

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Shooters Supply & Law Enforcement Equipment Co, LLC 8007-8 Vinecrest Ave Louisville, KY 40222, Jefferson	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 775045-2017-0365-B1B		
	3. PERMIT/LICENSE NUMBER 461111019G03825	4a. TARGET DATE 9/30/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Assigned Investigator
(b)(6) - Lead Investigator
(b)(6) - Assigned Investigator
(b)(6) - Assigned Investigator
(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 08/08/2017

8. PURPOSE/SPECIAL INSTRUCTIONS

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	5	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	26	NO. OF TECS HITS	3	2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 08/30/2017

The current inspection for Shooters Supply & Law Enforcement Equipment Co., LLC which holds Type 01 FFL # 4-61-03825 (UI # 775045-2017-0365-B1B) disclosed five violations of the Gun Control Act. The licensee failed to conduct a background check (NICS check) or obtain a valid state permit in (b)(3) instances in violation of 27CFR478.102(a). In these (b)(3) instances, the licensee transferred (b)(3) - 112 Public Law 55 125 Stat 552 and failed to conduct a background check on (b)(3) - 112 Public Law 55 125 Stat 552. The licensee failed to properly maintain the acquisition and disposition record in (b)(3) instances. The licensee violated 27CFR478.21(a) on (b)(3) - 112 ATF F 4473s. The licensee violated 27CFR478.124(c)(3)(iv) on (b)(3) - 112 ATF F 4473. The licensee failed to complete a Multiple Handgun Sales Report in (b)(3) instance. This is the licensee's second compliance inspection since obtaining the license in 2010. A 2016 Compliance Inspection disclosed five violations which resulted in a Warning Letter. IOI (b)(6) recommends revocation of Shooters Supply & Law Enforcement Equipment Co., LLC (Type 01 FFL # 4-61-03825). No referrals.

Ronald Buschmann is listed as owner and responsible person for Shooters Supply & Law Enforcement Equipment Co., LLC (Type 01 FFL # 4-61-03825) and On Target Training Professionals, LLC dba Shooter's Supply's Muzzleloader Showroom (Type 01 FFL # 4-61-04413). Due to Ronald Buschmann being listed as owner/RP for both licenses, IOI (b)(6) recommends revocation of On Target Training Professionals, LLC dba Shooter's Supply's Muzzleloader Showroom (Type 01 FFL # 4-61-04413).

Viols and Revocation / Denial of Renewal App

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)		
AUG 2017	8.00		
ATF OFFICER'S SUBTOTAL	8.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)		
AUG 2017	34.00		

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		3. PERMIT/LICENSE NUMBER 461111019G03825	4a. TARGET DATE 9/30/2017
		4b. TARGET HOURS	
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			
12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
OCT 2017 2.00			
ATF OFFICER'S SUBTOTAL 36.00		ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
AUG 2017 9.00			
ATF OFFICER'S SUBTOTAL 9.00		ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
AUG 2017 17.00			
ATF OFFICER'S SUBTOTAL 17.00		ATF OFFICER'S SIGNATURE	
TOTAL HOURS 70.00			
13. REVIEW AND ROUTING			
REVIEW COMMENTS AND RECOMMENDATION			
<p>This compliance inspection was completed on 8/30/17 and resulted in 5 violations. The FFL is a high volume dealer with 732 firearms in inventory, approximately (b)(4) firearms acquisitions, (b)(4) firearms dispositions, and (b)(4) ATF Forms 4473 completed in the past 12 months.</p> <p>Violation #5, Failure to conduct (b)(3) NICS checks prior to conducting transfers (b)(3) - 112 Public Law 55 125 Stat 552. The transferee rises to the level of revocation due to (b)(3) transferee being prohibited. These transfers took place prior to Final Rule 41 F, July 13, 2016.</p> <p>Although the violations are serious, the area supervisor does not view any of the violations as a result licensee being reckless, purposely disregarding the regulations or from being plainly indifferent to the regulations and recommends an alternate recommendation due to the following factors.</p> <ol style="list-style-type: none">1. The FFL is a high volume dealer that emphasizes a commitment to complying with the regulations. This is evident in the overall low percent of A & D and 4473 errors with 100% accountability for all firearms.2. When presented with the Report of Violations, the licensee took the violations very personally and was dissatisfied with his business's compliance to the regulations. Without knowing the outcome of the inspection or any possible pending administrative action, the licensee examined his business practices, researched the cause of each violation, developed individual corrective actions for each violation, and submitted to ATF a six page compliance plan.3. The FFL has shown a history to keep abreast of current ATF regulations. Specifically, the FFL previously sent store employees to a public ATF firearms seminar in 2016. ATF also satisfied requests from the licensee and conducted in-store regulatory seminars in 2011 and 2013.4. Approximately 4 months prior to this inspection, in May, 2017 the FFL actively began winding down retail firearms sales operations. This decision is unrelated to this inspection (b)(4). The FFL has already liquidated the majority of the firearms inventory and plans to coordinate out of business records with ATF by the end of October, 2017. <p>9/27/2017-P11 sent to the DIO for review of and final recommendation.</p> <p>WC held on 10/31. Follow-up letter sent on 11/6/2017. Final P11 sent on 11/6/2017.</p> <p>Viols DIO (or Delegate) Held WC ILO Revocation</p>			
<input checked="" type="checkbox"/> REVIEWED <input type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input type="checkbox"/> FINAL DISPOSITION			
SIGNATURE AND TITLE (b)(6) - Area Supervisor		REVIEW DATE 09/27/2017	

