

ALLEGHENY ARMS LLC's FCI
FCI-14712
10/05/2020 03:04 PM
IOI (b)(6); (b)(7)(C), Pittsburgh III (IO) Field Office

LICENSEE INFORMATION

Licensee Name	RDS Key	License/Permit Number	License Type
ALLEGHENY ARMS LLC	8-25-07433	8-25-003-01-9M-07433	01 - Dealer License
Business Type	Limited Liability Company (LLC)		

Premises Address

Premises Ownership Type Leased/Rented

Premises Location Type Store Front

Mailing Address

Same as Premises Address

Address
4603 LIBRARY RD STE 170
Unit N/A
BETHEL PARK, Pennsylvania 15102
ALLEGHENY County United States

Phone Type	Phone Number	Remarks
Business	+1 412-409-2925	
Fax	+1 412-595-8689	

Email Address	Email Remarks
info@alleghenyarms.com	

Online Presence Type	Online Presence URL	Remarks
Website	www.alleghenyarms.com	

RECOMMENDATIONS

Final Decision
Warning Letter

Director of Industry Operations (b)(6); (b)(7)(C) Recommendation
Warning Letter

(b)(3)-Pub. L. No. 112-51

Details

The Recall compliance investigation disclosed 3 violations, 2 of them repeated, with (b)(6); (b)(7)(C) missing/unaccounted firearm, and although the violations can be construed as being negligible relative to the sizeable business volume, the repeat nature of said violations, with a missing firearm apparently justified the WL AA. Therefore, concur with the A/S on the WL AA with no Recall, as the missing firearm has not been connected to a crime and is likely the result of a paper error, as well as in consideration that there was no evidence found of straw sales/trafficking, nor transfers to prohibited individuals, nor unresolved traces or unreported multiple sales.

Area Supervisor (b)(6); (b)(7)(C) Recommendation
Warning Letter

Details

On September 1, 2020, SIOI (b)(6); (b)(7)(C) initiated a Recall Compliance Inspection with Allegheny Arms, LLC (ALLEGHENY), which holds a Type 01, Dealer of Firearms, Federal Firearms License (FFL). SIOI (b)(6); (b)(7)(C) met with Joshua Rowe (ROWE), Member/RP, and Bruce Piendl (PIENDL), Member/RP. The licensed premises is a commercial storefront, located in a strip mall type facility in the Municipality of Bethel Park, Allegheny County, PA. The premises are suitable for the business activities. The licensee does not conduct any other business from the premises. Additionally, the licensee does not have off-site storage. ALLEGHENY conducts retail sales of new (b)(4) and used (b)(4) firearms, which includes long guns and handguns. The licensee also (b)(3)-26 U.S.C. §§ 6103 and engages in NFA firearms sales. ALLEGHENY also engages in gunsmithing. In addition to firearms, the licensee also sells ammunition and firearms accessories from the storefront. Allegheny Arms LLC conducts business over the internet and has the website <https://alleghenyarms.com>. They also conduct business over www.gunbroker.com. The licensee occasionally conducts business at gun shows.

At the conclusion of the inspection, on September 24th, 2020, SIOI (b)(6); (b)(7)(C) held a closing conference with the licensee and issued a Report of Violations (ROV) for three (3) separate violations:

Violations

27 CFR 478.125(e) – REPEAT of 2018 Compliance (766060-2018-0225)

In (b)(3);Pub. L. No. 112-55 the licensee failed to record the disposition of a firearm in the acquisition and disposition records. The disposition of the firearm could not be reconciled via ATF Inventory, and it was reported as lost on an ATF Form 3310.11, Federal Firearms Licensee Inventory Theft/Loss Report.

27 CFR 478.124(c)(1) – REPEAT of 2018 Compliance (766060-2018-0225)

In (b)(3);Pub. L. No. 112-55 the licensee transferred a firearm to non-licensee who failed to answer Item 12b on the ATF Form 4473. NCIC and eTrace queries did not reveal any derogatory information on the purchaser

In (b)(3);Pub. L. No. 112-55 the licensee transferred a firearm to non-licensee who failed to answer Item 12d.1 on the ATF Form 4473. NCIC and eTrace queries did not reveal any derogatory information on the purchasers

27 CFR 478.21(a)

In (b)(3);Pub. L. No. 112-55, the licensee transferred a firearm to a non-licensee, who answered "No" to Item 11a on the ATF Form 4473. NCIC and eTrace queries did not reveal any derogatory information on the purchasers.

