

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Ron & Jo's Firearms & Sporting Supplies II, INC. Ron & Jo's Firearms & Sporting Supplies II, INC 1562 Frontage Rd O'fallon, IL 62269, St. Clair	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 772070-2018-0041-B1B		
	3. PERMIT/LICENSE NUMBER 337163018L04663	4a. TARGET DATE 8/1/2018	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED

(b)(6) Lead Investigator
(b)(6) Assigned Investigator
(b)(6) Assigned Investigator
(b)(6) Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6) Area Supervisor, 10/02/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
Please conduct a full scope DE firearms compliance inspection of this Type 01 FFL. Please ensure compliance with State & Local laws and ordinances.
(b)(3) - 112 Public Law 55 125 Stat 552

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	115	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) Industry Operations Investigator
Submitted on: 04/27/2018

Viols WC with WL and Recall

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
JAN 2018	7.00		
MAR 2018	118.00		
APR 2018	23.00		
ATF OFFICER'S SUBTOTAL	148.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
MAR 2018	14.00		
ATF OFFICER'S SUBTOTAL	14.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
MAR 2018	16.00		
ATF OFFICER'S SUBTOTAL	16.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
FEB 2018	7.00		
MAR 2018	153.00		

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	3. PERMIT/LICENSE NUMBER 337163018L04663	4a. TARGET DATE 8/1/2018	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
APR 2018	48.50
MAY 2018	2.00
ATF OFFICER'S SUBTOTAL	210.50
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	388.50

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Inspection sent to DIO for review of recommendations

Viols WC with WL and Recall

☒ REVIEWED	(b)(6)	COMMENTS	☐ FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) Area Supervisor		REVIEW DATE 04/25/2018	

REVIEW COMMENTS AND RECOMMENDATION

4/26/2018 - DIO concurs with recommendation of Warning Conference.

Viols WC with WL and Recall

☒ REVIEWED	☒ CONCUR	☒ SEE COMMENTS	☒ FINAL DISPOSITION
SIGNATURE AND TITLE TEARNOLD - Director, Industry Operations			REVIEW DATE 04/26/2018

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Ron & Jo's Firearms & Sporting Supplies II INC	Street Address 1562 Frontage Rd	City Ofallon	State IL	Zip Code 62269-	County St. Clair	Page 1 of 2 Pages
License/Permit/Registry Number (If any) 337163018L04663		Expiration Date 11/1/2018		Date(s) or Period of Inspection 03/05/2018 through 04/16/2018		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Nature of violation:

Licensee failed to properly maintain the Acquisition & Disposition Book (b)(3) - 112 Public Law instances as follows:

-Licensee failed to account for (b)(3) - 112 Public Law firearms missing from inventory.

-Licensee failed to timely / properly record the disposition of (b)(3) - 112 Public Law firearms.

LICENSEE FAILED TO TIMELY/PROPERLY RECORD THE ACQUISITION OF (b)(3) - 112 Public FIREARM

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee was instructed to immediately complete & submitted ATF Form 3310.11 (FFL Theft/Loss Report), for all (b)(3) - 112 Public Law missing firearms, and to enter the ATF Incident Number with this disposition information for each of the missing/stolen firearms. Licensee immediately filed both ATF Forms 3310.11 (FFL Theft/Loss) on 4/2/2018, and received the ATF Issued Incident Numbers:

(b)(3) - 112 Public Law 55 125 Stat 552 In addition, licensee was instructed to record the disposition information for the other (b)(3) - 112 Public Law firearms that had no disposition entries in the A & D records at the time of inspection.

