

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) SECOND AMENDMENT ARMS LLC 42 Litchfield Rd Londonderry, NH 03053, Rockingham	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 762030-2017-0459-B1B			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 602015019M01336</td> <td style="width: 33%;">4a. TARGET DATE 7/30/2018</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table>	3. PERMIT/LICENSE NUMBER 602015019M01336	4a. TARGET DATE 7/30/2018	4b. TARGET HOURS
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5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6) Area Supervisor, 08/24/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
 Domain Assessment – Priority #1 ^{(b)(3) - 112 Public Law 55 126 Stat 552} and Priority #2 (5+ Years from last inspection).
 FFL has moved without completing a COA.
 Determine if the FFL is using the license at the new location and issue a cease and desist letter and facilitate OOB procedures.
 Ensure NFA items are properly registered/transferred to active FFL/SOT.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	9	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	11	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** Industry Operations Investigator

Submitted on: 04/26/2018
 Based on the violations cited during this inspection, the firearms adverse action policy requires a warning conference because in two instances, licensee transferred firearms, other than a rifle or shotgun, to out of state residents.

Alternate recommendation of Viols WL ONLY and No Recall Inspection is recommended because this was licensee's first compliance inspection since obtaining an FFL. Additionally, licensee no longer operates a commercial store front retail business. Licensee voluntarily surrendered this license and now operates solely under FFL 6-02-02508 at his residence. During the closing conference licensee stated that he no longer plans to conduct transfers to non licensees because he does not want random customers coming into his home. Licensee acknowledged his violations and the proposed change of business practices would eliminate all the violations associated with ATF Forms 4473 in the future.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
AUG 2017	3.00
SEP 2017	26.00
OCT 2017	19.00
NOV 2017	11.00
DEC 2017	4.00
FEB 2018	33.00
MAR 2018	11.00
APR 2018	4.00
ATF OFFICER'S SUBTOTAL	111.00
TOTAL HOURS	111.00
ATF OFFICER'S SIGNATURE	

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5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE NEOLEARY - Director, Industry Operations	REVIEW DATE 04/19/2018
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REVIEW COMMENTS AND RECOMMENDATION

An Alternate recommendation of Viols WL ONLY and No Recall Inspection is recommended because this was licensee's first compliance inspection since obtaining an FFL. Additionally, licensee no longer operates a commercial store front retail business. Licensee voluntarily surrendered this license on 02/13/2018 and now operates solely under FFL# 6-02-02508, at his residence. During the closing conference licensee stated that he no longer plans to conduct transfers to non licensees because he does not want random customers coming into his home. Licensee acknowledged his violations and the proposed change of business practices would eliminate all the violations associated with ATF Forms 4473 in the future. It's anticipated that the same issue will not re-occur in the future.

Preventative action has been taken and the FFL has been fully educated regarding his responsibilities and it is believed that future compliance can be achieved through a Warning Letter.

DIO concurs with the alternate recommendation.

Recommend FLS be updated to reflect that a Warning Letter was issued on April 19, 2018 by certified mail #7006 0810 0002 6796 1931. Recommend FLS be updated that the FFL is OOB and the records were submitted to IOI Biener to be forwarded to the OOBRC. Inventory was transferred to FFL#6-02-02508. FLS should be updated with the last inspection date of 02/13/2018. FLS needs to be updated for the new business/mailling address of 42 Litchfield Rd, Londonderry, NH 03053 and new business phone number of (603) 537-1042.

PII sent to (b)(6) on May 07, 2018.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 04/19/2018
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ROUTING SEQUENCE AND DATE

- 1. FFLC _____
- 2. AO FILE _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

FIREARMS INSPECTION REPORT

Name: Second Amendment Arms, LLC
Trade Name:

UI#: 762030-2017-0459-B1B
FFL#: 6-02-015-01-9M-01336

Address: 42 Litchfield Rd
Londonderry, NH 03053

1 - Introduction

Inspection Profile:

On September 13, 2017, Industry Operations Investigator (IOI) (b)(6) and Area Supervisor (AS) (b)(6) initiated a firearms compliance inspection at the above business premises address. The inspection period was from September 13, 2016 through September 12, 2017. Advance notification was provided because IOI (b)(6) attempted to initiate an unannounced inspection the previous week, and no one was available during listed business hours.

IOI (b)(6) previously learned from another dealer that this licensee moved the business under this FFL from a storefront location at 60 Rockingham Rd Unit 15, Windham, NH 03087 to a residence at 42 Litchfield Rd, Londonderry, NH 03053. Licensee did not notify ATF of the change of address (**Violation 2, ROV**). Present for the inspection was Jeffrey Poitras, member of Second Amendment Arms, LLC

This inspection was conducted concurrently with the inspection for Second Amendment Arms, LLC's other FFL (FFL# 6-02-02508). The results of that inspection are reported under UI: 762030-2017-0460.

Business Profile:

Second Amendment Arms, LLC (licensee) conducts retail sales of new and used handguns and long guns to the general public. The business is operated in a residence in a rural town in New Hampshire. No other business is operated at the premises.

During the inspection period, licensee acquired approximately (b)(4) long guns and (b)(4) handguns and disposed of approximately (b)(4) long guns and (b)(4) handguns. New firearms are approximately (b)(4) of the transfers and inventory and used firearms are approximately (b)(4) of the transfers and inventory. Licensee's primary suppliers of firearms are (b)(4).

Licensee does not attend gun shows but does sell firearms on the internet. Licensee sells firearms mostly on www.gunbroker.com but does sell some firearms from the business website, www.secondamendmentarms.com. Second Amendment Arms, LLC holds one other FFL (FFL# 6-02-02508) at the same address. In April 2017, licensee moved the retail store business conducted under license 6-02-01336 to the home based business location and did not notify ATF

FIREARMS INSPECTION REPORT

Name: Second Amendment Arms, LLC
Trade Name:

UI#: 762030-2017-0459-B1B
FFL#: 6-02-015-01-9M-01336

of the change (**Violation 2, ROV**). No additional businesses are operated at the business premises. The Type 01, dealer of firearms license, is the appropriate license for the type of business the licensee is conducting. Licensee has held this type 01 FFL since November 18, 2010. Licensee has paid the Special Occupational Tax as a dealer in National Firearms Act (NFA) firearms. No other type of ATF issued license is required at this time. State and local requirements were verified during the initial qualification inspection (UI 762030-2010-0481). Licensee has a valid State of New Hampshire License to Sell Pistols and Revolvers (License Number 01-2015, expires: 08/11/2019). Licensee appears to be in compliance with state and local laws and ordinances to the extent required at this time.

