

## Assignment and Report

<b>1. OPERATING NAME AND ADDRESS</b> (INCLUDE ZIP CODE AND COUNTY) Rollins, Joseph R. Lightning Pawn Shop 1672 B Hwy 138 NE Conyers, GA 30013, Rockdale	<b>2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)</b> 760530-2016-0040-B1B			
	<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 33%;"><b>3. PERMIT/LICENSE NUMBER</b> 158247026M06614</td><td style="width: 33%;"><b>4a. TARGET DATE</b> 8/15/2016</td><td style="width: 33%;"><b>4b. TARGET HOURS</b></td></tr></table>	<b>3. PERMIT/LICENSE NUMBER</b> 158247026M06614	<b>4a. TARGET DATE</b> 8/15/2016	<b>4b. TARGET HOURS</b>
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	<b>5. REQUESTED BY (SIGNATURE, TITLE AND DATE)</b>			

  

<b>6. ATF OFFICER(S) ASSIGNED</b> [REDACTED] (b)(6) - Lead Investigator
<b>7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)</b> M. [REDACTED] (b)(6) Acting Area Supervisor, 10/19/2015

  

<b>8. PURPOSE/SPECIAL INSTRUCTIONS</b> Conduct Recall Firearms Compliance Inspection. Last inspection resulted in adverse action or a recommended recall. Obtain printout from NTC. Identify and refer potential traffickers.  NOTE: Last inspection date: 10/21/2014 WL dated: 11/07/2014
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<b>9. INSPECTION RESULTS</b> <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	<b>10. TRAVEL EXPENSES (OPTIONAL)</b>																																									
<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 20%;">NO. OF VIOLATIONS</td><td style="width: 10%;">17</td><td style="width: 20%;">NO. OF REFERRALS</td><td style="width: 10%;"></td></tr><tr><td>NO. OF TECS CHECKS</td><td>22</td><td>NO. OF TECS HITS</td><td></td></tr><tr><td rowspan="2">NO. OF TAX ADJUSTMENTS</td><td></td><td>\$ VALUE OF TAX INCREASES</td><td></td></tr><tr><td></td><td>\$ VALUE OF TAX DECREASES</td><td></td></tr><tr><td>NO. OF ASSESSMENTS</td><td></td><td>\$ VALUE OF ASSESSMENTS</td><td></td></tr><tr><td>NO. OF CLAIMS</td><td></td><td>\$ VALUE OF CLAIMS</td><td></td></tr><tr><td>NO. OF TAX PERIODS</td><td></td><td>\$ VALUE OF TAXES VERIFIED</td><td></td></tr></table>	NO. OF VIOLATIONS	17	NO. OF REFERRALS		NO. OF TECS CHECKS	22	NO. OF TECS HITS		NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES			\$ VALUE OF TAX DECREASES		NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		NO. OF CLAIMS		\$ VALUE OF CLAIMS		NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%;">2111 - PER DIEM</td><td style="width: 50%;"></td></tr><tr><td>2112 - P.O.A.</td><td></td></tr><tr><td>2113 - COMM. AIR</td><td></td></tr><tr><td>2114 - RENTAL CAR</td><td></td></tr><tr><td>2115 - GPV EXPENSES</td><td></td></tr><tr><td>2116 - MISC.</td><td></td></tr><tr><td>TOTAL \$ FOR INSP.</td><td></td></tr></table>	2111 - PER DIEM		2112 - P.O.A.		2113 - COMM. AIR		2114 - RENTAL CAR		2115 - GPV EXPENSES		2116 - MISC.		TOTAL \$ FOR INSP.	
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<b>11. ATF OFFICER'S RECOMMENDATION</b> Submitted by [REDACTED] (b)(6) - Industry Operations Investigator  Submitted on: 12/31/2015 Violations Warning Conference Warning Letter Recall Inspection The FLS should be updated to reflect the following: •Add Mr. Rollins' title as owner •Add Lightningpawn2010@yahoo.com as Mr. Rollins' email address. •Remove [REDACTED] (b)(6) as a responsible person Viols WC with WL and Recall
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<b>12. TIME ACCOUNTING DATA</b>	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)      [REDACTED] (b)(6)	
NOV 2015	.50
DEC 2015	69.00
ATF OFFICER'S SUBTOTAL	69.50
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	69.50

## Assignment and Report

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	3. PERMIT/LICENSE NUMBER 158247026M06614	4a. TARGET DATE 8/15/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

Recommendation: Violations Warning Conference Warning Letter Recall Inspection. In addition, FLS needs to be updated to reflect the following information:

- Add Mr. Rollins' title as owner
- Add Lightningpawn2010@yahoo.com as Mr. Rollins' email address.
- Remove (b)(6) as a responsible person

Viols WC with WL and Recall

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☐ FINAL DISPOSITION

#### SIGNATURE AND TITLE

(b)(6) - Area Supervisor

#### REVIEW DATE

12/31/2015

#### REVIEW COMMENTS AND RECOMMENDATION

Basis for recommendation of a WC is based on the findings that repeat violations, 27 CFR 478.99(c) and 27 CFR 478.126a require a WL when a WL was issued in previous investigation. Additionally, the aforementioned violations increased in the # of instances.

Viols WC with WL and Recall

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

#### SIGNATURE AND TITLE

DDMITCHEL2 - Director, Industry Operations

#### REVIEW DATE

01/08/2016

#### ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Rollins, Joseph R. Lightning Pawn Shop	Street Address 1672 B Hwy 138 NE	City Conyers	State GA	Zip Code 30013-	County Rockdale	Page 1 of 16 Pages
License/Permit/Registry Number (If any) 158247026M06614	Expiration Date 12/1/2016	Date(s) or Period of Inspection 12/07/2015 through 12/11/2015				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

#### Nature of Violation:

The licensee failed to record the acquisition of (b)(3) 112 PL 55 firearm in the Acquisition and Disposition (A&D) record.

**(b)(3) 112 PL 55 125 STAT 552**

The licensee failed to record the disposition of (b)(3) 112 PL 55 firearms in the Acquisition and Disposition (A&D) record.

**(b)(3) 112 PL 55 125 STAT 552**

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

If firearms are missing after inventory reconciliation, the licensee shall attempt to locate the firearm dispositions through old ATF Forms 4473 or commercial records. If found, the licensee will record the name and address or name and transaction number of the ATF Form 4473. If transferred to another FFL, record the name and FFL license number in the disposition entry. If the licensee is unable to locate the dispositions of the firearms, they shall report these firearms to ATF as missing on ATF Form 3310.11 immediately. The licensee will log the disposition of these firearms with an ATF incident number once it has been assigned. In the future, the licensee shall accurately and timely record the acquisition of all firearms by the end of the next business day and the disposition of all firearms within seven (7) days from the date of the transfer.

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.125(c)

Number: 2

#### Nature of Violation:

Failure to furnish all of the information called for in each form as indicated by the headings on the form and the instructions on or pertaining to the form on (b)(3) 112 PL 55 ATF Forms 4473.

In (b)(3) 112 PL instance, Section A, item 11a was left blank  
In (b)(3) 112 PL 55 instances, Section A, item 11a was incorrect  
In (b)(3) 112 PL 55 instances, Section C, Item 24 was left blank  
In (b)(3) 112 PL 55 instances, Section C, Item 25 was left blank

Citation: 27 CFR 478.21(a)

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

Licensee was instructed to have all required information on ATF Forms 4473 completed in accordance with instructions /and headings on the form.



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License/Permit/Registry Number (If any) 158247026M06614	Expiration Date 12/1/2016	Date(s) or Period of Inspection 12/07/2015 through 12/11/2015				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

#### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on 10/30/2015 ATF Form 4473.