LASTLY LICENSEE WAS INSTRUCTED TO RECORD THE ACQUISITION FOR THE (b)(3) - 112 Public FIREARM THAT WAS NOT RECORDED.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Ron & Jo's Firearms & Sporting Supplies II INC	Street Address 1562 Frontage Rd	City Ofallon	State IL	Zip Code 62269-	County St. Clair	Page 2 of 2 Pages
License/Permit/Registry Number (If any) 337163018L04663		Expiration Date 11/1/2018	Date(s) or Period of Inspection 03/05/2018 through 04/16/2018			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

Nature of Violation: Licensee failed to complete and submit the required ATF Form 3310.4 "Report of Multiple Sale or Other Disposition of Pistols and Revolvers" in ^{(b)(3) - 112 P.0} instances.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

ATF Form 3310.4, Report of Multiple Handgun Sale or other Disposition of Pistols and Revolvers was immediately completed and submitted to the NTC for both instances. Licensee was advised to complete ATF Form 3310.4 whenever the licensee sells or otherwise disposes of two or more handguns to an unlicensed person within 5 business days. Licensee was further advised to submit ATF Form 3310.4 no later than the close of business of the same day completed.

Citation: 27 CFR 478.126a

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Ronald J. Gompers, president/responsible person

Signature and Title of ATF Officer

Industry Operations Investigator

(b)(6)

Date

4/16/2018

Date

4/16/2018

For Official Use Only

ATF E-Form 5030.5

Revised April 2005

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

Address: 1562 Frontage Road
O'Fallon, IL 62269 (St. Clair County)

1 - Introduction

Inspection Profile:

On March 5th through April 16, 2018, Industry Operations Investigator (IOI) (b)(6) and (IOI) (b)(6) conducted an on-site/in-person DE Compliance Inspection for Ron & Jo's Firearms & Sporting Supplies II Inc. at the above-identified premises. Industry Operations Investigators (IOI) (b)(6) (IOI) (b)(6) and Area Supervisor (AS) (b)(6) assisted in the completion of the firearms inventory of this DE Compliance inspection.

The scope for this DE Compliance Inspection was to ensure compliance with federal/state/local laws & ordinances and to explain/discuss various federal firearms laws & regulations. This is the first DE inspection of this licensee since this licensee under the name of Ron & Jo's Firearms & Sporting Supplies Inc. 3-37-00098 was revoked 772070-2014-0153 and the new FFL 3-37-04663 was issued. This compliance inspection covered the period from March 5th, 2017 to March 5th, 2018. Ronald L. Gompers/President (Responsible Person), (b)(6) /Manager & (b)(6) / Asst. Manager were interviewed during the course of this inspection.

Ronald L. Gompers/President (Responsible Person) was identified by his IL DL (b)(6), expiring 10/31/2019, and a valid State of Illinois Firearms Owner's Identification Card (FOID) (b)(6) expiring 04/01/2019. Mr. Gompers further confirmed his Social Security Number as (b)(6), and his date of birth as (b)(6).

(b)(6) /Manager was identified by his IL DL# (b)(6) expiring 11/30/2019; and a valid State of Illinois FOID card (b)(6) expiring 05/01/2019. Mr. Robertson further confirmed his Social Security Number as (b)(6), and his date of birth as (b)(6).

(b)(6) / Asst. Manager was identified by his IL DL (b)(6)- (b)(6) expiring 12/12/2021; and a valid State of Illinois FOID card (b)(6) expiring 10/01/2018. Christopher J. Gompers further confirmed his Social Security Number as (b)(6) and his date of birth as (b)(6).

No Advance notification of the inspection was provided to this licensee.

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

Business Profile:

Ron & Jo's Firearms & Sporting Supplies II Inc. makes retail sales of new and used firearms along with ammunition. The business operations further consist of selling hunting accessories, as well as conducting gunsmithing on the premises when requested. The business is operated from a commercially zoned premise, which is located within the city limits of urban O' Fallon, IL. The business has acquired approximately (b)(4) firearms since 3/5/2017 (Approximately (b)(4) handguns & (b)(4) Long guns); and has disposed of (b)(4) firearms since 3/5/2017 as well. (Approximately (b)(4) handguns & (b)(4) Long guns) Approximately (b)(4) of firearms are used guns and (b)(4) are new guns. The licensee's primary suppliers of firearms are (b)(4)

(b)(4) The business does not conduct sales at gun shows. The Business does conduct internet sales, and utilize both their own website "https://www.ronandjosfirearms.com", and other secondary sites such as "gunbroker.com" when doing so. Licensee stated that he facilitates internet transfers for local customers. No other business is located on the premises, and no other business is conducted by Ron & Jo's Firearms & Sporting Supplies II Inc.

