

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Shrider, Robert R. Ronan Sports & Western 63298 US Highway 93 Ronan, MT 59864, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 788060-2018-0108-B1B		
	3. PERMIT/LICENSE NUMBER 981047028H00038	4a. TARGET DATE 2/19/2018	4b. TARGET HOURS 40
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 12/18/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
DE PAWN - Conduct a firearms compliance inspection, Type 02.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	9	NO. OF REFERRALS	1	2111 - PER DIEM	
NO. OF TECS CHECKS	12	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 04/20/2018
On January 17, 2018, ATF Industry Operations Investigator (b)(6) began an onsite DE FRONTLINE Full Scope Firearms Compliance Inspection of Robert Shrider, dba Ronan Sports & Western. The inspection was conducted at the business premises located at 63298 US Highway 93, Ronan, MT 59864 located in Lake County. The beginning date was January 17, 2018 and end date February 12, 2018.

The licensee was cited for nine violations:

1. 27 CFR 478.21(a)
2. 27 CFR 478.124(c)(1)
3. 27 CFR 478.124(c)(3)(i)
4. 27 CFR 478.124(c)(3)(iv)
5. 27 CFR 478.124(c)(4)
6. 27 CFR 478.124(c)(5)
7. 27 CFR 478.126a
8. 27 CFR 478.131(a)(2)
9. 27 CFR 478.125(e)

NSPECT: Warning Letter only and Recall. One referral to CE.

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
JAN 2018	56.60		
FEB 2018	46.00		
ATF OFFICER'S SUBTOTAL	102.60	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	102.60		

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Shrider, Robert R. Ronan Sports & Western 63298 US Highway 93 Ronan, MT 59864, Lake	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 788060-2018-0108-B1B		
	3. PERMIT/LICENSE NUMBER 981047028H00038	4a. TARGET DATE 2/19/2018	4b. TARGET HOURS 40
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Concur.

Warning Letter sent: April 20, 2018

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

REVIEW DATE

03/30/2018

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Shrider, Robert R. Ronan Sports & Western	Street Address 63298 US Highway 93	City Ronan	State MT	Zip Code 59864-	County Lake	Page 1 of 6 Pages
License/Permit/Registry Number (If any) 981047028H00038		Expiration Date 8/1/2018		Date(s) or Period of Inspection 01/18/2018 through 02/12/2018		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Licensee failed to ensure ATF Forms 4473 was completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 Pub instances).

Or (b)(3) - 112 Pub forms, Item 36 (Transferor's/Seller's Title) were blank.

Or (b)(3) - 112 Pub forms, Item 30 (Check if any part of this transaction is a pawn redemption) were unchecked.

Or (b)(3) - 112 Pub forms, Item 22 (Transferee's/Buyer's Signature) were unsigned.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Going forward, Going forward, ensure customers completely and accurately fill out the ATF Form 4473.
(If not corrected immediately)

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License/Permit/Registry Number (If any) 981047028H00038	Expiration Date 8/1/2018	Date(s) or Period of Inspection 01/18/2018 through 02/12/2018				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

Licensee failed to ensure ATF Forms 4473 was completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 instances).

Or forms, Item 3 (Place of Birth, U.S. City and State) were incorrectly completed (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

On form, Item 15 (Certification Date) was incorrect (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Going forward, ensure customers completely and accurately fill out the ATF Form 4473.
(If not corrected immediately)

Number: 3

Nature of Violation:

Licensee failed to ensure ATF Forms 4473 were completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 instances).

Or forms, Item 18a (Identification) was either blank or incorrectly completed (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.
(If not corrected immediately)

Report of Violations

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License/Permit/Registry Number (If any) 981047028H00038		Expiration Date 8/1/2018		Date(s) or Period of Inspection 01/18/2018 through 02/12/2018		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(3)(i)

Number: 4

Nature of Violation:

Licensee failed to ensure ATF Forms 4473 were completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 19a (Date the transferee's/buyer's identifying information in Section A was transmitted to NICS) was blank or incorrect (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) form, Item 19c (The response initially (first) provided by NICS) was incorrect (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 19d (The following response(s) was/were later received from NICS) (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.
(If not corrected immediately)

Report of Violations

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Name of Proprietor Shrider, Robert R. Ronan Sports & Western	Street Address 63298 US Highway 93	City Ronan	State MT	Zip Code 59864-	County Lake	Page 4 of 6 Pages
License/Permit/Registry Number (If any) 981047028H00038	Expiration Date 8/1/2018	Date(s) or Period of Inspection 01/18/2018 through 02/12/2018				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

Licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer (b)(3) - 112 instance).

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) Form, Item 25 (Model) was blank

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.
(If not corrected immediately)

Number: 6

Nature of Violation:

Licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer (b)(3) - 112 instance).