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

The licensee has been licensed since 2010, received to seminars at the licensed premises in 2011 and 2013. The licensee received a warning letter in 2016. The RP is also listed on another FFL (4-61-04413), which received a qualification inspection in 2011.

The licensee failed to conduct a background check (NICS check) or obtain a valid state permit in (b)(3) instances in violation of 27CFR478.102(a). In these (b)(3) instances, the licensee transferred (b)(3) - 112 Public Law 55 125 Stat 552 and failed to conduct a background check on (b)(3) - 112 Public Law 55 125 Stat 552 (b)(3) - 112 Public Law 55 125 Stat 552. This resulted in a prohibited individual acquiring a NFA weapon.

Lead IOI recommended revocation. Area Supervisor recommended DIO WC ILO Revocation. DIO concurs with DIO WC ILO Revocation.

Violts DIO (or Delegate) Held WC ILO Revocation

☒ REVIEWED

☐ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE
APROGERS - DIO

REVIEW DATE
09/27/2017

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Shooters Supply & Law Enforcement Equipment Co., LLC	Street Address 8007-8 Vinecrest Avenue	City Louisville	State Ky.	ZIP Code 40222	County Jefferson	Page 1 of 2 2 Pages
License/Permit/Registry Number (if any) 4-61-111-01-9G-03825		Expiration Date 7-1-2019	Date(s) or Period of Inspection 8-22-17 to 8-30-17 8-30-17			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27 CFR 478.125(e)	Failure to properly maintain the acquisition and disposition record in instances. Licensee recorded a firearm as logged out of the A & D record when in inventory in instance. Licensee recorded a duplicate acquisition entry in the A & D record in instance.	The licensee was instructed to begin maintaining the A & D record accurately and in a timely manner. Licensee was instructed to make the appropriate corrections to the A & D record, which he did.	immediately
2	27CFR478.21(a)	Failure to properly complete ATF F4473s. 1944 forms were examined. Errors were found in instances on forms. The following was found: In instance, Item 24 (2012 edition) was blank. In instance, Item 25 (2012 edition) was blank. In instances, Item 33 (2016 edition) was blank.	Licensee was instructed to examine all ATF F4473s completed by purchasers to ensure that all required information is fully complete and correct. Licensee was instructed to properly complete ATF F4473s.	immediately
3	27CFR478.124(c)(3)(iv)	Failure to properly complete ATF F4473s. 1944 forms were examined. Errors were found in instance on form. The following was found: In instance, Item 21a (2012 edition) was incorrect.	Licensee was instructed to properly complete ATF F4473s.	immediately
4	27CFR478.126a	Failure to complete/report a Multiple Handgun Sales Report when two or more handguns are transferred/sold to the same individual within five working days. The licensee failed to complete/report Multiple Handgun Sales Report during the inspection period which involved handguns.	The licensee was instructed to complete/report a Multiple Handgun Sales Report when two or more handguns are transferred/sold to the same individual within five working days. Licensee was instructed to report the unreported multiple sale.	immediately

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of ATF Officer

(b)(6)

