

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) PACIFIC OUTFITTERS CORP. Pacific Outfitters, Ukiah 955 N. State Street Ukiah, CA 95482, Mendocino	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 786060-2015-0317-B1B		
	3. PERMIT/LICENSE NUMBER 968045015L02318	4a. TARGET DATE 9/15/2015	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 08/25/2015

8. PURPOSE/SPECIAL INSTRUCTIONS

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	4	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	2	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator
Submitted on: 03/08/2016

Viols ROV ONLY No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
FEB 2016	40.00		
MAR 2016	13.00		
ATF OFFICER'S SUBTOTAL	53.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	53.00		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☐ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) NSPECT Program Manager	REVIEW DATE 04/11/2016
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ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor PACIFIC OUTFITTERS CORP. Pacific Outfitters, Ukiah	Street Address 955 N. State Street	City Ukiah	State CA	Zip Code 95482-	County Mendocino	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 968045015L02318		Expiration Date 11/1/2018		Date(s) or Period of Inspection 02/01/2015 through 02/16/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:
Licensee failed to ensure that Forms 4473 were properly prepared. Item # 24 (Transferee's/Buyer Signature) and Item # 25 (Recertification Date) were blank.
Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:

The licensee was instructed to review every F4473 for completeness and accuracy prior to the transfer of firearms.

Number: 2

Nature of Violation:
In

013170

 instances, the licensee failed to obtain complete ATF F4473 prior to the release of firearm. Item # 10 b (race) were left blank.
Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:

Licensee was instructed to review all Forms 4473 for accuracy and completeness prior to the transfer of firearms.

Number: 3

Nature of Violation:
Failure to record the disposition of firearms in a timely manner. After reconciliation, disposition of firearms disclosed

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 were not timely recorded in the A & D Book.
Citation: 27 CFR 478.125(e)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:

The licensee was instructed to maintain complete and accurate Acquisition and Disposition Records and timely enter all required information.

201

RIP

For Official Use Only

ATF E-Form 5030 5
Revised April 2005

U.S. Department of Justice

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License/Permit/Registry Number <i>(If any)</i> 968045015L02318		Expiration Date 11/1/2018	Date(s) or Period of Inspection 02/01/2015 through 02/16/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:
Transfer of firearms to (b)(3), Public Law 112-55, 12 who answered "yes" on question 11 k. Are you an alien illegally in the United States? ATF # 8161: Transfer of firearms to (b)(3), Public Law 112-55 who answered "yes" on question 11 i. Have you ever been convicted in any court of a misdemeanor crime of domestic violence? ATF F 8382. Release of the firearms were approved by CA/DOJ. Both (b)(3), Public L and (b)(3), Pub are not prohibited.

Citation: 27 CFR 478.99(c)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The Licensee readily agreed to carefully review each future ATF F4473 for accuracy and completeness prior to the release of the firearm.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

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RIP

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ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

5601 Arnold Road, Suite 400
Dublin, CA 94568

April 11, 2016

www.atf.gov

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WARNING LETTER

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

PACIFIC OUTFITTERS CORP.
Pacific Outfitters, Ukiah
955 N. State Street
Ukiah, CA 95482-
RE: 968045015L02318

Dear Mr. Chris Ostrom,

During a recent inspection at your firearms business covering the period 2/1/2015 to 2/16/2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat

PACIFIC OUTFITTERS CORP.

or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact (b)(6)
Area Supervisor at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor
Dublin III Area Office

cc: Federal Firearms Licensing Center
Director, Industry Operations, San Francisco Field Division
Dublin III Area Office File

FIREARMS INSPECTION REPORT

Name: Pacific Outfitters Corp. **UI#:** 786060-2015-0317-B1B
Trade Name: Pacific Outfitters Ukiah **FFL#:** 9-68-045-01-5L-02318
Address: 955 North State Street
Ukiah, CA 95482 {Mendocino County}