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) Form, Item 37 (Date Transferred) was blank

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.
(If not corrected immediately)

Report of Violations

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Name of Proprietor Shrider, Robert R. Ronan Sports & Western	Street Address 63298 US Highway 93	City Ronan	State MT	Zip Code 59864-	County Lake	Page 5 of 6 Pages
License/Permit/Registry Number (If any) 981047028H00038		Expiration Date 8/1/2018		Date(s) or Period of Inspection 01/18/2018 through 02/12/2018		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:

Licensee failed to ensure Multiple Sale of Handgun form was filed and sent to ATF after the transfer of two handguns within five consecutive business days (b)(3) - 112 instance). See (b)(3) - 112 Public Law 55 125 Stat 552

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Employee filled out the Multiple Handgun form on January 19, 2018 and sent the form to ATF and local law enforcement.

Number: 8

Nature of Violation:

Licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer (b)(3) - 112 instance).

(b)(3) - 112 Public Law 55 125 Stat 552
form. Item 21 (Concealed Carry Permit (CCP) Expiration Date) was incorrect; the

Citation: 27 CFR 478.131(a)(2)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

Report of Violations

Instructions

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Name of Proprietor Shrider, Robert R. Ronan Sports & Western	Street Address 63298 US Highway 93	City Ronan	State MT	Zip Code 59864-	County Lake	Page 6 of 6 Pages
License/Permit/Registry Number (If any) 981047028H00038		Expiration Date 8/1/2018		Date(s) or Period of Inspection 01/18/2018 through 02/12/2018		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 9

Nature of Violation:

Licensee failed to document firearms acquisitions and dispositions as required under ATF regulations (b)(3) - 112 Pub

(b)(3) - 112 Pub instances, the licensee failed to correctly document the acquisition of a firearm.

(b)(3) - 112 Pub instances, the disposition of a firearm was recorded but not timely and/or correctly.

(b)(3) - 112 Pub instances, the disposition of a firearm was not recorded.

(b)(3) - 112 Pub instances, the firearm was missing from inventory.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Moving forward, licensee will strive to improve as it pertains to the Acquisition and Disposition log.
(If not corrected immediately)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)	Date 2/12/18
Signature and Title of ATF Officer (b)(6)	Date 2/12/18

For Official Use Only

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
Trade Name: Ronan Sports & Western

UI#: 788060-2018-0108-B1B
FFL#: 9-81-047-02-8H-00038

Address: 63298 US Highway 93
Ronan, MT 59864
Lake County

1 - Introduction

Inspection Profile:

On January 17, 2018, Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF) Industry Operations Investigator (IOI) (b)(6) began an onsite DE FRONTLINE Full Scope Firearms Compliance Inspection of Robert Shrider, dba Ronan Sports & Western (herein referred to as the *licensee* throughout this report). IOI (b)(6) verified the identity of owner and sole Responsible Person (RP) Robert Shrider by checking his Montana State-issued driver's license. His information is as follows:

SHRIDER, ROBERT RAY
MT DL# (b)(6)
DOB (b)(6)
EXP (b)(6)

The onsite beginning date was January 17, 2018 and end date was February 12, 2018. Advance notice was not provided. The inspection period was January 17, 2017 through January 17, 2018.

Business Profile:

The licensee holds a Type 02, *Pawnbroker in Firearms Other Than Destructive Devices*, Federal Firearms License (FFL). The licensee sells new and used firearms and has several customers who pawn their firearms on a regular (monthly) basis. Primary customers are the general public.

The business premises is owned by the licensee. The address is 63298 US Highway 93, Ronan, MT 59864 located in Lake County. GPS Coordinates are N – 47.31389 and W – 114.05487.

The licensee does not have a website and uses the email address RSandW@Ronan.net.

Firearm transfers are either conducted at the business premises or through another FFL. The licensee does not attend gun shows. All firearms are stored at the business premises, there is no off-site storage. All ammunition is stored next to the firearms in the firearm sales area of the premises. (b)(4)

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
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UI#: 788060-2018-0108-B1B
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(b)(4)

(b)(4)

The licensee has a record of two unlawful entries, both of which resulted in a stolen firearm (FFL Theft # (b)(3) - (12 Public Law 55 125 Stat 552) and (b)(3) - (12 Public Law 55 125 Stat 552)). The license has been held since 2000.

During the inspection period, the licensee acquired (b)(4) firearms and disposed (b)(4) firearms. Mr. Shrider stated that they transfer approximately (b)(4) new long guns, 0% pawned firearms and (b)(4) new handguns.

IOI (b)(6) observed the Federal Firearms License was posted as required.

Ownership and Control:

Robert Shrider is the owner and sole Responsible Person (RP) of Ronan Sports & Western. On February 2, 2018, IOI (b)(6) conducted a business entity search on the Montana Secretary of State website, this search revealed Ronan Sports & Western to be active and in good standing as a Domestic Profit Corporation (**Exhibit 1**).

During the inspection, IOI (b)(6) asked Mr. Shrider if there were any other persons to add to the RP list, Mr. Shrider stated that there were no other persons he wanted added.

