

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Heague, John Battlefield Adventures 2035 E. Thompson Blvd. Ventura, CA 93001, Ventura		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 784025-2018-0127-B1B																																																
		3. PERMIT/LICENSE NUMBER 977111019F38121	4a. TARGET DATE	4b. TARGET HOURS																																														
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)																																																		
6. ATF OFFICER(S) ASSIGNED (b)(6) Lead Investigator																																																		
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 10/03/2017																																																		
8. PURPOSE/SPECIAL INSTRUCTIONS Please conduct a compliance inspection.																																																		
<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="2" style="width: 20%;">9. INSPECTION RESULTS</td><td colspan="2" style="width: 40%;"><input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC</td><td colspan="2" style="width: 40%;">10. TRAVEL EXPENSES (OPTIONAL)</td></tr><tr><td>NO. OF VIOLATIONS</td><td>4</td><td>NO. OF REFERRALS</td><td></td><td>2111 - PER DIEM</td><td></td></tr><tr><td>NO. OF TECS CHECKS</td><td></td><td>NO. OF TECS HITS</td><td></td><td>2112 - P.O.A.</td><td></td></tr><tr><td rowspan="2">NO. OF TAX ADJUSTMENTS</td><td rowspan="2"></td><td>\$ VALUE OF TAX INCREASES</td><td></td><td>2113 - COMM. AIR</td><td></td></tr><tr><td>\$ VALUE OF TAX DECREASES</td><td></td><td>2114 - RENTAL CAR</td><td></td></tr><tr><td>NO. OF ASSESSMENTS</td><td></td><td>\$ VALUE OF ASSESSMENTS</td><td></td><td>2115 - GPV EXPENSES</td><td></td></tr><tr><td>NO. OF CLAIMS</td><td></td><td>\$ VALUE OF CLAIMS</td><td></td><td>2116 - MISC.</td><td></td></tr><tr><td>NO. OF TAX PERIODS</td><td></td><td>\$ VALUE OF TAXES VERIFIED</td><td></td><td>TOTAL \$ FOR INSP.</td><td></td></tr></table>					9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)		NO. OF VIOLATIONS	4	NO. OF REFERRALS		2111 - PER DIEM		NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.		NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR		NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES		NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.		NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	
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11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) Industry Operations Investigator  Submitted on: 12/28/2017 Firearms Compliance Inspection (Dealer of Firearms) was initiated on October 25, 2017, and completed on December 21, 2017. Inspection findings are documented in the narrative report. ROV was issued, WL ONLY and Recall Inspection is recommended.  Recommend the email address of (b)(6) be corrected on FLS. Viols WL ONLY and Recall Inspection																																																		
<b>12. TIME ACCOUNTING DATA</b>																																																		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)																																																		
OCT 2017 26.00																																																		
NOV 2017 11.00																																																		
DEC 2017 4.00																																																		
ATF OFFICER'S SUBTOTAL		41.00	ATF OFFICER'S SIGNATURE																																															
TOTAL HOURS		41.00																																																
<b>13. REVIEW AND ROUTING</b>																																																		
REVIEW COMMENTS AND RECOMMENDATION Viols WL ONLY and Recall Inspection																																																		
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION																																																		
SIGNATURE AND TITLE (b)(6) - Area Supervisor			REVIEW DATE 12/28/2017																																															

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)  Heague, John Battlefield Adventures 2035 E. Thompson Blvd. Ventura, CA 93001, Ventura	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 784025-2018-0127-B1B		
	3. PERMIT/LICENSE NUMBER 977111019F38121	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_  
☐ 2. \_\_\_\_\_  
☐ 3. \_\_\_\_\_  
☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

# FIREARMS INSPECTION REPORT

**Name:** Heague, John  
**Trade Name:** Battlefield Adventures

**UI#:** 784025-2018-0127-B1B  
**FFL#:** 9-77-111-01-9F-38121

**Address:** 2035 E. Thompson Blvd  
Ventura, CA 93001 VENTURA

## 1 - Introduction

### Inspection Profile:

On October 25, 2017, at approximately 11:00 a.m., Investigator (b)(6) conducted an unannounced full firearms compliance investigation incorporating the procedures and guidelines for a firearms investigation in the Industry Operations Manual. This investigation was conducted at John Heague d/b/a Battlefield Adventures. The inspection was conducted at the business premises located at 2035 E. Thompson Blvd, Ventura, CA 93001. John Heague, owner and responsible person was interviewed by Investigator Colon.