Ron & Jo's Firearms & Sporting Supplies II Inc. is registered with the State of Illinois Department of Revenue with certificate (b)(3) - 26 USC 6103, which expires 06/01/2020. This business is properly enrolled in the State of Illinois online Firearm Transfer Inquiry Program (FTIP). The business is in compliance with all local zoning ordinances according to (b)(6) Assistant Director of Community Development for O'Fallon, IL.

Ron & Jo's Firearms & Sporting Supplies II Inc. acquired the original FFL for this business on 10/05/2015. Licensee holds no other ATF licenses, and no additional licenses are required. There are no off-site storage locations.

Ownership and Control:

Ron & Jo's Firearms & Sporting Supplies II Inc. is a domestic BCA corporation, registered in the State of Illinois. The Illinois Secretary of State website lists the corporation status as "Active." There have been no unreported changes to the business structure. There were no indications of hidden ownership. FLS properly lists Ronald Gompers as the only Responsible Person for this license.

Variance:

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

Licensee has no variances on file, and none were requested during this inspection.

2 - Recommendation

Viols WC with WL and Recall.

3 - Inspection History

Date of Inspection: September 22, 2015
Type of Inspection: Qualification Inspection
UI#: 772070-2015-0157-B1B
Inspection Results: Application Approved
Cited Violations: N/A

Date of Inspection: July 28, 2014
RDS: 3-37-00098
Type of Inspection: Recall Inspection
UI#: 772070-2014-0153-B1B
Inspection Results: Viols and Revocation / Denial of Renewal App
Cited Violations: 27 CFR 478.102(a)

THIS IS A REPEAT VIOLATION (2008)

Licensee failed to contact NICS/FTIP (Firearm Transfer Inquiry Program) to obtain an approval number prior to transfer [REDACTED] instance. Licensee further failed to contact NICS/FTIP for a new check [REDACTED] instances after the approval number expired after 30 days.

27 CFT 478.129(b)

Licensee failed to maintain/retain ATF Form 4473 for the transfer of a firearm [REDACTED] instance.

27 CFR 478.126a

THIS IS A REPEAT VIOLATION (2012; 2008)

Licensee failed to report multiple sales of handguns, ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, [REDACTED] instances.

27 CFR 478.39a

Licensee failed to report the Theft/Loss of [REDACTED] firearms from the licensee's inventory within 48 hours after the theft/loss was discovered as required.

27 CFR 478.125(e)

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

THIS IS A REPEAT VIOLATION. (2012; 2008; 2001; 2000;1994)

Licensee failed to enter (b)(3) firearms dispositions in the A&D bound book record.

27 CFR 478.124(c)(5)

Licensee failed to properly complete ATF Form 4473 Item #36, Transfer Date.

27 CFR 478.124(c)(3)(iv)

Licensee failed to enter accurate information (b)(3)-(11) ATF Forms 4473's for Item #21a.

27 CFR 478.124(c)(1)

THIS IS A REPEAT VIOLATION (2012, 2006, 2000, 1994)

Licensee failed to obtain a complete and/or accurate ATF Form 4473 prior to transferring a firearm (b)(3)-(11) instances.

27 CFR 478.21(a)

Licensee failed to obtain and/or complete ATF Forms 4473 prior to the transfer of a firearm to a non-licensee as required (b)(3)-(11) instances.