Mr. Shrider is responsible for logging in and out all firearms and takes responsibility for all ATF 4473s. Due to the high number of errors during the inspection, IOI (b)(6) discussed delegating some of the workload with other employees but the RP was not interested. IOI (b)(6) found the staff of this business to be very competent and willing to help the owner in any way. Their knowledge base was very impressive and IOI (b)(6) believes that there would be fewer violations if Mr. Shrider were to allow assistance from his staff.

IOI (b)(6) conducted an NCIC check on sole RP, Mr. Robert Shrider on January 05, 2018 and February 02, 2018; there were no prohibiting information discovered. Query of the RP list in N-Force and FLS did not reveal involvement in a criminal investigation or association with a separate FFL that was the subject of administrative action.

Variance:

No variances are held and none were requested upon inspection.

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
Trade Name: Ronan Sports & Western

UI#: 788060-2018-0108-B1B
FFL#: 9-81-047-02-8H-00038

2 - Recommendation

Violations warrant a Warning Letter only and Recall Inspection.

3 - Inspection History

The licensee has had one Qualification Inspection.

Date of Inspection: 6/20/2000
Type of Inspection: Onsite Qualification Inspection
UI#: 778035-2000-0462-NFO
Inspection Results: License Issued
Cited Violations: None noted

4 - Acquisition & Disposition (A&D) Record - Inventory

IOI (b)(6) conducted a full physical inventory of all firearms found at the business premises. There were 434 firearms in inventory and (b)(3)-112 open entries in the Acquisition and Disposition (A&D) Record. Of the (b)(3)-112 open entries (b)(3)-112 firearms were not recorded as acquisitions and (b)(3)-112 firearms were not recorded as dispositions. The licensee was instructed to research their records and determine the disposition of each of the (b)(3)-112 firearms.

The licensee requested three weeks to conduct the research of the (b)(3)-112 firearms that were not logged out of the A&D Record. The licensee was unable to determine the disposition (b)(3)-112 firearms and filed a Theft/Loss Report (ATF Form 3310.11) on January 30, 2018 for those firearms unaccounted for (**Exhibit 2**). The licensee provided completed ATF Forms 4473 corresponding (b)(3)-112 firearms that were not logged out of the A&D Records.

IOI (b)(6) reviewed the A&D Record to verify all required information was recorded and accurate. On two acquisition entries all information was not recorded. On (b)(3)-112 instances, the licensee failed to document the disposition of a firearm.

Due to the licensee's failure to record required acquisition and disposition information in the A&D Record, the licensee was cited with violating 27 CFR 478.125(e) (b)(3)-112 Public instances. See Worksheet 1 – Inventory and A&D Record, **Exhibit 3** – A&D Record (random sampling), and ATF Form 5030.5 – Report of Violations.

The licensee was instructed to correct all acquisition and disposition information in the A&D Record. On February 12, 2018, IOI (b)(6) verified all required information was recorded.

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
Trade Name: Ronan Sports & Western

UI#: 788060-2018-0108-B1B
FFL#: 9-81-047-02-8H-00038

5 - ATF Forms 4473 – NICS & Other Dispositions

IOI (b)(6) reviewed all (b)(3) - 112 ATF Forms 4473 completed in the last 12 months. The review of these forms disclosed the following seven violations:

(b)(3) - 112 instances, the licensee failed to correctly and accurately record all required information on the ATF Form 4473. (b)(3) - 112 forms, Item 36 (Transferor's/Seller's Title) were blank. (b)(3) - 112 forms, Item 30 (Check if any part of this transaction is a pawn redemption) were unchecked. (b)(3) - 112 forms were missing Item 22 (Transferee's/Buyer's Signature). Of (b)(3) - 112 forms, (b)(3) - 112 were missing Item 23 (Recertification Date). The licensee was cited with violating **27 CFR 478.21(a)**. See **Worksheet 2 – ATF Forms 4473 Review and Exhibit 4 – ATF Forms 4473 (random sample)**.

In (b)(3) - 112 instances, the licensee failed to obtain and record all required transferee information on the ATF Form 4473. (b)(3) - 112 forms, Item 3 (Place of Birth, U.S. City and State) were incorrectly completed. (b)(3) - 112 form, Item 15 (Certification Date) was incorrect. The licensee was cited with violating **27 CFR 478.124(c)(1)**. See **Worksheet 2 – ATF Forms 4473 Review and Exhibit 5 – ATF Forms 4473**.

(b)(3) - 112 instances, licensee failed to ensure ATF Forms 4473 were completely and accurately executed prior to firearm(s) transfer. (b)(3) - 112 forms, Item 18a (Identification) were either blank or incorrectly completed. The licensee was cited with violating **27 CFR 478.124(c)(3)(i)**. See **Worksheet 2 – ATF Forms 4473 Review and Exhibit 6 (randomly sample) – ATF Forms 4473**.

