

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Haslam, Lloyd D Crowheart Store 8526 US Hwy 26 Crowheart, WY 82512, Fremont	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 788070-2017-0238-B1B			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 583013019E01944</td> <td style="width: 33%;">4a. TARGET DATE 8/31/2017</td> <td style="width: 33%;">4b. TARGET HOURS 40</td> </tr> </table>	3. PERMIT/LICENSE NUMBER 583013019E01944	4a. TARGET DATE 8/31/2017	4b. TARGET HOURS 40
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5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 05/04/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
 Conduct a Disposition Emphasis (DE) compliance inspection. 100% inventory verification and 12 months ATF Forms 4473 review is required.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	1	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** - Industry Operations Investigator
 Submitted on: 06/14/2017

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	John Basile		
MAY 2017		16.50	
JUN 2017		21.00	
ATF OFFICER'S SUBTOTAL	37.50	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	37.50		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
 Per ATF O 5370.1C, missing firearms warrants a warning letter as the minimum AA.
 Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 06/14/2017
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ROUTING SEQUENCE AND DATE

1. _____
 2. _____
 3. _____
 4. May 9 2018 Production

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Haslam, Lloyd D Crowheart Store	Street Address 8526 US Hwy 26	City Crowheart	State WY	Zip Code 82512-	County Fremont	Page 1 of 1 Pages
License/Permit/Registry Number <i>(If any)</i> 583013019E01944		Expiration Date 5/1/2019	Date(s) or Period of Inspection 05/22/2017 through 06/01/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to prepare or timely submit ATF Form 3310.4, Report of Multiple Handgun Sales on [REDACTED] instances involving [REDACTED] firearms.

Citation: 27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Investigator [REDACTED] instructed the licensee on when to complete and submit ATF Form 3310.4, Report of Multiple Handgun Sales.

Number: 2

Nature of Violation:

The licensee failed to maintain an accurate A&D Record Book in [REDACTED] instances. Specifically, [REDACTED] instances in which a firearm was acquired on an unknown date and from whom the firearms were received from and the same firearms were disposed of with no disposition information recorded in the required records.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will record all required information in the A&D Record timely and/or correctly for all future transactions.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer May 9 2018 Production	Date

RIP



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Denver Field Division

June 14, 2017

www.atf.gov

788070: LDP
5300

Mr. Lloyd D. Haslam
d/b/a Crowheart Store
8526 US Hwy 26
Crowheart, WY 82512

Re: FFL# 5-83-013-01-9E-01944

Mr. Haslam:

During a recent inspection at your firearms business covering the period from May 22, 2016 to May 22, 2017 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Mr. Lloyd D. Haslam
d/b/a Crowheart Store

Should you have any questions regarding this matter, please contact (b)(6), Area
Supervisor at (b)(6).

(b)(6)

Area Supervisor Industry Operations

cc: Federal Firearms Licensing Center
Denver VI Field Office

FIREARMS INSPECTION REPORT

Name: Lloyd D. Haslam
Trade Name: Crowheart Store

UI#: 788070-2017-0238-B1B
FFL#: 5-83-013-01-9E-01944

Address: 8526 US Highway 26
Crowheart, Wyoming 82512, Fremont

1 - Introduction

Inspection Profile:

On May 22, 2017, Bureau of Alcohol, Tobacco, Firearms, and Explosives Investigator (b)(6) conducted a Disposition Emphasis (DE) compliance inspection of Federal Firearms Licensee (FFL) holder Lloyd David Haslam, dba, Crowheart Store, located in Crowheart, Wyoming. Present throughout the inspection was Owner and Responsible Person, Lloyd D. Haslam. Mr. Haslam was identified by Wyoming driver's license number (b)(6). The purpose of the inspection was to determine the licensee's level of compliance with Federal firearms laws and regulations. The inspection period covered the 12-month period immediately prior to May 22, 2017. The inspection concluded on 06/01/2017.

Business Profile:

Lloyd D. Haslam, dba, Crowheart Store maintains a Type-01, Dealer of Firearms FFL from ATF. The licensee sells new and used long guns and hand guns to business clients in the Crowheart area. The licensed premises are located in a commercial building which doubles as a gas/convenience store located in eastern Crowheart, Wyoming. According to the County of Fremont Clerk's Office, the licensee's premises are zoned for commercial business including the sale of firearms. Mr. Haslam maintains the required business license. No additional licenses or permits are required. The licensee also maintains the required State of Wyoming Sales Tax Use Permit.