John Heague was identified through his California Driver's License number (b)(6), with a Date of Birth of (b)(6). De-confliction was conducted by Investigator Colon through the Firearms Licensing System with negative results. No other Federal, State, or local agencies were involved.

The period of review was from October 25, 2016 to October 25, 2017. There were (4) violations cited during this investigation.

### Business Profile:

John Heague dba Battlefield Adventures maintains a Type 01 – Dealer in Firearms. Battlefield Adventures is actively engaged in the business of selling new and used handguns and long guns, as well as receivers. The licensee also conducts private party transactions, consignment sales and occasional out of state transactions through other licensed dealer. The licensee has many repeat customers. The licensee keeps a limited inventory of firearms, as the licensee also places firearms orders at the request of customers.

Battlefield Adventures also sells ammunitions, firearms accessories, old military surplus gears and equipment, fishing and hunting gears, as well as paintball equipment. The licensee has another FFL license #9-77-111-06-8A-03720 - Manufacturer of Ammunition for Firearms. A concurrent compliance inspection was conducted (see UI#784025-2018-0125-B1B). The licensee stated he has not conducted any business with the 06 License, as the city has denied him a local license as is prohibited in the zoning location. The licensee surrendered FFL licensee #9-77-111-06-8A-03720 to Investigator (b)(6).

Battlefield Adventures acquired approximately (b)(4) (b)(4) firearms and disposed of approximately (b)(4) (b)(4) firearms in the last twelve (12) months.

# FIREARMS INSPECTION REPORT

**Name:** Heague, John  
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**UI#:** 784025-2018-0127-B1B  
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The licensee has held the current Federal Firearms license since May of 1998. A Fictitious Business Name Statement search for Battlefield Adventures was conducted utilizing the Ventura County County Registrar-Recorder website, which indicated the name is active. Battlefield Adventures is in compliance with all the required State and local licenses (*Refer to Exhibit #1*):

- California Firearms Dealer License #20392
- Local Firearms License #0443572
- Seller's Permits SR AR 15769079
- California Certificate of Eligibility #10205
- Secondhand Dealer License #56081037

## **Ownership and Control:**

Battlefield Adventures is a sole proprietorship owned by John Heague and listed as the only responsible person. The inspection did not disclose any hidden ownership. The responsible person information is correct as indicated by the FLS, however the email address should be corrected to reflect (b)(6), instead of (b)(6).  
No other changes were needed.

## **Variance:**

Battlefield Adventures has no variances on file with ATF, and did not request one during this investigation.

## **2 - Recommendation**

Viols WL Only and Recall Inspection.

## **3 - Inspection History**

The following inspection was conducted during the past five years.

**Date of Inspection:** November 2014  
**Type of Inspection:** Compliance Inspection  
**UI#:** 784025-2014-0086-B1B  
**Inspection Results:** No Viols, No Further Action, No Referrals  
**Cited Violations:** None

**Date of Inspection:** April 2012  
**Type of Inspection:** Recall Compliance Inspection  
**UI#:** 784025-2011-0017-B1B



# FIREARMS INSPECTION REPORT

**Name:** Heague, John  
**Trade Name:** Battlefield Adventures

**UI#:** 784025-2018-0127-B1B  
**FFL#:** 9-77-111-01-9F-38121

**Inspection Results:** No Viols, No Further Action, No Referrals  
**Cited Violations:** None

## 4 - Acquisition & Disposition (A&D) Record - Inventory

John Heague dba Battlefield Adventures uses manual Acquisition and Disposition (A&D) records. Battlefield Adventures has a total of seven (7) A&D bounds books, of which five are closed. The A&D records were found to be in the proper format required under ATF regulations. The A&D record had all of the required information in the entries. Investigator (b)(6) conducted a 100% inventory of all firearms and verified them by conducting a gun to book inventory method. There were (b)(3) - 112 Public Law 55 125 Stat 552 open entries in the A&D record, however a comparison from the inventory to the A&D records revealed there were discrepancies noted. Battlefield Adventures had fifty-nine (59) firearms in physical inventory. A further review of the A&D records revealed (b)(3) - 112 F disposition of firearms were not logged out after the transfers. The licensee was cited for the following:

- 27 CFR 478.125(e) – Failure to timely record the disposition of a firearm in (b)(3) - 112 Public Law 55 125 Stat 552 instances. (*See Worksheet 1*)

No firearms with obliterated serial numbers were found in the inventory. No firearms were report stolen in the past 12 months. Investigator (b)(6) verified this information per the ATF Violent Crime Analysis Branch.