Ownership and Control:

Second Amendment Arms, LLC was formed in the State of New Hampshire on January 5, 2010 (**Exhibit 1**). On August 30, 2017, IOI (b)(6) checked the State of New Hampshire Corporations Division website and found it shows Second Amendment Arms, LLC as a domestic Limited Liability Company in good standing, with business ID 624276. Jeffrey Poitras and his wife, Angela Poitras, are the sole members of the LLC and the only responsible persons on the license. Hidden ownership is not suspected.

Jeffrey Poitras verified that the responsible person information for himself and Angela Poitras is current.

IOI (b)(6) reviewed the information in FLS. The only information that needs to be updated is the new business/ mailing address of 42 Litchfield Rd, Londonderry, NH 03053 and new business phone number of (603) 537-1042.

Variance:

Licensee does not have any variances and none were requested as a result of this inspection.

2 - Recommendation

Viols WL ONLY and No Recall Inspection

3 - Inspection History

Date and Type of Inspection: 11/16/2010, Qualification Inspection
UI#: 762030-2010-0481-B1B
Inspection Results: Application approved

FIREARMS INSPECTION REPORT

Name: Second Amendment Arms, LLC
Trade Name:

UI#: 762030-2017-0459-B1B
FFL#: 6-02-015-01-9M-01336

Cited Violations: None

4 - Acquisition & Disposition (A&D) Record - Inventory

Licensee had 39 firearms in inventory. The licensee's Acquisition & Disposition (A&D) record revealed [REDACTED] open dispositions resulting in zero discrepancies. No theft/loss reports were submitted during the inspection period. The A&D record is in the proper format, all the required information is accurate, and the entries are timely made. Licensee uses a computerized A&D record. IOI (b)(6) traced five firearms through the licensee's required records from acquisition through disposition. No discrepancies were found. No illegal firearms or indications of firearms trafficking were found during the inspection.

Jeffrey Poitras and Angela Poitras are both responsible for maintaining the Acquisition and Disposition record. Jeffrey Poitras stated that Angela usually logs the firearms into the A&D record system when they are received as well as logs them out of the A&D record system when they are sold. Licensee has safes/locked storage cages in the basement of the residence for securing any firearms inventory.

5 - ATF Forms 4473 – NICS & Other Dispositions

Licensee had 548 ATF Forms 4473 on file for the inspection period. IOI (b)(6) and AS (b)(6) reviewed the ATF Forms 4473. Multiple violations were cited.

A violation of 27 CFR 478.21(a) was cited because:

- [REDACTED] instances, licensee failed to ensure section 11.a of Form 4473 (2012 Edition) was accurately completed (transferee indicated they are not the actual purchaser of firearm).
- [REDACTED] instances, licensee failed to complete section 18 of Form 4473 (2012 Edition).
- [REDACTED] instances, licensee failed to have transferee complete section 24 and section 25 of Form 4473 (2012 Edition) when required.
- [REDACTED] instances, licensee failed to ensure section 11.a of form 4473 (2016 Edition) was accurately completed.
- [REDACTED] instances, licensee failed to have transferee complete sections 22 and 23 of Form 4473 (2016 Edition) when required (**Worksheet 2, Violation 1, Report of Violations, Exhibit 2**).

A violation of 27 CFR 478.99(a) was cited because:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

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Name: Second Amendment Arms, LLC
Trade Name:

UI#: 762030-2017-0459-B1B
FFL#: 6-02-015-01-9M-01336

(b)(3) - 112 Public Law 55 125 Stat 552

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552

(Worksheet 2, Violation 3, Report of Violations, Exhibit 3).

A violation of 27 CFR 478.102(a)(2)(ii) was cited because:

-In two instances, licensee transferred a firearm to a transferee without receiving a proceed response from NICS and without waiting at least three business days after contacting NICS **(Worksheet 2, Violation 4, Report of Violations, Exhibit 4).**

A violation of 27 CFR 478.124(c)(1) was cited because:

- In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted], licensee transferred a firearms to a transferee that failed to provide a complete and accurate residence address in section 2 and ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] in section 13 of Form 4473 (2012 Edition) ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted]).

- ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instance, licensee transferred a firearm to a transferee that failed to complete certification section 16 and 17 of Form 4473 (2012 Edition).

- In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instance, licensee transferred a firearm to a transferee that failed to correctly complete section 17 of Form 4473 (2012 Edition).

- In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instance, licensee transferred a firearm to a transferee that failed to provide an accurate date of birth in section 7 of Form 4473 (2016 Edition).

- In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instance, licensee transferred a firearm to a transferee that failed to complete section 12.d.1 of Form 4473 (2016 Edition). **(Worksheet 2, Violation 5, Report of Violations, Exhibit 5).**

A violation of 27 CFR 478.124(c)(3)(iv) was cited because

- In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instances, licensee failed to accurately record the date NICS was contacted in section 21a of Form 4473 (2012 Edition).

- In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instance, licensee failed to correctly indicate date firearms may be transferred (after receiving a delayed response from NICS) on Form 4473 (2012 Edition).

- In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instances, licensee failed to record the response received from NICS in section 21c of Form 4473 (2012 Edition).

-In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instance, licensee failed to record the date NICS was contacted in section 21a as well as failed to record the response received in section 21c of Form 4473 (2012 Edition)

- In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instance, licensee failed to record the date that NICS was contacted in section 19a of Form 4473 (2016 Edition).

- In ^{(b)(3) - 112 Public Law 55 125 Stat 552} [redacted] instances, licensee failed to accurately record the date NICS was contacted in section 19a of Form 4473 (2016 Edition).

