

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Smith, Roger T. Smitty's Firearms & Gunsmithing 104 Ecklon Court Folsom, CA 95630, Sacramento	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 786040-2016-0037-B1B		
	3. PERMIT/LICENSE NUMBER 968067078M03484	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 11/10/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
Firearms Inspection - Please conduct a full compliance inspection.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	4	NO. OF REFERRALS	1	2111 - PER DIEM	
NO. OF TECS CHECKS	1	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator
Submitted on: 12/07/2015

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
NOV 2015	22.00		
DEC 2015	21.00		
ATF OFFICER'S SUBTOTAL	43.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	43.00		

13. REVIEW AND ROUTING
REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION
SIGNATURE AND TITLE
(b)(6) - Area Supervisor
REVIEW DATE
12/03/2015

ROUTING SEQUENCE AND DATE
☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____
CONTROL FILE POSTED DATE _____

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Smith, Roger T. Smitty's Firearms & Gunsmithing	Street Address 104 Ecklon Court	City Folsom	State CA	Zip Code 95630-	County Sacramento	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 968067075M03484		Expiration Date 12/1/2018	Date(s) or Period of Inspection 11/25/2014 through 11/25/2015			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:
Failure to maintain an accurate and current A&D record. Specifically,

(b)(3), Public Law 113-55, 125 Stat. 552

 firearms (S/N:) were located in inventory, but not recorded in the required records.

Citation: 27 CFR 478.123(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee will ensure to keep an accurate and current A&D record. All

(b)(3)

 firearms were recorded in the records by the end of the inspection.

Number: 2

Nature of Violation:
Failure on occasions to ensure the ATF Form 4473 Blocks 10a (Ethnicity), 11a (Are you the actual transferee/buyer of the firearm(s) listed on this form?), 18 (Type of firearm(s) to be transferred), 24 (Transferee's/Buyer's Signature), 25 (Recertification Date), 30a (Total Number of Firearms), 33 (Transferor's/Seller's Name) and 35 (Transferor's/Seller's Title) were accurately completed.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee will ensure the ATF Form 4473 Blocks 10a (Ethnicity), 11a (Are you the actual transferee/buyer of the firearm(s) listed on this form?), 18 (Type of firearm(s) to be transferred), 24 (Transferee's/Buyer's Signature), 25 (Recertification Date), 30a (Total Number of Firearms), 33 (Transferor's/Seller's Name) and 35 (Transferor's/Seller's Title) are accurately completed on future transactions.

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ATF E-Form 5030 5
Revised April 2005

RIP

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Smith, Roger T. Smitty's Firearms & Gunsmithing	Street Address 104 Ecklon Court	City Folsom	State CA	Zip Code 95630-	County Sacramento	Page 2 of 2 Pages
License/Permit/Registry Number (If any) 968067075M03484		Expiration Date 12/1/2018	Date(s) or Period of Inspection 11/25/2014 through 11/25/2015			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

- Number: 3

Nature of Violation:

Failure on 1 occasions to ensure the ATF Form 4473 Blocks 21a (Date the transferee's identifying information in Section A was transmitted to NICS or the appropriate State agency) and 21d (If initial NICS or State response was "delayed" the following response was received from NICS or the appropriate State agency) were accurately completed.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee will ensure the ATF Form 4473 Blocks 21a (Date the transferee's identifying information in Section A was transmitted to NICS or the appropriate State agency) and 21d (If initial NICS or State response was "delayed" the following response was received from NICS or the appropriate State agency) are accurately completed on future transactions.
- Number: 4

Nature of Violation:

Failure on 1 occasions to ensure the ATF Form 4473 Blocks 34 (Transferor's/Seller's Signature) and 36 (Date Transferred) were accurately completed.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee will ensure the ATF Form 4473 Blocks 34 (Transferor's/Seller's Signature) and 36 (Date Transferred) are accurately completed on future transactions.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

1325 J Street Suite 1530
Sacramento, CA 95814

December 7, 2015

www.atf.gov

786040: (b) (6)
5373

WARNING LETTER

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Mr. Roger T. Smith
Smitty's Firearms & Gunsmithing
104 Ecklon Court
Folsom, CA 95630

REF: FFL # 9-68-067-07-8M-03484

Dear Mr. Smith:

During a recent compliance inspection at your firearms business covering the period November 25, 2014 through November 25, 2015, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection, is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You understood the requirements of the firearms laws and regulations, and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain, and the business operations you conduct, are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

Mr. Roger T. Smith
Smitty's Firearms & Gunsmithing
FFL # 9-68-067-07-8M-03484

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b)(6) at (b)(6).