(b)(3) - 112 instances, licensee failed to ensure ATF Forms 4473 were completely and accurately executed prior to firearm(s) transfer. On three forms, Item 19a (Date the transferee's/buyer's identifying information in Section A was transmitted to NICS) were blank or incorrect. (b)(3) - 112 form, Item 19c (The response initially (first) provided by NICS) was incorrect. (b)(3) - 112 forms, Item 19d (The following response(s) was/were later received from NICS). The licensee was cited with violating **27 CFR 478.124(c)(3)(iv)**. See **Worksheet 2 – ATF Forms 4473 Review and Exhibit 7 (random sample) – ATF Forms 4473**.

(b)(3) - 112 instance, licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer. (b)(3) - 112 form, Item 25 (Model) was blank. Licensee was cited for violating **27 CFR 478.124(c)(4)**. See **Worksheet 2 – ATF Forms 4473 Review and Exhibit 8 – ATF Form 4473**.

(b)(3) - 112 instance, licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm(s) transfer. (b)(3) - 112 form, Item 37 (Date

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
Trade Name: Ronan Sports & Western

UI#: 788060-2018-0108-B1B
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transferred) was blank. Licensee was cited for violating **27 CFR 478.124(c)(5)**. See **Worksheet 2 – ATF Forms 4473 Review and Exhibit 9 – ATF Form 4473**.

(b)(3) - 112 Public instance, licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer. (b)(3) - 112 Public Law 55 125 form, Item 21 (Concealed Carry Permit (CCP) Expiration Date) was incorrect; the expiration date of the CCP was listed in Item 18.a. as 4/8/19 and listed in Item 21 as 4/8/15. Licensee was cited for violating **27 CFR 478.131(a)(2)**. See **Worksheet 2 – ATF Forms 4473 Review and Exhibit 10 – ATF Form 4473**.

IOI (b)(6) obtained the FFL Audit Log for background checks completed for the time period October 26, 2017 through January 4, 2018. Eighty (80) transactions were generated and the numbers were compared to ATF Forms 4473 on file for the time period. There were no discrepancies noted.

There were no transfers of firearms to law enforcement during the inspection period.

6 - Multiple Sales

IOI (b)(6) obtained an FFL Master Query for the licensee from the Violent Crime Analysis Branch (VCAB) for the time period January 17, 2017 through January 17, 2018. The query results showed (b)(3) - 112 multiple sales involving (b)(3) - 112 firearms reported. IOI (b)(6) compared this report to the ATF Forms 4473 on file and discovered (b)(3) - 112 firearms were transferred without the licensee filing a Multiple Sale of Handgun Form. The licensee filed the Multiple Sales Form (ATF Form 3310.4) on January 19, 2018. As such, the licensee was cited for violating **27 CFR 478.126a** for failing to ensure Multiple Sale of Handgun form was filed and submitted to ATF within five consecutive business days (b)(3) - 112 instance). Reference **Worksheet 2 – ATF Form 4473, Exhibit 11 – ATF Form 4473**.

7 - Suspicious/Prohibited Purchasers

On January 30, 2018, IOI (b)(6) contacted via email correspondence (**Exhibit 12**), (b)(6), FBI NICS Section, ATF Liaison to seek clarification on an ATF Form (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

and the third business day would have been Tuesday, February 28, 2017 and Wednesday, March 1, 2017 would have been the day the FFL could transfer the firearm (if NICS did not provide a response sooner). IOI (b)(6) questioned the licensee about the error in dates and was told that the purchaser must have written the wrong date in section 15 (Certification Date) and the employee copied the date. Other than the

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
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incorrect dates written on the ATF Form 4473, there were no other suspicious findings. On March 13, 2018, IOI (b)(6) ran a background check using OpenFox. The results showed two felony arrests but no convictions.

Upon review of the ATF Forms 4473, IOI (b)(6) discovered that a firearm was transferred to (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6). There was no documented identification noted on the ATF Form 4473 so a background check was conducted on February 28, 2018 using OpenFox. There were no findings prohibiting this purchaser.

Upon review of the ATF Forms 4473, IOI (b)(6) discovered that a firearm was transferred (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6). A background check using OpenFox was conducted revealing two felony arrests (see section 10 – Referrals). A referral was submitted on March 21, 2018.

IOI (b)(6) conducted a total of 12 background checks using OpenFox, 11 purchasers were not prohibited, one was prohibited and referral generated.

The inspection did not reveal any suspected traffickers or straw purchasers. The licensee was cited for violating **27 CFR 478.124(c)(1) and 478.124(c)(3)(iv)**. These violations are mentioned in Section 5 – ATF Forms 4473 – NICS & Other Dispositions of this report.

8 - Trace Activity

The Violent Crime Analysis Branch (VCAB) reported (b)(3) - 112 firearms trace requests during the inspection period. (b)(3) - 112 Public Law 55 125 Stat 552

These results were verified by (b)(6), VCAB Contractor on February 27, 2018.

9 - NFA/Importer/Collector/Manufacturer

The licensee does not import, collect, or manufacture firearms, nor does the licensee deal in NFA weapons. No violations were discovered.

10 - Referrals

This inspection generated the following referral: 2018-0013.