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- In [REDACTED] instances, licensee failed to record the response received from NICS in section 19c of Form 4473 (2016 Edition).
- In [REDACTED] instance, licensee failed to record the response received from NICS in section 19c and also incorrectly indicated a proceed response in section 19d of Form 4473. **(Worksheet 2, Violation 6, Report of Violations, Exhibit 6).**

A Violation of 27 CFR 478.124(c)(4) was cited because:

- [REDACTED] instances, licensee incorrectly recorded the serial number of a firearm transferred in section 28 of Form 4473 (2012 Edition). **(Worksheet 2, Violation 7, Report of Violations, Exhibit 7).**

A violation of 27 CFR 478.124(c)(5) was cited because:

- [REDACTED] instances, licensee failed to enter the correct date of transfer in section 36 of Form 4473 (2012 Edition).
- [REDACTED] instances, licensee failed to enter the correct date of transfer in section 37 of Form 4473 (2016 Edition). **(Worksheet 2, Violation 8, Report of Violations, Exhibit 8).**

Licensee had five firearm transaction denials during the inspection period. No firearms were transferred to a prohibited person. Licensee files ATF Forms 4473 for firearm denials separately from other ATF Forms 4473.

Licensee properly transfers firearms to other licensees. Licensee receives a certified copy of other licensee's federal firearm license prior to transferring firearms to the other licensee. Licensee did not conduct any law enforcement transfers during the inspection period.

IOI (b)(6) requested an FFL audit log prior to the inspection. The audit log confirmed the 5 denials during the inspection period. The audit log did not show any NICS transactions for the 60 day period preceding the date of request. A review of the ATF Forms 4473 revealed that all the Gun Control Act firearm transfers occurred more than 60 days prior to the audit log request date. There were some NFA firearm transfers during the 60 day preceding the inspection date, but licensee does not have to conduct NICS check for NFA transfers. No discrepancies were found. There were no trafficking issues related to purchasers of firearms. No illegal firearms or firearms with obliterated serial numbers were found during the inspection.

Jeffrey Poitras and Angela Poitras both are responsible for ensuring the accuracy of ATF Forms 4473. Whichever one is completing the transfer reviews the ATF Form 4473 to ensure the customer completed the form accurately. After the sale is completed the firearm is logged out of the A&D record and the ATF Form 4473 is filed away.

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6 – Multiple Sales

The licensee had [REDACTED] reported multiple sales during the inspection period. [REDACTED] additional, un-reported multiple sales were discovered during the inspection. A violation of 27 CFR 478.126(a) was cited for failure to report the [REDACTED] multiple sales (**Worksheet 2, Violation 9, Report of Violations, Exhibit 9**).

7 – Suspicious/Prohibited Purchasers

Nine people received firearms after a 3 day delay without a response from NICS prior to the inspection. The nine people were checked in NCIC/NLETS and after review of the findings no referrals were made. No suspicious purchasers were identified during this compliance inspection. No straw purchasers, possible traffickers, or prohibited persons were identified as a result of the inspection and no referrals were generated.

8 – Trace Activity

This license was associated with [REDACTED] successful trace requests during the last 12 months. No unsuccessful traces were attributed to the licensee. A secondary market analysis was not conducted because the licensee did not have any used firearms in inventory.

9 - NFA/Importer/Collector/Manufacturer

Licensee has paid the Special Occupational Tax (SOT) to deal in National Firearms Act (NFA) firearms. When licensee moved his records and inventory from the store front location in Windham, NH to the residence in Londonderry, NH there were multiple pending Form 4 NFA transfers outstanding. Licensee would return to the Windham, NH store front location to complete the transfers when a form 4 was approved.

IOI (b)(6) contacted the NFA branch regarding the licensee moving this license to the same location as the other license 6-02-02508. The NFA branch informed IOI (b)(6) that they will not update the registration information for the existing NFA inventory if it is still held by the same licensee with an active SOT, even if under another license at another address.

Via email, IOI (b)(6) discussed the outstanding Form 4 transfers with (b)(6) branch chief of Industry Processing Branch of the NFA division. (b)(6) ultimately decided that expediting the processing of the five remaining Forms 4 was in the best interest of the agency (**Exhibit 10**). Over the next few months the Forms 4 were approved and the licensee completed the NFA transfers. Upon completion of the NFA transfers, licensee transferred all remaining inventory to license number 6-02-02508.

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Licensee is not a licensed manufacturer of firearms, importer of firearms, nor a licensed collector. Licensee is not engaged in any business that would require additional ATF licenses at this time.

10 - Referrals

No referrals were generated as a result of this inspection.

11 - Other

Based on the violations cited during this inspection, the firearms adverse action policy requires a warning conference because [REDACTED] instances, licensee transferred firearms, other than a rifle or shotgun, to out of state residents.

Alternate recommendation of Viols WL ONLY and No Recall Inspection is recommended because this was licensee's first compliance inspection since obtaining an FFL. Additionally, licensee no longer operates a commercial store front retail business. Licensee voluntarily surrendered this license and now operates solely under FFL# 6-02-02508, at his residence. During the closing conference licensee stated that he no longer plans to conduct transfers to non licensees because he does not want random customers coming into his home. Licensee acknowledged his violations and the proposed change of business practices would eliminate all the violations associated with ATF Forms 4473 in the future.

The licensee is in compliance with the Youth Handgun Safety Act. Youth Handgun notices and safety devices are given with each hand gun transferred to non licensees. Secure gun storage/safety devices are available.

On August 30, 2017, IOI (b)(6) emailed Resident Agent in Charge (RAC) (b)(6) (b)(6) regarding this firearms compliance inspection. RAC (b)(6) replied that there are no known issues or investigations.

IOI (b)(6) conducted NCIC/NLETS checks of responsible persons Jeffrey Poitras and Angela Poitras. No prohibiting information was found.

IOI (b)(6) queried NForce. No open investigations were found.