4 - Acquisition & Disposition (A&D) Record - Inventory

On 03/06/18 – 03/09/18, a complete physical inventory was conducted with the assistance of Industry Operations Investigators (IOI) (b)(6) (IOI) (b)(6) and Area Supervisor (AS) (b)(6). There were 3593 firearms in inventory: 230 Long guns and 3363 Handguns. The serial numbers on the firearms in inventory were cross-referenced with the (b)(3)-(11) open dispositions. The result of the inventory comparison to the acquisition & disposition records disclosed the Licensee failed to properly maintain the Acquisition & Disposition records in (b)(3) instances. As a result of the inventory comparison the Licensee was instructed to complete the missing acquisition/disposition information at this time and to timely record all future acquisitions/dispositions of firearms in the Acquisition & Disposition Record Book. The Licensee was further instructed to complete the ATF Form 3310.11 (Federal Firearm Licensee Firearms Inventory Theft/Loss Report), if unable to complete the missing disposition information after a thorough search of his inventory, sales/transfer receipts & disposition records.

Licensee was given initially 2 weeks to complete a thorough search which was extended an additional 2 more weeks to locate the dispositions for the missing firearms. Licensee was able to locate dispositions for (b)(3) of the missing firearms as follows:

- (b)(3) of the firearms were sold on ATF Form 4473's (**See Exhibits #1 - #11**),
- (b)(3) firearms were transferred to an FFL, with a transfer receipt provided for verification (**See Exhibits #12 - #23**).
- (b)(3) firearms transferred/sold, with proof provided by electronic sales receipts due to previous disposition records (ATF Forms 4473), being turned into the Out-of-

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

Business-Records Center under the previous FFL (FFL#: 3-37-00098). (See **Exhibits #24 - #30**).

- (b)(7) firearm double entry shown as being sold under the previous FFL (FFL#3-37-00098). (See **Exhibit #31**).

The dispositions were all corrected and entered into the acquisition/disposition records. Mr. Gompers & Mr. Robertson in completing the thorough search discovered a firearm that was mislabeled, with the serial number of a firearm already sold. Further review showed that the Licensee had failed to properly record the firearm under the correct information, and was instructed to do so immediately.

No, ATF Form 3310.11 (Federal Firearms Licensee Firearms Inventory Theft/Loss Report) were completed/submitted between March 5th, 2017 and March 5th, 2018 prior to this inspection. Mr. Gompers did complete/submit (b)(7) ATF Forms 3310.11 (Federal Firearms Licensee Firearms Inventory Theft/Loss Report) for (b)(7) missing firearms, as a result of this inspection. (b)(3) - 112 Public Law 55 125 Stat 552 Mr. Gompers entered the disposition information for the missing firearms, and included the ATF incident numbers with this disposition information for each entry.

The licensee maintains an electronic Acquisition & Disposition Record Book. Fifty firearms were checked through the Acquisition & Disposition Record Books without any discrepancies, and no trafficking issues were discovered. No, obliterated serial numbers were found on the firearms in the physical inventory. A discussion with the licensee about his standard operating procedures relevant to the Acquisition & Disposition records and inventory did not disclose any other additional concerns, after having been informed to timely and properly record all acquisition/disposition information into his Acquisition & Disposition Record Books.

This inspections physical inventory comparison resulted in the licensee being cited for the following Acquisition/Disposition violation:

27 CFR 478.125(e)

Licensee failed to properly maintain the Acquisition & Disposition Book in (b)(7) instances as follows:

- Licensee failed to account for (b)(7) firearms missing from inventory.
- Licensee failed to timely / properly record the disposition of (b)(7) firearms.
- Licensee failed to timely / properly record the acquisition of (b)(7) firearm.

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

[Reference Violation #1, Worksheet #1, Exhibits #1-#33.]

NOTE: At the closing Conference held on 4/16/2018 licensee was able to provide additional disposition information for seven firearms that had been previously reported as a loss on ATF Form 3310.11 (Theft/Loss Report). Licensee reported the findings by phone & fax to ATF National Tracing Center. All numbers listed in this report reflect the final count of instances after these seven firearm dispositions were found.