(b)(3) 112 PL 55 125 STAT 552

Citation: 27 CFR 478.99(c)(1)

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

## Report of Violations

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

#### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 PL 55 125 STAT 552 ATF Forms 4473.

(b)(3) 112 PL 55 125 STAT 552

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

Citation: 27 CFR 478.99(c)(2)

## Report of Violations

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

#### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on [REDACTED] ATF Form 4473.

On [REDACTED] ATF Form 4473 (01295), Section A, item 11e was answered with a "Yes" response to the question, "Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug or any other controlled substance?"

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

Citation: 27 CFR 478.99(c)(3)



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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

#### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 PL 88 ATF Form 4473.

Or (b)(3) - 112 Public Law 551 Form 4473 (01295), Section A, item 1 If was answered with a "Yes" response to the question, "Have you ever been adjudicated mentally defective (which includes a determination by a court, board, commission, or other lawful authority that you are a danger to yourself or to others or are incompetent to manage your own affairs) or have you ever been committed to a mental institution?"

Citation: 27 CFR 478.99(c)(4)

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

## Report of Violations

### Instructions

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

#### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 PLB ATF Form 4473.

Or (b)(3) 112 PLB ATF Form 4473 (01295), Section A, item 11g was answered with a "Yes" response to the question, "Have you ever been discharged from the Armed Forces under dishonorable conditions?"

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

Citation: 27 CFR 478.99(c)(6)



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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 8

#### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 PL 55 ATF Forms 4473.

On (b)(3) 112 PL 55 ATF Forms 4473 (b)(3) 112 PL 55 125 STAT 552 Section A, item 11h was answered with a "Yes" response to the question, "Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner?"

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

Citation: 27 CFR 478.99(c)(8)

## Report of Violations

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 9

#### Nature of Violation:

Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 PL 5 ATF Forms 4473

On (b)(3) 112 PL 5 ATF Forms 4473 (b)(3) 112 PL 55 125 STAT 562 Section A, item 11i was answered with a "Yes" response to the question, "Have you ever been convicted in any court of a misdemeanor crime of domestic violence?"

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

Citation: 27 CFR 478.99(c)(9)



## Report of Violations

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 10

#### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 PL 95 ATF Form 4473.

O (b)(3) - 112 PL 95 LHM TF Form 4473 (01295), Section A, item 11j was answered with a "Yes" response to the question, "Have you ever renounced your United States citizenship?"

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

Citation: 27 CFR 478.99(c)(7)

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 11

#### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on [REDACTED] ATF Form 4473.

On [REDACTED] ATF Forms 4473 (01295), Section A, item 11k was answered with a "Yes" response to the question, "Are you an alien illegally in the United States?"

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11l with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

Citation: 27 CFR 478.99(c)(5)

## Report of Violations

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License/Permit/Registry Number (If any) 138247026M06614	Expiration Date 12/1/2016	Date(s) or Period of Inspection 12/07/2015 through 12/11/2015				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 12

#### Nature of Violation:

The licensee initiated a NICS check to an unlicensed person and received a "delayed" response. The licensee transferred the firearm prior to waiting for three business days, on (b)(3) 112 PL 55 125 STAT 552 ATF Form 4473.

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

IOI (b) (6) educated Mr. Rollins and Mr. Gay on the requirements of NICS including advising them that they could call NICS to see if they had made a determination prior to releasing the firearm, if they had not heard from a delayed response. Also, IOI (b) (6) gave Mr. Rollins the chart title "What is a Business Day?" to assisted him in determining 3 business days. Furthermore, IOI (b) (6) explained to Mr. Rollins that a business day does not include Saturday, Sunday or holidays.

Citation: 27 CFR 478.102(a)(2)(ii)



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Name of Proprietor Rollins, Joseph R. Lightning Pawn Shop	Street Address 1672 B Hwy 138 NE	City Conyers	State GA	Zip Code 30013-	County Rockdale	Page 13 of 16 Pages
License/Permit/Registry Number (If any) 158247026M06614		Expiration Date 12/1/2016	Date(s) or Period of Inspection 12/07/2015 through 12/11/2015			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 13

#### Nature of Violation:

Failure to have the transferee accurately complete Section A of the ATF Form 4473, prior to the transfer of a firearm on (b)(3) 112 PL 55 125 STAT 552 ATF Forms 4473.

- In (b)(3) 112 PL 55 125 STAT 552 instance, Section A, item 2 was incomplete (b)(3) 112 PL 55 125 STAT 552
- In (b)(3) 112 PL 55 125 STAT 552 instances, Section A, item 3 was incorrect/ incomplete (b)(3) 112 PL 55 125 STAT 552
- In (b)(3) 112 PL 55 125 STAT 552 instance, Section A item 3 was left blank (b)(3) 112 PL 55 125 STAT 552
- In (b)(3) 112 PL 55 125 STAT 552 instance, Section A, item 11 was erroneous answered (b)(3) 112 PL 55 125 STAT 552
- In (b)(3) 112 PL 55 125 STAT 552 instances, Section A, item 13 was blank (b)(3) 112 PL 55 125 STAT 552
- In (b)(3) 112 PL 55 125 STAT 552 instances, Section A, item 17 was blank (b)(3) 112 PL 55 125 STAT 552
- In (b)(3) 112 PL 55 125 STAT 552 instances, Section A, item 17 was incorrect (b)(3) 112 PL 55 125 STAT 552

Citation: 27 CFR 478.124(c)(1)

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

The licensee will have the transferee complete Section A of the ATF Form 4473 fully and accurately prior to the transfer of the firearm. The licensee will thoroughly review the ATF Form 4473 to ensure it is properly completed prior to the transfer of the firearm.

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Rollins, Joseph R. Lightning Pawn Shop	Street Address 1672 B Hwy 138 NE	City Conyers	State GA	Zip Code 30013-	County Rockdale	Page 14 of 16 Pages
License/Permit/Registry Number (If any) 158247026M06614		Expiration Date 12/1/2016	Date(s) or Period of Inspection 12/07/2015 through 12/11/2015			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 14

#### Nature of Violation:

Failure to accurately identify the firearm to be transferred on (b)(3) 112 PL 55 1 ATF Forms 4473.

In (b)(3) 112 PL instance, Section D, item 27 was blank (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 instances, Section D, item 28 was incomplete (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 instance, Section D, item 29 was incomplete (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 instance, Section D, item 30 was incomplete (b)(3) 112 PL 55 125 STAT 552

Citation: 27 CFR 478.124(c)(4)

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed to correctly identify the firearm to be transferred by listing on the ATF Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm. Correct the ATF Form 4473 by making a copy of the form, having the correction made on the copy.

Number: 15

#### Nature of Violation:

Failure to sign and/or date the ATF Form 4473 on (b)(3) 112 PL 55 ATF Forms 4473.

In (b)(3) 112 PL 55 instances, Section D, item 36, the date transferred was left blank (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 instances, Section D, item 36, the date transferred was incorrect (b)(3) 112 PL 55 125 STAT 552

Citation: 27 CFR 478.124(c)(5)

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

Licensee was instructed to sign and date the ATF Form 4473 if they do not know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm. Correct the ATF Form 4473 by making a copy of the ATF Form 4473, having the correction made on the copy, dating and initialing the corrections. The copy will then be stapled to the original ATF Form 4473.



## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Rollins, Joseph R. Lightning Pawn Shop	Street Address 1672 B Hwy 138 NE	City Conyers	State GA	Zip Code 30013-	County Rockdale	Page 15 of 16 Pages
License/Permit/Registry Number (If any) 158247026M06614		Expiration Date 12/1/2016	Date(s) or Period of Inspection 12/07/2015 through 12/11/2015			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 16

#### Nature of Violation:

Failure to prepare and submit a report of multiple sales ATF Form 3310.4 for the sale of two or more handguns to the same individual within a five business day period in (b)(3) 112 PL 55 instances, involving (b)(3) 112 PL 55 firearms. (b)(3) 112 PL 55 125 STAT 552

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

Licensee was instructed to immediately prepare and submit an ATF F's 3310.4 for the unreported multiple sales. Licensee was advised that each licensee shall prepare a report of multiple sales, ATF F 3310.4, whenever the licensee sells or otherwise disposes of, at any one time or during five consecutive business days, two or more pistols, or revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person. Licensee was further advised that the report shall be prepared on Form 3310.4, Report of multiple sales or other Disposition of Pistols or Revolvers.

Citation: 27 CFR 478.126a

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Rollins, Joseph R. Lightning Pawn Shop	Street Address 1672 B Hwy 138 NE	City Conyers	State GA	Zip Code 30013-	County Rockdale	Page 16 of 16 Pages
License/Permit/Registry Number (If any) 158247026M06614	Expiration Date 12/1/2016	Date(s) or Period of Inspection 12/07/2015 through 12/11/2015				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 17

#### Nature of Violation:

The licensee failed to obtain and document additional documentation *such as* ~~establishing 90 days of~~ a valid hunting license or permit issued within the United States, prior to transferring a firearm to a non-immigrant alien, on ~~(b)(3) 112 PL~~ ATF Form 4473. ~~(b)(3) 112 PL 55 125 STAT 552~~

Citation: 27 CFR 478.124(c)(3)(iii)

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

The license was instructed to obtain documentation *establishing 90 days of continuous residency* and a valid hunting license or permit issued within the United State and document the information in 20c. *12/11/15*

(b)(3) 112 PL

12/11/15

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

*Joseph R. Rollins*

*Owner*

Date

*12-11-15*

(b) (6)

*IOI*

Date

*12-11-15*

For Official Use Only



# FIREARMS INSPECTION REPORT

**Name:** Joseph R. Rollins  
**Trade Name:** Lightning Pawn Shop

**UI#:** 760530-2016-0040-B1B  
**FFL#:** 1-58-247-02-6M-06614

**Address:** 1672 B Hwy 138 NE  
Conyers, GA 30013

## 1 - Introduction

### Inspection Profile:

A query of the Federal Licensing System (FLS) and N-Force disclosed no Special Attention Flags (SAF) or investigations. Notification of the inspection sent to all Atlanta Field Division Criminal Enforcement Supervisors resulted in no information to preclude the inspection.

On December 7-9, and 11, 2015, Industry Operations Investigator (IOI) (b)(6) conducted a recall firearms compliance investigation on Joseph R. (goes by Ron) Rollins, owner/ sole responsible person of Lightning Pawn Shop located at 1672 B Hwy 138, NE, Conyers, GA 30013. IOI (b)(6) identified Ron Rollins with his Georgia driver's license. Also, (b)(6), manager was present during the inspection. The investigation covered the period of December 7, 2014 through December 7, 2015. The onsite inspection concluded on December 11, 2015, with a closing conference. IOI (b)(6) did not notify the licensee prior to commencing inspection.

### Business Profile:

Joseph R. Rollins d/b/a Lightning Pawn Shop holds a Type 02 license as dealer, including pawnbroker, in firearms other than destructive devices. In addition to dealing in new and used merchandise, the licensee does not operate any other businesses from the premises.

During the inspection period, Mr. Rollins acquired (b)(4) firearms consisting of (b)(4) handguns, (b)(4) long guns, (b)(4) and (b)(4) firearm receiver. The firearms acquired were (b)(4) new firearms versus (b)(4) used firearms. Mr. Rollins disposed of (b)(4) firearms during the inspection period. Mr. Rollins' dispositions were (b)(4) dealer-to-dealer transactions, and (b)(4) pawn redemption and/or retail transactions. Mr. Rollins did not conduct any business at gun shows or other qualified events. Mr. Rollins does not conduct business through internet commerce; however he does have his own website [www.lighteningpawn.net](http://www.lighteningpawn.net). No sales of firearms were made to law enforcement for official duty purposes.

The primary suppliers of firearms include (b)(4), and the general-public. Mr. Rollins stores all his firearms onsite. Mr. Rollins has a Rockdale County Business License, No.: CL14-00354, expiration date 12/31/15. Mr. Rollins does not hold any other



# FIREARMS INSPECTION REPORT

Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

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active ATF licenses. Mr. Rollins has been in business as a firearms dealer, including pawnbroker since November 24, 2010. Mr. Rollins stores all firearms in gun safes and/or locked gun cabinets at the premises.

## Ownership and Control:

Mr. Ron Rollins operates as a sole proprietor and is the sole responsible person. IOI (b)(6) found no changes in ownership since the license was issued. The FLS should be updated to reflect Mr. Rollins' title as owner and add [Lightningpawn2010@yahoo.com](mailto:Lightningpawn2010@yahoo.com) as Mr. Rollins' email address. Also, remove (b)(6) as a responsible person (Reference: Exhibit 1)

## Variance:

Mr. Rollins does not operate under any approved variances. Mr. Rollins maintains handwritten records. IOI (b)(6) referred Mr. Rollins to ATF Ruling 2013-5, which provides the conditions for using a computerized Acquisition and Disposition (A&D) record. Mr. Rollins stated he will continue to use his handwritten bound A&D records.

## 2 - Recommendation

Violations Warning Conference Warning Letter Recall Inspection  
The FLS should be updated to reflect the following:

- Add Mr. Rollins' title as owner
- Add [Lightningpawn2010@yahoo.com](mailto:Lightningpawn2010@yahoo.com) as Mr. Rollins' email address.
- Remove (b)(6) as a responsible person

## 3 - Inspection History

The current inspection is the second compliance investigation of the business.

Date of Inspection:	October 21, 2014
Type of Inspection:	Firearms Disposition Emphasis Inspection
UI#:	760530-2014-0115-B1B
Inspection Results:	Viols WL Only and Recall Inspection
Cited Violations:	<ul style="list-style-type: none"><li>• 27 CFR 478.21(a) – In (b)(3) 112 FL 55 125 S 1A1 55 instances the licensee failed to furnish the information called for on each form as indicated by the headings and instructions.</li><li>• 27 CFR 478.124(c)(1) – In (b)(3) 112 FL 55 125 S instances the license failed to obtain complete and accurate information and certification from the buyer/transferee prior to making an over-the-counter transfer of a firearm to a non-licensee.</li></ul>

## FIREARMS INSPECTION REPORT

Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

UI#: 760530-2016-0040-B1B  
FFL#: 1-58-247-02-6M-06614

- 27 CFR 478.124(c)(3)(i) – In (b)(3) 112 PL 55 125 instances the licensee failed to verify the identity of the transferee by examining the identification document (as defined in Section 478.11) presented and note of the ATF Form 4473 the type identification used.
- 27 CFR 478.124(c)(3)(iv) – In (b)(3) 112 PL 55 instances the licensee failed to comply with the requirements of 478.102 and record on the form the date on which the licensee contacted the NICS, as well as any response provided by the system, including any identification number provided by the system.
- 27 CFR 478.124(c)(4) – In (b)(3) 112 PL 55 125 instances the licensee failed to identify the firearm to be transferred by listing on the ATF Form 4473.
- 27 CFR 478.124(c)(5) – In (b)(3) 112 PL 55 125 instances the licensee failed to sign (Item 34) and date or correctly date (Item 36) on the ATF Form 4473.
- 27 CFR 478.126a – In (b)(3) 112 PL 55 125 instance the licensee failed to report multiple sales or other disposition of pistols and revolvers not later than the close of business on the day that the multiple sale or other disposition occurred.
- 27 CFR 478.99(c)(5) – In (b)(3) 112 PL 55 125 instance the licensee transferred a firearm to a person knowing or having reasonable cause to believe that such person was prohibited. Item 11k, Are you an alien illegally in the United States?
- 27 CFR 478.125(e) – The licensee failed to timely record (b)(3) 112 PL 55 125 acquisitions and failed to timely record (b)(3) 112 PL 55 125 dispositions in the Acquisition and Disposition (A&D) record.

