

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Glenrock Components Inc 223 S 4th Glenrock ,WY 82637, Converse	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <p style="text-align: center;">788070-2018-0057-B1B</p>			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 583009018K84671</td> <td style="width: 33%;">4a. TARGET DATE 12/29/2017</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table>	3. PERMIT/LICENSE NUMBER 583009018K84671	4a. TARGET DATE 12/29/2017	4b. TARGET HOURS
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5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/03/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
 DE: (01) Glenrock Components Inc.

Conduct a Disposition Emphasis (DE) compliance inspection. 100% inventory verification and 12 months ATF Forms 4473 review is required.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	7	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** - Industry Operations Investigator

Submitted on: 11/15/2017
 This licensee is a low volume dealer with no traces. Furthermore, this is the licensee's first compliance inspection since becoming licensed in 1988. The licensee was very receptive and willing to implement changes in their conduct of business which will alleviate any further issues. A warning letter without recall is recommended.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)			(b)(6)
OCT 2017	24.00		
NOV 2017	11.00		
ATF OFFICER'S SUBTOTAL	35.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	35.00		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

The licensee was cited for failing to complete an ATF Form 4473 on **(b)(6)** occasion. Per ATF O 5370.1C this violation warrants an Area Supervisor warning conference as the minimum AA. However, this licensee is a low volume dealer with no traces. Furthermore, this is the licensee's first compliance inspection since becoming licensed in 1988. A warning letter without recall is recommended.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 11/07/2017
--------------------------------------------------------	---------------------------

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Glenrock Components Inc 223 S 4th Glenrock ,WY 82637, Converse	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <p style="text-align: center;">788070-2018-0057-B1B</p>			
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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Issuing a warning letter is lieu of holding a warning conference as an alternate recommendation is authorized. A recall inspection will not be conducted.

The licensee failed to execute ATF Form 4473 prior to transferring a firearm to a non-licensee. This rises to a warning conference level.

Concerning the ATF Forms 4473 errors, the licensee failed to ensure the forms were properly completed in Sections A and D, which includes a 2.9% error rate in the certification section (form) out of the reviewed for the inspection period. The licensee also failed to conduct a background check in one instance pertaining to the failure to execute the ATF Form 4473 and the licensee failed to record this firearm disposition into the acquisition and disposition record (A&D Record.) This equaled a 4% error rate based on the dispositions for the inspection period. (Note the individual who was not subjected to a background check was not prohibited.)

A warning conference is not necessary to again explain ATF Forms 4473 must be completed for all non-licensees. This was an oversight on the licensee's part. A warning conference is not needed to explain a background check must always be conducted for non-licensee firearm transfers and to ensure the A&D Record is properly completed as required by regulation.

All these violations and how to prevent repeat violations was discussed and made clear during the closing conference. The responsible person (RP) is aware of his obligations. A warning letter will suffice to document the licensee may want to consider additional internal controls to prevent repeated violations and document the RP needs to pay more attention to completion of the ATF Forms 4473s, conducting background checks when required and the maintenance of the A & D Record.

Note, a recall inspection is not recommended as all violations were explained during the closing conference and the warning letter will again note the violations cited.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE PWBROWN - Director, Industry Operations	REVIEW DATE <p style="text-align: right;">11/07/2017</p>
----------------------------------------------------------------	-------------------------------------------------------------

ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Glenrock Components Inc	Street Address 223 S 4th	City Glenrock	State WY	Zip Code 82637-	County Converse	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 583009018K84671	Expiration Date 10/1/2018	Date(s) or Period of Inspection 10/20/2016 through 10/20/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure by the licensee to ensure any sale or other disposition of firearm, temporarily or permanently, to any person, other than another licensee, unless the licensee records the transaction on an ATF Form 4473: Provided, that a Form 4473 shall not be required to record the disposition made of a firearm delivered to a licensee for the sole purpose of repair or customizing when such firearm or a replacement firearm is returned to the person from whom received; (b)(3) instance.

Specifically, (b)(3) instance in which the licensee transferred a firearm to a non-licensee, that was not a transfer as a result of repair, customizing, or replacement, without first obtaining a completed ATF Form 4473 by the transferee.

Citation: 27 CFR 478.124(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure that all firearm transfers are properly recorded on an ATF Form 4473.

Number: 2

Nature of Violation:

The licensee failed to conduct a NICS check prior to transferring a firearm to a non-licensee in (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance. Specifically, the licensee sold (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) without conducting a NICS check.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed to conduct a NICS check on all firearms transactions unless a qualifying exemption exists.