During the inspection period, Mr. Haslam acquired (b)(4) firearms and disposed of (b)(4) firearms. The licensee deals in new and used long guns (approximately (b)(4)) and handguns (approximately (b)(4)). The licensee acquires firearms from various firearms dealers throughout the United States. The licensee does not maintain a website, nor does he conduct online transactions. No offsite storage is utilized. No other business is conducted at the licensed premise.

According to the Federal Licensing System (FLS), Mr. Haslam has maintained his FFL since April 19, 2001. The licensee does not hold any additional ATF licenses or permits. No additional licenses or permits were deemed necessary as a result of the inspection. Based upon the activities observed during the inspection, the Type-01 FFL held is appropriate.

Ownership and Control:

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Trade Name: Crowheart Store

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FFL#: 5-83-013-01-9E-01944

Lloyd D. Haslam, dba, Crowheart Store maintains this FFL as a sole proprietor and exercises all control over the business. Mr. Haslam is the sole responsible person on the license. There have been no changes in control, name, or address and there is no indication of hidden ownership.

A Query of Mr. Haslam in NCIC/NFORCE, by Investigator Basile, did not result in any prohibiting information.

Variance:

Mr. Haslam does not maintain any ATF issued variances. Investigator (b)(6) did not discover any circumstances during the inspection period which would require a variance.

2 - Recommendation

Violations, Warning Letter Only, No Recall Inspection

3 - Inspection History

Date of Inspection: There are no documents in either the area office file or within NSPECT that provide Inspection History information. This is the licensee's first compliance inspection.

Type of Inspection: N/A

UI#: N/A

Inspection Results: N/A

Cited Violations: N/A

Date of Inspection: N/A

Type of Inspection: N/A

UI#: N/A

Inspection Results: N/A

Cited Violations: N/A

4 - Acquisition & Disposition (A&D) Record - Inventory

A 100% physical inventory of firearms on-hand was conducted. A total of 36 firearms were physically verified in the licensee's inventory. There were (b)(3) open dispositions in the licensee's A&D Record. Of the (b)(3) open dispositions, there were (b)(3) 112P open dispositions in which no corresponding firearm was found in inventory. For the same

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(b)(3) - 112 F firearms in which no disposition information was recorded, the same was true in that the date acquired and from whom the firearms were acquired was not recorded.

The licensee was given one week (6/1/2017) and instructed to research all records and complete both the acquisitions and dispositions as required by regulation.

The licensee stated he was unable to account for the (b)(3) - 112 F firearms and they were subsequently placed on a Theft/Loss Report which was submitted by the licensee on June 1, 2017 via the US Postal Service. The Theft/Loss submission was assigned ATF (b)(3) - 112 Public Law 55 125 Stat 552. The licensee also notified the Fremont County Sheriff's office and notified that agency of the missing firearms. See Fremont County Sheriff's Office case number: SO-C17-03361. On June 12, 2017, Investigator (b)(6) confirmed that the Fremont County Sheriff's Office case number as well as the ATF number was documented in the disposition section for the (b)(3) - 112 F unaccounted firearms. The licensee was cited for violating 27 CFR 478.125(e) in (b)(3) instances. **See ATF Form 5030.5 – Report of Violations #2, Worksheet 2 – 4473 (2016 edition) and Exhibit #1.**

Investigator (b)(6) traced 10 firearms through the licensee's A&D records from acquisition to disposition and found no discrepancies. Investigator (b)(6) did not discover any evidence of obliterated serial numbers or possession of any illegal firearms by the licensee, for the inspection period.

The licensee's standard operating procedures with respect to the A&D Record are as follows:

- Firearm arrives, firearm make, model, serial number and caliber is verified to the invoice.
- Firearm is then logged in to the A&D book with all required information documented.
- After Firearm is sold or disposed of, the firearm is logged out of the A&D book with all required information.
- Full inventories will be completed once a calendar year.

Investigator (b)(6) found no illegal firearms or firearms with obliterated serial numbers.

5 - ATF Forms 4473 – NICS & Other Dispositions

For the inspection period, Mr. Haslam completed (b)(3) ATF Forms 4473. Investigator (b)(6) reviewed 100% of these forms. No violations were discovered.

According to the FFL Audit Report, Mr. Haslam was not associated with any denied responses for the inspection period.

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Investigator (b)(6) compared five NICS transaction numbers to the FFL Audit Log, Violent Crime Analysis Branch (VCAB) report, and completed ATF Forms 4473 and found no discrepancies.