This Recall Inspection was the result of a DE Compliance Inspection conducted in April-May 2018 (766060-2018-0225-B1B), in which the licensee was cited for three (3) violations:

27 CFR 478.124(c)(1)

Licensee had (b)(3);Pub. L. No. 112-55 of this violation pertaining to ATF Forms 4473. On (b)(3);Pub. L. No. 112-55 the purchaser provided a "Yes" response to item 11i, "Have you ever been convicted in any court of a misdemeanor crime of domestic violence," and (b)(3);Pub. L. No. 112-55 provided no response to the same item. On (b)(3);Pub. L. No. 112-55 the purchaser failed to complete items 11b - 12d. The licensee failed to halt the transactions and firearms were transferred to the purchasers.

27 CFR 478.125(e)

Licensee had (b)(3);Pub. L. No. 112-55 of this violation pertaining to Acquisition & Disposition (A&D) records. On (b)(3);Pub. L. No. 112-55 firearms were transferred without completion of disposition entries in the A&D record.

27 CFR 478.126a

Licensee had (b)(3);Pub. L. No. 112-55 of this violation pertaining to reporting multiple sales of handguns. On (b)(3);Pub. L. No. 112-55 the licensee performed a transfer of two (2) or more handguns in five (5) or fewer business days to an individual and did not submit ATF Form 3310.4, "Report of Multiple Sale or Other Disposition of Pistols and Revolvers."

While the Adverse Action Policy, and Spartan, called for a recommendation of "Warning Letter", Lead IOI (b)(6); (b)(7)(C) requested an Alternate Recommendation of "ROV Only - No Recall". However, in light of the fact there were two (2) Repeat Violations, including one (1) missing firearm from the licensee's inventory, A/S (b)(6); (b)(7)(C) concurs with the AA Policy/Spartan recommendation of "Warning Letter", but due to various factors, a Recall Inspection is NOT recommended.

Total # of ATF F 4473 Reviewed: (b)(3);Pub. L. No. 112-55 had violations – .09% error rate – (b)(3);Pub. L. No. 112-55

Total # of Open Dispositions in A & D Record: (b)(3);Pub. L. No. 112-55 in 2018

Total # of Firearms in Inventory: 802 – 508 in 2018

Total # of Firearms Missing after Reconciliation: (b)(3);Pub. L. No. 112-55 in 2018

Total # of Acquisitions in the Last 12 Months: (b)(4) in 2018

Total # of Dispositions in the Last 12 Months: (b)(4) in 2018

Since the last inspection in 2018, the licensee's volume has essentially doubled. (b)(3);Pub. L. No. 112-55

(b)(3);Pub. L. No. 112-55

Industry Operations Investigator (b)(6); (b)(7)(C) Recommendation
Violations Only

Details

In (b)(3);Pub. L. No. 112-55 the licensee reported (b)(3);Pub. L. No. 112-55 as a result of the inspection. Per the Federal Firearms Administrative Action Policy, this violation could merit a warning letter. However, SIOI (b)(6); (b)(7)(C) recommends an alternate recommendation of a report of violations only. The alternate recommendation is based on the following mitigating factors:

The licensee disposed of (b)(4) firearms in the last 12 months, and this was the only A&D discrepancy found during the inspection, which is an error rate of approximately .00014 percent. Additionally, the licensee has very good operating procedures, and the loss of the firearm occurred during an extremely busy timeframe when all firearms businesses are experiencing much higher than normal sales volumes due to the panic buying of firearms caused by the COVID-19 pandemic and current civil unrest. The licensee has also already taken steps to prevent the violation from reoccurring. For example, they will re-implement taking full inventories every four to six weeks, which they had previously done prior to March 2020 when the store became overwhelmed with customers. They also began locking their doors and allowing only about 10 customers in the store at a time to better maintain control over their operations. Finally, the licensee had no prohibited transactions, no illegal NICS/PICS violations, no unreported multiple handgun sales, and they are not a threat to the public safety.

Inspection Findings

Firearms

- 1. Missing firearms after inventory reconciliation (e.g., no records of disposition, required or otherwise).