Owner / Sole member

ICU

Date
8/30/17

Date
8-30-17

ATF E-Form 5030.5
Revised April 2005

Report of Violations

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Name of Proprietor Shooters Supply & Law Enforcement Equipment Co., LLC	Street Address 8007-8 Vinecrest Avenue	City Louisville	State Ky.	ZIP Code 40222	County Jefferson	Page <u>2</u> of <u>2</u> Pages
License/Permit/Registry Number (if any) 4-61-111-01-9G-03825		Expiration Date 7-1-2019	Date(s) or Period of Inspection 8-22-17 to 8-29-17 8-30-17			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
5	27CFR478.102(a)	<p>The licensee failed to conduct a background check (NICS check) or obtain a valid state permit in [REDACTED] instances in violation of 27CFR478.102(a).</p> <p>In these [REDACTED] instances, the licensee transferred [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552 failed to conduct a background check on [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552 (b)(3) - 26 USC 6103</p> <p>(b)(3) - 26 USC 6103 6. No background check was done at the (b)(3) - 26 USC 6103(b)(3) - 26 USC 6103. (b)(6)</p> <p>(b)(3) - 26 USC 6103, (b)(6)</p>	<p>The licensee was instructed that prior to 7-13-16, if he transferred a NFA weapon to a trust, he was required to conduct a background check on the individual who picked up the NFA weapon on behalf of the trust. The licensee was instructed that after 7-13-16, if he transferred a NFA weapon to a trust, he must require responsible persons of the trust to complete ATF F 5320.23 (NFA RP Questionnaire) and require the responsible persons to submit photographs/fingerprints to the NFA Branch along with the appropriate NFA Form.</p>	immediately

FIREARMS INSPECTION REPORT

Name: Shooters Supply & Law Enforcement **UI#:** 775045-2017-0365-B1B
Equipment Co., LLC
Trade Name: **FFL#:** 4-61-111-01-9G-03825

Address: 8007-8 Vinecrest Avenue
Louisville, Ky. 40222 Jefferson County

1 - Introduction

Inspection Profile:

On August 22, 2017, ATF IOI (b)(6) (Lead), IOI (b)(6), IOI (b)(6), IOI (b)(6) and IOIS (b)(6) visited the business premise of Shooters Supply & Law Enforcement Equipment Co., LLC for the purpose of conducting a Disposition Emphasis Compliance Inspection on the Type 01 Federal Firearms License # 4-61-111-01-9G-03825 with an expiration date of July 1, 2019. The business is a limited liability company in which Ronald Buschmann is listed as owner/responsible person and Kevin Flores is listed as operations manager/responsible person. Ronald Buschmann's identity was verified by Indiana Driver's License (b)(6). The inspection period is August 22, 2016 to present. Advance notice was not provided.

A Compliance Inspection was also conducted at this location for On Target Training Professionals, LLC dba Shooter's Supply's Muzzleloader Showroom which holds Type 01 FFL # 4-61-04413 (UI # 775045-2017-0110-B1B) and Precision Armory, LLC which holds Type 01 FFL # 4-61-05359 (UI # 775045-2017-0143-B1B).

Business Profile:

Shooters Supply & Law Enforcement Equipment Co., LLC primarily conducts retail sales of firearms at the premise and limited internet sales via gunbroker. Ronald Buschmann estimated (b)(4) of their business is retail sales of firearms and (b)(4) of their business is internet sales. Mr. Buschmann stated they do not conduct gunsmithing activity, consignment sales, gun show sales or pawn transactions. Shooters Supply & Law Enforcement Equipment Co., LLC is also a Class 3 NFA dealer. The business premise is a commercial storefront located in an urban area of Louisville, Ky. at 8007-8 Vinecrest Avenue. A Type 01 FFL is the appropriate license for the type of business activity conducted. The licensee currently maintains 732 firearms in inventory. This FFL acquired (b)(4) firearms during the previous year. Handguns account for (b)(4) of the business and long guns account for (b)(4) of the business. Used firearms account for (b)(4) of the business and new firearms account for (b)(4) of the business. The licensee stated he does not have a primary supplier for new firearms. The licensee stated he acquires new firearms from numerous suppliers including manufacturers. Ronald Buschmann stated Kevin Flores,

FIREARMS INSPECTION REPORT

Name: Shooters Supply & Law Enforcement **UI#:** 775045-2017-0365-B1B
Equipment Co., LLC
Trade Name: **FFL#:** 4-61-111-01-9G-03825

(b)(6)

are the current employees of the business who are involved in firearm sales. Hours of operation are currently listed as Sunday 12 am to 6 pm and Monday to Saturday 10 am to 6 pm.