Report of Violations

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Name of Proprietor Glenrock Components Inc	Street Address 223 S 4th	City Glenrock	State WY	Zip Code 82637-	County Converse	Page 2 of 3 Pages
License/Permit/Registry Number (If any) 583009018K84671	Expiration Date 10/1/2018	Date(s) or Period of Inspection 10/20/2016 through 10/20/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.102(a)

Number: 3

Nature of Violation:

The licensee failed to complete ATF Form 4473 as prescribed by regulation. Specifically, Section D, blocks 34, Transferor's/Seller's Name and 36, Transferor's Title, were left blank.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee will ensure the ATF Form 4473 is completed correctly for all future transactions.
(If not corrected immediately)

Number: 4

Nature of Violation:

The licensee failed to accurately complete ATF Form 4473. Specifically, Section D, blocks 35, Transferor's/Seller's signature, and 37, Date Transferred, was left blank on form.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee will ensure the ATF Form 4473 is completed correctly for all future transactions.
(If not corrected immediately)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Glenrock Components Inc	Street Address 223 S 4th	City Glenrock	State WY	Zip Code 82637-	County Converse	Page 3 of 3 Pages
License/Permit/Registry Number (If any) 583009018K84671	Expiration Date 10/1/2018	Date(s) or Period of Inspection 10/20/2016 through 10/20/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

The licensee failed to ensure that ATF Form 4473, Section A, was accurately completed by the transferee prior to the transfer of a firearm on ^{(b)(3)}(b)(7)(F) form. Specifically, Section A, blocks 14, Transferee's/Buyer's signature, and 15, Certification Date, were left blank.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure the ATF Form 4473 is completed correctly for all future transactions.

Number: 6

Nature of Violation:

Failure to maintain an accurate Acquisition and Disposition Record in ^{(b)(3)}(b)(7)(F) instance. Specifically, ^{(b)(3)}(b)(7)(F) instance in which a firearm was disposed of in which the disposition information was not recorded.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will record all required information in the A&D Record timely and/or correctly for all future transactions.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title

(b)(6)

Date

10/24/17

Date

10/24/17

FIREARMS INSPECTION REPORT

Name: Glenrock Components, Inc.
Trade Name:

UI#: 788070-2018-0057-B1B
FFL#: 5-83-009-01-8K-84671

Address: 223 South 4th Street
Glenrock, Wyoming 82637, Converse

1 - Introduction

Inspection Profile:

Beginning on October 20, 2017, Bureau of Alcohol, Tobacco, Firearms, and Explosives Investigator John Basile conducted a Disposition Emphasis (DE) compliance inspection of Federal Firearms Licensee (FFL) holder Glenrock Components, Inc. located in Glenrock, Wyoming. Present throughout the inspection was President and Responsible Person, Mary Hughes Cannady. Mrs. Cannady was identified by Wyoming driver's license number (b)(6) [REDACTED]. The purpose of the inspection was to determine the licensee's level of compliance with Federal firearms laws and regulations. The inspection period covered the 12-month period immediately prior to October 20, 2017. The inspection concluded on 10/24/2017.

Business Profile:

Glenrock Components, Inc. maintains a Type-01, Dealer of Firearms FFL from ATF. The licensee owns and operates a sporting goods store for business clients in the Glenrock and surrounding areas. The licensee sells new and used handguns and long guns. The licensed premises are located in a commercial building located in central Glenrock, Wyoming.

During the inspection period, Glenrock Components, Inc. acquired [REDACTED] firearms and disposed of [REDACTED] firearms. The licensee acquires firearms from various firearms dealers throughout the United States as well as business customers. The licensee does not maintain a website, nor does it conduct online transactions. No offsite storage is utilized. No other business is conducted at the licensed premise. The licensee does not attend gun shows.

According to the Federal Licensing System (FLS), Glenrock Components, Inc. has maintained its FFL since September 8, 1988. The licensee does not hold any additional ATF licenses or permits. No additional licenses or permits were deemed necessary as a result of the inspection. Based upon the activities observed during the inspection, the Type-01 FFL held is appropriate.

Ownership and Control:

Glenrock Components, Inc. is a registered profit corporation in good standing with the Wyoming Secretary of State's office. **See Exhibit #1.** Corporate officers are as follows: Mary H. Cannady/President.

FIREARMS INSPECTION REPORT

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There have been no changes in control, name, or address and there is no indication of hidden ownership.