ELIGIBILITY VERIFICATION

Business Information Verification

Licensee Name	Business Type	Is the business valid?
ALLEGHENY ARMS LLC	Limited Liability Company (LLC)	Yes

Additional Findings

Allegheny Arms LLC is a valid limited liability company.

2022-00124 00000146373 "UNCLASSIFIED" 7/30/2025

Ownership and Control

There have been no unreported changes in ownership/control or responsible persons. Allegheny Arms LLC has held a Federal Firearms License since November 2016.

Attachment(s):

LLC Documents.pdf

Property Ownership Verification

Premises Ownership Type	Premises Location Type	Has the property ownership been verified?
Leased/Rented	Store Front	Yes

Address
4603 LIBRARY RD STE 170
Unit N/A
BETHEL PARK, Pennsylvania 15102
ALLEGHENY County United States

Additional Findings
The premises are owned by 88 Investors LP.

Attachment(s):
Property Report.pdf

Trade Name/DBA Verification

Trade Name/DBA	Is the trade name/DBA registered?
ALLEGHENY ARMS & GUN WORKS	No

Additional Findings
Allegheny Arms & Gun Works is not registered as a fictitious name. The licensee contacted their attorney, and the attorney is in the process of registering Allegheny Arms & Gun Works as a fictitious name for the licensee.

Attachment(s):
Trade Name Document.pdf

Rental/Lease Verification

Is the proposed business activity permitted by the property owner?
Yes

Additional Findings
Per Section 8.1 of the lease, the business activity is permitted.

Attachment(s):
Lease.pdf

Zoning Information Verification

Is the proposed business activity in compliance with zoning?
Yes

Additional Findings
The premises are located in the Municipality of Bethel Park, Allegheny County, PA. Per the attached zoning document, the premises are located within a C-2, General Commercial District, and operation of firearms business is permitted.

Attachment(s):
Zoning Certification Letter.pdf

APPOINTMENT DETAILS

Interview Date
09/01/2020

Address
4603 LIBRARY RD STE 170 Unit N/A , BETHEL PARK, Pennsylvania 15102

Responsible Attendee(s)

JOSHUA DAVID ROWE
BRUCE ALLEN PIENDL

Non-Responsible Attendee(s)

No items

RESPONSIBLE PERSON(S)

JOSHUA DAVID ROWE 2022-00124 00000146373 "UNCLASSIFIED" 7/30/2025

Name	Gender	Race	Ethnicity
JOSHUA DAVID ROWE			
Date of Birth	SSN	Job Title	
	(b)(6); (b)(7)(C)	MANAGER	

Physical Identifiers

Height	Weight	Hair Color	Eye Color
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Place of Birth

Country	State	City
	(b)(6); (b)(7)(C)	

Home Address

(b)(6); (b)(7)(C)

Additional Names

Citizenship

United States

ID Type	Country	State	ID Number
			(b)(6); (b)(7)(C)

Phone Type	Phone Number	Remarks
	(b)(6); (b)(7)(C)	

Email Address	Email Remarks
(b)(6); (b)(7)(C)	

Criminal History Check

Date Criminal History Check Conducted
08/26/2020

Criminal History Check Comments

(b)(6); (b)(7)(C)

BRUCE ALLEN PIENDL

Name	Gender	Race	Ethnicity
BRUCE ALLEN PIENDL			
Date of Birth	SSN	Job Title	
	(b)(6); (b)(7)(C)	MANAGER	

Physical Identifiers

Height	Weight	Hair Color	Eye Color
(b)(6); (b)(7)(C)		(b)(6); (b)(7)(C)	

Place of Birth

Country State City
 (b)(6); (b)(7)(C)
 2022-00124 00000146373 "UNCLASSIFIED" 7/30/2025

Home Address

(b)(6); (b)(7)(C)

Additional Names

Citizenship

United States

ID Type	Country	State	ID Number
(b)(6); (b)(7)(C)			

Phone Type	Phone Number	Remarks
(b)(6); (b)(7)(C)		

Email Address	Email Remarks
(b)(6); (b)(7)(C)	

Criminal History Check

Date Criminal History Check Conducted
 08/26/2020

Criminal History Check Comments
 (b)(6); (b)(7)(C)

INTERVIEW QUESTIONNAIRE

What is the proposed business activity?
 Allegheny Arms LLC conducts retail sales of firearms. They deal in long guns and handguns. Approximately (b)(4) of their sales are new firearms and (b)(4) are used firearms. The licensee also (b)(3); 26 U.S.C. §§ 6103 and engages in NFA firearms sales. Allegheny Arms LLC also engages in gunsmithing. In addition to firearms, the licensee also sells ammunition and firearms accessories from the storefront.