12 - Closing Actions

On February 13, 2018, IOI (b)(6) held a closing conference with Jeffrey Poitras, LLC member and responsible person on the license. IOI (b)(6) informed Mr. Poitras that nine violations were discovered during the inspection and documented on a report of violations (ROV). IOI (b)(6) reviewed the ROV and topics on the Acknowledgement

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Name: Second Amendment Arms, LLC
Trade Name:

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FFL#: 6-02-015-01-9M-01336

of Federal Firearms Regulations with Mr. Poitras. Jeffrey Poitras signed the ROV and the acknowledgement (**Exhibit 11**). IOI (b)(6) provided him a copy of the ROV and acknowledgement for the business's records.

IOI (b)(6) reviewed the conduct of business and recordkeeping requirements for firearm dealers with Jeffrey Poitras and directed Mr. Poitras to the ATF.gov website for further information concerning ATF rulings and forms. IOI (b)(6) ensured that Mr. Poitras did not have any unanswered questions or concerns.

IOI (b)(6) provided the licensee with his business card for any future questions.

During the closing conference, Mr. Poitras voluntarily surrendered FFL 6-02-01336 and provided 16 boxes of records to IOI (b)(6). Mr. Poitras signed an NSpect Notice of Discontinuance of Business (**Exhibit 12**). IOI (b)(6) reviewed the provided records, they contained the required forms, a printed out copy of the closed out A&D record, and a thumb drive with an electronic copy of the A&D record. IOI (b)(6) took the records to the ATF Bedford, NH office.

On February 27, 2018, IOI (b)(6) received approval to ship the records to the Out of Business Records Center (OBRC) using YRC freight. On March 6, 2018, YRC Freight picked up the 16 boxes of records for shipment to the OBRC and provided a shipment tracking number: 736-343658-4 (**Exhibit 13**).

On March 14, 2018, IOI (b)(6) received an email from (b)(6) at the OBRC stating that the OBRC received the records for license 6-02-01336 (**Exhibit 14**).

13 - Violations

1. 27 CFR 478.21(a)

Nature of violation: - Forms Prescribed -

"The director is authorized to prescribe all forms required by this part. All of the information called for in each form shall be furnished as indicated by the headings on the form and the instructions on or pertaining to the form. In addition, information called for in each form shall be furnished as required by this part."

- In (b)(6) instances, licensee failed to ensure section 11.a of Form 4473 (2012 Edition) was accurately completed (transferee indicated they are not the actual purchaser of firearm).

- In (b)(6) instances, licensee failed to complete section 18 of Form 4473 (2012 Edition).

- In (b)(6) instances, licensee failed to have transferee complete section 24 and section

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25 of Form 4473 (2012 Edition) when required.

- In [REDACTED] instances, licensee failed to ensure section 11.a of form 4473 (2016 Edition) was accurately completed.

- In [REDACTED] instances, licensee failed to have transferee complete sections 22 and 23 of Form 4473 (2016 Edition) when required.

Licensee's response: Licensee stated that he considered completing ATF Form 4473s to be an academic exercise. Licensee further stated that he considered the transferee passing the NICS background check to be the important part of the transaction.

Corrective action: Licensee immediately copied the three applicable ATF Forms 4473 and added the necessary information into section 18 of the copied forms. Licensee initialed and dated the change on the copies and filed the copies with the original forms.

Licensee was reminded of the need to adequately review the Form 4473 section A and C (if needed) prior to transferring a firearm to a non-licensee. In the future licensee will ensure that Form 4473 is complete and accurate prior to transferring a firearm to a non-licensee.

(Reference Worksheet 2, Exhibit 2)

2. **27 CFR 478.52(a)**

Nature of violation: -Change of Address-
-Change of Address-

"Licensees may during the term of their current license remove their business or activity to a new location at which they intend regularly to carry on such business or activity by filing an Application for an Amended Federal Firearms License, ATF Form 5300.38, in duplicate, not less than 30 days prior to such removal with the Chief, Federal Firearms Licensing Center. The ATF Form 5300.38 shall be completed in accordance with the instructions on the form. The application must be executed under the penalties of perjury and penalties imposed by 18 U.S.C. 924. The application shall be accompanied by the licensee's original license. The Chief, Federal Firearms Licensing Center, may, in writing, require the applicant for an amended license to also file completed ATF Form 7 or ATF Form 7CR, or portions thereof, in the manner required by §478.44."

Licensee failed to notify the Chief, Federal Firearms Licensing Center not less than 30 days prior to moving the business to a new address. Licensee moved from the storefront location at 60 Rockingham Rd, Unit 15, Windham, NH 03087 to his residence at 42 Litchfield Rd, Londonderry, NH 03053. Licensee already possessed a

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type 01 FFL at the residence address (6-02-02508) but continued to operate under this FFL (6-02-01336).

Licensee's response: Licensee stated that he did not think he had to notify ATF because he had a license at the new address already and was returning to the old address to complete transactions as necessary.

Corrective action:

Licensee agreed to voluntarily surrender FFL 6-02-01336 and continue all operations under FFL 6-02-02508. Licensee will transfer all remaining inventory to FFL 6-02-02508 and close out the books for license 6-02-01336. Licensee will turn in all required records for FFL 6-02-01336 to the Out of Business Records Center or IOI Biener for shipment to the Out of Business Records Center.

On February 13, 2018, Mr. Poitras voluntarily surrendered FFL 6-02-01336 to IOI (b)(6) and provided 16 boxes of records. The records contained all the required forms, a printed out copy of the closed out A&D record, and a thumb drive with an electronic copy of the A&D record.

(Reference Exhibit 12)

3. **27 CFR 478.99(a)**

Nature of violation: -Certain Prohibited Sales or Deliveries-

"Interstate sales or deliveries. A licensed importer, licensed manufacturer, licensed dealer, or licensed collector shall not sell or deliver any firearm to any person not licensed under this part and who the licensee knows or has reasonable cause to believe does not reside in (or if a corporation or other business entity, does not maintain a place of business in) the State in which the licensee's place of business or activity is located: Provided, That the foregoing provisions of this paragraph (1) shall not apply to the sale or delivery of a rifle or shotgun (curio or relic, in the case of a licensed collector) to a resident of a State other than the State in which the licensee's place of business or collection premises is located if the requirements of §478.96(c) are fully met, and (2) shall not apply to the loan or rental of a firearm to any person for temporary use for lawful sporting purposes (see §478.97)."