## 5 - ATF Forms 4473 – NICS & Other Dispositions

Battlefield Adventures filed the ATF Forms 4473s in chronological order. There were (b)(3) - 112 Public Law 55 125 Stat 552 ATF Form 4473s on file for this investigation period, in addition to (b)(3) - 112 Public Law 55 125 Stat 552 denials/non-transfers. Investigator (b)(6) reviewed all forms, which revealed in (b)(3) - 112 Public Law 55 125 Stat 552 instances, Section A, item 12.a were found not checked. The licensee was cited for the following:

- 27 CFR 478.124(c)(1) – Failure to obtain properly completed ATF Form 4473 (b)(3) - 112 Public Law 55 125 Stat 552 instances; Section A, item 12.a, (2016 edition) found not checked (*Refer to Exhibit 2/Worksheet2*).

Investigator (b)(6) verified (b)(3) - 112 Public Law 55 125 Stat 552 ATF Forms 4473s to the Acquisition and Disposition records, with no discrepancies disclosed.

DROS history report for the past twelve (12) months were requested for California Department of Justice. Investigator (b)(6) verified two hundred and five (205) DROS numbers to the ATF F 4473s. No discrepancies were noted.

# FIREARMS INSPECTION REPORT

**Name:** Heague, John  
**Trade Name:** Battlefield Adventures

**UI#:** 784025-2018-0127-B1B  
**FFL#:** 9-77-111-01-9F-38121

## 6 - Multiple Sales

According to the FFL History query from the ATF Violent Crime Analysis Branch, there were (b)(3) - 112 Public multiple sale transactions involving (b)(3) - 112 Public Law 55 125 Stat firearms (*Refer to Exhibit #3*). However, a review of the ATF Forms 4473's, Investigator (b)(6) found (b)(3) - 112 Public Law 55 125 Stat unreported multiple sales of pistols/revolvers involving (b)(3) - 112 Public Law 55 125 Stat firearms and (b)(3) - 112 Public unreported multiple sales of certain rifles involving (b)(3) - 112 Public firearms during this investigation. The licensee was cited for the following:

- 27 CFR 478.126a – Failure to report and file ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on (b)(3) - 112 Public Law 55 125 Stat instances.
- 18 U.S.C. 923(g)(5)(A) – Failure to report and file ATF Form 3310.12, Report of Multiple Sale or Other Disposition of Certain Rifles, on (b)(3) - 112 Public instances.

Investigator (b)(6) verified the licensee prepared and submitted the ATF Forms 3310.4 and 3310.12 to ATF (*Refer to Exhibit #4/Worksheet 2*).

## 7 - Suspicious/Prohibited Purchasers

The ATF F 4473s were reviewed by purchaser's names and addresses to determine if the purchasers were potential traffickers or straw purchasers or may be engaging in the business without an FFL. The ATF Form 4473s were also reviewed for high crime firearms and weapons of choice. No prohibited or straw purchases were disclosed. No firearm trafficking information was disclosed during the review process. No persons that may possibly be dealing in firearms without a license were found.

## 8 - Trace Activity

A request to the Violent Crime Analysis Branch to provide FFL history queries for Battlefield Adventures was provided for the time period between October 16, 2015 to October 16, 2017. Battlefield Adventures was associated with (b)(3) - 112 Public firearms trace requests during that period. The trace requests were completed successfully.

## 9 - NFA/Importer/Collector/Manufacturer

The licensee does not engage in NFA firearms, import, collector or manufacturer activities.

## 10 - Referrals

# FIREARMS INSPECTION REPORT

**Name:** Heague, John  
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**UI#:** 784025-2018-0127-B1B  
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No referrals were generated during this investigation.

## **11 - Other**

No other findings were disclosed during this investigation. A Federal Firearms Licensee Quick Reference and Best Practices Guide (ATF P 5300.15), ATF P 5310.2 pamphlet and the FFL Alert open letter were all provided. Additionally, ATF Publication 3317.7, Disaster Preparedness for Federal Firearms Licensees was also provided to the licensee.

## **12 - Closing Actions**

On December 21, 2017, Investigator Colon held a closing conference with John Heague. Each applicable topic was discussed on the Acknowledgement of Federal Firearm Regulations. Emphasis was placed on the acquisition and disposition records, completion of ATF Forms 4473, identification documents, and multiple sales. John Heague signed and dated the Acknowledgement of Federal Regulations, and received a copy (*Refer to Exhibit #5*).