Ownership and Control:

As previously stated, the business is a limited liability company in which Ronald Buschmann is listed as owner/responsible person. Ronald Buschmann stated he owns 100% of the business. No changes in ownership/control reported. The KY Secretary of State database lists Shooters Supply & Law Enforcement Equipment Co., LLC as active and in good standing. (See **Exhibit 1- LLC Information**) No hidden ownership is suspected.

Variance:

No variance requests were submitted as a result of this inspection.

2 - Recommendation

The current inspection for Shooters Supply & Law Enforcement Equipment Co., LLC which holds Type 01 FFL # 4-61-03825 (UI # 775045-2017-0365-B1B) disclosed five violations of the Gun Control Act. The licensee failed to conduct a background check (NICS check) or obtain a valid state permit in (b)(3) instances in violation of 27CFR478.102(a). In these (b)(3) instances, the licensee transferred a NFA weapon to a trust and failed to conduct a background check on the individual (b)(3) - 112 Public Law 55 125 Stat 552. This resulted in a prohibited individual acquiring a NFA weapon. The licensee failed to properly maintain the acquisition and disposition record in (b)(3) - 11 instances. The licensee violated 27CFR478.21(a) on (b)(3) - 1 ATF F 4473s. The licensee violated 27CFR478.124(c)(3)(iv) on (b)(3) - 1 ATF F 4473. The licensee failed to complete a Multiple Handgun Sales Report in (b)(3) - 11 instance. This is the licensee's second compliance inspection since obtaining the license in 2010. A 2016 Compliance Inspection disclosed five violations which resulted in a Warning Letter. IOI (b)(6) recommends revocation of Shooters Supply & Law Enforcement Equipment Co., LLC (Type 01 FFL # 4-61-03825). No referrals.

Ronald Buschmann is listed as owner and responsible person for Shooters Supply & Law Enforcement Equipment Co., LLC (Type 01 FFL # 4-61-03825) and On Target Training Professionals, LLC dba Shooter's Supply's Muzzleloader Showroom (Type 01 FFL # 4-61-04413). Due to Ronald Buschmann being listed as owner/RP for both licenses, IOI (b)(6) recommends revocation of On Target Training Professionals, LLC dba Shooter's Supply's Muzzleloader Showroom (Type 01 FFL # 4-61-04413).

FIREARMS INSPECTION REPORT

Name: Shooters Supply & Law Enforcement
Equipment Co., LLC
Trade Name:

UI#: 775045-2017-0365-B1B
FFL#: 4-61-111-01-9G-03825

3 - Inspection History

Date of Inspection: 2/8/2016 to 2/25/2016
Type of Inspection: Compliance Inspection
UI#: 775045-2016-0079-B1B
Inspection Results: Five violations cited. Warning Letter recommended. No recall requested. No referrals.
Cited Violations:

1. 27 CFR 478.129(b)- The licensee failed to retain a copy of the ATF Form 4473 for a transferred firearm on (b)(3) - (1) occasion.
2. 27 CFR 478.126a- The licensee failed to report the multiple sale or other disposition of pistols or revolvers on ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols or Revolvers, on (b)(3) - (1) occasion involving the sale of (b)(3) - (1) handguns.
3. 27 CFR 478.125(e)- The licensee failed to record the disposition of firearms in the Acquisition and Disposition Record on (b)(3) - (1) occasions. The licensee incorrectly recorded the disposition of a firearm on (b)(3) - (1) occasion. The licensee failed to record the correct serial number in the acquisition and disposition record on (b)(3) - (12) occasions.
4. 27 CFR 478.21(a)- The licensee failed to obtain complete and accurate ATF Forms 4473 on (b)(3) - (1) occasion. The transferee answered question 11a - Are you the actual transferee/buyer of the firearm(s) listed on this form? - incorrectly. The transferee answered "No."
5. 27 CFR 478.124(c)(1)- The licensee failed obtain complete and accurate ATF Form 4473 on (b)(3) - (1) occasion. The transferee failed to answer Item 11j - Have you ever renounced your United States citizenship?

Date of Inspection: 5/3/2013
Type of Inspection: General UI
UI#: 775045-2013-0001-B1B
Inspection Results: Seminar conducted at Shooters Supply. No further action.
Cited Violations:

FIREARMS INSPECTION REPORT

Name: Shooters Supply & Law Enforcement **UI#:** 775045-2017-0365-B1B
Equipment Co., LLC
Trade Name: **FFL#:** 4-61-111-01-9G-03825

Date of Inspection: 6/24/2011 to 7/29/2011
Type of Inspection: Special Request
UI#: 775045-2011-0128-B1B
Inspection Results: Seminar conducted at Shooters Supply. Special Request
Cited Violations: completed.

