

## Assignment and Report

<b>1. OPERATING NAME AND ADDRESS</b> (INCLUDE ZIP CODE AND COUNTY)  Ronald F. Segal Inc. Guns Etc. 2910 S. Alma School Rd. Mesa, AZ 85210, Maricopa County	<b>2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)</b> <p style="text-align: center;">785110-2017-0190-B1B</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;"><b>3. PERMIT/LICENSE NUMBER</b> 986013010E07324</td> <td style="width: 33%;"><b>4a. TARGET DATE</b> 6/30/2017</td> <td style="width: 33%;"><b>4b. TARGET HOURS</b> 60</td> </tr> </table> <b>5. REQUESTED BY (SIGNATURE, TITLE AND DATE)</b>	<b>3. PERMIT/LICENSE NUMBER</b> 986013010E07324	<b>4a. TARGET DATE</b> 6/30/2017	<b>4b. TARGET HOURS</b> 60
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**6. ATF OFFICER(S) ASSIGNED**  
 (b)(6) - Assigned Investigator  
 (b)(6) - Lead Investigator

**7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)**  
 (b)(6), Area Supervisor, 04/05/2017

**8. PURPOSE/SPECIAL INSTRUCTIONS**  
 SWB DE: Conduct a SWB DE compliance inspection. 12 months records review of ATF Forms 4473 is required and 100% inventory reconciliation. Address all applicable inspection procedures/guidelines identified by the current FY IO Operating Plan.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS	2	2111 - PER DIEM
NO. OF TECS CHECKS	77	NO. OF TECS HITS	2	2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

**11. ATF OFFICER'S RECOMMENDATION**  
 Submitted by (b)(6) - Industry Operations Investigator  
  
 Submitted on: 05/08/2017  
  
 Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)		
APR 2017	44.50		
ATF OFFICER'S SUBTOTAL	44.50	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)		
APR 2017	37.00		
MAY 2017	3.50		
ATF OFFICER'S SUBTOTAL	40.50	ATF OFFICER'S SIGNATURE	
<b>TOTAL HOURS</b>	<b>85.00</b>		

**13. REVIEW AND ROUTING**

**REVIEW COMMENTS AND RECOMMENDATION**  
 Warning Letter issued on 5/09/2017. No further action and No Recall Inspection.  
  
 Viols WL ONLY and No Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONCUR	<input checked="" type="checkbox"/> SEE COMMENTS	<input checked="" type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) - Area Supervisor		REVIEW DATE 05/09/2017	

# Assignment and Report

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	3. PERMIT/LICENSE NUMBER 986013010E07324	4a. TARGET DATE 6/30/2017	4b. TARGET HOURS 60
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- 1. \_\_\_\_\_
- 2. \_\_\_\_\_
- 3. \_\_\_\_\_
- 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

# Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Ronald F. Segal Inc. Guns Etc.	Street Address 2910 S. Alma School Rd.	City Mesa	State AZ	Zip Code 85210-	County Maricopa County	Page 1 of 1 Pages
License/Permit/Registry Number <i>(If any)</i> 986013010E07324		Expiration Date 5/1/2020	Date(s) or Period of Inspection 04/17/2017 through 04/26/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 1

**Nature of Violation:**

Failure to timely or correctly report the sale of two or more semi-automatic rifles, larger than .22 caliber with the ability to accept detachable magazines, on the same day or within five consecutive business days on [redacted] instance.

**Citation:** 18 U.S.C. 923(g)(5)(A)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee shall prepare a report of multiple sales or other disposition of certain rifles for all transfers of two or more semi-automatic rifles, larger than .22 caliber with the ability to accept detachable magazines, on the same day or within five consecutive business days to an unlicensed person.

**Number:** 2

**Nature of Violation:**

Failure to record required acquisition and disposition information in an Acquisition and Disposition (A&D) Record on [redacted] instance.

**Citation:** 27 CFR 478.125(e)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee will timely and accurately enter all acquisition and disposition information in their A&D record as prescribed by the regulation and in the time frame allowed.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

RIP



**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

*Phoenix Field Division*

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May 9, 2017

www.atf.gov

785110: (b) (6)  
5300

Ronald F. Sega Inc.  
Guns Etc.  
Attn: Ronald F. Sega  
2910 S. Alma School Rd.  
Mesa, AZ 85210

Re: FFL# 9-86-013-01-0E-07324

Mr. Sega:

During a recent inspection of your firearms license covering the period from April 17, 2016 to April 17, 2017 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Mr. Ronald F. Sega  
Ronald F. Sega Inc.

Should you have any questions regarding this matter, please contact (b)(6), Area Supervisor at (b)(6).

