the page numbers from ATF P 5300.4 (Federal Firearms Regulations) that correspond to the topics and sections from the "Acknowledgement of Federal Firearms Regulations". Mr. Cagle asked questions throughout and SIOI (b)(6) answered, accordingly.

## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide Service **FFL#:** 9-68-035-01-8C-03136

On September 22, 2017, Investigator (b)(6) cited and forwarded the Report of Violations (ROV) Statement (ATF Form 5030.5) via email to the licensee. On September 25, 2017, SIO (b)(6) contacted Mr. Cagle via telephone, to discuss and Mr. Cagle signed the ROV and returned via email on the same day.

October 30, 2017, Investigator (b)(6) forwarded an amended (ROV) Statement via email to the licensee. On November 25, 2017, the signed ROV Statement received via email. Honey Lake Firearms & Guide Service was cited for one (1) "administrative" violation and eight (8) "records & reports" violations per 27 CFR 478 discovered during this inspection.

(See Exhibits 1 & 10, Paragraph 1, "Inspection Profile" & Report of Violations).

### 13 - Violations

#### 1. 27 CFR 478.21(a)

##### Nature of violation: Administrative

"...All of the information called for in each form shall be furnished ...by the headings on the form and the instructions on or pertaining to the form..."

The licensee failed to have the buyer answer the following questions and number of instances:

- ✓ (b)(3) - 1 ATF Forms 4473 found in violation of 27 CFR 478.21(a) (b)(3) - 112 Public total instances.
  - (b)(3) - 112 Public instances, Item 10.a. (Ethnicity) was "blank" (2012 edition);
  - (b)(3) - 112 Public Law 55 125 instances, Item 11.a. (Actual Buyer question) was incorrect (2012 edition); and
  - (b)(3) - 112 Public Law 55 125 instances, Item 10.a. (Ethnicity) was "blank" (2016 edition).

##### Licensee's response:

The licensee responded that he was at fault for this violation, as he simply did not catch these errors and instances. He now understands to prevent repeat occurrences.

##### Corrective action:

The licensee instructed to review all of the information on each ATF Form 4473 to ensure its accuracy.

Reference Worksheet 2.

Reference copies of ATF Forms 4473 (Exhibits 8 & 9).

Reference Report of Violations (ATF E-Form 5030.5).

#### 2. 27 CFR 478.124(c)(1)

##### Nature of violation: Records & Reports

## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide **FFL#:** 9-68-035-01-8C-03136  
**Name:** Service

“... the...dealer ... shall obtain a Form 4473...showing the transferee's name, sex, residence address, date...place of birth; height, weight, race...country of citizenship; ... State of residence; and certification...that the transferee is not prohibited ...”  
The licensee failed to have the purchaser (transferee) correctly answer the following questions:

- ✓ (b)(3) ATF Forms 4473 found in violation of 27 CFR 478.124(c)(1), (b)(3) - 112 Pub total instances.
- (b)(3) - 112 Public Law 55 125 instances, Item 10.b. (Race) were “blank (2012 edition);
  - instance, Item 11.c. was incorrect (2012 edition);
  - instance, Item 11.d. was blank (2012 edition);
  - instance, Item 11.h. was blank (2012 edition);
  - instances, Item 11.i. were incorrect (2012 edition);
  - instances, Item 11.l. were blank (2012 edition);
  - instances, Item 13 were blank (2012 edition);
  - instances, Item 14 were blank (2012 edition);
  - instance, Item 15 was blank (2016 edition);
  - instances, Item 16 were blank (2012 edition); and
  - (b)(3) - 112 Pub instances, Item 17 were blank (2012 edition).

### Licensee's response:

The licensee responded that he was at fault for this violation, as he simply did not catch these errors and instances. He admitted that distractions by customers plays a role in his handling of the ATF records. Nevertheless, the licensee now understands the situation to prevent such occurrences in the future.

### Corrective action:

The licensee instructed to review all of the information on each ATF Form 4473 to ensure its accuracy.

Reference Worksheet 2.

Reference copies of ATF Forms 4473 (Exhibits 8 & 9).

Reference Report of Violations (ATF E-Form 5030.5).

### 3. **27 CFR 478.124 (c)(3)(i)**

### Nature of violation: Records & Reports

The licensee failed to verify the identity of the transferee (purchaser) by examining the identification document (as defined in §478.11) presented, and shall note on the Form 4473 the type of identification used; specifically:

- ✓ (b)(3) - 112 Public Law 55 125 Stat. Forms 4473 found in violation of 27 CFR 478.124(c)(3)(i); for (b)(3) - 112 total instances.



## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide **FFL#:** 9-68-035-01-8C-03136  
**Name:** Service

- (b)(3) - 112 Public Law 55 125 instance, Item 20.a. (Identification) incorrect (2012 edition); and
- (b)(3) - 112 Public Law 55 125 instance, Item 18.a. (Identification) incorrect (2016 edition).