Date of Inspection: December 16, 2013  
Type of Inspection: Amended Application Inspection  
UI#: 760530-2014-0114-B1B  
Inspection Results: Application Approved. Issue with new address  
Cited Violations: None

#### 4 - Acquisition & Disposition (A&D) Record - Inventory

IOI (b)(6) conducted a full inventory of firearms in physical inventory and compared the firearms to their corresponding entry in the Acquisition & Disposition (A&D) record. IOI (b)(6) found 178 firearms in inventory. There were (b)(3) 112 open

## FIREARMS INSPECTION REPORT

**Name:** Joseph R. Rollins  
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disposition entries in the A&D record. The following is a summary of the inventory reconciliation process:

(b)(3) - 112 PUBLIC LAW

Open Entries  
Untimely Acquisitions  
  
Firearms in Inventory  
  
Untimely Dispositions

When comparing the physical inventory to the A&D record, it was disclosed that there were (b)(3) 112 PL 55 125 open dispositions in the A&D record for which there was no firearm in inventory. The licensee was cited in violation of 27 CFR 478.125(e). **(Reference: ROV#1, W/S 1, Exhibit 2).** The (b)(3) 112 PL 55 125 unrecorded dispositions were firearms that were sold on ATF Forms 4473 and not logged out of the A&D record. These unrecorded dispositions were reconciled by (b) (6) and recorded in the A&D record. There was (b)(3) 112 PL 55 125 unrecorded disposition (b)(3) 112 PL 55 125 STAT 552 that could not be located in inventory; therefore, on December 11, 2015, (b) (6) completed ATF Form 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss Report and faxed the form to the ATF Stolen Firearms Program Manager at 888-930-9275. **(Reference: Exhibit 3)** On December 14, 2015, Mr. Rollins contacted IOI (b)(6) and advised her that they found an ATF Form 4473 showing that (b)(3) 112 PL 55 125 STAT 552. **(Reference: Exhibit 4).** IOI (b)(6) advised Mr. Rollins of the proper procedure to log (b)(3) 112 PL 55 125 STAT 552 back into his A&D record. Also, IOI (b)(6) informed Mr. Rollins to contact the National Tracing Center (NTC) to advise them that (b)(3) 112 PL 55 125 STAT 552 has been located on an ATF Form 4473.

Also, the licensee failed to record the acquisition of (b)(3) 112 PL 55 125 firearm (b)(3) 112 PL 55 125 STAT 552 in the A&D record. (b)(3) 112 PL 55 125 STAT 552 was received on November 23, 2015. The licensee was cited in violation of 27 CFR 478.125(e). **(Reference: ROV#1, W/S 1, Exhibit 5)** (b) (6) recorded (b)(3) 112 PL 55 125 STAT 552 into the A&D record immediately.

As discussed above, the entries in the A&D record were not timely made. Twenty-five firearms were traced through the licensee's required records from acquisition through disposition with no additional discrepancies found. No obliterated serial numbers or illegal firearms were found.

Mr. Rollins stated to IOI (b)(6) that (b) (6) is the primary person responsible for entering firearms acquisitions and dispositions in the A&D record. (b) (6) usually records the acquisition information into the A&D record at the time the firearm is



# FIREARMS INSPECTION REPORT

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**Trade Name:** Lightning Pawn Shop

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received. At the time a firearm is sold an ATF Form 4473 is completed and the disposition information is entered into the A&D record before the customer leaves the store. (b)(6) stated that he has not conducted any inventories since the last ATF Audit. IOI (b)(6) suggested to Mr. Rollins and Mr. Gay that they should start taking quarterly inventories. IOI (b)(6) further suggested taking a monthly physical count of the firearms and comparing it to a count of the open dispositions in the A&D record. If a discrepancy occurs, a full inventory should be conducted.

Prior to the closing conference, IOI (b)(6) verified that the Springfield Armory USA Pistol was logged into the A&D record and the (b)(3) 112 PL 55 125 dispositions entries were entered in the A&D record.

## 5 - ATF Forms 4473 – NICS & Other Dispositions

The licensee had (b)(3) 112 ATF Forms 4473 on file for the inspection period. All ATF Forms 4473 for the inspection period were reviewed. Errors/discrepancies were disclosed on (b)(3) forms. (b)(3) 112 PL 55 125 STAT 552 copies were made of the respective ATF Forms 4473 as documentation of the violations using IOI (b)(6) ATF I-Phone camera.

The review of the ATF Forms 4473 on file disclosed the following violations:

Failure to furnish all of the information called for in each form as indicated by the headings on the form and the instructions on or pertaining to the form on (b)(3) 112 PL 55 125 ATF Forms 4473, in violation of 27 CFR 478.21(a). **Reference: ROV#2, Worksheet 2-4473[2012 Edition], Exhibit 6**

The licensee transferred firearms to an unlicensed individuals having reasonable cause to believe that such persons were prohibited from purchasing or receiving a firearm on (b)(3) 112 PL 55 125 ATF Forms 4473. It should be noted that (b)(3) 112 individual answered questions 11b through 11i with a "Yes" response on (b)(3) 112 PL 55 125 ATF Form 4473. IOI (b)(6) conducted National Crime Information Center (NCIC) queries on all (b)(3) 112 PL 55 125 individuals (ATF Forms 4473 - # (b)(3) 112 PL 55 125 STAT 552). No negative information was disclosed.

In (b)(3) 112 PL 55 125 instance, Section A, Item 11b, was answered with a "Yes" (b)(3) 112 PL 55 125. The licensee was cited for a violation of 27 CFR 478.99(c)(1). **Reference: ROV #3, Worksheet 2-4473[2012 Edition], Exhibit 7**

In (b)(3) 112 PL 55 125 instances, Section A, Item 11c, was answered with a "Yes" (b)(3) 112 PL 55 125 STAT 552). The licensee was cited for a violation of 27 CFR 478.99(c)(1). **Reference: ROV #3, Worksheet 2-4473[2012 Edition], Exhibits 7 & 8.**

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In [REDACTED] instance, Section A, Item 11d, was answered with a "Yes" [REDACTED]. The licensee was cited for a violation of 27 CFR 478.99(c)(2). Reference: ROV #4, Worksheet 2-4473[2012 Edition], Exhibits 7.

In [REDACTED] instance, Section A, Item 11e, was answered with a "Yes" [REDACTED]. The licensee was cited for a violation of 27 CFR 478.99(c)(3). Reference: ROV #5, Worksheet 2-4473[2012 Edition], Exhibit 7.

In [REDACTED] instance, Section A, Item 11f, was answered with a "Yes" [REDACTED]. The licensee was cited for a violation of 27 CFR 478.99(c)(4). Reference: ROV #6, Worksheet 2-4473[2012 Edition], Exhibit 7.

In [REDACTED] instance, Section A, Item 11g, was answered with a "Yes" [REDACTED]. The licensee was cited for a violation of 27 CFR 478.99(c)(6). Reference: ROV #7, Worksheet 2-4473[2012 Edition], Exhibit 7.

In [REDACTED] instances, Section A, Item 11h, was answered with a "Yes" [REDACTED]. The licensee was cited for a violation of 27 CFR 478.99(c)(8). Reference: ROV #8, Worksheet 2-4473[2012 Edition], Exhibits 7 & 9).