A Query of Mrs. Cannady and Mr. Cannady in NCIC/NFORCE, by Investigator (b)(6), did not result in any prohibiting information.

Variance:

Glenrock Components, Inc. does not maintain any ATF issued variances. Investigator (b)(6) did not discover any circumstances during the inspection period which would require a variance.

2 - Recommendation

Violations, Warning Letter Only, No Recall Inspection.

This licensee is a low volume dealer with no traces. Furthermore, this is the licensee's first compliance inspection since becoming licensed in 1988. The licensee was very receptive and willing to implement changes in their conduct of business which will alleviate any further issues. A warning letter without recall is recommended.

3 - Inspection History

Date of Inspection: There are no documents in either the area office file or within NSPECT that provide Inspection History information. This is the licensee's first compliance inspection. The licensee has maintained its Federal Firearms License since September 8, 1988.

Type of Inspection: N/A

UI#: N/A

Inspection Results: N/A

Cited Violations: N/A

Date of Inspection: N/A

Type of Inspection: N/A

UI#: N/A

Inspection Results: N/A

Cited Violations: N/A

4 - Acquisition & Disposition (A&D) Record - Inventory

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A 100% physical inventory of firearms on-hand was conducted. A total of 16 firearms were physically verified in the licensee's inventory. There were (b)(3) open dispositions in the licensee's A&D Record. Of the (b)(3) open dispositions, there was (b)(3) open disposition in which no corresponding firearm was found in inventory.

The licensee was given until October 24, 2017 to research all records in an attempt to locate an ATF Form 4473 or the firearm.

The licensee stated it was able to account for the firearm and further stated it was transferred to (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

The licensee stated it was unsure of the date of the transfer, but can state that it must have been on or after June 8, 2015 since this was the date the firearm was acquired. The licensee was cited for violating 27 CFR 478.125(e) in (b)(3) instance. See ATF Form 5030.5 – Report of Violations #6, Worksheet 2 – 4473 (2016 edition) and Exhibit #2.

Investigator (b)(6) traced 5 firearms through the licensee's A&D records from acquisition to disposition and found no discrepancies. Investigator (b)(6) did not discover any evidence of obliterated serial numbers or possession of any illegal firearms by the licensee, for the inspection period.

The licensee's standard operating procedures with respect to the A&D Record are as follows:

- Firearm arrives, firearm make, model, serial number and caliber is verified to the invoice.
- Firearm is then logged in to the A&D book with all required information documented.
- After Firearm is sold or disposed of, the firearm is logged out of the A&D book with all required information.
- Full inventories will be completed once a calendar year.

Investigator (b)(6) found no illegal firearms or firearms with obliterated serial numbers.

5 - ATF Forms 4473 – NICS & Other Dispositions

For the inspection period, Glenrock Components, Inc. completed 34 ATF Forms 4473. Investigator (b)(6) reviewed 100% of these forms. The following violations were discovered:

- Failure by the licensee to ensure any sale or other disposition of firearms, temporarily or permanently, to any person, other than another licensee, unless the licensee records the transaction on an ATF Form 4473: Provided, that a Form 4473 shall not be required to record the disposition made of a firearm

FIREARMS INSPECTION REPORT

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UI#: 788070-2018-0057-B1B
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delivered to a licensee for the sole purpose of repair or customizing when such firearm or a replacement firearm is returned to the person from whom received:

(b)(3) instance.

Specifically, (b)(3) instance in which the licensee transferred a firearm to a non-licensee that was not a transfer as a result of repair, customizing, or replacement, without first obtaining a completed ATF Form 4473 by the transferee. The licensee was cited for violating 27 CFR 478.124(a). See **ATF Form 5030.5 – Report of Violations #1, Worksheet 2 – 4473 (2016 Edition)**.