-In (b)(6) instances, licensee transferred a firearm other than a rifle or shotgun to a transferee that did not provide acceptable proof of being a resident of the same state that the business is located.

Licensee's response: Licensee stated that he did not realize the property tax bill was

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not acceptable and that he thought he could transfer the receivers to the out of state resident because they were .22 caliber rifle receivers not AR style receivers.

Corrective action:

Uncorrectable. Licensee was informed of the need to ensure adequate proof of residency is provided for all transfers of firearms. Licensee was reminded that only shotguns and rifles may be transferred to residents of states other than the state in which the business is located.

(Reference Worksheet 2 and Exhibit 3)

4. **27 CFR 478.102(a)(2)(ii)**

Nature of violation: -Sales or deliveries of firearms on and after November 30, 1998.-

"Background check. Except as provided in paragraph (d) of this section, a licensed importer, licensed manufacturer, or licensed dealer (the licensee) shall not sell, deliver, or transfer a firearm to any other person who is not licensed under this part unless the licensee meets the following requirements:

(2)(ii) Three business days (meaning days on which State offices are open) have elapsed from the date the licensee contacted NICS and NICS has not notified the licensee that receipt of the firearm by the transferee would be in violation of law."

-In two instances, licensee transferred a firearm to a transferee without receiving a proceed response from NICS and without waiting at least three business days after contacting NICS.

Licensee's response: Licensee stated that he would not have transferred firearms without receiving a NICS response. Licensee further stated that he must have made errors on the dates on the forms, making it look like the firearms were transferred prior to receiving a response or waiting 3 business days.

Corrective action:

Uncorrectable. Licensee was reminded of the requirement to either receive a proceed response or wait at least 3 full business days in between contacting NICS and transferring a firearm to a non-licensee if a proceed response is not received in that time

(Reference Worksheet 2 and Exhibit 4)

5. **27 CFR 478.124(c)(1)**

Nature of violation: - Firearm Transaction Record -

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"Prior to making an over-the-counter transfer of a firearm to a non-licensee who is a resident of the state in which the licensee's business premises is located, the licensed importer, licensed manufacturer, or licensed dealer so transferring the firearm shall obtain a Form 4473 from the transferee showing the transferee's name, sex, residence address (including county or similar political subdivision), date and place of birth; height, weight and race of the transferee; the transferee's country of citizenship; the transferee's INS-issued alien number or admission number; the transferee's State of residence; and certification by the transferee that the transferee is not prohibited by the Act from transporting or shipping a firearm in interstate or foreign commerce or receiving a firearm which has been shipped in interstate or foreign commerce or possessing a firearm affecting commerce."

- In [REDACTED] instance, licensee transferred a firearms to a transferee that failed to provide a complete and accurate residence address in section 2 and incorrectly listed his State of residence as NH in section 13 of Form 4473 (2012 Edition) (Licensee accepted a land only tax bill as proof of residency).
- In [REDACTED] instance, licensee transferred a firearm to a transferee that failed to complete certification section 16 and 17 of Form 4473 (2012 Edition).
- In [REDACTED] instance, licensee transferred a firearm to a transferee that failed to correctly complete section 17 of Form 4473 (2012 Edition).
- In [REDACTED] instance, licensee transferred a firearm to a transferee that failed to provide an accurate date of birth in section 7 of Form 4473 (2016 Edition).
- In [REDACTED] instance, licensee transferred a firearm to a transferee that failed to complete section 12.d.1 of Form 4473 (2016 Edition).

Licensee's response: Licensee stated that he must have missed the errors along with the general statement that he considered completing ATF Form 4473s to be an academic exercise. Licensee further stated that he considered the transferee passing the NICS background check to be the important part of the transaction.

Corrective action: Licensee was reminded of the need to adequately review the Form 4473 section A and C (if needed) prior to transferring a firearm to a non-licensee. In the future licensee will ensure that Form 4473 is complete and accurate prior to transferring a firearm to a non-licensee.

(Reference Worksheet 2 and Exhibit 5)

6. **27 CFR 478.124(c)(3)(iv)**

Nature of violation: - Firearms Transaction Record -

"After the transferee has executed the Form 4473, the licensee shall comply with the requirements of Section 478.102 and record on the form the date on which the licensee contacted the NICS, as well as any response provided by the system, including any identification number provided by the system."

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- [REDACTED] instances, licensee failed to accurately record the date NICS was contacted in section 21a of Form 4473 (2012 Edition).
- In [REDACTED] instance, licensee failed to correctly indicate date firearms may be transferred (after receiving a delayed response from NICS) on Form 4473 (2012 Edition).
- In [REDACTED] instances, licensee failed to record the response received from NICS in section 21c of Form 4473 (2012 Edition).
- In [REDACTED] instance, licensee failed to record the date NICS was contacted in section 21a as well as failed to record the response received in section 21c of Form 4473 (2012 Edition).
- In [REDACTED] instance, licensee failed to record the date that NICS was contacted in section 19a of Form 4473 (2016 Edition).
- In [REDACTED] instances, licensee failed to accurately record the date NICS was contacted in section 19a of Form 4473 (2016 Edition).
- In [REDACTED] instances, licensee failed to record the response received from NICS in section 19c of Form 4473 (2016 Edition).
- In [REDACTED] instance, licensee failed to record the response received from NICS in section 19c and also incorrectly indicated a proceed response in section 19d of Form 4473.

Licensee's response: Licensee stated that he considered completing ATF Form 4473s to be an academic exercise. Licensee further stated that he considered the transferee passing the NICS background check to be the important part of the transaction.

Corrective action: Licensee immediately copied the Forms 4473 and added the correct information into the applicable sections of the copied forms. Licensee initialed and dated the change on the copies and filed them with the original Forms 4473.