During the closing conference, the violations were reviewed and a signed copy of the Report of Violations (ATF F 5030.5) was obtained from John Heague (*Refer to Exhibit #6*).

## **13 - Violations**

### **1. 18 U.S.C. 923(g)(5)(A)**

#### **Nature of Violation:**

The licensee failed to file and report ATF Form 3310.12, Report the Multiple Sales of Certain Rifles, on [REDACTED] occasions involving [REDACTED] firearms.

#### **Licensee's response:**

Regarding the issue, John Heague stated that the errors were an oversight as the business got busy at times, and his employees forgot to submit the forms. The licensee further stated he will ensure transactions will be thoroughly reviewed and ATF Form 3310.12 will be submitted on a timely manner.

#### **Corrective action:**

The licensee will immediately file ATF F 3310.12, Report of Multiple Sale or Other Disposition of Certain Rifles and submit to ATF.

**Reference:** Worksheet 2

## FIREARMS INSPECTION REPORT

**Name:** Heague, John  
**Trade Name:** Battlefield Adventures

**UI#:** 784025-2018-0127-B1B  
**FFL#:** 9-77-111-01-9F-38121

2. **27 CFR 478.126a**

**Nature of Violation:**

The licensee failed to file and report ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on [REDACTED] occasions involving firearms.

**Licensee's response:**

Regarding the issue, John Heague stated that the errors were an oversight as the business got busy at times, and his employees forgot to submit the forms. The licensee further stated he will ensure transactions will be thoroughly reviewed and ATF Form 3310.4 will be submitted on a timely manner.

**Corrective action:**

The licensee will prepare and submit ATF Forms 3310.4 to ATF immediately.

**Reference:** Worksheet 2

3. **27 CFR 478.124(c)(1)**

**Nature of Violation:**

The licensee failed to obtain properly completed ATF Form 4473 in [REDACTED] instances; Section A, item 12.a., found not checked. (2016 Edition)

**Licensee's response:**

The licensee stated him and his staff overlooked the 4473's in questions and did not noticed the discrepancies. The licensee further stated he will ensure that all 4473s will be reviewed prior to the transferring of firearms.

**Corrective action:**

The licensee was informed all of Section A of the ATF Form 4473, must be completed personally by the transferee (buyer). The FFL was specifically advised that prior to making an transfer of a firearm to a non-licensee who is a resident of the state in which the licensee's business premises is located, the licensed dealer transferring the firearm shall be certain the buyer has completed all applicable items on ATF Form 4473.

**Reference:** Worksheet 2

4. **27 CFR 478.125(e)**

**Nature of Violation:**

The licensee failed to timely record the disposition of a firearm in [REDACTED] instances. Disposition of firearms must be recorded not later then seven (7) days after the transfer.



## FIREARMS INSPECTION REPORT

**Name:** Heague, John  
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**UI#:** 784025-2018-0127-B1B  
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**Licensee's response:**

The licensee stated the business got busy and he forgot to ensure the firearms dispositions were entered into the A&D in a timely manner.

**Corrective action:**

The licensee was advised each licensed dealer shall enter into a record the disposition of firearms. The sale or other disposition of a firearm shall be recorded by the licensed dealer not later than (7) days following the date of such transaction. The record shall show the date of the sale or other disposition of each firearm, the name and address of the person to whom the firearm is transferred, or the name and license number of the person to whom transferred if such person is a licensee. The licensee corrected the dispositions dates for the dispositions in questioned.

**Reference:** Worksheet 2

- 5.
- 6.

**X**

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Industry Operations Investigator

### **Index of Worksheets and Exhibits**

#### Worksheets

1. Firearms worksheets.

#### Exhibits:

1. CFD/Local licenses.
2. Copies of 4473's.
3. Email from VCAB and Firearm Trace History.
4. Copies of Multiple Sale 3310.4/3310.12 and 4473's.
5. Acknowledgement of Federal Firearms Regulations.
6. Report of Violations – signed by Owner/RP John Heague.
7. Warning Letter

## **FIREARMS INSPECTION REPORT**

**Name:** Heague, John  
**Trade Name:** Battlefield Adventures

**UI#:** 784025-2018-0127-B1B  
**FFL#:** 9-77-111-01-9F-38121



U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

**Bureau of ATF**  
**550 N. Brand Blvd. #800**  
**Glendale, CA 91203**

www.atf.gov

Heague, John, dba Battlefield Adventures  
2035 E. Thompson Blvd.  
Ventura, CA 93001