Allegheny Arms LLC conducts business over the internet and has the website <https://alleghenyarms.com>. They also conduct business over www.gunbroker.com. The licensee occasionally conducts business at gun shows.

Do they need an additional license or permit?
 No

Who are their primary suppliers?
 (b)(4)

Other State or Local Permits

Type	Number	Expiration
State Sales Tax	(b)(3); 26 U.S.C. §§ 6103	
State Firearms License/Permit	12270	

Business Activities

National Firearms Act
 Internet Sales/Transfers
 Retail
 Gunsmith

Selected Operational Security Measures

Controlled Access to Keys
 Formal Employee Training
 Suspicious persons/activities reporting protocols
 Controlled Access to ATF Recordkeeping

Internet Sales/Transfers Website

https://alleghenyarms.com/

www.gunbroker.com

PREMISES INFORMATION

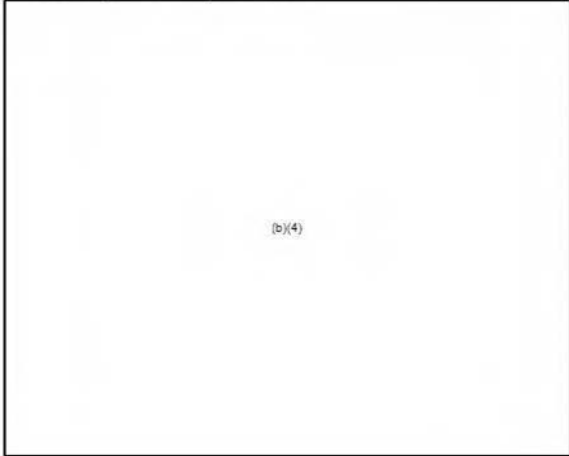
Inspection Area Description

The licensed premises are a commercial storefront. The premises are located in a strip mall type facility in the Municipality of Bethel Park, Allegheny County, PA. The premises are suitable for the business activities. The licensee does not conduct any other business from the premises. Additionally, the licensee does not have off-site storage.

Primary Activity

Retail

Selected Physical Security Measures



GPS Coordinates

Latitude
40.35020N

Longitude
080.02193W

ONSITE SUMMARY

Total # of ATF F 4473 Reviewed

(b)(3); Pub. L. No. 112-55

Total # of Open Dispositions in A & D Record

(b)(3); Pub. L. No. 112-55

Total # of Firearms in Inventory

802

Total # of Firearms Missing after Reconciliation

(b)(3); Pub. L. No. 112-55

Total # of Acquisitions in the Last 12 Months

(b)(4)

Total # of Dispositions in the Last 12 Months

(b)(4)

NFA Documents Verified

Yes

Additional Comments

Compliance History

Type of Inspection: Compliance inspection

UI#: 766060-2018-0225

Inspection Period: 4/1/2017 to 4/28/2018

Administrative Action: Warning Letter

Cited Violations: 27 CFR 478.124(c)(1), 27 CFR 478.125(e), and 27 CFR 478.126a

Acquisition & Disposition (A&D) Record – Inventory

SIOI (b)(6); (b)(7)(C) conducted a full physical inventory of the licensee's firearms and examined their A&D records. There were 802 firearms in inventory and open entries in the A&D record. The disposition of (b)(3); Pub. L. No. 112-55 could not be determined, and the licensee submitted a theft/loss report for this firearm. SIOI (b)(6); (b)(7)(C) queried the firearm in NCIC and eTrace. (b)(6); (b)(7)(C)

SIOI (b)(6); (b)(7)(C) traced 25 firearms through the licensee's required records from acquisition to disposition. No discrepancies were found. Additionally, SIOI (b)(6); (b)(7)(C) traced (b)(3); Pub. L. No. 112-55 firearms from the ATF Forms 4473 to the corresponding disposition entries in the A&D record. No discrepancies were found.