6 - Multiple Sales

According to the Violent Crimes Analysis Branch (VCAB), the licensee was not associated with any multiple sales transactions. Investigator (b)(6) review of ATF Forms 4473 completed during the inspection period revealed (b)(3) multiple sales that the licensee failed to timely submit ATF Form 3310.4, Report of Multiple Handgun Sales, involving (b)(3) firearms. The licensee was cited for violating 27 CFR 478.126a. Investigator (b)(6) conducted a query of ETRACE and confirmed that the licensee completed and submitted ATF Forms 3310.4 on May 24, 2017

(b)(3) - 112 Public Law 55 125 Stat 552 on May 25, 2017 (b)(3) - 112 Public Law 55 125 Stat 552. See ATF Form 5030.5 – Report of Violations #1, Worksheet 2 – 4473 (2016 edition) and Exhibit #2.

7 - Suspicious/Prohibited Purchasers

Investigator (b)(6) reviewed the licensee's A&D Record and denied ATF Forms 4473 for possible firearm trafficker patterns such as (b)(7)(E)

This review did not reveal any indicators that would suggest suspicious or prohibited purchasers.

8 - Trace Activity

According to the VCAB, Mr. Haslam was not associated with any firearms trace requests in the last 12 months.

Investigator (b)(6) did not conduct a secondary market analysis as all firearms in inventory were brand new.

9 - NFA/Importer/Collector/Manufacturer

Mr. Haslam does not deal in NFA firearms, is not an Importer, Collector, or Manufacturer of firearms.

10 - Referrals

Investigator (b)(6) did not generate any referrals as a result of this inspection.

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11 - Other

No other noteworthy information was obtained during the inspection.

12 - Closing Actions

On June 1, 2017, Investigator (b)(6) conducted a closing conference with Owner and Responsible Person, Lloyd D. Haslam. The report of violations was reviewed with and signed by Mr. Haslam, signifying his understanding of each violation, and corrective action required, and the time period in which those actions were to be taken. Investigator Basile reviewed the Acknowledgment of Federal Firearms Regulations with Mr. McGee and obtained his signature signifying his understanding of its contents. **See Exhibit #3.** Finally, Investigator (b)(6) provided the licensee with ATF reference materials, including the ATF Federal Firearms Licensee Quick Reference, Best Practices Guide, and the Important Notice regarding the selling of firearms after revocation, expiration, or surrender of an FFL, as further means for the licensee to remain in compliance with Federal firearms law and regulations.

13 - Violations

1. **27 CFR 478.126a**

Nature of Violation: The licensee failed to prepare or timely submit ATF Form 3310.4, Report of Multiple Handgun Sales on (b)(3) - 112 Public Law forms involving (b)(3) - 112 Public firearms.

Licensee's Response: The licensee stated he was unaware that an extra form was required.

Corrective Action: The licensee was instructed to prepare and submit ATF Form 3310.4, Report of Multiple Handgun Sales, immediately. Investigator (b)(6) confirmed that the licensee submitted the Report of Multiple Handgun Sales on May 24 and 25, 2017. Additionally, the licensee was educated on the circumstances that require the completion of ATF Form 3310.4, Report of Multiple Handgun Sales.

2. **27 CFR 478.125(e)**

Nature of Violation: The licensee failed to maintain an accurate Acquisition and Disposition Record. Specifically, there were (b)(3) - 112 Public Law instances in which a firearm was acquired on an unknown date and from whom the firearms were received and the same (b)(3) - 112 Public Law firearms were disposed of with no disposition information recorded in the required records. Of the (b)(3) - 112 Public Law instances in which the acquisition and disposition information was not recorded, all (b)(3) - 112 Public Law were not accounted for and placed on a Theft/Loss Report.

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Licensee's Response: The licensee stated this was an oversight.

Corrective Action: Research records and record all disposition information immediately into the A&D Record book. On June 12, 2017, Investigator (b)(6) confirmed that all disposition information that could be obtained was properly recorded in the licensee's A&D record and that the licensee submitted a Theft/Loss report for the three firearms that cannot be accounted for.

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Worksheets:

1. Inventory and A&D Review
2. ATF Form 4473 Review

Exhibits:

1. Picture of A&D Record showing violations of 27 CFR 478.125(e) and Theft/Loss Report
2. Copies of ATF Forms 4473 showing violations of 27 CFR 478.126a
3. Acknowledgement of Federal Firearms Regulations dated 6-1-2017.

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