Date of Inspection: 5/24/2010
Type of Inspection: Qualification Inspection
UI#: 775045-2010-0230-B1B
Inspection Results: Approve Original
Cited Violations:

4 - Acquisition & Disposition (A&D) Record - Inventory

The licensee maintains a computerized A & D record which is in the correct format. This computerized A & D record appears to be compliant with ATF Ruling 2016-1. The licensee stated they have been conducting an inventory for comparison to the A & D record. There was no tag system set up which would reference a particular firearm in the physical inventory with the A & D records. This did not pose a problem during inventory due to the licensee's ability to print a sorted inventory list for comparison to the physical inventory. The licensee stated the acquisitions and dispositions are typically entered into the bound book at the time of the transaction. The licensee's procedures with regards to the inventory and A & D record are adequate as there were only two errors disclosed during the current inspection.

At the time of the inspection, there were 732 firearms in physical inventory and there were (b)(3) firearms on hand according to the licensee's A & D records. A 100% inventory was conducted on the firearms. (b)(3)-(1)(2) discrepancies were disclosed at that time.

(b)(3) firearms located on commercial invoices were compared to the A & D record. No discrepancies disclosed.

(b)(3) ATF F 4473s during the inspection period were compared to the A & D record. No discrepancies disclosed.

The error rate for failing to timely and/or correctly record acquisitions is .07%. The error rate for failing to timely and/or correctly record dispositions is .05%.

After the final reconciliation, the following violations of 27 CFR 478.125(e) regarding the inventory and A & D record were reported on the Report of Violations: Licensee

FIREARMS INSPECTION REPORT

Name: Shooters Supply & Law Enforcement
Equipment Co., LLC

UI#: 775045-2017-0365-B1B

Trade Name:

FFL#: 4-61-111-01-9G-03825

recorded a firearm as logged out of the A & D record when in inventory in (b)(3) - 11 instance. Licensee recorded a duplicate acquisition entry in the A & D record in (b)(3) - 11 instance. (See Exhibit 2- A & D Violation Photos, Violations, Report of Violations, Worksheet 2 - Inventory and A & D Review Worksheet)

5 - ATF Forms 4473 – NICS & Other Dispositions

Each salesclerk is responsible for the proper completion of ATF Forms 4473 at the time of the transaction. The licensee verifies the ID of the purchaser, enters the licensee information and signs the form. There were no errors disclosed during the current inspection which were related to the identification section. There were no errors related to the certification section. The licensee currently maintains their ATF F 4473s in chronological order. The licensee maintains the denials separately. There are instances where a firearm is shipped to a licensee outside the business on behalf of a customer. In these instances, the licensee obtains a certified copy of the recipient dealer's FFL and a file copy is maintained. Overall, the error rate for proper completion of the ATF F 4473 was 1% during the inspection period. The licensee failed to conduct a background check (NICS check) or obtain a valid state permit in (b)(3) - 11 instances. The licensee's procedures with regards to the ATF F 4473 need to show improvement.

The 4473 review covered the period of August 22, 2016 to present. All transfers for this period (1944 ATF F 4473s) were reviewed. There were deficiencies noted on (b)(3) - 11 forms. These violations included the following:

Failure to properly complete ATF F4473s. (b)(3) - 112 P forms were examined. Errors were found in (b)(3) - 112 instances on (b)(3) - 112 P forms. The following was found: In (b)(3) - 11 instance, Item 24 (2012 edition) was blank. In (b)(3) - 11 instance, Item 25 (2012 edition) was blank. In (b)(3) - 11 instances, Item 33 (2016 edition) was blank. (See Exhibit 3-Photos of ATF F 4473 Errors for Violation of 27CFR478.21(a), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

Failure to properly complete ATF F4473s. (b)(3) - 112 P forms were examined. Errors were found in (b)(3) - 11 instance on (b)(3) - 11 form. The following was found: In (b)(3) - 11 instance, Item 21a (2012 edition) was incorrect. (See Exhibit 4- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(3)(iv), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

Failure to complete/report a Multiple Handgun Sales Report when two or more handguns are transferred/sold to the same individual within five working days. The licensee failed to complete/report (b)(3) - 11 Multiple Handgun Sales Report during the inspection period which involved (b)(3) - 11 handguns. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) (See