5 - ATF Forms 4473 – NICS & Other Dispositions

Licensee retains ATF Form 4473's, Firearms Transaction Records Numerically. For the period of 03/05/17 through 03/03/18 the FFL audit log (FTIP) showed (b)(3) - 112 (b)(3) - 112 (b)(3) - 112 proceed responses and (b)(3) - 112 denied responses. A total of (b)(3) - 112 of the responses were verified by ATF Form 4473 review with no discrepancies found.

Transfers from licensee to licensee and duty weapon sales to law enforcement officers through law enforcement letters during the inspection period were all handled properly.

There were 2,771 ATF Forms 4473 on file for the inspection period of March 05, 2017, to March 05, 2018. All 2,771 ATF Forms 4473 were reviewed with no errors or violations being found & cited. A discussion with the licensee about his standard operating procedures relevant to the completion of ATF Form 4473, and other dispositions did not disclose any concerns.

6 - Multiple Sales

A firearm Trace History was requested from the Crime Gun Analysis Branch for the last twelve months. The report disclosed that this licensee had (b)(3) - 112 Multiple sales involving (b)(3) - 112 firearms during the period from March 05, 2017, to March 05, 2018. An examination of ATF Forms 4473 on file during the inspection period disclosed (b)(3) - 112 unreported multiple sales involving (b)(3) - 112 firearms. (See Exhibits #34 & #35) The unreported Multiple sales to ATF resulted in the licensee being cited for the following violation:

27 CFR 478.126a

Licensee failed to complete and submit the required ATF Form 3310.4 "Report of Multiple Sale or Other Disposition of Pistols and Revolvers" in (b)(3) - 112 instances.

[Reference Violation #2, Worksheet #2 (2016), Exhibits

(b)(3) - 112 Public Law 55-125 Stat

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

Licensee was advised to complete ATF Form 3310.4 whenever the licensee sells or otherwise disposes of two or more handguns to an unlicensed person within 5 business days. Licensee was further advised to submit ATF Form 3310.4 no later than the close of business of the same day completed. Licensee immediately completed and submitted both missing ATF Forms 3310.4.

7 - Suspicious/Prohibited Purchasers

There were no suspicious purchasers, traffickers, straw purchasers, or any other prohibited persons identified during this inspection. Criminal History Checks were conducted through Open Fox/NCIC on: Ron L. Gompers/Responsible Person (President), (b)(6) /Manager, (b)(6) Asst. Manager & Checks were run on 12 Purchasers. No positive hits or disabling information were disclosed.

8 - Trace Activity

A Firearm Trace History was requested from the Violent Crime Gun Analysis Branch for the last 12 months, and disclosed that this licensee was involved in Firearm Trace Requests between March 05, 2017 through March 05, 2018. All trace requests were successful.

621 Secondary Market firearms were found in the physical inventory or recorded in the acquisition/disposition records for the inspection period of March 05, 2017 to March 05, 2018. 100 secondary market firearms were ran through E-Trace and Open-Fox with no hits being disclosed. No secondary market suspicious purchasers were identified during this inspection.

9 - NFA/Importer/Collector/Manufacturer

This Type 01 FFL licensee is not an NFA/SOT Holder, Importer, Collector or Manufacturer.

10 - Referrals

No referrals were made as a result of this inspection.

11 - Other

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

Deconfliction was conducted by the Industry Operations Investigators (IOI) (b)(6) and (IOI) (b)(6) through NFORCE, NCIC and through ATF CE, and Intel with negative results.

12 - Closing Actions

A formal closing conference was held with Ronald Gompers/Responsible Person (President) and (b)(6)/Manager, on April 16, 2018. The findings of the inspection were fully discussed with the licensee.

The Report of Violations ATF Form 5030.5 was reviewed with Mr. Gompers, and the violations with the corrective actions were discussed. **[See Violations #1 & #2 for Licensee's Responses]** Ronald Gompers/Responsible Person (President) signed the Report of Violations ATF Form 5030.5 on April 16, 2018.

A review of the regulatory requirements for a type 01 Dealer in Firearms other than destructive devices were discussed, and all questions were answered. Secure gun storage and safety device requirements were reviewed along with straw purchases. Safety and Security Information for Federal Firearms Licensees (ATF P 3317.2), and Loss Prevention for Firearms Retailers (ATF P 5380.1) were given to and reviewed with Mr. Gompers.