### **Licensee's response:**

The licensee responded that he was at fault for this violation, as he simply did not catch these errors and instances. The licensee understands the importance of having multiple checks to catch these errors; and will be more diligent in the future.

### **Corrective action:**

The licensee instructed to review all of the information on each ATF Form 4473 to ensure its accuracy.

Reference Worksheet 2.

Reference copies of ATF Forms 4473 (Exhibits 8 & 9).

Reference Report of Violations (ATF E-Form 5030.5).

#### 4. **27 CFR 478.124(c)(3)(iv)**

### **Nature of violation:** Records & Reports

The licensee failed to comply with the requirements of §478.102 and record on the form the date on which the licensee contacted the NICS (DROS in CA), as well as any response provided by the system, including any identification number provided by the system.

The licensee failed to complete question 21c. (Response by DROS) on (b)(3) - 112 Public Law 55 125 form; for (b)(3) - 112 Public Law 55 125 total instance (2012 edition).

### **Licensee's response:**

The licensee responded that this was just an oversight; again, reiterating the importance of having multiple checks to prevent these errors in the future.

### **Corrective action:**

The licensee instructed to review all of the information on each ATF Form 4473.

Reference Worksheet 2.

Reference copy of effected ATF Form 4473 (Exhibit 8).

Reference Report of Violations (ATF E-Form 5030.5).

#### 5. **27 CFR 478.124(c)(4)**

### **Nature of violation:** Records & Reports

The licensee failed to identify the firearm transferred by listing on the Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm.

## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide **FFL#:** 9-68-035-01-8C-03136  
**Name:** Service

- ✓ (b)(3) - 112 Public Law 55 ATF Forms 4473 found in violation of 27 CFR 478.124(c)(4) for the 2012 edition, only, for (b)(3) - 112 Public Law 55 total instances.
- (b)(3) - 112 Public Law 55 instance, Item 28. (Serial Number) blank;
  - (b)(3) - 112 Public Law 55 instance, Item 29. (Type) blank; and
  - (b)(3) - 112 Public Law 55 instances, Item 30. (Caliber or Gauge) blank.

**Licensee's response:**

The licensee again responded this was an oversight, and the employment of multiple checks to help prevent these errors in the future, as suggested by SIOI(b)(6)

**Corrective action:**

The licensee instructed to review all of the information on each ATF Form 4473.

Reference Worksheet 2.

Reference copies of ATF Forms 4473 (Exhibit 8).

Reference Report of Violations (ATF E-Form 5030.5).

6 **27 CFR 478.124(c)(5)**

**Nature of violation:** Records & Reports

The licensee failed to sign and date the form upon the transfer of the firearm as described on the Form 4473.

- ✓ (b)(3) - 112 Public Law 55 ATF Forms 4473 found in violation of 27 CFR 478.124(c)(5) for both the 2012 & 2016 editions; for (b)(3) - 112 Public Law 55 total instances.
- (b)(3) - 112 Public Law 55 instance, Item 36 (Date Transferred) incorrect (2012); and
  - (b)(3) - 112 Public Law 55 instances, Item 37 (Date Transferred) incorrect (2016).

**Licensee's response:**

The licensee responded this was an oversight, will implement the suggestions from SIOI(b)(6) to introduce multiple checks to prevent these errors in the future.

**Corrective action:**

The licensee instructed to review all of the information on each ATF Form 4473.

Reference Worksheet 2.

Reference copies of ATF Forms 4473 (Exhibit 8).

Reference Report of Violations (ATF E-Form 5030.5).

7. **27 CFR 478.126a**

**Nature of violation:** Records & Reports

"Each licensee shall prepare a report of multiple sales or other disposition whenever the licensee sells or otherwise disposes of, at one time or during any five consecutive

## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade:** Honey Lake Firearms & Guide **FFL#:** 9-68-035-01-8C-03136  
**Name:** Service

business days, two or more pistols, or revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person..."

The licensee failed to complete and submit ATF Form 3310.4 (Multiple Sales of Handguns Report) as disclosed on (b)(3) - 112 Public L ATF Forms 4473 (2012 edition) and for (b)(3) - 11 instances (2016 edition); accounting for a total (b)(3) - 112 handgns.

### Licensee's response:

The licensee responded that being the sole salesperson, he sometimes becomes distracted by customers; and as such, forgets to complete and submit the associated multiple sales form. He understands that this is unacceptable and will pay closer attention to all such transactions. The licensee understands that he is responsible for the complete submission of ATF F 3310.4, and acknowledged this violation during the closing conference on June 9, 2017.

### Corrective action:

Corrective action immediately implemented as the licensee completed and submitted the forms via facsimile as instructed between June 6, 2017 and June 21, 2017. The licensee also instructed to review all of the information on each ATF Form 4473 to ensure its accuracy.

Reference Worksheet 2.

Reference copies of ATF Forms 4473 (Exhibits 7 & 9).