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Name: Shooters Supply & Law Enforcement
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Trade Name:

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Exhibit 5- Photos of ATF F 4473 Errors for Violation of 27CFR478.126a, Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

The licensee failed to conduct a background check (NICS check) or obtain a valid state permit in (b)(3) instances in violation of 27CFR478.102(a). In these (b)(3) instances, the licensee transferred (b)(3) - 112 Public Law 55 125 Stat 552

(b)(3) - 26 USC 6103, (b)(6)

(See Exhibit 6- Photos of ATF F 4473 Errors for Violation of 27CFR478.102(a), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

Transaction approval numbers were acquired from NICS for the period of 6-16-17 to 8-15-17. 88 NTNs for approved/open transactions and 14 denials were compared to the ATF F 4473s. No discrepancies disclosed. (See Exhibit 7- NICS Audit Log)

Overall, there were deficiencies noted on (b)(3) of the (b)(3) - 112 P forms reviewed which is an error rate of 1%. The licensee failed to conduct a NICS check or obtain an alternative permit in (b)(3) instances. The licensee failed to complete a Multiple Handgun Sales Report in (b)(3) - 11 instance. Violations of 27 CFR §§ 478.21(a), 478.124(c)(3)(iv), 478.126a and 478.102(a) were cited on the Report of Violations. (See Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

6 - Multiple Sales

The licensee reported (b)(3) multiple sales of handguns during the past year according to the report acquired from the Violent Crime Analysis Branch. A review of the ATF F 4473s during the previous year disclosed (b)(3) - 11 unreported Multiple Handgun Sale which involved (b)(3) - 11 firearms. (See Exhibit 5- Photos of ATF F 4473 Errors for Violation of 27CFR478.126a, Exhibit 8- VCAB Query, Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

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7 - Suspicious/Prohibited Purchasers

The ATF F 4473s/disposition records during the inspection period were reviewed by IOIS (b)(6) to determine if any trafficking patterns were present. No individuals were identified as having unusual purchases or purchase patterns. No referrals made.

8 - Trace Activity

Prior to conducting the inspection, the Firearms Trace History of the licensee was obtained from the Violent Crime Analysis Branch for the previous year. The VCAB Query indicated the licensee was associated with (b)(3) firearm trace requests, including (b)(3) duplicates and (b)(3) not completed to an individual purchaser (b)(3) - 112 Public Law 55 125 Stat 552 (b)(3) - 112 Public Law 55 125 Stat 552. IOI (b)(6) completed this trace during the inspection and forwarded the results to the Tracing Center. (See Exhibit 8- VCAB Query and Exhibit 9- Traces Perfected Worknote)

A secondary market analysis was conducted. 10 used firearms were randomly chosen from the A & D record. These firearms were run through NCIC and LEAD. There were no firearms associated with the secondary market analysis which had been reported stolen according to NCIC. There were no firearms associated with the secondary market analysis which had been traced after the firearm was acquired by the FFL.

9 - NFA/Importer/Collector/Manufacturer

No Importer/Collector/Manufacturer operations conducted.

Shooters Supply & Law Enforcement Equipment Co., LLC currently holds a 2018 Tax Stamp as a NFA Firearms Dealer. (b)(3) - 26 USC 6103 See Exhibit 10- 2018 Tax Stamp) IOI (b)(6) obtained a list of NFA weapons registered to Shooters Supply & Law Enforcement Equipment Co., LLC from the NFA Branch. (See Exhibit 11- NFA List) This list was compared to the NFA weapons currently in inventory. (b)(3) NFA discrepancies were disclosed. (See Exhibit 12- NFA Inventory Discrepancy Worknote) This worknote was forwarded to (b)(6) at the NFA Branch.

There were (b)(3) - 26 USC 6103. Ronald Buschmann stated he did not receive any of these (b)(3) - 26 USC 6103, (b)(6)

See Exhibit 13- NFA Response for Voided Transactions)

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The remaining (b)(3) - 26 USC 6103, (b)(6)

See Exhibit 14- Cancelled Order for 919R001241 and Exhibit 15-
Cancelled Order for S16107129)

There was (b)(3) - 26 USC 6103, (b)(6)

10 - Referrals

No referrals.