In [REDACTED] instances, Section A, Item 11i, was answered with a "Yes" [REDACTED]. The licensee was cited for a violation of 27 CFR 478.99(c)(9). Reference: ROV #9, Worksheet 2-4473[2012 Edition], Exhibits 7 & 10.

In [REDACTED] instance, Section A, Item 11j, was answered with a "Yes"(01295). The licensee was cited for a violation of 27 CFR 478.99(c)(7). Reference: ROV #10, Worksheet 2-4473[2012 Edition], Exhibit 7.

In [REDACTED] instance, Section A, Item 11k, was answered with a "Yes" (01295). The licensee was cited for a violation of 27 CFR 478.99(c)(5). Reference: ROV #11, Worksheet 2-4473[2012 Edition], Exhibit 7

The licensee initiated a NICS check to an unlicensed person and received a "delayed" response. The licensee transferred the firearm prior to waiting for three business days, on [REDACTED] ATF Form 4473, in violation of 27 CFR 478.102(a)(2)(ii). *IOI* [REDACTED] **(b)(6)** conducted a NCIC query on the individual (ATF Forms 4473 - [REDACTED] No negative information was disclosed. Reference: ROV #12, Worksheet 2-4473[2012 Edition], Exhibit 11

Failure to have the transferee accurately complete Section A of the ATF Form 4473, prior to the transfer of a firearm on [REDACTED] ATF Forms 4473, in violation of 27 CFR 478.124(c)(1). Reference: ROV #13, Worksheet 2-4473[2012 Edition], Exhibit 12



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Failure to accurately identify the firearm to be transferred on (b)(3) 112 PL 55 125 S1 ATF Forms 4473, in violation of 27 CFR 478.124(c)(4). Reference: ROV #14, Worksheet 2-4473[2012 Edition], Exhibit 13

Failure to sign and/or date the ATF Form 4473 on (b)(3) 112 PL 55 125 S1 ATF Forms 4473, in violation of 27 CFR 478.124(c)(5). Reference: ROV #15, Worksheet 2-4473[2012 Edition], Exhibit 14

The licensee failed to obtain and document additional documentation such as a valid hunting license or permit issued within the United States, prior to transferring a firearm to a non-immigrant alien, on (b)(3) 112 PL 55 125 S1 ATF Form 4473, in violation of 27 CFR 478.124(c)(3)(iii). Reference: ROV #17, Worksheet 2-4473[2012 Edition], Exhibit 15

NICS Audit Log was received listing denied status transactions for the period 11/30/98 to 11/18/15 and non-denied status transactions for the 60-day period 9/19/15 to 11/18/2015. The audit log reported 36 proceed transactions, and 54 denied transactions, of which 15 denied transactions were during the inspection period. IOI (b)(6) traced the NICS transaction numbers to the ATF Forms 4473, disclosing no discrepancies. The denials ATF Forms 4473 were found to be retained in a separate file as required.

The licensee made no firearm sales to law enforcement for official duty during the period of inspection. Dealer-to-dealer transactions accounted for 1% of the licensee's business. IOI (b)(6) found the transactions to other licensees properly conducted and recorded in the A&D record. No trafficking issues related to firearm purchasers were disclosed.

(b)(6) stated that once a customer is ready to purchase a firearm, an ATF Form 4473 is presented to the customer. The customer completes Section A of the ATF Form 4473. The form is examined for completeness and accuracy by Mr. Rollins and/or (b)(6). The transferee's identification is then examined and the document information is recorded in Item #20a of the form. At this point, either a NICS check is initiated, or the Georgia Firearms License (GFL) / Georgia Carry Weapons License (GCL) is examined. When the NICS check results are favorable, the rest of the form is completed and the firearm is transferred. (b)(6) stated he tries to review all of the ATF Forms 4473 after the completion of the firearm transfer. Mr. Rollins and (b)(6) were advised that if they discover that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, then they should make a photocopy of the inaccurate form and make any necessary additions or revisions to the photocopy. Mr. Rollins and (b)(6) were further advised that they should only make changes to Sections B and D of the ATF Form 4473 and the transferee should only make changes to Section A and C. Mr. Rollins and (b)(6) were further advised that whoever makes the changes should

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initial and date the changes and then the corrected photocopy should be attached to the original ATF Form 4473. Mr. Rollins and (b) (6) were instructed that the ATF Form 4473 should be completed entirely prior to transferring the firearm. (b) (6) files the ATF Forms 4473 chronologically.

## 6 - Multiple Sales

The Violent Crime Analysis Branch (VCAB) FFL Master Query report was obtained covering the period of November 19, 2014 to November 19, 2015. The report revealed that the licensee had (b) (6) multiple sales, involving (b) (6) firearms.

During the review of ATF Forms 4473 for the inspection period, it was disclosed that the licensee failed to timely submit a report of multiple sale ATF Form 3310.4, no later than the close of business on the day that the multiple sale or other disposition occurred in (b) (6) instances, involving (b) (6) firearms, in violation of 27 CFR 478.126a. Reference: ROV #16, Worksheet 2-4473[2012 Edition], Exhibit 16. On December 8, 2015, the licensee completed ATF Form 3310.4, Report of Multiple Sales or other Disposition of Pistols or Revolvers, and faxed them to the National Tracing Center (NTC). Reference: Exhibit 17.

IOI (b) (6) advised Mr. Rollins and (b) (6) that they could complete the computerized version of the ATF Form 3310.4 and email it to ATF. IOI (b) (6) advised Mr. Rollins and (b) (6) that in e-mailing the ATF Form 3310.4 to ATF, they would receive an immediate confirmation from ATF that the form was received. The ATF Form 3310.4 confirmation e-mail could then be printed and attached to the ATF Form 3310.4. The confirmation e-mail would provide the licensee evidence to show that ATF had received the ATF Form 3310.4.

## 7 - Suspicious/Prohibited Purchasers

IOI (b) (6) conducted NCIC queries on five transferees. No hits were revealed and no referrals were made. There were no possible traffickers, straw purchasers, suspicious or prohibited purchasers identified during this inspection.

## 8 - Trace Activity

The VCAB FFL Master Query report revealed that the licensee had (b) (6) t firearm traces for the period. The traces were successful.

Twelve secondary market firearms were queried in NCIC. None of the firearms were disclosed to have been traced or stolen.

# FIREARMS INSPECTION REPORT

**Name:** Joseph R. Rollins  
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## 9 - NFA/Importer/Collector/Manufacturer

Mr. Rollins does not conduct activity involving the importing, collecting or manufacturing of firearms or conduct transactions involving NFA firearms.

## 10 - Referrals

IOI (b)(6) did not submit any referrals.

## 11 - Other

Mr. Rollins was found to have trigger locks (*safety devices*) on hand for retail sale. The licensee was in compliance with the Youth Handgun Safety Act and the Child Safety Lock Act of 2005 requirements (*poster and pamphlet*). Mr. Rollins stated that he understands that he must provide a trigger lock to each transferee of a handgun if the handgun does not already have a trigger lock. No school zone was found to be within a 1000 foot vicinity of the licensee's business premises. No other findings were disclosed during the inspection.

## 12 - Closing Actions

On December 11, 2015, IOI (b)(6) held a closing conference with Mr. Ron Rollins, owner and (b)(6), manager to review and discuss the results of the inspection. The violations recorded on the ATF Form 5030.5, Report of Violations were discussed with Mr. Rollins and (b)(6) along with the causes of the violations and the corrective actions. The ATF Form 5030.5 was signed and dated by Mr. Rollins. Each applicable topic was discussed on the Acknowledgement of Federal Firearm Regulations with Mr. Rollins. **(Reference: Exhibit 18)** Emphasis was placed on completion of the ATF Forms 4473, conducting secondary reviews of the ATF Forms 4473, preparing and submitting the report of multiple handgun sales, and conducting inventories. Mr. Rollins stated that he had no further questions or topics he wanted to discuss.