Referral 2018-0013, sent to ATF Helena I Field Office via Denver FIG

FIREARMS INSPECTION REPORT

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(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6), (b)(7)(C)



11 - Other

IOI (b)(6) found the licensee to be compliant with the Youth Handgun Safety Act (YHSA) poster and notice requirements. The YHSA notice is provided whenever a firearm is transferred to a non-licensee. Secure gun storage/safety devices are available to customers and included in the package with new handguns.

12 - Closing Actions

On February 12, 2018, IOI (b)(6) returned to the business premises to review ATF Forms 4473 related to ATF Forms 4473 corresponding (b)(3)-112P firearms that were not logged out of the A&D Records and to conduct a closing conference with Mr. Shrider. The licensee showed IOI (b)(6) that the (b)(3)-112P firearms were properly logged out of the A&D Record.

IOI (b)(6) issued and explained the Report of Violations (ROV) and answered all questions to the satisfaction of the licensee (**Exhibit 13**). Mr. Shrider signed and dated the ROV and was given a copy for the licensee's records.

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
Trade Name: Ronan Sports & Western

UI#: 788060-2018-0108-B1B
FFL#: 9-81-047-02-8H-00038

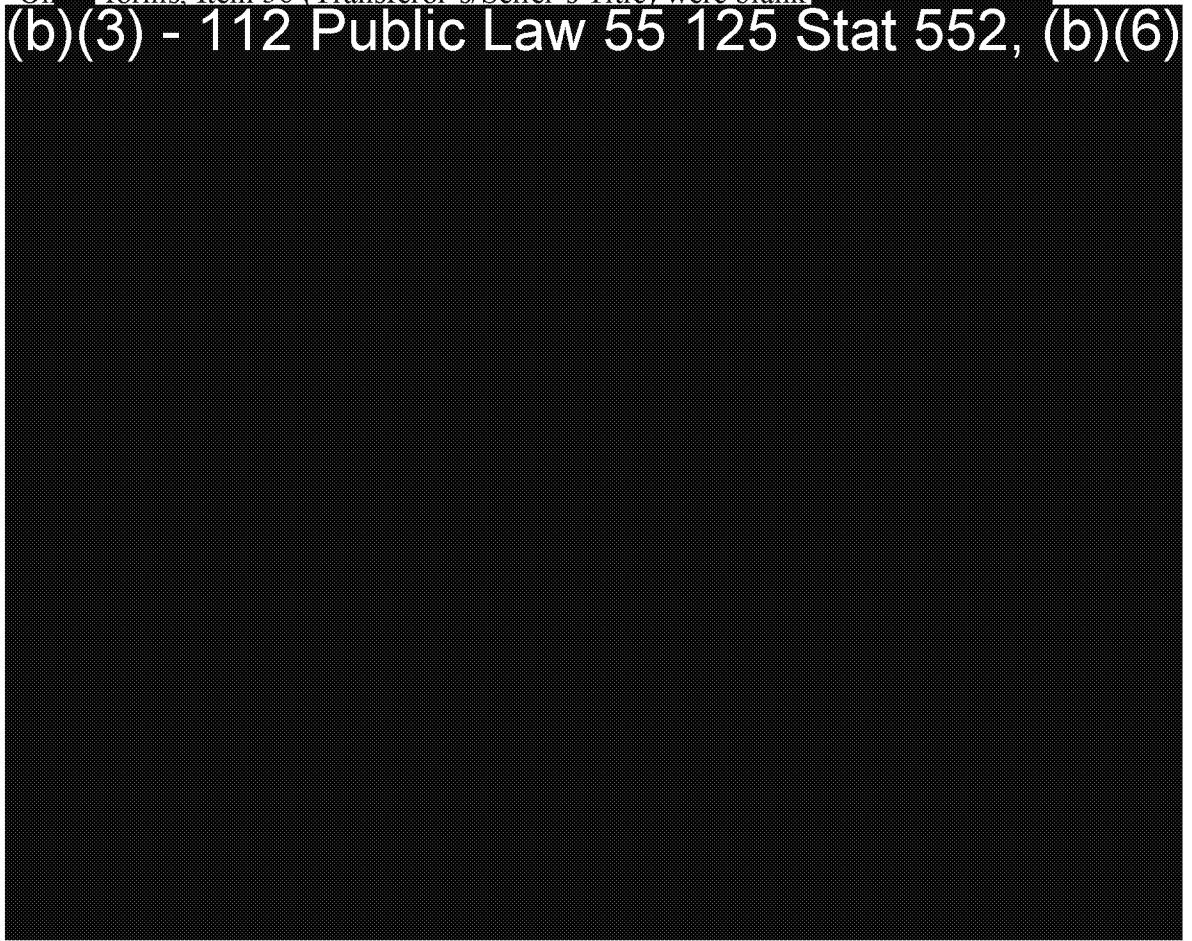
After discussing the violations, IOI (b)(6) explained the Acknowledgement of Federal Regulations to Mr. Shrider. Each applicable regulation was discussed in detail and examples were offered to ensure a clear understanding. Mr. Shrider signed, dated, and received a copy of the Acknowledgement for the licensee's records. A copy of the Acknowledgement was also retained for the local ATF office file (**Exhibit 14**).