- The licensee failed to conduct a NICS check prior to transferring a firearm to a non-licensee in one instance. Specifically, the licensee sold (b)(3) - 112 Public Law 55 125 Stat 592 (b)(3) conducting a NICS check. Investigator (b)(6) conducted a NCIC query of (b)(3) and found no prohibiting factors. The licensee was cited for violating 27 CFR 478.102(a). See **ATF Form 5030.5 – Report of Violations #2, Worksheet 2 – 4473 (2016 Edition)**.
- The licensee failed to complete (b)(3) ATF Form 4473 as prescribed by regulation. Specifically, Section D, blocks 34, Transferor's/Seller's Name and 36, Transferor's Title, were left blank. The licensee was cited for violating 27 CFR 478.21(a). See **ATF Form 5030.5 – Report of Violations #3, Worksheet 2 – 4473 (2016 Edition) and Exhibit #3**.
- The licensee failed to accurately complete ATF Form 4473. Specifically, Section D, blocks 35, Transferor's/Seller's signature, and 37, Date Transferred, were left blank on (b)(3) form. The licensee was cited for violating 27 CFR 478.124(c)(5). See **ATF Form 5030.5 – Report of Violations #4, Worksheet 2 – (2016 Edition) and Exhibit #3**.
- The licensee failed to ensure that ATF Form 4473, Section A, was accurately completed by the transferee prior to the transfer of a firearm on (b)(3) form. Specifically, Section A, block 14, Transferee's/Buyer's signature, and 15, Certification Date, were left blank. The licensee was cited for violating 27 CFR 478.124(c)(1). See **ATF Form 5030.5 – Report of Violations #5, Worksheet 2 (2016 Edition) and Exhibit #3**.

According to the FFL Audit Report, Glenrock Components, Inc. was not associated with any NICS contact during the inspection period (i.e. no "Delayed" nor "Proceed" responses); the licensee is not associated with any "Deny" responses from NICS. As a result, Investigator (b)(6) compared zero NICS transaction numbers to the FFL Audit Log at the time of the inspection.

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Name: Glenrock Components, Inc.
Trade Name:

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FFL#: 5-83-009-01-8K-84671

6 - Multiple Sales

According to the Violent Crimes Analysis Branch (VCAB), the licensee was not associated with any multiple sales transactions. Investigator (b)(6)'s review of ATF Forms 4473 completed during the inspection period revealed no discrepancies.

7 - Suspicious/Prohibited Purchasers

Investigator (b)(6) reviewed the licensee's A&D Record and denied ATF Forms 4473 for possible firearm trafficker patterns such as unusual purchases, purchases of large numbers of firearms by the same individual in a short period of time, purchase of firearms by individuals living at the same address (straw purchase indicators), and purchases of specific types of firearms commonly used in crimes. This review did not reveal any indicators that would suggest suspicious or prohibited purchasers.

8 - Trace Activity

According to the VCAB, Glenrock Components, Inc. was not associated with any firearms trace requests in the last 12 months.

Investigator (b)(6) conducted a secondary market analysis, which includes queries in NCIC and ETRACE, on (b)(6) firearms in inventory with negative results.

9 - NFA/Importer/Collector/Manufacturer

Glenrock Components, Inc. does not deal in NFA firearms, is not an Importer, Collector, or Manufacturer of firearms.

10 - Referrals

Investigator (b)(6) did not generate any referrals as a result of this inspection.

11 - Other

It should be noted that the NCIC query of (b)(6) conducted by Investigator (b)(6) revealed a felony arrest for delivery of a controlled substance on December 7, 1982 in which no disposition information was available. Investigator (b)(6) contacted Reference Archivist, (b)(6), of the Wyoming State Archives at (b)(6) and requested the judgment and sentencing information for this arrest. (b)(6) provided the requested information which is attached as **Exhibit #5**. The case was dismissed and (b)(6) is not prohibited from possessing firearms.

FIREARMS INSPECTION REPORT

Name: Glenrock Components, Inc.
Trade Name:

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FFL#: 5-83-009-01-8K-84671

12 - Closing Actions

On October 24, 2017, Investigator (b)(6) conducted a closing conference with President and Responsible Person, Mary H. Cannady. The report of violations was reviewed with and signed by Mrs. Cannady, signifying her understanding of the violation, and corrective action required, and the time period in which those actions were to be taken. Investigator (b)(6) reviewed the Acknowledgment of Federal Firearms Regulations with Mrs. Cannady and obtained her signature signifying her understanding of its contents. See Exhibit #4. Finally, Investigator (b)(6) provided the licensee with ATF reference materials, including the ATF Federal Firearms Licensee Quick Reference, Best Practices Guide, and the Important Notice regarding the selling of firearms after revocation, expiration, or surrender of an FFL, as further means for the licensee to remain in compliance with Federal firearms law and regulations.

13 - Violations

1. 27 CFR 478.125(e)

Nature of Violation: The licensee failed to maintain an accurate Acquisition and Disposition Record. Specifically, there was (b)(6) instance in which a firearm was disposed of with no disposition information recorded in the required records. Of the (b)(6) instance in which the disposition information was not recorded, the firearm was accounted for and properly disposed of in the licensee's A&D Record.