IOI (b)(6) provided a packet of reference materials to the licensee.

### **ATF Publications**

- FFL Quick Reference & Best Practices (ATF P 5300.15)
- Personal Firearms Record (ATF P 3312.8)

### **ATF Information**

- Misdemeanor Crimes of Domestic Violence and Federal Firearms Prohibitions (ATF I 3310.3)
- Federal Firearms Prohibitions Under 18 U.S.C. § 922(g)(4) – Persons Adjudicated as a Mental Defective or Committed to a Mental Institution (ATF I 3310.4)



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- Youth Handgun Safety Act Poster and Notice (ATF I 5300.1 and 5300.2)
- Open Letters
- Child Safety Lock Act FFL Letter (04/21/06)
- Miscellaneous
- Important ATF Phone Numbers

### 13 - Violations

#### 1. 27 CFR 478.125(e)

“REPEAT OF VIOLATION CITED ON OCTOBER 21, 2014”

#### Nature of Violation:

The licensee failed to record the acquisition of (b)(3) 112 PL 55 125 firearm in the Acquisition and Disposition (A&D) record.

(b)(3) 112 PL 55 125 STAT 552

The licensee failed to record the disposition of (b)(3) 112 PL 55 125 firearms in the Acquisition and Disposition (A&D) record.

(b)(3) 112 PL 55 125 STAT 552

#### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy, clerical error, and not doing inventories.

#### Corrective Action:

If firearms are missing after inventory reconciliation, the licensee shall attempt to locate the firearm dispositions through old ATF Forms 4473 or commercial records. If found, the licensee will record the name and address or name and transaction number of the

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ATF Form 4473. If transferred to another FFL, record the name and FFL license number in the disposition entry. If the licensee is unable to locate the dispositions of the firearms, they shall report these firearms to ATF as missing on ATF Form 3310.11 immediately. The licensee will log the disposition of these firearms with an ATF incident number once it has been assigned. In the future, the licensee shall accurately and timely record the acquisition of all firearms by the end of the next business day and the disposition of all firearms within seven (7) days from the date of the transfer.

**(Reference: Worksheet 1, Exhibits 2-5)**

2. **27 CFR 478.21(a)**

**“REPEAT OF VIOLATION CITED ON OCTOBER 21, 2014”**

**Nature of Violation:**

Failure to furnish all of the information called for in each form as indicated by the headings on the form and the instructions on or pertaining to the form on (b)(3) 112 PL 55 125 ATF Forms 4473.

In (b)(3) 112 PL 55 125 instance, Section A, item 11a was left blank (b)(3) 112 PL 55 125  
In (b)(3) 112 PL 55 125 instances, Section A, item 11a was incorrect (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 instances, Section C, Item 24 was left blank (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 instances, Section C, Item 25 was left blank (b)(3) 112 PL 55 125 STAT 552

**Licensee's Response:**

Mr. Rollins stated that this violation was due to being lazy and an oversight on Mr. Gay's and his part for not reviewing the ATF Forms 4473 more carefully.

**Corrective Action:**

**(Reference: Worksheet 2-4473[2012 Edition], Exhibit 6)**

3. **27 CFR 478.99(c)(1)**

**Nature of Violation:**

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 ATF Form 4473.

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On (b)(3) 112 PL 55 125 ATF Form 4473 (b)(3) 112 PL 55 125, Section A, item 11b was answered with a "Yes" response to the question, "Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year?"

On (b)(3) 112 PL 55 125 ATF Forms 4473 (b)(3) 112 PL 55 125 STAT 552, Section A, item 11c was answered with a "Yes" response to the question, "Have you ever been convicted in any court of a felony, or any other crime, for which the judge could imprisoned you for more than one year?"

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

(Reference: Worksheet 2-4473[2012 Edition], Exhibits 7 & 8)

4. 27 CFR 478.99(c)(2)

### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 PL 55 125 ATF Forms 4473.

**(b)(3) 112 PL 55 125 STAT 552**

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.



## FIREARMS INSPECTION REPORT

Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

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### Corrective Action:

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 7)

### 5. 27 CFR 478.99(c)(3)

### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(6) ATF Form 4473.

On (b)(6) ATF Form 4473 (01295), Section A, item 11e was answered with a "Yes" response to the question, "Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug or any other controlled substance?"

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

## FIREARMS INSPECTION REPORT

Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

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(Reference: Worksheet 2-4473[2012 Edition], Exhibit 7)

6. 27 CFR 478.99(c)(4)

Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 ATF Form 4473.

(b)(3) - 112 Public Law 55 125 Stat 552), Section A, item 11f was answered with a "Yes" response to the question, "Have you ever been adjudicated mentally defective (which includes a determination by a court, board, commission, or other lawful authority that you are a danger to yourself or to others or are incompetent to manage your own affairs) or have you ever been committed to a mental institution?"

Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.

Corrective Action:

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 7)

7. 27 CFR 478.99(c)(6)

Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 ATF Form 4473.

## FIREARMS INSPECTION REPORT

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(b)(3) - 112 Public Law 55 125 Stat 552 Section A, item 11g was answered with a "Yes" response to the question, "Have you ever been discharged from the Armed Forces under dishonorable conditions?"

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 7)

8. 27 CFR 478.99(c)(8)

### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on (b)(3) 112 Public Law 55 125 Stat 552 ATF Forms 4473.

On (b)(3) 112 Public Law 55 125 Stat 552 ATF Forms 4473 (01295, 01525), Section A, item 11h was answered with a "Yes" response to the question, "Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner?"

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:



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Trade Name: Lightning Pawn Shop

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A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 7 & 9)

9. 27 CFR 478.99(c)(9)

Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on [REDACTED] ATF Forms 4473.

On [REDACTED] ATF Forms 4473 [REDACTED], Section A, item 11i was answered with a "Yes" response to the question, "Have you ever been convicted in any court of a misdemeanor crime of domestic violence?"

Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on [REDACTED] and his part for not reviewing the ATF Forms 4473 more carefully.

Corrective Action:

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

(Reference: Worksheet 2-4473[2012 Edition], Exhibits 7 & 10)

10. 27 CFR 478.99(c)(7)

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Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

UI#: 760530-2016-0040-B1B  
FFL#: 1-58-247-02-6M-06614

### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on [REDACTED] ATF Form 4473.

(b)(3) - 112 Public Law 55 125 Stat 552 Section A, item 11j was answered with a "Yes" response to the question, "Have you ever renounced your United States citizenship?"

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on [REDACTED] and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 7)

11. 27 CFR 478.99(c)(5)

“REPEAT OF VIOLATION CITED ON OCTOBER 21, 2014”

### Nature of Violation:

Licensee transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm on [REDACTED] ATF Form 4473.

(b)(3) - 112 Public Law 55 125 Stat 552, Section A, item 11k was answered with a "Yes" response to the question, "Are you an alien illegally in the United States?"