13 - Violations

1. 27 CFR 478.21(a)

Nature of Violation: Licensee failed to ensure ATF Forms 4473 was completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 instances).

On (b)(3) forms, Item 36 (Transferor's/Seller's Title) were blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



On (b)(3) - 112 Public forms, Item 30 (Check if any part of this transaction is a pawn redemption) were unchecked (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
Trade Name: Ronan Sports & Western

UI#: 788060-2018-0108-B1B
FFL#: 9-81-047-02-8H-00038

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 33 (Trade/corporate name and address of transferor/seller and Federal Firearms License Number) was blank. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 22 (Transferee's/Buyer's Signature) were unsigned (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 23 (Recertification Date) were missing the purchasers signature (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Shrider stated that he and one of his employees didn't realize that this information was important.

Corrective Action: Going forward, Going forward, ensure customers completely and accurately fill out the ATF Form 4473.

2. **27 CFR 478.124(c)(1)**

Nature of Violation: Licensee failed to ensure ATF Forms 4473 was completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances).

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 3 (Place of Birth, U.S. City and State) were incorrectly completed (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) form, Item 15 (Certification Date) was incorrect (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Shrider stated that some errors were made by others and he would work to ensure recordkeeping was done correctly in the future.

Corrective Action: Going forward, ensure customers completely and accurately fill out the ATF Form 4473.

3. **27 CFR 478.124(c)(3)(i)**

Nature of Violation: Licensee failed to ensure ATF Forms 4473 were completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances).

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 18a (Identification) was either blank or incorrectly completed (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
Trade Name: Ronan Sports & Western

UI#: 788060-2018-0108-B1B
FFL#: 9-81-047-02-8H-00038

Licensee's Response: Mr. Shrider blamed employees for this error.

Corrective Action: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

4. **27 CFR 478.124(c)(3)(iv)**

Nature of Violation: Licensee failed to ensure ATF Forms 4473 were completely and accurately executed prior to firearm(s) transfer (b)(3) instances).

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 19a (Date the transferee's/buyer's identifying information in Section A was transmitted to NICS) was blank or incorrect (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)).

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) form, Item 19c (The response initially (first) provided by NICS) was incorrect (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)).

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 19d (The following response(s) was/were later received from NICS) (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Shrider blamed employees for this error.

Corrective Action: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

5. **27 CFR 478.124(c)(4)**

Nature of Violation: Licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance).

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) form, Item 25 (Model) was blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Licensee blamed employees for this error.

Corrective Action: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

6. **27 CFR 478.124(c)(5)**

Nature of Violation: Licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance).

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
Trade Name: Ronan Sports & Western

UI#: 788060-2018-0108-B1B
FFL#: 9-81-047-02-8H-00038

(b)(3) - 112 Public L form, Item 37 (Date Transferred) was blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
Licensee's Response: Licensee stated that this was overlooked.

Corrective Action: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

7. **27 CFR 478.126a**

Nature of Violation: Licensee failed to ensure Multiple Sale of Handgun form was filed and sent to ATF after the transfer of two handguns within five consecutive business days (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance). See (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Employee forgot to file this form during multiple handgun sale.

Corrective Action: Employee filled out the Multiple Handgun form on January 19, 2018 and sent the form to ATF and local law enforcement.

27 CFR 478.131(a)(2)

8.

Nature of Violation: Licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance).

(b)(3) - 112 Public L form, Item 21 (Concealed Carry Permit (CCP) Expiration Date) was incorrect; the expiration date of the CCP was listed in Item 18.a. as 4/8/19 and listed in Item 21 as 4/8/15 (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Licensee was unaware of the error.

Corrective Action: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

27 CFR 478.125(e)

9.

Nature of Violation: Licensee failed to document firearms acquisitions and dispositions as required under ATF regulations (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances).

(b)(3) - 112 Public L instances, the licensee failed to correctly document the acquisition of a firearm.

(b)(3) - 112 Public L instances, the disposition of a firearm was recorded but not timely and/or correctly.

(b)(3) - 112 Public L instances, the disposition of a firearm was not recorded.

FIREARMS INSPECTION REPORT

Name: Shrider, Robert R.
Trade Name: Ronan Sports & Western

UI#: 788060-2018-0108-B1B
FFL#: 9-81-047-02-8H-00038

[REDACTED] instances, the firearm was missing from inventory.

Licensee's Response: Moving forward, licensee will strive to improve as it pertains to the Acquisition and Disposition log.

Corrective Action: Moving forward, licensee will strive to improve as it pertains to the Acquisition and Disposition log. On January 31, 2018, the licensee filed an ATF Theft/Loss report with ATF and local law enforcement.