The telephone numbers and addresses of the local Illinois ATF offices were provided to Mr. Gompers. The Licensee has not attended any recent firearm seminars. Mr. Gompers was given copies of all required ATF Forms, and instructions on how to visit the ATF website for further education/information. Robo-call alerts for burglary notifications and emergency alerts were reviewed in detail.

The ATF Acknowledgement of Laws and Regulations was reviewed with and signed by Ronald Gompers/Responsible Person (President), and (b)(6)/Manager on April 16, 2018. **(See Exhibit #36)**

Note: FFL Guard Attorney (b)(6) listened in during the ATF Form 5030.5 Report of Violations discussion via Cell Phone.

13 - Violations

1. **27 CFR 478.125(e)**
THIS IS A REPEAT VIOLATION. (2014; 2012; 2008; 2001; 2000;1994)

Nature of violation:

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

Licensee failed to properly maintain the Acquisition & Disposition Book in 56 instances as follows:

- Licensee failed to account for 22 firearms missing from inventory.
- Licensee failed to timely / properly record the disposition of 33 firearms.
- Licensee failed to timely / properly record the acquisition of 1 firearm.

Licensee's response:

Licensee's Response when asked about the (b)(3) instances: "Inventory Records were transferred over to a new computer system back in 10/19/2015. At the time, the store manager was supposed to take inventory in spring, to ensure all changes in the system were done correctly. The manager did not do his job properly, and currently is no longer employed here. Most of the inventory errors were for gun transfers, and from now on all gun transfers at the end of the day will be double-checked to ensure the correct information is recorded. We also plan to have Transfer slips be required for all guns transferred out of the store, and they must be completed prior to allowing the transfer to take place. Now, while we are moving over to the new store in a few weeks a full new scan of all inventory will be taken while transferring them to the new location to ensure no more errors occur, and no customers/friends will be allowed into the back room to use the restrooms in order to deter potential thefts."

Corrective action:

Licensee was instructed to immediately complete & submitted ATF Form 3310.11 (FFL Theft/Loss Report), for all (b)(3) missing firearms, and to enter the ATF Incident Number with this disposition information for each of the missing/stolen firearms. Licensee immediately filed both ATF Forms 3310.11 (FFL Theft/Loss) on 4/2/2018, and received the ATF Issued Incident Numbers: (b)(3) - 112 Public Law 55 125 Stat 552. In addition, licensee was instructed to record the disposition information for the other 33 firearms that had no disposition entries in the A & D records at the time of inspection. Lastly licensee was instructed to record the acquisition for (b)(3) - 112 firearm that was not recorded.

[Reference Worksheet #1 & Exhibits #1-#33]

2. **27 CFR 478.126a**
THIS IS A REPEAT VIOLATION (2014; 2012; 2008)

Nature of Violation:

Licensee failed to complete and submit the required ATF Form 3310.4 "Report of Multiple Sale or Other Disposition of Pistols and Revolvers" in (b)(3) - 11 instances.

FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies **UI#:** 772070-2018-0041-B1B
II, INC
Trade Name: Ron & Jo's Firearms & Sporting Supplies **FFL#:** 337163018L04663
II, INC

Licensee's response:

Licensee's Response when asked about the two instances: "The Cervelle computer people will be contacted to see if the computer system can issue an alert for multiple sales, as it currently was not doing so. In the case of these two instances, however, it was human error/oversight."

Corrective action:

ATF Form 3310.4, Report of Multiple Handgun Sale or other Disposition of Pistols and Revolvers was immediately completed and submitted to the NTC for both instances. Licensee was advised to complete ATF Form 3310.4 whenever the licensee sells or otherwise disposes of two or more handguns to an unlicensed person within 5 business days. Licensee was further advised to submit ATF Form 3310.4 no later than the close of business of the same day completed.