SIOI (b)(6); (b)(7)(C) found no trafficking issues, obliterated serial numbers, or illegal firearms during the inventory/A&D review.

Standard Operating Procedures

Even though they had (b)(3); Pub. L. No. 112-55 that could not be accounted for, the licensee's operating procedures are fairly good. They have set procedures in place for recording acquisitions and dispositions. They also use an electronic records system known as Rapid Fire Arms. Additionally, prior to the March 2020 timeframe the licensee was taking regular inventories about every 30 to 45 days. The licensee stated they had not been able to take an inventory since March because the store had been extremely busy due to the influx of customers and panic buying caused by the COVID-19 pandemic. (b)(3); Pub. L. No. 112-55

ATF Forms 4473 – NICS & Other Dispositions

The licensee completed ATF Forms 4473 in the last 12 months. All ATF Forms 4473 were reviewed, and errors were found on (b)(3); Pub. L. No. 112-55

SIOI (b)(6); (b)(7)(C) conducted NCIC and eTrace queries of the transferees who incorrectly answered Item 11a No and the transferees who failed to answer in Items 11b through 12d.1. In

all instances, the purchasers were not prohibited.

During the inspection, SIOI (b)(6); (b)(7)(C) compared (b)(3); Pub. 2022-00124 00000146373 PICS transaction numbers to the corresponding ATF Forms 4473. No discrepancies were found. "UNCLASSIFIED" 7/30/2025

Standard Operating Procedures

The licensee's procedures regarding ATF Forms 4473 completion are good. The licensee stated the forms are double-checked at the time of the sale before the customer leaves the store and again bi-weekly when they complete their PICS remittance.

Multiple Sales

No unreported multiple sales were found. The licensee reported (b)(3); Pub. L. No. 112-5 multiple sales in the last 12 months.

Suspicious purchasers

Twelve purchasers were selected for additional checks. SIOI (b)(6); (b)(7)(C) conducted queries of the purchasers and firearms they purchased. No hits or derogatory information was found. SIOI (b)(6); (b)(7)(C) made no SARs or referrals.

Trace Activity

The licensee had (b)(3); Pub. L. No. 112-5 in the last 12 months. All traces were successfully completed. SIOI (b)(6); (b)(7)(C) queried 25 used firearms in NCIC and eTrace for a secondary market analysis. No hits or derogatory information was found as a result of the queries.

Attachment(s)

Report of Violations.pdf

Licensee Response to Violations Report.pdf

Firearm Theft Loss List.pdf

REPORT OF VIOLATIONS

Regulation	Corrective Actions	Instance Details
1 27 CFR 478.125(e): Failure to maintain an accurate/complete/timely acquisition and disposition record of firearms Number of Instances: (b)(3); Pub. L. No. 112-55	Accurately, completely & timely record all required future firearm disposition information. Amend/Update A&D Record to accurately record all required firearm disposition information. Complete and submit ATF Form 3310.11 - Firearms Inventory Theft/Loss Report.	
2 27 CFR 478.21(a): Failure to complete forms as prescribed ATF Forms 4473 (b)(3); Pub. L. No. 112-55 Number of Instances: (b)(3); Pub. L. No. 112-55	Complete all forms as prescribed. Ensure that all ATF Form 4473 items, as required by form headings and instructions, are accurately completed on all future transactions.	(b)(3); Pub. L. No. 112-55; (b)(6); (b)(7)(C)
3 27 CFR 478.124(c)(1): Failure to obtain a completed ATF F 4473 ATF Forms 4473 (b)(3); Pub. L. No. 112-55 Number of Instances: (b)(3); Pub. L. No. 112-55	Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions.	