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Firearms Inspection – Informational Page
Worksheet 1 – Inventory and A&D Review Worksheet
Worksheet 2 – ATF Form 4473 Review Worksheet
Firearms Inspection – Statistical Page

Exhibits:

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Exhibit 2 – ATF Form 3310.11 (Theft/Loss Report)
Exhibit 3 – Copy of Acquisition & Disposition Records (Random Sample)
Exhibit 4 – ATF Forms 4473 for **27 CFR 478.21(a)** (Random Sample)
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Exhibit 6 – ATF Forms 4473 for **27 CFR 478.124(c)(3)(i)** (Random Sample)
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Exhibit 11 – ATF Forms 4473 for **27 CFR 478.126a**
Exhibit 12 – FBI NICS Email Correspondence
Exhibit 13 – Report of Violations
Exhibit 14 – Acknowledgment of Federal Firearms Regulations



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Industry Operations

*Helena II (IO) Field Office
10 W. 15th Street, Suite 2400
Helena, Montana 59626*

www.atf.gov

April 20, 2018

788060: (b)(6)
5373

CERTIFIED MAIL – RETURN RECEIPT REQUIRED

Mr. Robert Ray Shrider-owner
Dba: Ronan Sports & Western
63298 US Highway 93
Ronan, MT. 59684

RE: 9-81-047-02-8H-00038

Mr. SHRIDER:

During a recent compliance inspection at your business location, 63298 US Highway 93, Ronan, Montana, covering the period of **January 17, 2017 through January 17, 2018**, you were cited for violation of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed. You were cited for the following violations:

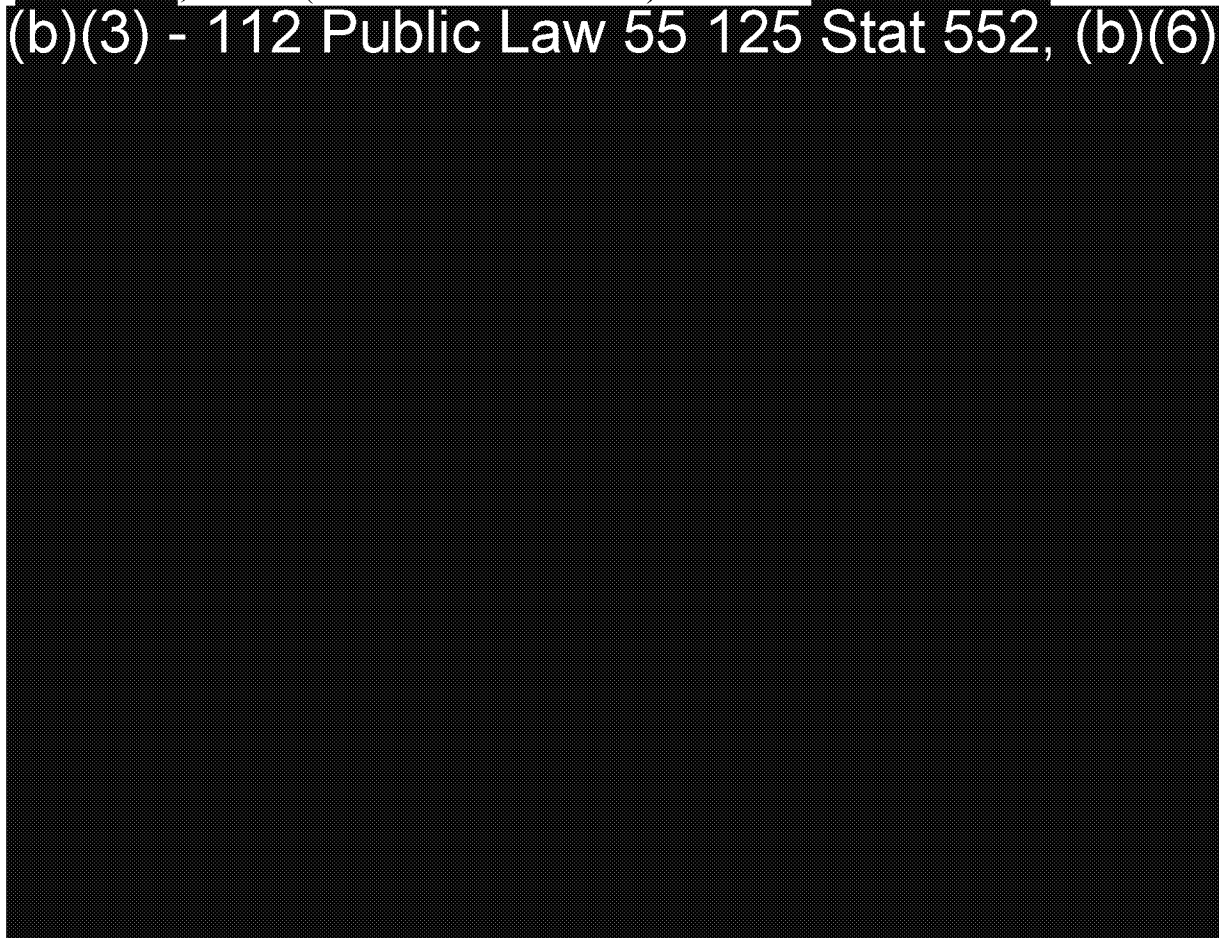
Violations

1. 27 CFR 478.21(a)

Nature of Violation: Licensee failed to ensure ATF Forms 4473 was completely and accurately executed prior to firearm(s) transfer (b)(3)-(7) instances).