1 - Introduction

Inspection Profile:

On February 16, 2016, Industry Operations Investigator (IOI) (b) (6) conducted a Compliance Inspection at the above licensee's premises. Chris Ostrom, owner/responsible person, Jeff Brewer, Firearms Manager and Amy Taglio, Assistant Firearms Manager were interviewed. Mr. Chris Ostrom was identified by his California Driver's License (b) (6) (expiring (b) (6)) Amy Taglio CDL (b) (6) (expiring 7/29/18). Period of inspection: 02/1/2015 - 2/16/2016. Advance notification was not given. No other persons or government agencies were contacted pursuant to this inspection.

Business Profile:

Pacific Outfitters Corp. operates a retail sporting business emphasizing, fishing, archery, kayaking and hunting. Firearms, ammunition and firearms accessories are offered for sale. (b) (4) firearms were acquired and (b) (4) firearms disposed during the inspection period. Approximately, (b) (4) firearms acquired are long guns and (b) (4) are handguns. All firearms received are new. No firearms received on consignment sale. Occasionally they conduct private transfer on purchaser they know as a courtesy and customer service. Principal suppliers: (b) (4) are ordered directly if requested by customers. Transactions are not conducted at gun shows. No offsite storage locations. No other ATF licenses or permits are held.

Investigator (b) (6) examined the licenses and permits issued to Pacific

FIREARMS INSPECTION REPORT

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Outfitters and determined the licensee is currently qualified under Federal, State and local laws. Examined were:

California Firearms Dealer (CFD) #21438;

Federal Firearms License #9-68-045-01-8L-02318, expires 11/1/2018;

California Sellers Permit #SR JHB-101-267371, no expiration date;

Local Firearms License: # 7006, expires 12/31/2016: issued by City of Ukiah:
Valid for Retail sale of Firearms;

California Certificate of Eligibility (COE) # 00008772 expires 3/09/2016.

Ownership and Control:

Pacific Outfitters is a California Corporation. The sole principals are Bradley Smith, CFO and Paul Ostrom, CEO. During the inspection interview, Mr. Chris Ostrom stated there have been no unreported changes in ownership, management or control. FLS information is correct. Hidden ownership is not suspected.

Variance:

The licensee does not have an approved variance. None was requested or deemed necessary.

2 – Recommendation

Violations ROV Only No Recall Inspection.

FIREARMS INSPECTION REPORT

Name: Pacific Outfitters Corp. **UI#:** 786060-2015-0317-B1B
Trade Name: Pacific Outfitters Ukiah **FFL#:** 9-68-045-01-5L-02318

3 - Inspection History

Date and Type of Inspection: 2/04/2011 Compliance
UI#: 786060-2011-0029
Inspection Results: Report of Violations No Recall
Cited Violations: 478.124 (c) (1): 478.124 (c) (5): 478.125 (e)

Date and Type of Inspection:
UI#: 786060-2009-0029
Inspection Results: Application Approved
Cited Violations: none

4 - Acquisition & Disposition (A&D) Record - Inventory

On 2/16/2016 Investigator (b) (6) conducted a complete inventory of firearms with the assistance of Jeff Brewer and Amy Taglio. A total of 484 firearms were on hand and there were (b)(3) - 11 open dispositions in the A & D Book prior to reconciliation. After further review, it was determined (b)(3) - 112 Public Law 55 125 Stat 552 were not timely entered in the disposition section and remained an open disposition. All firearms acquired have been legally accounted for. This entry was corrected during the inspection utilizing completed Forms 4473. A ROV was issued.
Violation 27 CFR 478.125 (e). Reference: Worksheet # 1 & Report of Violation.