(Reference Worksheet 2 and Exhibit 6)

7. **27 CFR 478.124(c)(4)**

Nature of violation: - Firearms Transaction Record -
"The licensee shall identify the firearm to be transferred by listing on the Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm."

- In [REDACTED] instances, licensee incorrectly recorded the serial number of a firearm transferred in section 28 of Form 4473 (2012 Edition).

Licensee's response: Licensee stated that he does not know why it was entered incorrectly but that he will do better in the future to ensure all required information is accurate.

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FFL#: 6-02-015-01-9M-01336

Corrective action: Licensee immediately copied the Forms 4473 and correctly identified the firearm serial number on the copy. Licensee initialed and dated the change on the copy and filed it with the original Form 4473.

(Reference Worksheet 2 and Exhibit 7)

8. **27 CFR 478.124(c)(5)**

Nature of violation: - Firearms Transaction Record -

"The licensee shall sign and date the form if the licensee does not know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm and transfer the firearm described on the Form 4473.

- In [REDACTED] instances, licensee failed to enter the correct date of transfer in section 36 of Form 4473 (2012 Edition).

- In [REDACTED] instances, licensee failed to enter the correct date of transfer in section 37 of Form 4473 (2016 Edition).

Licensee's response: Licensee stated that he must have incorrectly recorded the information and does not know why the incorrect information was recorded.

Corrective action: Licensee immediately copied the ATF Forms 4473 and added the correct date of transfer on the copied forms. Licensee initialed and dated the change on the copies and filed the copies with the original forms.

(Reference Worksheet 2 and Exhibit 8)

9. **27 CFR 478.126(a)**

Nature of violation: -Furnishing Transaction Information-

"Each licensee shall, when required by letter issued by the Director of Industry Operations, and until notified to the contrary in writing by such officer, submit on Form 5300.5, Report of Firearms Transactions, for the periods and at the times specified in the letter issued by the Director of Industry Operations, all record information required by this subpart, or such lesser record information as the Director of Industry Operations in his letter may specify."

In three instances, licensee failed to submit a Report of Multiple Sale or Other Disposition of Pistols and Revolvers Form when required.

Licensee's response: Licensee stated that he thought he sent two of the three missing reports. Licensee also stated that his computerized system missed the third multiple sale for some reason.


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Corrective action: Licensee immediately submitted the required Reports of Multiple Sale or Other Disposition of Pistols and Revolvers Form.

(Reference Worksheet 1 and Exhibit 9)

	IOI	April 26, 2018
Signature	Title	Date of Report

Index of Worksheets and Exhibits

Worksheet 2 – ATF Form 4473 Worksheet

- Exhibit 1** – State of New Hampshire LLC Formation Documents.
- Exhibit 2** – ATF Forms 4473 Violations 27 CFR 478.21(a).
- Exhibit 3** – ATF Forms 4473 Violations 27 CFR 478.99(a).
- Exhibit 4** – ATF Forms 4473 Violations 27 CFR 478.102(a)(2)(ii).
- Exhibit 5** – ATF Forms 4473 Violations 27 CFR 478.124(c)(1).
- Exhibit 6** – ATF Forms 4473 Violations 27 CFR 478.124(c)(3)(iv).
- Exhibit 7** – ATF Forms 4473 Violations 27 CFR 478.124(c)(4).
- Exhibit 8** – ATF Forms 4473 Violations 27 CFR 478.124(c)(5).
- Exhibit 9** – Multiple Sale Violations 27 CFR 478.126(a).
- Exhibit 10** – Email from Amy Stely, ATF NFA Division.
- Exhibit 11** – Acknowledgement of Federal Firearms Regulations.
- Exhibit 12** – NSpect Notice of Discontinuance of Business Form.
- Exhibit 13** – YRC Freight Bill of Lading and Shipment Tracking Number.
- Exhibit 14** – Record Receipt Confirmation Email from OBRC.



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
10 Causeway Street #791
Boston, MA 02222-1079

www.atf.gov

762030 (b)(6)
5370

April 19, 2018

WARNING LETTER

Mr. Jeffrey Poitras
Second Amendment Arms, LLC
42 Litchfield Rd
Londonderry, NH 03053

Re: Federal Firearms License Number: 6-02-015-01-9M-01336

Dear Mr. Poitras:

As a result of a recent compliance inspection conducted by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) at your firearms business located at 42 Litchfield Rd, Londonderry, NH 03053 on September 13, 2017 through February 13, 2018, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, ATF Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by ATF Industry Operations Investigator (b)(6). (b)(6) You indicated that you understood the requirements of the firearms laws and regulations as a licensee. You further indicated that corrective actions would be taken to eliminate future violations.

Mr. Poitras

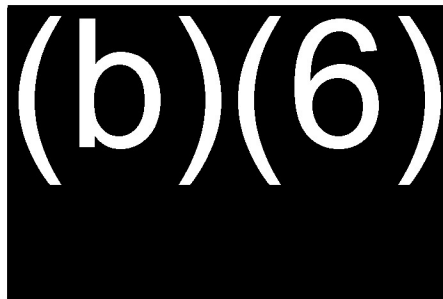
Federal Firearms License Number: 6-02-015-01-9M-01336

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditional upon compliance with Federal laws and regulations. Because the violations cited in this most recent inspection were fully explained to you and you stated you understood your compliance responsibilities as a licensee, any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6) at (b)(6)

Sincerely,

A large black rectangular redaction box covering the signature of the Area Supervisor. The text "(b)(6)" is printed in white inside the box.