THEFT/LOSS REPORT

Acquisition Date	Type	Manufacturer	Importer	Model	Caliber/Gauge	Serial Number
(b)(3); Pub. L. No. 112-55; (b)(6); (b)(7)(C)						

LICENSEE RESPONSE REPORT

Regulation	Corrective Actions	Licensee Response	Status Details
1 27 CFR 478.125(e): Failure to maintain an accurate/complete/timely acquisition and disposition record of firearms Number of Instances: (b)(3); Pub. L. No. 112-55	Accurately, completely & timely record all required future firearm disposition information. Amend/Update A&D Record to accurately record all required firearm disposition information. Complete and submit ATF Form 3310.11 - Firearms Inventory Theft/Loss Report.	The licensee acknowledged the violation. The licensee took appropriate steps to keep the violation from reoccurring. The licensee also stated they would again start doing full, internal audits every four to six weeks.	Status Correction Verified Verified Method In Person Date Correction Verified 09/24/2020

	2022-00124 00000146373 "UNCLASSIFIED" 7/30/2025		
2	<p>27 CFR 478.21(a): Failure to complete forms as prescribed</p> <p>ATF Forms 4473 (b)(3):Pub. L. No. 112-55 Number of Instances: (b)(3):Pub. L. No. 112-55</p>	<p>Complete all forms as prescribed. Ensure that all ATF Form 4473 items, as required by form headings and instructions, are accurately completed on all future transactions.</p>	<p>The licensee stated the errors were oversights on their part. They attributed the errors to the extreme busyness of the store. The licensee also stated they were understaffed at the time due to the COVID restrictions.</p> <p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 09/24/2020</p>
3	<p>27 CFR 478.124(c)(1): Failure to obtain a completed ATF F 4473</p> <p>ATF Forms 4473 (b)(3):Pub. L. No. 112-55 Number of Instances: (b)(3):Pub. L. No. 112-55</p>	<p>Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions.</p>	<p>The licensee stated the errors were oversights on their part. They attributed the errors to the extreme busyness of the store. The licensee also stated they were understaffed at the time due to the COVID restrictions.</p> <p>Status Licensee Notified</p> <p>Verified Method In Person</p> <p>Date Licensee Notified 09/24/2020</p>

CLOSING CONFERENCE

(9/24/2020)
09/24/2020

Attachment(s)

Attendee(s)

JOSHUA DAVID ROWE
BRUCE ALLEN PIENDL

WARNING LETTER

Delivery Method
Email

Type	Email
JOSHUA DAVID ROWE Email	(b)(6); (b)(7)(C)
BRUCE ALLEN PIENDL Email	info@alleghenyarms.com

EXHIBITS

Inspection

Category	Attachment Name
Correspondence	Spartan Notification RE: 8-25-07433 Inspection Results
WarningLetterReturnEmail	Allegheny Arms WL Receipt Email.pdf
Correspondence	
Correspondence	Action required concerning your Federal Firearms License
Correspondence	
Correspondence	Action required concerning your Federal Firearms License
WarningLetter	Warning Letter.pdf
Correspondence	Information Concerning Your Federal License/Permit
ClosingConferenceSummary	Acknowledgment of Regulations.pdf
LicenseeResponseToViolationsPDF	Licensee Response to Violations Report.pdf
Correspondence	
Correspondence	Information Concerning Your Federal License/Permit
ViolationsPDF	Report of Violations.pdf
OnSiteSummaryInformation	Spartan Onsite Work Summary.docx

FirearmTheftLossList			Firearm Theft Loss List.pdf	
RentalLeaseVerification			Lease.pdf	
ZoningVerification	2022-00124	00000146373	Zoning Certification Letter.pdf	7/30/2025
TradeNameVerification			Trade Name Document.pdf	
PropertyOwnershipVerification			Property Report.pdf	
BusinessVerification			LLC Documents.pdf	

FFL: ALLEGHENY ARMS LLC

Inspection: FCI-14712

Date Generated: 9/24/2020

RDS Key: 8-25-07433

Lead Industry Operations Investigator: (b)(6); (b)(7)(C)