(b)(6)

Area Supervisor Industry Operations

cc: Federal Firearms Licensing Center  
Phoenix VI Field Office

# FIREARMS INSPECTION REPORT

**Name:** Ronald F. Segal Inc.  
**Trade Name:** Guns ETC

**UI#:** 785110-2017-0190-B1B  
**FFL#:** 9-86-013-01-0E-07324

**Address:** 2910 S. Alma School Road  
Mesa, AZ 85210 (Maricopa)

## 1 - Introduction

### Inspection Profile:

On Monday April 17, 2017 Industry Operations Investigators (IOIs) (b)(6) and (b)(6) conducted an unannounced Southwest Border (SWB) Disposition Emphasis (DE) Compliance Inspection of Ronald F. Segal Inc, DBA Guns ETC. The inspection was conducted with Responsible Person (RP) Ronald Segal, and the store manager (b)(6). The inspection period covered April 17, 2016 to April 17, 2017. The compliance inspection was generated to ensure that the licensee is in compliance with all ATF regulations. Advanced notification was not provided to the licensee. The store manager and RP were identified by their Arizona Driver's License:

- Ronald Segal, Arizona DL (b)(6), DOB (b)(6), ex 06/22/2019.
- (b)(6), Arizona (b)(6), DOB (b)(6), ex 4/13/2036

The SWB DE Compliance Inspection was initiated on Monday April 17, 2017 and completed on Monday May 1, 2017.

### Business Profile:

Ronald F. Segal Inc, DBA Guns ETC is licensed as a Type 01 – Dealer in firearms other than destructive devices. The FFL was originally issued on April 14, 2014 and expires on May 1, 2020. The premises is a store front, located in a commercially zoned area in the City of Mesa, within Maricopa County, Arizona.

The licensee conducts retail sales of new and used GCA and NFA firearms. The licensee sells both long guns and hand guns. The licensee is a licensed second hand dealer with the City of Mesa. All purchased used firearms are held for 21 days, and firearms information is submitted to the Mesa Police Department for criminal check prior to resale to the public. The licensee conducts general gunsmith work for the public. The licensee also sells ammunition. The licensee's principle supplier of new firearms are (b)(4), (b)(4). The licensee conducts dealer transfers, and consignment sales. The licensee does not conduct business at gun shows. The licensee conducts internet sales through their own website at [www.shop.gunsetc.com](http://www.shop.gunsetc.com). The licensee also provides concealed carry permit classes, and has an indoor shooting simulator. No other businesses operate from

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the premises, and off-site storage does not exist. The Type 01 FFL, and SOT are the only ATF licenses held by the licensee and is appropriate for the activities conducted.

The following state and local licenses were verified by IOI (b)(6)

- Arizona Department of Revenue and City of Mesa Transaction Privilege Tax #: (b)(3) - 26 USC 6103
- City of Mesa Second Hand Dealer license: 00116898

At the time of the inspection, the licensee had (b)(4) firearms in inventory. The licensee acquired about (b)(4) firearms in the past twelve months. The licensee disposed of about (b)(4) firearms during the inspection period.

## Ownership and Control:

Ronald F. Segal Inc, DBA Guns ETC is a domestically registered Corporation domiciled in Arizona. IOI (b)(6) verified the company to be in good standing with the Arizona Corporation Commission (ACC), file number 01971480 (**Exhibit - 2**). As of April 17, 2017 Ronald F. Segal is listed as the President/Director with the Arizona Corporation Commission.

There were no other RP(s) that were added to or deleted from the license during the course of the inspection. No indications of hidden ownership are suspected. There were no unreported changes made to the license.

## Variance:

There are no variances on file and none were requested as a result of this inspection.

## 2 - Recommendation

Violations, Warning Letter ONLY, NO Recall Inspection

## 3 - Inspection History

**Date of Inspection:** April 7, 2014  
**Type of Inspection:** Federal Firearms Qualification Inspection  
**UI#:** 785110-2014-0191  
**Inspection Results:** A narrative report was completed and the Acknowledgement of the Federal Firearms Regulations was reviewed with, and signed by the licensee during the inspection. The Acknowledgement and Report are on file for review.

# FIREARMS INSPECTION REPORT

**Name:** Ronald F. Segal Inc.  
**Trade Name:** Guns ETC

**UI#:** 785110-2017-0190-B1B  
**FFL#:** 9-86-013-01-0E-07324

**Cited Violations:** None

## **4 - Acquisition & Disposition (A&D) Record - Inventory**

A 100% physical inventory was conducted, and a total of 1503 firearms were located at the business premises. The licensee's A&D record revealed a total of [REDACTED] open entries. A total comparison of the licensee's inventory to the A&D record was conducted revealing the following discrepancy: there was [REDACTED] open disposition with no corresponding firearm in inventory. The licensee was cited for the following:

**27 CFR 478.125(e):** Failure to record acquisition and disposition information in an Acquisition and Disposition record on [REDACTED] instance.

**Reference Violation 2, Worksheet 1, Exhibit 3**

The licensee was unable to locate the firearm with an open disposition. The licensee reported the firearm as missing from inventory on April 27, 2017 under incident number [REDACTED] (Exhibit – 4). No other discrepancies were discovered in the A&D records.