Area Supervisor

cc: Federal Firearms Licensing Center
Boston V Area Office

Attachment:
Report of Violations

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Second Amendment Arms, LLC	Street Address 42 Litchfield Rd,	City Londonderry	State NH	ZIP Code 03053	County Rockingham	Page 1 of 6 Pages
License/Permit/Registry Number (if any) 6-02-015-01-9M-01336		Expiration Date December 1, 2019	Date(s) or Period of Inspection September 13, 2016 - September 12, 2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1.	27 CFR 478.21(a)	<p>-Forms Prescribed- "The director is authorized to prescribe all forms required by this part. All of the information called for in each form shall be furnished as indicated by the headings on the form and the instructions on or pertaining to the form. In addition, information called for in each form shall be furnished as required by this part."</p> <p>- (b)(6) instances, licensee failed to ensure section 11.a of Form 4473 (2012 Edition) was accurately completed (transferee indicated they are not the actual purchaser of firearm).</p> <p>- (b)(6) instances, licensee failed to complete section 18 of Form 4473 (2012 Edition).</p> <p>- (b)(6) instances, licensee failed to have transferee complete section 24 and section 25 of Form 4473 (2012 Edition) when required.</p> <p>- (b)(6) instances, licensee failed to ensure section 11.a of form 4473 (2016 Edition) was accurately completed.</p> <p>- (b)(6) instances, licensee failed to have transferee complete sections 22 and 25 of Form 4473 (2016 Edition) when required.</p>	<p>Licensee immediately copied the three applicable ATF Forms 4473 and added the necessary information into section 18 of the copied forms. Licensee initialed and dated the change on the copies and filed the copies with the original forms.</p> <p>Licensee was reminded of the need to adequately review the Form 4473 section A and C (if needed) prior to transferring a firearm to a non licensee. In the future licensee will ensure that Form 4473 is complete and accurate prior to transferring a firearm to a non licensee.</p>	Corrected Immediately.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of ATF Officer

(b)(6)

Manager
JOT

2/13/18 MB

Date

2/13/18

Date

2/13/18

Report of Violations

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
2.	27 CFR 478.52(a)	<p>-Change of Address- "Licensees may during the term of their current license remove their business or activity to a new location at which they intend regularly to carry on such business or activity by filing an Application for an Amended Federal Firearms License, ATF Form 5300.38, in duplicate, not less than 30 days prior to such removal with the Chief, Federal Firearms Licensing Center. The ATF Form 5300.38 shall be completed in accordance with the instructions on the form. The application must be executed under the penalties of perjury and penalties imposed by 18 U.S.C. 924. The application shall be accompanied by the licensee's original license. The Chief, Federal Firearms Licensing Center, may, in writing, require the applicant for an amended license to also file completed ATF Form 7 or ATF Form 7CR, or portions thereof, in the manner required by §478.44."</p> <p>Licensee failed to notify the Chief, Federal Firearms Licensing Center not less than 30 days prior to moving the business to a new address. Licensee moved from the storefront location at 60 Rockingham Rd, Unit 15, Windham, NH 03087 to his residence at 42 Litchfield Rd, Londonderry, NH 03053. Licensee already possessed a type 01 FFL at the residence address (6-02-02508) but continued to operate under this FFL (6-02-01336).</p>	<p>Licensee agreed to voluntarily surrender FFL 6-02-01336 and continue all operations under FFL 6-02-02508. Licensee will transfer all remaining inventory to FFL 6-02-02508 and close out the books for license 6-02-01336. Licensee will turn in all required records for FFL 6-02-01336 to the Out of Business Records Center or IOI Biener for shipment to the Out of Business Records Center.</p>	February 16, 2018

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Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
3.	27 CFR 478.99(a)	<p>-Certain Prohibited Sales or Deliveries- "Interstate sales or deliveries. A licensed importer, licensed manufacturer, licensed dealer, or licensed collector shall not sell or deliver any firearm to any person not licensed under this part and who the licensee knows or has reasonable cause to believe does not reside in (or if a corporation or other business entity, does not maintain a place of business in) the State in which the licensee's place of business or activity is located: Provided, That the foregoing provisions of this paragraph (1) shall not apply to the sale or delivery of a rifle or shotgun (curio or relic, in the case of a licensed collector) to a resident of a State other than the State in which the licensee's place of business or collection premises is located if the requirements of §478.96(c) are fully met, and (2) shall not apply to the loan or rental of a firearm to any person for temporary use for lawful sporting purposes (see §478.97)."</p> <p>██████ instances, licensee transferred a firearm other than a rifle or shotgun to a transferee that did not provide acceptable proof of being a resident of the same state that the business is located.</p>	Uncorrectable. Licensee was informed of the need to ensure adequate proof of residency is provided for all transfers of firearms. Licensee was reminded that only shotguns and rifles may be transferred to residents of states other than the state in which the business is located.	Corrected Immediately.
4.	27 CFR 478.102(a)(2)(ii)	<p>-Sales or deliveries of firearms on and after November 30, 1998.- "Background check. Except as provided in paragraph (d) of this section, a licensed importer, licensed manufacturer, or licensed dealer (the licensee) shall not sell, deliver, or transfer a firearm to any other person who is not licensed under this part unless the licensee meets the following requirements: (2)(ii) Three business days (meaning days on which State offices are open) have elapsed from the date the licensee contacted NICS and NICS has not notified the licensee that receipt of the firearm by the transferee would be in violation of law."</p> <p>-In two instances, licensee transferred a firearm to a transferee without receiving a proceed response from NICS and without waiting at least three business days after contacting NICS.</p>	Uncorrectable. Licensee was reminded of the requirement to either receive a proceed response or wait at least 3 full business days in between contacting NICS and transferring a firearm to a non-licensee if a proceed response is not received in that time	Corrected Immediately.