11 - Other

Ronald Buschmann stated he is selling all of his inventory and intends to discontinue Shooters Supply & Law Enforcement Equipment Co., LLC (Type 01 FFL # 4-61-03825) and On Target Training Professionals, LLC dba Shooter's Supply's Muzzleloader Showroom (Type 01 FFL # 4-61-04413). Ronald Buschmann stated he intends to focus on selling firearm safes but does intend to obtain another Type 01 FFL in the future for limited firearm sales. Edward Parsons stated Precision Armory, LLC (Type 01 FFL # 4-61-05359) will remain active and continue to conduct gunsmithing activity at this location.

12 - Closing Actions

On August 30, 2017, IOI (b)(6) attended a closing conference at the licensed premises. Ronald Buschmann (owner) was present. A review of the regulatory requirements was conducted. An Acknowledgement of Federal Firearms Regulations was signed by Ronald Buschmann. (See Exhibit 17- Acknowledgement of Federal Firearms Regulations)

A Report of Violations (ATF F 5030.5) was issued on August 30, 2017 by IOI (b)(6) (b)(6) and reviewed. Ronald Buschmann (owner) was present. Ronald Buschmann signed the Report of Violations. (See Report of Violations)

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13 - Violations

1. **27 CFR 478.125(e) – Firearms Receipt and Disposition by Dealers- REPEAT OF VIOLATION CITED ON 2/25/16**

Failure to properly maintain the acquisition and disposition record in (b)(3) - 11 instances.

Licensee recorded a firearm as logged out of the A & D record when in inventory in (b)(3) - 11 instance. Licensee recorded a duplicate acquisition entry in the A & D record in (b)(3) - 11 instance.

Ronald Buschmann stated these were unintentional mistakes. Ronald Buschmann stated they were going to be more vigilant in recording and reviewing transactions in the A & D record.

The licensee was instructed to begin maintaining the A & D record accurately and in a timely manner. Licensee was instructed to make the appropriate corrections to the A & D record, which he did.

(See Exhibit 2- A & D Violation Photos, Violations, Report of Violations, Worksheet 2 - Inventory and A & D Review Worksheet)

2. **27 CFR 478.21(a) – Forms Prescribed- REPEAT OF VIOLATION CITED ON 2/25/16**

Failure to properly complete ATF F4473s. (b)(3) - 112 P forms were examined. Errors were found in (b)(3) - 112 instances on (b)(3) - 112 P forms. The following was found:

In (b)(3) - 11 instance, Item 24 (2012 edition) was blank.

In (b)(3) - 11 instance, Item 25 (2012 edition) was blank.

In (b)(3) - 11 instances, Item 33 (2016 edition) was blank.

Ronald Buschmann stated they missed these mistakes on the ATF F 4473s. Ronald Buschmann stated they require a second employee review the form and was not sure how the mistakes were missed.

Licensee was instructed to examine all ATF F4473s completed by purchasers to ensure that all required information is fully complete and correct. Licensee was instructed to properly complete ATF F4473s.

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(See Exhibit 3-Photos of ATF F 4473 Errors for Violation of 27CFR478.21(a), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

3. 27CFR478.124(c)(3)(iv) – Firearms Transaction Record

Failure to properly complete ATF F4473s. [REDACTED] forms were examined. Errors were found in [REDACTED] instance on [REDACTED] form. The following was found:

In [REDACTED] instance, Item 21a (2012 edition) was incorrect.

Ronald Buschmann stated they missed these mistakes on the ATF F 4473s. Ronald Buschmann stated they require a second employee review the form and was not sure how the mistakes were missed.

Licensee was instructed to properly complete ATF F4473s.

(See Exhibit 4- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(3)(iv), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

4. 27CFR478.126a – Reporting Multiple Sales or Other Disposition of Pistols and Revolvers- REPEAT OF VIOLATION CITED ON 2/25/16

Failure to complete/report a Multiple Handgun Sales Report when two or more handguns are transferred/sold to the same individual within five working days.

The licensee failed to complete/report [REDACTED] Multiple Handgun Sales Report during the inspection period which involved [REDACTED] handguns. [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6))

Ronald Buschmann stated they missed this multiple sale. Ronald Buschmann stated it may have been missed due to the transfer occurring on a Friday and the employee who usually completes the multiple sale forms was not working that day.

The licensee was instructed to complete/report a Multiple Handgun Sales Report when two or more handguns are transferred/sold to the same individual within five working days. Licensee was instructed to report the unreported multiple sale.

(See Exhibit 5- Photos of ATF F 4473 Errors for Violation of 27CFR478.126a, Exhibit 8- VCAB Query, Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

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5. 27CFR478.102(a)- Sales or Deliveries of Firearms on and after November 30, 1998

The licensee failed to conduct a background check (NICS check) or obtain a valid state permit in (b)(3) instances in violation of 27CFR478.102(a).