Sincerely,

(b)(6)

Area Supervisor
Sacramento Area Office

cc: Federal Firearms Licensing Center
Director, Industry Operations, San Francisco Field Division
Sacramento II Area Office File

FIREARMS INSPECTION REPORT

Name: Roger T. Smith UI#: 786040-2016-0037-B1B
Trade Name: Smitty's Firearms & Gunsmithing FFL#: 9-68-067-07-8M-03484
Address: 104 Ecklon Court
Folsom, CA 95630 SACRAMENTO

1 - Introduction

Inspection Profile:

On November 25, 2015 Industry Operations Investigator (IOI) (b)(6) initiated a full scope compliance inspection of Federal firearms licensee (FFL) Roger T. Smith DBA Smitty's Firearms & Gunsmithing at the business premises of: 104 Ecklon Court, Folsom, CA 95630.

The purpose of the compliance inspection was to verify and ensure compliance with current provisions of the Gun Control Act (GCA) as well as assess the business activities conducted under the Type 07 Manufacturer of Firearms license. The inspection period covered the dates of November 25, 2014 through November 25, 2015 and advance notification was given (with supervisor approval) due to the qualification report on file indicating the licensee was employed full time as a financial planner with odd hours.

An inspection interview was conducted with Mr. Roger T. Smith, Owner/Responsible Person of Smitty's Firearms & Gunsmithing, and verification of his identity was confirmed through his California Driver's License (CADL) (b)(6) expiration date: 08-16-2019 and DOB: (b)(6) (b)(6)

Business Profile:

Smitty's Firearms & Gunsmithing operates from a residentially zoned two-story home in a suburban area of Folsom, CA with a Type 07 Manufacturer of Firearms license which was issued on November 6, 2012. Primary business activities as identified by Mr. Smith involve gunsmithing operations which constitute approximately (b)(4) of his business with the remaining (b)(4) devoted to the wholesale transfers of (b)(4) new firearms. The licensee offers cleaning services, basic part replacement and repair services under the gunsmithing aspect of his license and his clientele is limited to friends and family. Mr. Smith stated the majority of his gunsmithing activities involve same day repairs and services.

Primary suppliers utilized by Smitty's Firearms & Gunsmithing include (b)(4) (b)(4) for parts and there are no sales conducted at any gun shows. Mr. Smith does not engage in internet sales and does not have a website he operates to advertise his business. In the last 12 months, Smitty's Firearms & Gunsmithing has acquired (b)(4) handguns, (b)(4) long

FIREARMS INSPECTION REPORT

Name: Roger T. Smith UI#: 786040-2016-0037-B1B
Trade Name: Smitty's Firearms & Gunsmithing FFL#: 9-68-067-07-8M-03484

guns and zero (0) receivers and disposed of (b)(7)(C) handguns, (b)(7)(C) long guns and zero (0) receivers in the same period of time.

Mr. Smith is currently enrolled in self-paced online courses through the American Gunsmithing Institute and plans on graduating soon. The licensee recently retired from employment as a Certified Financial Planner through his company, Planned Solutions which was sold upon his retirement. Outside of operating with a FFL from the premises there is no additional business conducted from the location.

The licensee does not currently manufacture firearms, but obtained a Type 07 license as he plans on conducting manufacturing processes under his license and wanted to leave the door open for the possibility of light manufacturing in the future now that he is retired.

Smitty's Firearms & Gunsmithing is in compliance with State and County licensing requirements and was in possession of the following licenses:

State of California, Board of Equalization Seller's Permit #SR KH 102-274575
City of Folsom Business Certificate #9912000136, expiration date: 06-30-2016
Employer Identification Number (IRS) #46-0827056
Certificate of Eligibility (COE) #17919, expiration date: 09-17-2016

Mr. Smith is also in possession of CCWs from multiple States and provided a copy of all licenses (Exhibit 1). The licensee is not in possession of any additional ATF licenses or permits and there is no off-site storage.