Regulation	Corrective Action(s)	Licensee Response
1 27 CFR 478.125(e): Failure to maintain an accurate/complete/timely acquisition and disposition record of firearms Number of Instances: (b)(3); Pub. L. No. 112-55	Accurately, completely & timely record all required future firearm disposition information. Amend/Update A&D Record to accurately record all required firearm disposition information. Complete and submit ATF Form 3310.11 - Firearms Inventory Theft/Loss Report.	The licensee acknowledged the violation. The licensee took appropriate steps to keep the violation from reoccurring. The licensee also stated they would again start doing full, internal audits every four to six weeks.
2 27 CFR 478.21(a): Failure to complete forms as prescribed ATF Forms 4473: (b)(3); Pub. L. No. 112-55 Number of Instances: (b)(3); Pub. L. No. 112-55	Complete all forms as prescribed. Ensure that all ATF Form 4473 items, as required by form headings and instructions, are accurately completed on all future transactions.	The licensee stated the errors were oversights on their part. They attributed the errors to the extreme busyness of the store. The licensee also stated they were understaffed at the time due to the COVID restrictions.
3 27 CFR 478.124(c)(1): Failure to obtain a completed ATF F 4473 ATF Forms 4473: (b)(3); Pub. L. No. 112-55 Number of Instances: (b)(3); Pub. L. No. 112-55	Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions.	The licensee stated the errors were oversights on their part. They attributed the errors to the extreme busyness of the store. The licensee also stated they were understaffed at the time due to the COVID restrictions.

FFL: ALLEGHENY ARMS LLC
Inspection: FCI-14712
Date Generated: 9/24/2020
RDS Key: 8-25-07433
Lead Industry Operations Investigator:

(b)(5); (b)(7)(C)

Regulation	Corrective Action(s)	Number of Forms	Number of Instances
1 27 CFR 478.125(e): Failure to maintain an accurate/complete/timely acquisition and disposition record of firearms	Accurately, completely & timely record all required future firearm disposition information. Amend/Update A&D Record to accurately record all required firearm disposition information. Complete and submit ATF Form 3310.11 - Firearms Inventory Theft/Loss Report.		
2 27 CFR 478.21(a): Failure to complete forms as prescribed	Complete all forms as prescribed. Ensure that all ATF Form 4473 items, as required by form headings and instructions, are accurately completed on all future transactions.		
3 27 CFR 478.124(c)(1): Failure to obtain a completed ATF F 4473	Ensure that all required ATF Form 4473 Section A items are completed/provided by the transferee/buyer on all future transactions.		

(b)(3); Pub. L. No. 112-55

Signature(s)

My signature below certifies that on Thursday, September 24, 2020 ATF IOI (b)(6); (b)(7)(C) reviewed the above information with me and answered my questions regarding this information. I understand I can receive a copy of this for my records. I understand that this is only a general overview of the violations and that I will be responsible for familiarizing myself with all of the laws and regulations governing my licensed/permitted business.

Printed Name:
JOSHUA DAVID ROWE
Signature Date:
Thursday, September 24, 2020

(b)(6); (b)(7)(C)

Printed Name:
BRUCE ALLEN PIENDL
Signature Date:
Thursday, September 24, 2020

Report of Violations Instance Details

Regulation/Violation	Instance Details	Version
1 27 CFR 478.125(e): Failure to maintain an accurate/complete/timely acquisition and disposition record of firearms	(b)(3); Pub. L. No. 112-55; (b)(6); (b)(7)(C)	
2 27 CFR 478.21(a): Failure to complete forms as prescribed		2016 2016
3 27 CFR 478.124(c)(1): Failure to obtain a completed ATF F 4473		2016 2016 2016



ATF Headquarters

99 New York Avenue NE
Washington, DC 20226
www.atf.gov

October 2, 2020

WARNING LETTER

ALLEGHENY ARMS LLC
ALLEGHENY ARMS & GUN WORKS
4603 LIBRARY RD STE 170
BETHEL PARK, Pennsylvania 15102

Re: Federal Firearms License Number: 8-25-07433.

ALLEGHENY ARMS LLC,

As a result of a recent compliance inspection conducted by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) at your firearms business located at 4603 LIBRARY RD STE 170 Unit N/A , BETHEL PARK, Pennsylvania 15102 on 9/1/2020 through 9/24/2020 , you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, ATF Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by ATF Industry Operations Investigator (b)(6); (b)(7)(C) (b)(6); (b)(7)(C) . You indicated that you understood the requirements of the firearms laws and regulations as a licensee. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditional upon compliance with Federal laws and regulations. Because the violations cited in this most recent inspection were fully explained to you and you stated you understood your compliance responsibilities as a licensee, any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6); (b)(7)(C) at (b)(6); (b)(7)(C) .

Sincerely,

(b)(6); (b)(7)(C)

Area Supervisor
Pittsburgh III (IO) Field Office