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Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken <i>(If not corrected immediately)</i>	Date Corrections to be Made <i>(If not corrected immediately)</i>
5.	27 CFR 478.124(c)(1)	<p>-Firearms Transaction Record- "Prior to making an over-the-counter transfer of a firearm to a non-licensee who is a resident of the state in which the licensee's business premises is located, the licensed importer, licensed manufacturer, or licensed dealer so transferring the firearm shall obtain a Form 4473 from the transferee showing the transferee's name, sex, residence address (including county or similar political subdivision), date and place of birth; height, weight and race of the transferee; the transferee's country of citizenship; the transferee's INS-issued alien number or admission number; the transferee's State of residence; and certification by the transferee that the transferee is not prohibited by the Act from transporting or shipping a firearm in interstate or foreign commerce or receiving a firearm which has been shipped in interstate or foreign commerce or possessing a firearm affecting commerce."</p> <p>Instance, licensee transferred a firearms to a transferee that failed to provide a complete and accurate residence address in section 2 and incorrectly listed his State of residence as NH in section 13 of Form 4473 (2012 Edition) (Licensee accepted a land only tax bill as proof of residency).</p> <p>Instance, licensee transferred a firearm to a transferee that failed to complete certification section 16 and 17 of Form 4473 (2012 Edition).</p> <p>Instance, licensee transferred a firearm to a transferee that failed to correctly complete section 17 of Form 4473 (2012 Edition).</p> <p>Instance, licensee transferred a firearm to a transferee that failed to provide an accurate date of birth in section 7 of Form 4473 (2016 Edition).</p> <p>Instance, licensee transferred a firearm to a transferee that failed to complete section 12.d.1 of Form 4473 (2016 Edition).</p>	<p>Licensee was reminded of the need to adequately review the Form 4473 section A and C (if needed) prior to transferring a firearm to a non licensee. In the future licensee will ensure that Form 4473 is complete and accurate prior to transferring a firearm to a non licensee.</p>	<p>Corrected Immediately.</p>

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Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken <i>(If not corrected immediately)</i>	Date Corrections to be Made <i>(If not corrected immediately)</i>
6.	27 CFR 478.124(c)(3)(iv)	<p>-Firearms Transaction Record- "After the transferee has executed the Form 4473, the licensee shall comply with the requirements of Section 478.102 and record on the form the date on which the licensee contacted the NICS, as well as any response provided by the system, including any identification number provided by the system."</p> <p>█ instances, licensee failed to accurately record the date NICS was contacted in section 21a of Form 4473 (2012 Edition). █ instance, licensee failed to correctly indicate date firearms may be transferred (after receiving a delayed response from NICS) on Form 4473 (2012 Edition). █ instances, licensee failed to record the response received from NICS in section 21c of Form 4473 (2012 Edition). █ instance, licensee failed to record the date NICS was contacted in section 21a as well as failed to record the response received in section 21c of Form 4473 (2012 Edition). █ instance, licensee failed to record the date that NICS was contacted in section 19a of Form 4473 (2016 Edition). █ instances, licensee failed to accurately record the date NICS was contacted in section 19a of Form 4473 (2016 Edition). █ instances, licensee failed to record the response received from NICS in section 19c of Form 4473 (2016 Edition). █ instance, licensee failed to record the response received from NICS in section 19c and also incorrectly indicated a proceed response in section 19d of Form 4473.</p>	<p>Licensee immediately copied the Forms 4473 and added the correct information into the applicable sections of the copied forms. Licensee initialed and dated the change on the copies and filed them with the original Forms 4473.</p>	Corrected Immediately.

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Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken <i>(If not corrected immediately)</i>	Date Corrections to be Made <i>(If not corrected immediately)</i>
7.	27 CFR 478.124(c)(4)	-Firearms Transaction Record- "The licensee shall identify the firearm to be transferred by listing on the Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm." [REDACTED] instances, licensee incorrectly recorded the serial number of a firearm transferred in section 28 of Form 4473 (2012 Edition).	Licensee immediately copied the Forms 4473 and correctly identified the firearm serial number on the copy. Licensee initialed and dated the change on the copy and filed it with the original Form 4473.	Corrected Immediately.
8.	27 CFR 478.124(c)(5)	-Firearms Transaction Record- "The licensee shall sign and date the form if the licensee does not know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm and transfer the firearm described on the Form 4473." [REDACTED] instances, licensee failed to enter the correct date of transfer in section 36 of Form 4473 (2012 Edition). [REDACTED] instances, licensee failed to enter the correct date of transfer in section 37 of Form 4473 (2016 Edition).	Licensee immediately copied the ATF Forms 4473 and added the date of transfer on the copied forms. Licensee initialed and dated the change on the copies and filed the copies with the original forms.	Corrected Immediately.
9.	27 CFR 478.126(a)	-Furnishing Transaction Information- "Each licensee shall, when required by letter issued by the Director of Industry Operations, and until notified to the contrary in writing by such officer, submit on Form 5300.5, Report of Firearms Transactions, for the periods and at the times specified in the letter issued by the Director of Industry Operations, all record information required by this subpart, or such lesser record information as the Director of Industry Operations in his letter may specify." [REDACTED] instances, licensee failed to submit a Report of Multiple Sale or Other Disposition of Pistols and Revolvers Form when required.	Licensee immediately submitted the required Reports of Multiple Sale or Other Disposition of Pistols and Revolvers Form.	Corrected Immediately.

U.S. Postal Service™
CERTIFIED MAIL™ RECEIPT
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OFFICIAL USE

Postage	\$
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Return Receipt Fee (Endorsement Required)	
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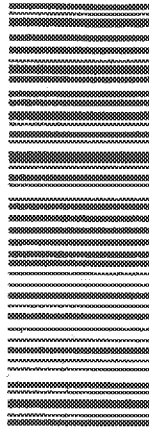
2017-0459

Sent To Second Amendment Arms LLC
 Street, Apt. No.,
 or PO Box No.
 City, State, ZIP+4 Londonderry NH 03053

PS Form 3800, June 2002

See Reverse for Instructions

PLACE STICKER ON BACK OF ENVELOPE TO THE RIGHT
 OF THE RETURN ADDRESS ONLY AT POST OFFICE
CERTIFIED MAIL™



7006 0810 0002 6796 1931
 7006 0810 0002 6796 1931

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Second Amendment Arms LLC
42 Litchfield Rd
Londonderry, NH 03053
Attn: Jeffrey Poitras

2. Article Number

(Transfer from service label)

7006 0810 0002 6796 1931

PS Form 3811, July 2013

Domestic Return Receipt

COMPLETE THIS SECTION ON DELIVERY

A. Signature

X

- Agent
- Addressee

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1? Yes
 If YES, enter delivery address below: No

3. Service Type

- Certified Mail®
- Registered
- Insured Mail
- Priority Mail Express™
- Return Receipt for Merchandise
- Collect on Delivery

4. Restricted Delivery? (Extra Fee)

Yes