Ownership and Control:

Smitty's Firearms & Gunsmithing operates as a sole proprietorship with Mr. Roger T. Smith as the one and only responsible person on the license. There have been no reported or unreported changes in ownership and hidden ownership was not suspected or identified during the inspection interview.

Information contained in the Federal Licensing System (FLS) pre-inspection reported appeared accurate and current at the time of the inspection with no amendments necessary.

Variance:

The licensee does not hold any active ATF approved variances and none were requested as a result of this inspection.

FIREARMS INSPECTION REPORT

Name: Roger T. Smith
Trade Name: Smitty's Firearms & Gunsmithing

UI#: 786040-2016-0037-B1B
FFL#: 9-68-067-07-8M-03484

2 - Recommendation

Violations, WL ONLY and No Recall Inspection.

3 - Inspection History

The following inspection history is on file:

Date of Inspection:	November 2, 2012
Type of Inspection:	Application Inspection
UI#:	786040-2013-0089-B1B
Inspection Results:	Application Approved
Cited Violations:	No violations were cited.

4 - Acquisition & Disposition (A&D) Record - Inventory

A 100% inventory was conducted which resulted in a total of 4 firearms located at the business premises. Comparison between the licensee's inventory and the A&D records resulted in (b)(3) - 112 Public Law open dispositions, leaving a discrepancy of (b)(3) firearms in inventory which were never entered in the licensee's required records.

Mr. Smith utilizes commercially printed manual A&D records from RK Enterprises which reflected the proper format, adhering to ATF regulations and standards. There were a total of 2 A&D books with 1 book utilized for gunsmithing services and 1 book used for sales. When a firearm is received for a customer it is entered into the sales book and if there is additional work to be done to the firearm (trigger replacement, part replacement, etc), it is also entered into the gunsmithing book as well.

A total of 6 firearms were traced through the licensee's required records from acquisition through disposition which resulted in no discrepancies. There were no thefts or losses reported during the inspection period or as a result of the inspection.

The following violation was identified and cited for the inspection period:

Failure to maintain an accurate and current A&D record. Specifically, (b)(3) firearms (S/N: (b)(3) - 112 Public Law 55 125 Stat 552) were located in inventory, but not recorded in the required records. The licensee was cited in violation of 27 CFR 478.123(a) (Violation 1, Worksheet 1).

FIREARMS INSPECTION REPORT

Name: Roger T. Smith
Trade Name: Smitty's Firearms & Gunsmithing

UI#: 786040-2016-0037-B1B
FFL#: 9-68-067-07-8M-03484

Inventory is maintained inside a gun safe which is located inside a workshop on the premises and there is currently no home alarm security system. Mr. Smith is responsible for all A&D entries as there are no employees utilized under the license. Clarification was provided to the licensee concerning the requirement to enter all firearms into the required records upon acquisition (7 day timeframe per regulation under the Type 07 license) as Mr. Smith was under the impression that firearms aren't entered into the records until a customer buys the firearm.

5 - ATF Forms 4473 – NICS & Other Dispositions

A total of [REDACTED] ATF Form 4473s were on file for the inspection period and all were reviewed for complete accuracy to ensure compliance with Federal firearms regulations. The licensee maintains the ATF F4473s in chronological order and assigns each form a number (i.e., 1C, 2C, 3C, etc). There were no denials on file and no transfers to other licensees or transfers to law enforcement officers via a certification letter during the last 12 months.

Comparison between the FFL audit log report, DROS (Dealer's Record of Sale), with ATF F4473s was verified with 8 DROS transaction numbers which disclosed no discrepancies. The licensee attaches the DROS paperwork to the corresponding ATF F4473.

The following violations were identified and cited for the inspection period:

Failure on [REDACTED] occasions to ensure the ATF Form 4473 Blocks 10a (Ethnicity), 11a (Are you the actual transferee/buyer of the firearm(s) listed on this form?), 18 (Type of firearm(s) to be transferred), 24 (Transferee's/Buyer's Signature), 25 (Recertification Date), 30a (Total Number of Firearms), 33 (Transferor's/Seller's Name) and 35 (Transferor's/Seller's Title) were accurately completed. The licensee was cited in violation of 27 CFR 478. 21(a) (Violation 2, Worksheet 2, Exhibit 2).