In these (b)(3) instances, (b)(3) - 112 Public Law 55 125 Stat 552, (b)(3) - 26 USC 6103

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(3) - 26 USC 6103, (b)(6), (b)(7)(C)

IOI(b)(6) spoke to SA(b)(6) who stated (b)(6), (b)(7)(C)

Ronald Buschmann stated he was aware that a background check was required in these instances and did not understand why his employees did not conduct a background check on these individuals. Ronald Buschmann stated that was why he made his employees go to a recent ATF seminar held at the Louisville Office around May or June 2016. Ronald Buschmann stated all of his employees knew to do a background check in these instances. IOI(b)(6) reviewed the NFA transfers to trusts and took photos of a NFA transfer to a trust where a background check was completed. Ronald Buschmann stated there was no good reason the background checks were not conducted in these instances. It should be noted that the ATF Louisville Office has held two private seminars for Shooters Supply employees since they obtained the license in 2010.

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The licensee was instructed that prior to 7-13-16, if he transferred a NFA weapon to a trust, he was required to conduct a background check on the individual who picked up the NFA weapon on behalf of the trust. The licensee was instructed that after 7-13-16, if he transferred a NFA weapon to a trust, he must require responsible persons of the trust to complete ATF F 5320.23 (NFA RP Questionnaire) and require the responsible persons to submit photographs/fingerprints to the NFA Branch along with the appropriate NFA Form.

(See Exhibit 6- Photos of ATF F 4473 Errors for Violation of 27CFR478.102(a), Exhibit 18- (b)(6), (b)(7)(C) [REDACTED], Exhibit 19- NFA Transfer to Trust with Background Check; Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012/2016 Edition) Review Worksheet)

8/30/2017

X (b)(6)

Industry Operations Investigator

Signed by: (b)(6)

FIREARMS INSPECTION REPORT

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U.S. Department of Justice
Bureau of Alcohol, Tobacco,
Firearms and Explosives

600 Dr. Martin Luther King Jr. Place, Suite 500
Louisville, Kentucky 40202

November 6, 2017

www.atf.gov

775045(b)(6)
5300

**CERTIFIED MAIL/
RETURN RECEIPT REQUESTED**

Mr. Ronald Buschmann
Shooters Supply & Law Enforcement Equipment Co., LLC
8007-8 Vinecrest Avenue
Louisville, Ky. 40222

Re: FFL #4-61-111-01-9G-03825

Dear Mr. Buschmann:

This letter is a follow-up to the DIO Warning Conference held with Ronald Buschmann (owner) on October 31, 2017 at the ATF Office in Louisville, Kentucky. During this conference, the violations cited during the inspection covering the period of August 22, 2017 through August 30, 2017 and the necessary corrective action to prevent the violations from recurring were discussed.

You were given the opportunity to comment on the violations and what specific action you have taken to ensure that the violations will not reoccur. Regarding the violation of failing to properly maintain the A & D record, you stated that you are going to conduct periodic firearms inventories for comparison to the A & D record to reduce the number of A & D errors. You stated that you will review the A & D record to ensure its accuracy. Regarding the violation of failing to complete/obtain a properly completed ATF F 4473, you stated that you are requiring three employees review the ATF F 4473 to ensure its accuracy. You stated that you would also review the ATF F 4473s. Regarding the violation of failing to report multiple handgun sales, you stated that your computer system prompts you when a multiple sale is required. You stated management would ensure a multiple sale is completed when required. Regarding the violation of failure to conduct a background check or obtain a valid alternative permit, you stated this occurred because you delegated beyond what you should have. You stated you will be more

involved in the future to ensure compliance. You stated that you intend to hold employees more accountable in the future if a review discloses an employee made a mistake.

The violation(s) for which you were cited could adversely impact law enforcement's ability to reduce violent crime and protect the public. You are reminded that future violations, repeat or otherwise, could be viewed as willful and may result in the revocation of your license. You may anticipate further inspections to ensure your compliance.

Please contact us if you have any questions concerning your responsibilities as a licensee or if you require further clarification about particular requirements of Federal firearms laws.

Should you have any questions regarding this matter, please contact IOI (b)(6) or myself at (b)(6)

Sincerely yours,

(b)(6)

Area Supervisor
Louisville II Field Office

Enclosure

cc: Federal Firearms Licensing Center
Director, Industry Operations
File