### Licensee's Response:

## FIREARMS INSPECTION REPORT

Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

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FFL#: 1-58-247-02-6M-06614

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b) (6) and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:

A licensed dealer shall not sell or deliver any firearm to any person who the licensee knows or has reasonable cause to believe is prohibited from purchasing or receiving a firearm. Licensee was instructed that prior to transferring a firearm to an unlicensed individual, determine that they have completed items 11b-11L with a response that indicates that they are not prohibited from purchasing, possessing, or receiving a firearm. Licensee was further advised that if the transferee indicates that they are prohibited, he should not contact NICS but terminate the transaction.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 7)

### 12. 27 CFR 478.102(a)(2)(ii)

### Nature of Violation:

The licensee initiated a NICS check to an unlicensed person and received a "delayed" response. The licensee transferred the firearm prior to waiting for three business days, on (b) (6) ATF Form 4473. (b) (6)

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b) (6) and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:

IOI (b) (6) educated Mr. Rollins and (b) (6) on the requirements of NICS including advising them that they could call NICS to see if they had made a determination prior to releasing the firearm, if they had not heard from a delayed response. Also, IOI (b) (6) gave Mr. Rollins the chart title "What is a Business Day?" to assisted him in determining 3 business days. Furthermore, IOI (b) (6) explained to Mr. Rollins that a business day does not include Saturday, Sunday or holidays.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 11)



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Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

UI#: 760530-2016-0040-B1B  
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13. 27 CFR 478.124(c)(1)

“REPEAT OF VIOLATION CITED ON OCTOBER 21, 2014”

Nature of Violation:

Failure to have the transferee accurately complete Section A of the ATF Form 4473, prior to the transfer of a firearm on (b)(3) 112 PL 55 125 STAT 552 ATF Forms 4473.

In (b)(3) 112 PL 55 125 STAT 552 instance, Section A, item 2 was incomplete (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 STAT 552 instances, Section A, item 3 was incorrect/incomplete (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 STAT 552 instance, Section A item 3 was left blank (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 STAT 552 instance, Section A, item 11l was erroneous answered (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 STAT 552 instances, Section A, item 13 was blank (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 STAT 552 instances, Section A, item 17 was blank (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 STAT 552 instances, Section A, item 17 was incorrect (b)(3) 112 PL 55 125 STAT 552

Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.

Corrective Action:

The licensee will have the transferee complete Section A of the ATF Form 4473 fully and accurately prior to the transfer of the firearm. The licensee will thoroughly review the ATF Form 4473 to ensure it is properly completed prior to the transfer of the firearm.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 12)

14. 27 CFR 478.124(c)(4)

“REPEAT OF VIOLATION CITED ON OCTOBER 21, 2014”

Nature of Violation:

Failure to accurately identify the firearm to be transferred on (b)(3) 112 PL 55 125 STAT 552 ATF Forms 4473.

## FIREARMS INSPECTION REPORT

Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

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FFL#: 1-58-247-02-6M-06614

In (b)(3) 112 PL 55 125 STAT 552 instance, Section D, item 27 was blank (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 STAT 552 instances, Section D, item 28 was incomplete (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 STAT 552 instance, Section D, item 29 was incomplete (b)(3) 112 PL 55 125 STAT 552  
In (b)(3) 112 PL 55 125 STAT 552 instance, Section D, item 30 was incomplete (b)(3) 112 PL 55 125 STAT 552

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:

The licensee was instructed to correctly identify the firearm to be transferred by listing on the ATF Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm. Correct the ATF Form 4473 by making a copy of the form, having the correction made on the copy.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 13)

15. 27 CFR 478.124(c)(5)

“REPEAT OF VIOLATION CITED ON OCTOBER 21, 2014”

### Nature of Violation:

Failure to sign and/or date the ATF Form 4473 on (b)(3) 112 PL 55 125 STAT 552 ATF Forms 4473.

In (b)(3) 112 PL 55 125 STAT 552 instances, Section D, item 36, the date transferred was left blank (b)(3) 112 PL 55 125 STAT 552

In (b)(3) 112 PL 55 125 STAT 552 instances, Section D, item 36, the date transferred was incorrect (b)(3) 112 PL 55 125 STAT 552

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:

## FIREARMS INSPECTION REPORT

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Trade Name: Lightning Pawn Shop

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FFL#: 1-58-247-02-6M-06614

Licensee was instructed to sign and date the ATF Form 4473 if they do not know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm. Correct the ATF Form 4473 by making a copy of the ATF Form 4473, having the correction made on the copy, dating and initialing the corrections. The copy will then be stapled to the original ATF Form 4473.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 14)

16. 27 CFR 478.126a

“REPEAT OF VIOLATION CITED ON OCTOBER 21, 2014”

### Nature of Violation:

Failure to prepare and submit a report of multiple sales ATF Form 3310.4 for the sale of two or more handguns to the same individual within a five business day period in (b)(3) 112 PL 55 125 instances, involving (b)(3) 112 PL 55 125 firearms. (b)(3) 112 PL 55 125 STAT 552

### Licensee's Response:

Mr. Rollins stated that this violation was due to being lazy and an oversight on (b)(6) and his part for not reviewing the ATF Forms 4473 more carefully.

### Corrective Action:

Licensee was instructed to immediately prepare and submit an ATF F's 3310.4 for the unreported multiple sales. Licensee was advised that each licensee shall prepare a report of multiple sales, ATF F 3310.4, whenever the licensee sells or otherwise disposes of, at any one time or during five consecutive business days, two or more pistols, or revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person. Licensee was further advised that the report shall be prepared on Form 3310.4, Report of Multiple Sales or other Disposition of Pistols or Revolvers.

(Reference: Worksheet 2-4473[2012 Edition], Exhibit 16 )

17. 27 CFR 478.124(c)(3)(iii)

### Nature of Violation:



## FIREARMS INSPECTION REPORT

**Name:** Joseph R. Rollins  
**Trade Name:** Lightning Pawn Shop

**UI#:** 760530-2016-0040-B1B  
**FFL#:** 1-58-247-02-6M-06614

The licensee failed to obtain and document additional documentation such as a valid hunting license or permit issued within the United States, prior to transferring a firearm to a non-immigrant alien, on (b)(3) 112 PL 55 1 ATF Form 4473. (b)(3) 112 PL 55 123

### **Licensee's Response:**

Mr. Rollins stated he didn't know that he needed to obtain any additional information from a non-immigrant alien.

### **Corrective Action:**

The license was instructed to obtain a valid hunting license or permit issued within the United State and document the information in 20c.

**(Reference: Worksheet 2-4473[2012 Edition], Exhibit 15)**

X

(b) (6)

Industry Operations Investigator

# FIREARMS INSPECTION REPORT

Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

UI#: 760530-2016-0040-B1B  
FFL#: 1-58-247-02-6M-06614

## Index of Worksheets and Exhibits

### Worksheets

Worksheet 1 – Inventory, A&D Book

Worksheet 2 – ATF Form 4473 (April 2012 Edition)

### Exhibits

1. Letter removing (b) (6) as RP
2. Copies of (b)(3) 112 PL 55 125 open dispositions in the A&D record - There was (b)(3) 112 open disposition page for Book 2 -Line 515- SCCY #154108 that was not copied
3. Copies of ATF Form 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss Report and E-Trace of the missing FIE.
4. Copy of ATF Form 4473 dated September 15, 2015 for the missing (b)(3) 112 PL 55 125 STAT 552
5. Copy of A&D Record showing the acquisition of the (b)(3) 112 PL 55 125 STAT 552 that was received on 11/23/15
6. Copies of (b)(3) 112 PL 55 125 STAT 552 ATF Forms 4473 – (b)(3) 112 PL 55 125 STAT 552
7. Copy of (b)(3) - 112 Public Law 55 125 Stat 552
8. Copy of
9. Copy of
10. Copy of
11. Copy of
12. Copies of
13. Copies of
14. Copies of
15. Copy of
16. Copies of
17. Copies of ATF Form 3310.4, Report of Multiple Sales or other Disposition of Pistols or Revolvers
18. Acknowledgement of Federal Firearm Regulations

## FIREARMS INSPECTION REPORT

Name: Joseph R. Rollins  
Trade Name: Lightning Pawn Shop

UI#: 760530-2016-0040-B1B  
FFL#: 1-58-247-02-6M-06614



U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives  
Atlanta, Georgia 30345

JAN 1 1 2016

www.alf.gov

760530: (b) (6) (b) (6)  
5373

Mr. Joseph R. Rollins  
Lightning Pawn Shop  
1672 B Highway 138 NE  
Conyers, Georgia 30013

1-58-247-02-6M-06614

Dear Mr. Rollins:

During a recent compliance inspection at your firearms business covering the period of December 7 through December 11, 2015, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

You should be aware that any willful violations of the Gun Control Act may result in revocation of your Federal firearms license. As a result of the recently cited violations, it is important that we have a meeting with you to discuss the violations found. **The conference will be held on January 13, 2016 at 1 PM at 2600 Century Parkway, NE, Atlanta, GA 30345.** The agenda for the meeting will include a discussion of the reasons for the violations, a review of the legal requirements, and a discussion of steps to be taken by you to ensure future compliance. Although we do not believe it necessary, legal counsel may assist you at your own expense if you so choose. Please bring a compliance plan with your documentation verifying the corrective actions you have taken or will implement to ensure future compliance.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business to aid in this effort to combat violent crime.