Failure on [REDACTED] occasions to ensure the ATF Form 4473 Blocks 21a (Date the transferee's identifying information in Section A was transmitted to NICS or the appropriate State agency) and 21d (If initial NICS or State response was "delayed" the following response was received from NICS or the appropriate State agency) were accurately completed. The licensee was cited in violation of 27 CFR 478.124(c)(3)(iv) (Violation 3, Worksheet 2, Exhibit 3).

Failure on [REDACTED] occasions to ensure the ATF Form 4473 Blocks 34 (Transferor's/Seller's Signature) and 36 (Date Transferred) were accurately completed. The licensee was cited in violation of 27 CFR 478.124(c)(5) (Violation 4, Worksheet 2, Exhibit 4).

FIREARMS INSPECTION REPORT

Name: Roger T. Smith
Trade Name: Smitty's Firearms & Gunsmithing

UI#: 786040-2016-0037-B1B
FFL#: 9-68-067-07-8M-03484

As the one and only responsible person on the license, Mr. Smith reviews and completes all ATF F4473 with no employees utilized under the license. IOI (b)(6) thoroughly reviewed the ATF F4473s to give Mr. Smith a solid understanding of which blocks need to be completed and answered any questions he had concerning the forms.

6 - Multiple Sales

The Violent Crime Analysis Branch (VCAB) report disclosed zero (0) multiple handgun transactions (ATF F3310.4 Multiple Handgun Sale) for the licensee during the inspection period. A review of the A&D records and ATF F4473s on file during the last 12 months revealed no unreported sales of multiple handguns.

Smitty's Firearms & Gunsmithing is licensed as a Type 07 Manufacturer of Firearms licensee and is therefore exempt from multiple rifle transaction reporting requirements fitting the criteria outlined under the ATF F3310.12 (Multiple Sale or Other Disposition of Certain Rifles).

7 - Suspicious/Prohibited Purchasers

No suspicious or prohibited purchasers were identified through a review of the licensee's ATF F4473s on file for the last 12 months.

8 - Trace Activity

The VCAB trace history report, obtained prior to the inspection, reflected zero (0) traces (successful and unsuccessful) during the inspection period.

The licensee deals exclusively in new firearms under the sales aspect of his license with any used firearms taken in only for gunsmithing purposes which are subsequently returned to the owner.

9 - NFA/Importer/Collector/Manufacturer

Smitty's Firearms & Gunsmithing is not engaged in NFA, Importer or Collector business operations.

The required Annual Firearms Manufacturing and Exportation Report (AFMER), ATF F5300.11, has been filed as required for the years 2012, 2013 and 2014 (Exhibit 5). AFMERs for the past 3 years all reflect zero (0) manufactured firearms which is consistent with the inspection findings.

10 - Referrals

FIREARMS INSPECTION REPORT

Name: Roger T. Smith
Trade Name: Smitty's Firearms & Gunsmithing

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FFL#: 9-68-067-07-8M-03484

As the licensee maintains a Type 07 Manufacturer of Firearms license, a Referral of Information (ROI) was generated to Mr. Glen Smith, Chief, Department of State, concerning any possible ITAR registration fees (Referral 1, #2016-0009).

11 - Other

The Federal Licensing System (FLS) background and NFORCE data bases were queried: Negative Results.

12 - Closing Actions

A ninety (90) minute closing conference was conducted by IOI (b)(6) on December 2, 2015 with Mr. Roger T. Smith, Owner/Responsible Person of Smitty's Firearms & Gunsmithing. The Report of Violations (ROV) was reviewed, discussed thoroughly with and signed by the licensee. An Acknowledgement of Federal Firearms Regulations was also reviewed, signed and dated December 2, 2015 by Mr. Smith (Exhibit 6). A copy of the ROV and Acknowledgement was provided to the licensee during the closing conference.