Reference Report of Violations (ATF E-Form 5030.5).

### 8. **18 U.S.C. 923(g)(5)(A)**

### Nature of violation: Records & Reports

The licensee shall, submit on a form, all record information required by this chapter. The licensee failed to report and submit ATF Form 3310.12 (Report of Multiple Sale of Certain Rifles) on (b)(3) occasions; accounting (b)(3) - 112 rifles.

### Licensee's response:

The licensee responded that being the sole salesperson, customers sometimes distract him, which lends in un-reported multiple sales forms. He understands this is unacceptable, will pay closer attention and that he is responsible for the reporting of ATF Form 3310.12, and acknowledged during the closing conference on June 9, 2017.

### Corrective action:

Corrective action immediately implemented as the licensee completed and submitted the form via facsimile as instructed between June 6, 2017 and June 21, 2017. The licensee also instructed to review all of the information on each ATF Form 4473 to ensure its accuracy.



## FIREARMS INSPECTION REPORT

**Name:** Cagle, Jeffrey M. **UI#:** 786040-2017-0226-B1B  
**Trade Name:** Honey Lake Firearms & Guide **FFL#:** 9-68-035-01-8C-03136  
**Name:** Service

Reference Worksheet 2.  
Reference copies of ATF Forms 4473 (Exhibit 7).  
Reference Report of Violations (ATF E-Form 5030.5).

9. **27 CFR 478.125(e)**

**Nature of violation:** Subpart H – Records & Reports

Each licensed dealer shall enter into a record each receipt and disposition of firearms. The licensee failed to record the timely receipt (acquisition) of (b)(3) - 112 Public Law 55 firearms for (b)(3) - 112 Public Law 55 instances; and failed to record the timely disposition of (b)(3) - 112 Public Law 55 firearms for (b)(3) - 112 Public Law 55 instances; for (b)(3) - 112 Public Law 55 total discrepancies/errors.

**Licensee's response:**

The licensee responded that he was at fault for this violation, as he simply did not catch these errors and instances. The licensee understands to be more diligent in the future to prevent any repeat occurrences.

**Corrective action:**

Corrective action immediately implemented and the discrepancies were reconciled immediately by the licensee discovering the invoices from the firearm distributors or ATF Forms 4473; verifying the acquisition/receipt and/or disposition of each firearm. The licensee also instructed to enter the recording of the acquisition of the firearm on 06/07/2017.

Reference Worksheet 2.  
Reference Report of Violations (ATF E-Form 5030.5).

18 U.S.C. 923

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## FIREARMS INSPECTION REPORT

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**Trade Name:** Honey Lake Firearms & Guide **FFL#:** 9-68-035-01-8C-03136  
**Name:** Service

(b)(6)	ATF IO Investigator	12/15/2017
Signature	Title	Date of Report

### Index of Worksheets and Exhibits

#### Exhibits

Exhibit 1	FFL Compliance Interview
Exhibit 2	Email – (b)(6)
Exhibit 3	Firearms Inventory
Exhibit 4	Email to NTC – Theft & Loss Report
Exhibit 5	Email from NTC – Updated Theft & Loss Report
Exhibit 6	Email from Acting Supervisor – Sample 4473 Review
Exhibit 7	2012 – ATF Forms 4473 & Multiple Sale Violations
Exhibit 8	2012 – ATF Forms 4473 Violations ONLY
Exhibit 9	2016 – ATF Forms 4473 & Multiple Sale Violations
Exhibit 10	Multiple Sale Reports – Non Violations
Exhibit 11	Acknowledgement of Federal Firearms Regulations

#### Worksheets

Worksheet 1	Inventory, A & D Review
Worksheet 2	ATF Form 4473 (2012 Edition) Review
Worksheet 2	ATF Form 4473 (2016 Edition) Review
ATF Form 5030.5	Report of Violations





**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

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www.atf.gov

786040: (b)(6)

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03/13/2018

**WARNING LETTER**

Jeffrey M. Cagle dba Honey Lake Firearms & Guide Service  
600 Main Street, Susanville, CA 96130

Re: Federal Firearms License Number: 9-68-035-01-8C-03136

Dear Mr. Cagle:

As a result of a recent compliance inspection conducted by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) at your firearms business located at 600 Main Street, Susanville, CA on June 6, 2017 through June 9, 2018, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, ATF Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by ATF Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations as a licensee. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms

Mr. Cagle

Federal Firearms License Number: 9-68-035-01-8C-03136

business. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditional upon compliance with Federal laws and regulations. Because the violations cited in this most recent inspection were fully explained to you and you stated you understood your compliance responsibilities as a licensee, any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6) at (b)(6).

Sincerely,

(b)(6)

cc: Federal Firearms Licensing Center  
Sacramento II IO Area Office

Attachment: ROV

Mr. Cagle

Federal Firearms License Number: 9-68-035-01-8C-03136