Licensee's Response: This was a major oversight.

Corrective Action: Research records and record all disposition information immediately into the A&D Record book. On October 24, 2017, Investigator (b)(6) confirmed that all disposition information that could be obtained was properly recorded in the licensee's A&D record.

2. 27 CFR 478.124(a)

Nature of Violations: Failure by the licensee to ensure any sale or other disposition of firearms, temporarily or permanently, to any person, other than another licensee, unless the licensee records the transaction on an ATF Form 4473: Provided, that a Form 4473 shall not be required to record the disposition made of a firearm delivered to a licensee for the sole purpose of repair or customizing when such firearm or a replacement firearm is returned to the person from whom received: (b)(6) instance. Specifically, (b)(6) instance in which the licensee transferred a firearm to a non-licensee that was not a transfer as a result of repair, customizing, replacement, a transfer to a law enforcement officer, FFL responsible person, or a consignment return, without first obtaining a completed ATF Form 4473 by the transferee.

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Name: Glenrock Components, Inc.
Trade Name:

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FFL#: 5-83-009-01-8K-84671

Licensee's Response: This was a major oversight.

Corrective Action: The licensee will ensure that all firearm transfers are properly recorded on ATF Forms 4473.

3. **27 CFR 478.102(a)**

Nature of Violation: The licensee failed to conduct a NICS check prior to transferring a firearm to a non-licensee in one instance. Specifically, the licensee sold a [REDACTED], model 110L, serial number: [REDACTED], .270 caliber rifle to [REDACTED] without conducting a NICS check. Investigator Basile conducted a NCIC query of [REDACTED] and found no prohibiting factors.

Licensee's Response: This was a major oversight.

Corrective Action: The licensee was instructed to conduct a NICS check on all firearms transactions unless a qualifying exemption exists.

4. **27 CFR 478.21(a)**

Nature of Violation: The licensee failed to complete [REDACTED] ATF Form 4473 as prescribed by regulation. Specifically, Section D, blocks 34, Transferor's/Seller's Name and 36, Transferor's Title, were left blank.

Licensee's Response: This was an oversight.

Corrective Action: The licensee will ensure ATF Form 4473 is completed correctly for all future transactions.

5. **27 CFR 478.124(c)(5)**

Nature of Violation: The licensee failed to accurately complete ATF Form 4473. Specifically, Section D, blocks 35, Transferor's/Seller's signature, and 37, Date Transferred, were left blank on [REDACTED] form.

Licensee's Response: This was an oversight

Corrective Action: The licensee will ensure ATF Form 4473 is completed correctly for all future transactions.

6. **27 CFR 478.124(c)(1)**

Nature of Violation: The licensee failed to ensure that ATF Form 4473, Section A, was accurately completed by the transferee prior to the transfer of a firearm on [REDACTED] form. Specifically, Section A, block 14, Transferee's/Buyer's signature, and 15, Certification Date, were left blank

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Licensee's Response: This was an oversight.

Corrective Action: The licensee will ensure ATF Form 4473 is completed correctly for all future transactions.

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Worksheets:

1. Inventory and A&D Review
2. ATF Form 4473 Review

Exhibits:

1. Wyoming Secretary of State's Good Standing Certificate
2. Picture of A&D Record showing violation of 27 CFR 478.125(e)
3. Picture of ATF Form 4473 showing violations of 27 CFR 478.125(c)(1), 27 CFR 478.21(a), 27 CFR 478.124(c)(5)
4. Acknowledgement of Federal Firearms Regulations dated 10-24-2017.
5. Albany County court document

FIREARMS INSPECTION REPORT

Name: Glenrock Components, Inc.
Trade Name:

UI#: 788070-2018-0057-B1B
FFL#: 5-83-009-01-8K-84671



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Denver Field Division

November 16, 2017

www.atf.gov

788070: (b)(6)
5300

Ms. Mary Hughes Cannady
Glenrock Components Inc.
223 S 4th
Glenrock, WY 82637

Re: FFL# 5-83-009-01-8K-84671

Ms. Cannady:

During a recent inspection at your firearms business covering the period from October 20, 2016 to October 20, 2017 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Ms. Mary Hughes Cannady
Glenrock Components Inc.

Should you have any questions regarding this matter, please contact (b)(6), Area Supervisor at (b)(6).

(b)(6)

Area Supervisor Industry Operations

cc: Federal Firearms Licensing Center
Denver VI Field Office