The Acquisition and Disposition Record is properly formatted and contain all required information and no discrepancies were disclosed except the failure to timely record the disposition of the (b)(3) - 11 firearms disclosed during the inventory. No theft or loss reports were filed by the licensee during the inspection period. No obliterated

FIREARMS INSPECTION REPORT

Name: Pacific Outfitters Corp.
Trade Name: Pacific Outfitters Ukiah

UI#: 786060-2015-0317-B1B
FFL#: 9-68-045-01-5L-02318

serial numbers or illegal firearms were disclosed.

5 - ATF Forms 4473 – NICS & Other Dispositions

(b)(3) - 112 Public Law 55 125 Stat 552 Forms 4473 were executed during the inspection period. IOI (b)(6) reviewed all forms. The review disclosed 3 violations involving (b)(6) Forms 4473. These discrepancies were: Section A Item # 10 (b) race was blank in (b)(6) instances. Item # 11 k (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) who answered “yes” on question “Are you an alien illegally in the United States? Item # 11 i F (b)(3) - 112 Public Law 55 125 Stat 552. “Have you ever been convicted in any court of a misdemeanor crime of domestic violence? On Section C (2) (b)(3) - 112 Public Law 55 125 Stat 552 forms were found where the purchaser failed to recertify item # 24 as well as provide the recertification date on item# 25. (b)(3) - 112 Public Law 55 125 Stat 552. A Report of Violations was issued. **Violations were 27 CFR 478.124 (c) (1) 27 CFR 478.99 (c) and 27 CFR 478.21(a).**

IOI (b)(6) reviewed DROS # 07342-01900 for (b)(6) DROS # 07385000313 & 07385-00339 which were approved and firearm was released. NCIC, AFS and, ETRACE were initiated with no derogatory information. Purchasers were not prohibited persons.

Forms 4473 are filed by consecutive date of transfer. A separate file is maintained for each month. (b)(3) - 112 Public Law 55 125 Stat 552 NICS/DROS forms were verified to ATF F 4473 (no discrepancies). Denied and cancelled forms are filed separately. Transfers between licensed dealers are properly conducted. There were no sales to law enforcement personnel during the inspection period.

Refer to Worksheet # 2, Exhibit # 1 Forms 4473 and Report of Violations.

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Name: Pacific Outfitters Corp.
Trade Name: Pacific Outfitters Ukiah

UI#: 786060-2015-0317-B1B
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6 – Multiple Sales

The Violent Crime Analyses Branch Master query did not disclosed multiple sales (handguns or certain rifles) during the inspection period. No unreported multiple sales/transfers were identified.

7 – Suspicious/Prohibited Purchasers

This inspection did not identify any possible traffickers, straw purchasers, prohibited persons or any other suspicious purchaser's issues during the 12 month inspection. .

8 – Trace Activity

The Violent Crime Analyses Branch query disclosed ^{(b)(3)(D)} trace requests during the inspection period. There were no unsuccessful trace request during this period. No secondary market analysis was conducted. All firearms for sale are new firearms.

9 - NFA/Importer/Collector/Manufacturer

The licensee does not deal in NFA firearms and is not an importer, collector or manufacturer of firearms.

10 - Referrals

This inspection did not warrant any referrals.

FIREARMS INSPECTION REPORT

Name: Pacific Outfitters Corp.

UI#: 786060-2015-0317-B1B

Trade Name: Pacific Outfitters Ukiah

FFL#: 9-68-045-01-5L-02318

11 - Other

During the compliance inspection Chris Ostrom, responsible person, had inquired regarding a change in control or ownership of the FFL. IOI (b) (6) informed him that license is not transferable and acquiring the firearms operations of an FFL must obtain a new license. IOI (b)(6) provided a copy of regulations 478.54 Change of Control. Mr. Ostrom was given the telephone number of the Federal Firearms Licensing Center to obtain ATF Form 7.

A copy of the Youth Handgun Safety Act poster was found to be properly posted. The licensee is aware of the secure gun storage/safety device requirements and requires all firearms leaving the premises have a trigger lock.