The following forms, handout and reference materials were reviewed and provided to the licensee:

ATF Ruling 2013-5: Computerized Recordkeeping
ATF F4473 Firearms Transaction Record
ATF F3310.4 Multiple Handgun Sale
ATF F3310.11 Theft/Loss Report
ATF I5300.23A Gun Show Guidelines
ATF I5300.1 Youth Handgun Safety Act poster
ATF I5300.2 Youth Handgun Safety Act pamphlet
ATF P3317.2 Safety and Security Information
ATF Ruling 2010-8: Consolidation of Required Records for Manufacturers
ATF Ruling 2013-3: Adopting Identification of Firearms
ATF F5300.11 Annual Firearms and Manufacturing Exportation Report (AFMER)
US State Department – Directorate of Defense Trade Controls handout
ATF P5300.15 FFL Quick Reference and Best Practices Guide
ATF P5300.4 Federal Firearms Regulations Reference Guide (2005 Edition)
ATF P3312.8 Personal Firearms Record
ATF Listing of Important Phone Numbers
California Department of Justice DROS Information Handout

The requirements for secure gun storage and safety devices were discussed with the licensee and Mr. Smith was provided with IOI (b)(6) business card as well as the ATF San Francisco Field Division (Sacramento Field Office) contact information. The

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Name: Roger T. Smith
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licensee was given the opportunity to discuss any questions or concerns.

13 - Violations

1. 27 CFR 478.123(a)

Nature of violation: Failure to maintain an accurate and current A&D record. Specifically, ^{(b)(3)} firearms (S/N: **(b)(3) - 112 Public Law 55 125 Stat 552**) were located in inventory, but not recorded in the required records.

Licensee response: The licensee stated he didn't realize firearms that weren't sold yet had to be recorded in the records.

Corrective action: The licensee will ensure to keep an accurate and current A&D record. All ^{(b)(3)} firearms were recorded in the records by the end of the inspection.

Reference: Worksheet 1

2. 27 CFR 478.21(a)

Nature of violation: Failure on ^{(b)(3)} occasions to ensure the ATF Form 4473 Blocks 10a (Ethnicity), 11a (Are you the actual transferee/buyer of the firearm(s) listed on this form?), 18 (Type of firearm(s) to be transferred), 24 (Transferee's/Buyer's Signature), 25 (Recertification Date), 30a (Total Number of Firearms), 33 (Transferor's/Seller's Name) and 35 (Transferor's/Seller's Title) were accurately completed.

Licensee response: The licensee stated he missed some of these and wasn't aware all of these blocks needed to be completed.

Corrective action: The licensee will ensure the ATF Form 4473 Blocks 10a, 11a, 18, 24, 25, 30a, 33 and 35 are accurately completed on future transactions.

Reference: Worksheet 2, Exhibit 2.

3. 27 CFR 478.124(c)(3)(iv)

Nature of violation: Failure on ^{(b)(3)} occasions to ensure the ATF Form 4473 Blocks 21a (Date the transferee's identifying information in Section A was transmitted to NICS or the appropriate State agency) and 21d (If initial NICS or State response was "delayed" the following response was received from NICS or the appropriate State agency) were accurately completed.

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Name: Roger T. Smith
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Licensee response: The licensee stated he just put the wrong date for 21a and wasn't clear on how to answer blocks 21c and 21d due to the California required waiting period.

Corrective action: The licensee will ensure the ATF Form 4473 Blocks 21a and 21d are accurately completed on future transactions.

Reference: Worksheet 2, Exhibit 3.

4. 27 CFR 478.124(c)(5)

Nature of violation: Failure on ^{two} occasions to ensure the ATF Form 4473 Blocks 34 (Transferor's/Seller's Signature) and 36 (Date Transferred) were accurately completed.

Licensee response: The licensee stated he missed these blocks.

Corrective action: The licensee will ensure the ATF Form 4473 Blocks 34 and 36 are accurately completed on future transactions.

Reference: Worksheet 2, Exhibit 4.

(b)(6)

Industry Operations Investigator December 2, 2015

Index of Worksheets and Exhibits

Worksheets:

Worksheet 1 – Inventory and A&D Review Worksheet

Worksheet 2 – ATF Form 4473 (2012 Edition) Review Worksheet

Exhibits:

Exhibit 1 – Copy of licensee's CCW licenses from multiple States

Exhibit 2 - ATF F4473 copies of Violation 2

FIREARMS INSPECTION REPORT

Name: Roger T. Smith
Trade Name: Smitty's Firearms & Gunsmithing

UI#: 786040-2016-0037-B1B
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Exhibit 3 – ATF F4473 copies of Violation 3

Exhibit 4 – ATF F4473 copies of Violation 4

Exhibit 5 – AFMERs for 2012, 2013 and 2014

Exhibit 6 – Acknowledgement of Federal Firearms Regulations

Referrals:

Referral 1 – (2016-0009) – Department of State (ITAR) Registration Fees