[Reference Worksheet #2 (2016) & Exhibits

(b)(3) - 112 Public Law 55 125 Stat 552

X

Industry Operations Investigator

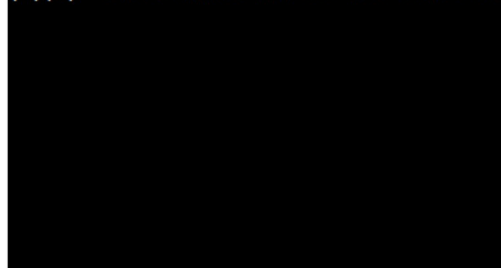
Index of Worksheets and Exhibits

Worksheet #1

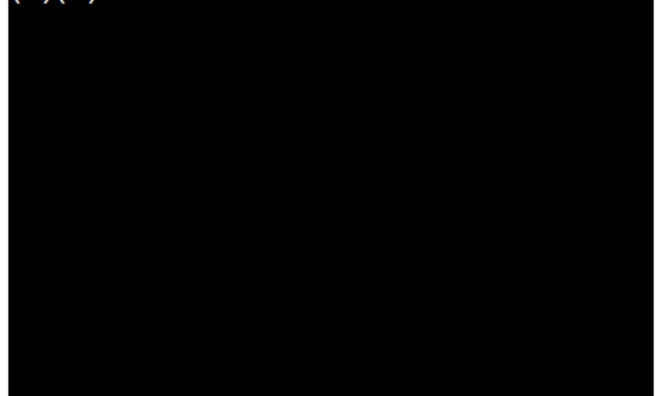
Worksheet #2 (2016)

Exhibits:

(b)(3) - 112 Public Law 55 125 Stat 552



(b)(3) - 112 Public Law 55 125 Stat 552



FIREARMS INSPECTION REPORT

Name: Ron & Jo's Firearms & Sporting Supplies II, INC **UI#:** 772070-2018-0041-B1B
Trade Name: Ron & Jo's Firearms & Sporting Supplies II, INC **FFL#:** 337163018L04663

(b)(3) - 112 Public Law 55 125 Stat 552



(b)(3) - 112 Public Law 55 125 Stat 552

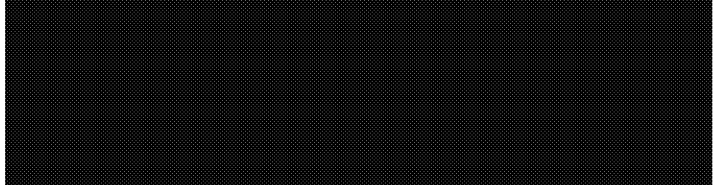


Exhibit #36: Acknowledgement of Laws & Regulations



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

www.atf.gov

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04-30-2018

Mr. Ronald L Gompers, President
Ron & Jo's Firearms & Sporting Supplies II Inc.
1562 Frontage Rd, O'Fallon IL 62269

Re: Federal Firearms License Number: 3-37-163-01-8L-04663

Dear Mr. Gompers:

As a result of a recent compliance inspection conducted by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) at your firearms business located at 1562 Frontage Rd, O'Fallon IL 62269 on 03-05-2018 through 04-16-2018, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, ATF Form 5030.5, issued at the time of the inspection is enclosed.

You should be aware that any willful violations of the Gun Control Act may result in revocation of your Federal firearms license. As a result of the recently cited violations, it is important that we have a meeting with you to discuss the violations found. The conference will be held in the Fairview Heights II Field Office located at 333 Salem Place Suite 225, Fairview heights IL 62208, on May 4, 2018 at 1:30 p.m. The agenda for the meeting will include a discussion of the reasons for the violations, a review of the legal requirements, and a discussion of steps to be taken by you to ensure future compliance. Although we do not believe it necessary, legal counsel may assist you at your own expense if you so choose. **Please bring with you documentation verifying the corrective action you have taken and any compliance plan you have developed to ensure these violations do not recur.**

Also, you are hereby notified that, pursuant to 18 U.S.C. § 930(a), no person may bring a firearm or other dangerous weapon into an ATF office. Possession of a firearm or other dangerous weapon in an ATF office may result in a fine of \$100,000 or imprisonment for not more than 1 year or both.