Mr. Robert Shrider
Ronan Sports & Western

(b)(3) - 112 Public Law 55 125 Stat 552, Item 36 (Transferor's/Seller's Title) were blank (b)(3) - 112 Public Law 55 125 Stat 552
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



(b)(3) - 112 Public Law 55 125 Stat 552, forms, Item 30 (Check if any part of this transaction is a pawn redemption) were unchecked (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms,
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) Item 33 (Trade/corporate name and address of transferor/seller and Federal Firearms License Number) was blank.
On three forms, Item 22 (Transferee's/Buyer's Signature) were unsigned (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
forms, Item 23 (Recertification Date) were missing the purchasers signature (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Shrider stated that he and one of his employees didn't realize that this information was important.

Corrective Action: Going forward, Going forward, ensure customers completely and accurately fill out the ATF Form 4473.

2. 27 CFR 478.124(c)(1)

Nature of Violation: Licensee failed to ensure ATF Forms 4473 was completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances).

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 3 (Place of Birth, U.S. City and State) were incorrectly completed (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) form, Item 15 (Certification Date) was incorrect (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Shrider stated that some errors were made by others and he would work to ensure recordkeeping was done correctly in the future.

Corrective Action: Going forward, ensure customers completely and accurately fill out the ATF Form 4473.

3. 27 CFR 478.124(c)(3)(i)

Nature of Violation: Licensee failed to ensure ATF Forms 4473 were completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances).

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 18a (Identification) was either blank or incorrectly completed (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Shrider blamed employees for this error.

Corrective Action: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

4. 27 CFR 478.124(c)(3)(iv)

Nature of Violation: Licensee failed to ensure ATF Forms 4473 were completely and accurately executed prior to firearm(s) transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances).

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) forms, Item 19a (Date the transferee's/buyer's identifying information in Section A was transmitted to NICS) was blank or incorrect (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

On (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) form, Item 19c (The response initially (first) provided by NICS) was incorrect (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Mr. Shrider blamed employees for this error.

Corrective Action: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

5. 27 CFR 478.124(c)(4)

Nature of Violation: Licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance).
(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) form, Item 25 (Model) was blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Licensee blamed employees for this error.

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6. 27 CFR 478.124(c)(5)

Nature of Violation: Licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance).

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) form, Item 37 (Date Transferred) was blank (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
Licensee's Response: Licensee stated that this was overlooked.

Corrective Action: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

7. 27 CFR 478.126a

Nature of Violation: Licensee failed to ensure Multiple Sale of Handgun form was filed and sent to ATF after the transfer of two handguns within five consecutive business days (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance). See (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response: Employee forgot to file this form during multiple handgun sale.

Corrective Action: Employee filled out the Multiple Handgun form on January 19, 2018 and sent the form to ATF and local law enforcement.

8. 27 CFR 478.131(a)(2)

Nature of Violation: Licensee failed to ensure ATF Form 4473 was completely and accurately executed prior to firearm transfer (b)(3)-(b)(6) instance).

On one form, Item 21 (Concealed Carry Permit (CCP) Expiration Date) was incorrect; the expiration date of the CCP was listed in Item 18.a. as 4/8/19 and listed in Item 21 as (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6).

Licensee's Response: Licensee was unaware of the error.

Corrective Action: Going forward, ensure employees completely and accurately fill out the ATF Form 4473.

9. 27 CFR 478.125(e)

Nature of Violation: Licensee failed to document firearms acquisitions and dispositions as required under ATF regulations (b)(3)-(b)(6) instances).

(b)(3)-(b)(6) instances, the licensee failed to correctly document the acquisition of a firearm.

(b)(3)-(b)(6) instances, the disposition of a firearm was recorded but not timely and/or correctly.

(b)(3)-(b)(6) instances, the disposition of a firearm was not recorded.

(b)(3)-(b)(6) instances, the firearm was missing from inventory.

Licensee's Response: Moving forward, licensee will strive to improve as it pertains to the Acquisition and Disposition log.

Corrective Action: Moving forward, licensee will strive to improve as it pertains to the Acquisition and Disposition log. On January 31, 2018, the licensee filed an ATF Theft/Loss report with ATF and local law enforcement.

The records you are required to maintain and the business operations conducted are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license.

Mr. Robert Shrider
Ronan Sports & Western

If you have any questions concerning your responsibilities as a Federal firearms licensee or if you require further clarification about particular requirements of Federal firearms laws, please contact ATF at 406-441-3162.

Sincerely,

(b)(6) Area Supervisor
Helena II Field Office, Denver Field Division

Enclosure

cc: Federal Firearms Licensing Center
Helena II Field Office