Dear Mr. Heague:

During a recent inspection at your firearms business covering the period 10/25/2016 to 10/25/2017 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed. All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard. You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact (b)(6)  
(b)(6) Industry Operations Investigator at (b)(6)

Sincerely yours,  
(b)(6)

Area Supervisor

12/28/17

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Heague, John Battlefield Adventures	Street Address 2035 E. Thompson Blvd.	City Ventura	State CA	Zip Code 93001-	County Ventura	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 977111019F38121		Expiration Date 6/1/2019		Date(s) or Period of Inspection 10/25/2016 through 10/25/2017		

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

#### Nature of Violation:

The licensee failed to timely complete and submit the ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, following the transfer of two or more handguns to a non-licensee within five consecutive business days. In (b)(3) - 112 Public Law 55 instances, the licensee failed to timely report the multiple handgun sale involving (b)(3) - 112 Public Law 55 125 handguns.

Citation: 27 CFR 478.126a

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure that handgun transfers meeting the multiple sale criteria are properly documented and timely reported as required by Federal regulations. During the inspection, the licensee utilized disposition records to complete and submit the required ATF Report of Multiple Sale or Other Disposition of Pistols and Revolvers for the eighteen (18) transactions.

Number: 2

#### Nature of Violation:

The licensee failed to timely complete and submit the ATF Form 3310.12, Report of Multiple Sale or Other Disposition of Certain Rifles, following the transfer of two or more rifles meeting the certain rifles criteria to a non-licensee within five consecutive business days. In (b)(3) - 112 Public Law 55 instances, the licensee failed to timely report multiple rifle sales involving (b)(3) - 112 Public Law 55 125 rifles.

Citation: 18 U.S.C. 923(g)(5)(A)

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure that rifles transfers meeting the multiple sale criteria are properly documented and timely reported as required by Federal regulations. During the inspection, the licensee utilized disposition records to complete and submit the required ATF Report of Multiple Sale or Other Disposition of Certain Rifles for the (b)(3) - 112 Public Law 55 transactions.

## Report of Violations

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Name of Proprietor Heague, John Battlefield Adventures	Street Address 2035 E. Thompson Blvd.	City Ventura	State CA	Zip Code 93001-	County Ventura	Page 2 of 3 Pages
License/Permit/Registry Number (If any) 977111019F38121		Expiration Date 6/1/2019	Date(s) or Period of Inspection 10/25/2016 through 10/25/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

#### Nature of Violation:

The licensee failed to obtain properly completed ATF Form 4473 in (b)(3) - 112 PUBLIC LAW 55 125 SIDE B instances;  
Section A, item 12.a, found not checked.

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

The licensee was informed all of Section A of the ATF Form 4473, must be completed personally by the transferee (buyer). The FFL was specifically advised that prior to making an transfer of a firearm to a non-licensee who is a resident of the state in which the licensee's business premises is located, the licensed dealer transferring the firearm shall be certain the buyer has completed all applicable items on ATF Form 4473.

Citation: 27 CFR 478.124(c)(1)



## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Heague, John Battlefield Adventures	Street Address 2035 E. Thompson Blvd.	City Ventura	State CA	Zip Code 93001-	County Ventura	Page 3 of 3 Pages
License/Permit/Registry Number (If any) 977111019F38121		Expiration Date 6/1/2019	Date(s) or Period of Inspection 10/25/2016 through 10/25/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

#### Nature of Violation:

The licensee failed to timely record the disposition of a firearm in <sup>(b)(3) - 112 Public Law</sup> instances.  
Disposition of firearms must be recorded in seven (7) days after the transfer.

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

The licensee was advised each licensed dealer shall enter into a record the disposition of firearms. The sale or other disposition of a firearm shall be recorded by the licensed dealer not later than (7) days following the date of such transaction. The record shall show the date of the sale or other disposition of each firearm, the name and address of the person to whom the firearm is transferred, or the name and license number of the person to whom transferred if such person is a licensee. The licensee corrected the dispositions dates for the dispositions in questioned.

Citation: 27 CFR 478.125(c)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

*[Signature]* Owner

Date  
12/21/17

Signature and Title of ATF

(b)(6)

*Industry Operations Investigator*

Date  
12-21-17

For Official Use Only

ATF E-Form 5030.5

Revised April 2005

# EXHIBIT #6