Mr. Gompers
3-37-163-01-8L-04663

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business to aid in this effort to combat violent crime.

We will conduct a follow-up inspection in the future. Any violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license.

Please contact Industry Operations Investigator (b)(6) or me at (b)(6) (b)(6) to confirm this appointment, and to ask any questions you may have regarding this conference. We look forward to meeting with you to resolve these issues.

Sincerely,

(b)(6)

Area Supervisor
Fairview Heights II Field Office



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Chicago Field Division/Fairview Heights II FO

333 Salem Place, Suite 225
Fairview Heights IL 62208
www.atf.gov

772070 (b)(6)
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May 7, 2018

Mr. Ronald L Gompers, President
Ron & Jo's Firearms & Sporting Supplies II Inc.
1562 Frontage Rd, O'Fallon IL 62269

Re: Federal Firearms License Number: 3-37-163-01-8L-04663

Dear Mr. Gompers:

This letter is a follow-up to the warning conference held with you on May 4, 2018. During this conference, the **repeated** violations cited during the inspection conducted on 03-05-2018 through 04-16-2018 and the necessary corrective action to prevent the violations from reoccurring were discussed. In attendance at this meeting, representing the ATF Chicago Field Division, were Area Supervisor (b)(6) and Industry Operations Investigator (b)(6). (b)(6) in attendance, representing the licensee, at this meeting was Mr. Ronald L. Gompers, President, (b)(6) Manager and on the telephone FFL Attorney (b)(6).

You were given the opportunity to comment on the violations and what specific action you have taken to ensure that the violations will not reoccur. With respect to the violations cited below, we are providing a summary of your response to each violation:

- (1.) **Violation of 27 CFR 478.125(e):** "Failure to record required acquisition and disposition information in an Acquisition and Disposition (A&D) Record in 56 instances. Specifically, failure to account for (b)(6) firearms missing from inventory, failure to timely or properly record the disposition of (b)(6) firearms and failure to timely or properly record the acquisition of (b)(6) firearm.

In response to this violation, Mr. Gompers and (b)(6) stated that this inspection had showed where there were mistakes made in transferring the firearms to their new license three years ago and the mistakes would be corrected. Mr. Gompers and (b)(6) stated they are in the process of moving into a much larger store in the same building and that when that move is made; each firearm would be inventoried and properly marked with bar codes for easy inventory. Mr. Gompers further stated there would be new racks and caged storage areas for the

(2)

Mr. Gompers
3-37-04663

firearms to prevent any thefts and easy access for inventory purposes. Mr. Gompers stated that all firearm transfers and acquisitions would be double-checked each day for proper entry into the A & D records. Mr. Gompers and (b)(6) both stated that the upcoming recall inspection will have a much different outcome than past inspections, will be easy to inventory and have very few if any mistakes.

(2.) **Violation of 27 CFR 478.126a:** "Failure to timely or correctly report the sale of two or more pistols, or revolvers, or a combination thereof, on the same day or within five consecutive business days (b)(3) - 112 Public instances involving (b)(3) - 112 Public L firearms."

In response to this violation, Mr. Gompers and (b)(6) stated that the two missing multiple sales reports were human error/oversight and that the computer system is now set up to automatically catch all multiple sales of handguns and the system prints out the ATF Form 3310.4 when required.

* * *

The violations for which you were cited could adversely impact law enforcement's ability to reduce violent crime and protect the public. You are reminded that future violations, repeat or otherwise, could be viewed as willful and may result in the revocation of your license. You may anticipate further inspections to ensure your compliance.

Please contact us if you have any questions concerning your responsibilities as a licensee or if you require further clarification about particular requirements of Federal firearms laws.

Signed
(b)(6)

Area Supervisor
Fairview Heights II Field Office