We will conduct a follow-up inspection in the future. Any violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license.



Mr. Joseph R. Rollins  
Lightning Pawn Shop

Please contact Industry Operations Investigator Sharon Newkam at (b) (6) to confirm this appointment, and to ask any questions you may have regarding this conference. I look forward to meeting with you to resolve these issues.

Sincerely yours,

(b) (6)

Area Supervisor, Atlanta V (IO)

Enclosure

c: Director, Industry Operations, Atlanta Field Division  
Federal Firearms Licensing Center



U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

Atlanta, Georgia 30345

January 19, 2016

www.atf.gov

760530: (b) (6)  
5373

Mr. Joseph R. Rollins  
Lightning Pawn Shop  
1672 B Highway 138, NE  
Conyers, Georgia 30013

FFL No. 1-58-247-02-6M-06614

Dear Mr. Rollins:

This letter is a follow-up to the warning conference held with you on January 13, 2016, at the Atlanta Field Division Office located at 2600 Century Parkway, Atlanta, Georgia 30345. During this conference, the violations cited during the inspection covering the period December 7, 2014 to December 7, 2015, and the necessary corrective action to prevent the violations from recurring were discussed.

You were given the opportunity to comment on the violations and what specific action(s) you have taken to ensure that the violations will not recur.

**Regarding Violation #1** where you failed to record the acquisition of (b)(3) 112 PL SS 1 firearm and the disposition of (b)(3) 112 PL SS 1 firearms in the Acquisition and Disposition (A & D) record. During the conference you stated that you have implemented a triple-review/check system to make sure this violation does not recur. You stated that your employee (b) (6) will check all ATF Forms 4473 at the end of each business day; and record all firearm (acquisitions and dispositions) into the A & D record at the end of each day. You stated that you will do the second review at the end of each week, and the third review at the end of each month.

**Regarding Violation #2** where you failed to furnish all of the information called for in each form as indicated by the headings on the form and the instructions on or pertaining to the form on (b)(3) 112 PL SS 1 ATF Forms 4473. During the conference you stated that you have implemented a triple-review/check system to make sure this violation does not recur.

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Lightning Pawn Shop

You stated that your employee, (b) (6) will check all ATF Forms 4473 at the end of each business day. You stated that you will do the second review at the end of each week, and the third review at the end of the month.

**Regarding Violations #3, #4, #5, #6, #7, #8, #9, 10, and #11** where you transferred a firearm to an unlicensed individual having reasonable cause to believe that such person was prohibited from purchasing or receiving a firearm(s) when the unlicensed individual answered "Yes" to the following questions asked in Section A of the ATF Form 4473:

- 11b, "Are you under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for more than one year?" and, 11c, "Have you ever been convicted in any court of a felony, or any other crime, for which the judge could have imprisoned you for more than one year?"
- 11d, "Are you a fugitive from justice?"
- 11e, "Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug or any other controlled substance?"
- 11f, "Have you ever been adjudicated mentally defective (which includes a determination by a court, board, commission, or other lawful authority that you are a danger to yourself or to others or are incompetent to manage your own affairs) or have you ever been committed to a mental institution?"
- 11g, "Have you ever been discharged from the Armed Forces under dishonorable conditions?"
- 11h, "Are you subject to a court order restraining you from harassing, stalking, or threatening your child or an intimate partner or child of such partner?"
- 11i, "Have you ever been convicted in any court of a misdemeanor crime of domestic violence?"
- 11j was answered with a "Yes" response to the question, "Have you ever renounced your United States citizenship?"
- 11k, "Are you an alien illegally in the United States?"

During the conference you stated that you have implemented a triple-review/check system to make sure these violations do not recur. You stated that your employee, (b) (6) will check all ATF Forms 4473 at the end of each business day.



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You stated that you will do the second review at the end of each week, and the third review at the end of the month.

**Regarding Violations #12** where you initiated a NICS check, received a "delayed" response, and transferred (b)(3) 112 PL 55 125 firearm prior to the three (3) business days waiting period. To make sure this violation does not recur, you have implemented a triple-review/check system. You stated that your employee, (b) (6) will check all ATF Forms 4473 at the end of each business day. You will do the second review and third review at the end of each week and month respectively.

**Regarding Violation #13** where you failed to have the transferee accurately complete Section A of the ATF Form 4473, prior to the transfer of a firearm on (b) (6) ATF Forms 4473. During the conference you stated that you have implemented a triple-review/check system to make sure this violation does not recur. You stated that your employee, (b) (6) will check all ATF Forms 4473 at the end of each business day. You stated that you will do the second review at the end of the week, and the third review at the end of each month.

**Regarding Violation #14** where you failed to accurately identify the firearm to be transferred on (b)(3) 112 PL 55 125 ATF Forms 4473. To make sure this violation does not recur, you have implemented a triple-review/check system. (b) (6), an employee, will check all ATF Forms 4473 at the end of each business day. You will review all forms at the end of each week; and again at the end of the each month.

**Regarding Violation #15** where you failed to sign and/or date the ATF Form 4473 on (b)(3) 112 PL 55 125 ATF Forms 4473. To make sure this violation does not recur, you have implemented a triple-review/check system. You stated that your employee, (b) (6) will check all ATF Forms 4473 at the end of each business day. You also stated that you will do the second review at the end of each week, and the third review at the end of the month.

**Regarding Violation #16** where you failed to prepare and submit a report of multiple sales ATF Form 3310.4 for the sale of two or more handguns to the same individual within a five business day period. During the conference you stated that you have implemented a triple-review/check system to make sure this violation does not recur. You stated that your employee, (b) (6) will check all ATF Forms 4473 at the end of each business day. (b) (6) will also record all firearm acquisitions and dispositions into the A & D record. You stated that you are responsible for the second review at the end of each week, and the third review at the end of the month.

**Regarding Violation #17** where you failed to obtain and record on the ATF Form 4473 additional documentation such as a valid hunting license or permit issued within the United States, prior to transferring a firearm to a non-immigrant alien. You stated that you will have to do a better review and implement a triple-review/check system to make sure this violation does not recur. You stated that your employee, (b) (6) will check all ATF Forms 4473 at the end



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of each business day. In addition, you will do the second and third review at the end of each week and at the end of the month respectively.

The violations for which you were cited could adversely impact law enforcement's ability to reduce violent crime and protect the public.

You are reminded that future violations, repeat or otherwise, could be viewed as willful and may result in the revocation of your license. You may anticipate further inspections to ensure your compliance.

Please contact us at (b) (6) if you have any questions concerning your responsibilities as a licensee or if you require further clarification about particular requirements of the Federal Firearms Laws.

Sincerely yours

(b) (6)

Area Supervisor, Atlanta V (IO)

c: Director, Industry Operations, Atlanta Field Division  
Federal Firearms Licensing Center