The licensee's name was check through N-Force with no record found or no other ATF cases or derogatory information was reported.

12 - Closing Actions

IOI (b) (6) held a closing conference with Mr. Chris Ostrom, responsible person on 02/18/2016. Violation was thoroughly discussed. There was a mutual agreement that the form 4473 discrepancies were due to a lack of complete and systematic review of the forms and transactions prior to release of firearms. Corrective action was taken. A review of Federal Firearms Regulations was conducted and "Acknowledgement of Federal Firearms Regulations" was executed (EX-3). The licensee was provided with a copy FFL Quick Reference and Best Practice Guide:

13 - Violations

1. **27 CFR 478.124 (c) (1)**

FIREARMS INSPECTION REPORT

Name: Pacific Outfitters Corp.
Trade Name: Pacific Outfitters Ukiah

UI#: 786060-2015-0317-B1B
FFL#: 9-68-045-01-5L-02318

Nature of Violation: In (b) (6) instances, licensee failed to obtain complete ATF form 4473 prior to release of the firearm. Item # 10 b (race) was left blank. (b)(3) - 112 Public Law 55 125 Stat 552
(b)(3) - 112 Public Law 55 125 Stat 552

Licensee's Response: Mr. Brewer stated these errors were an oversight. He stated he will take more care in the future to ensure all ATF forms are reviewed carefully.

Corrective Action. Licensee was instructed to review every form for completeness and accuracy prior to the transfer of firearms.

2. 27 CFR 478.125 (e)

Nature of Violation: Failure to record the disposition of firearms in a timely manner. After reconciliation disposition of (b)(3) firearms disclosed were not timely recorded.

(b)(3) - 112 Public Law 55 125 Stat 552

Licensee's Response: The licensee was surprised that he failed to record the disposition in the book.

Corrective Action. The licensee was instructed to maintain complete and accurate Acquisition and Disposition Records and timely enter all required information. Regarding the two untimely dispositions they were completed by Mr. Brewer utilizing the ATF F 4473.

3. 27 CFR 478.21 (a)

Nature of Violation: Licensee failed to ensure that (b)(3) - 112 forms 4473 were properly prepared. Item # 24 (Transferee's/Buyer's Signatures) and Item # 25 (Recertification Dates) were left blank. (b)(3) - 112 Public Law 55 125 Stat 552

FIREARMS INSPECTION REPORT

Name: Pacific Outfitters Corp.
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Licensee's Response: The licensee stated these errors were lack of attention to details. Mr. Brewer stated that he knows the customers and probably talking while doing the transaction. He stated that they will conduct more complete and through reviews in the future.

Corrective Action. Mr. Brewer was instructed to review every ATF forms for completeness and accuracy and to conduct multiple reviews of all forms and stated he will take more care in the future to ensure all ATF forms are reviewed carefully.

4. **27 CFR 478.99 (c)**

Nature of Violation: Transfer of a firearm to (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(6) on question 11
i, Have you ever been convicted in any court of a misdemeanor crime of domestic Violence? (b)(6) instance).

Licensee's Response: Mr. Brewer stated they have tried hard to review the forms, but sometimes it's easy to overlook discrepancies during busy periods.

Corrective Action. Mr. Brewer was instructed to make a copy of the form, have the purchaser correct the form and attach corrected copy to the original. He stated he will take more care in the future to ensure all ATF forms are reviewed carefully

FIREARMS INSPECTION REPORT

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Trade Name: Pacific Outfitters Ukiah **FFL#:** 9-68-045-01-5L-02318

Maria Limcolioc

Industry Operations Investigator

Signature

Title

Date of Report

Index of Worksheets and Exhibits

Worksheet 1 Inventory Worksheet

Worksheet 2 Forms 4473 (2012 edition)


Exhibit 1  Forms 4473 Discrepancies–

Exhibit 2 – Report of Violations

Exhibit 3 – Acknowledgement of Federal Firearms Regulations