Prior to the inspection, the licensee reported the theft/loss of [REDACTED] firearms on May 19, 2016 under incident number [REDACTED]. No other Theft/Loss Reports were submitted prior to the initiation of the inspection. The licensee is aware of the requirement to complete an ATF Form 3310.11 (Theft/Loss Report) for missing or stolen firearms within 48 hours of discovery.

The licensee maintains an electronic A&D record, which are in the proper ATF format per 27 CFR 478.125(e) and ATF Ruling 2016-1. The licensee utilizes the AIMSI electronic A&D program for all transfers, and gunsmithing. IOI [REDACTED] discussed the standard operating procedures with the licensee. All acquisitions and dispositions are supposed to be entered into the A&D record by the end of the business day. The licensee conducts quarterly physical inventories of all firearms. No obliterated serial numbers or illegal firearms were found on the premises. No area of concerns were noted.

## **5 - ATF Forms 4473 – NICS & Other Dispositions**

There were [REDACTED] ATF Forms 4473s on file for review for the past 12 months. There were zero transfers to law enforcement. Denied and withdrawn ATF Forms 4473 were filed separately. The review of the licensee's ATF Forms 4473 revealed no violations.

IOI [REDACTED] obtained a National Instant Background Check System (NICS) Audit Log Report that covered the last 60 days. IOI [REDACTED] verified 200 NICS Transaction Numbers (NTN) without discrepancies.

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**UI#:** 785110-2017-0190-B1B  
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IOI (b)(6) discussed the standard operating procedures involving the completion of ATF Forms 4473 with the licensee. The licensee currently has a post-secondary level check system in place when completing a transfer. The licensee also conducts an internal audit of all ATF Forms 4473. Completed ATF Forms 4473 are currently maintained in chronological order. No areas of concerns were noted.

## **6 - Multiple Sales**

IOI (b)(6) obtained the Federal Firearms Trace History for the period of April 17, 2016 to April 17, 2017. The report indicated that in the last 12 months the licensee was associated with (b)(6) multiple sales transactions. There were (b)(6) unreported multiple sales of certain rifles discovered during the review of the licensee's ATF Forms 4473 completed during the inspection period. The licensee was cited for the following:

**18 U.S.C. 923(g)(5)(A):** Failure to timely or correctly report the sale of two or more semi-automatic rifles, larger than .22 caliber with the ability to accept detachable magazines, on the same day or within five consecutive business days in (b)(6) instance.  
**Reference Violation 1, Worksheet 1, Exhibit 5**

The licensee completed one Multiple Sale Report to correct the (b)(6) instance (**Exhibit – 5**).

The licensee is aware of the requirement to file a Multiple Sale Report when two or more pistols and/or revolvers are sold to an unlicensed person at one time or during five consecutive business days. The licensee is also aware of the requirement to file a Multiple Sale Report when two or more semi-automatic rifles with a caliber greater than .22 cal, with a detachable magazine are sold to an unlicensed person at one time or during five consecutive business days.

## **7 - Suspicious/Prohibited Purchasers**

The following suspicious purchasers were identified as a result of this inspection:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

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Name: Ronald F. Segal Inc.  
Trade Name: Guns ETC

UI#: 785110-2017-0190-B1B  
FFL#: 9-86-013-01-0E-07324

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6), (b)(7)(C)

There were no other suspicious/prohibited persons, straw purchasers, or firearm traffickers identified as a result of the inspection. A Computerized Criminal History (CCH) check was conducted on the licensee. No prohibiting information was found.

## 8 - Trace Activity

IOI (b)(6) obtained the Federal Firearms Trace History Report for the period of April 17, 2016 to April 17, 2017. FFL Trace History revealed in the last 12 months the licensee was associated with (b)(3)-1 trace requests. The licensee was associated with one FFL theft during the inspection period.

A secondary market analysis was conducted on 72 used firearms the licensee had transferred during the inspection period. The used firearms were not associated with any trace requests.

## 9 - NFA/Importer/Collector/Manufacturer

The licensee is engaged in the business as a NFA dealer and holds a current Class 2 SOT (b)(3) - 26 USC 6103 for the 2017 tax year which was verified by IOI (b)(6). The licensee sells silencers, short barrel rifles, and any other weapons to the general public.

IOI (b)(6) compared the licensee's National Firearms Registration and Transfer Record (NFRTR) to the current NFA inventory. IOI (b)(6) verified 88 NFA firearms were in inventory and properly logged in the licensee's A&D record. All required documentation is maintained by the licensee. The licensee had 14 ATF Form Threes approved within March and April 2017, and had not received the firearms. IOI (b)(6) verified with the licensee that they had submitted the ATF Form Threes and are awaiting shipment of the firearms.

(b)(3) - 26 USC 6103, (b)(6)

# FIREARMS INSPECTION REPORT

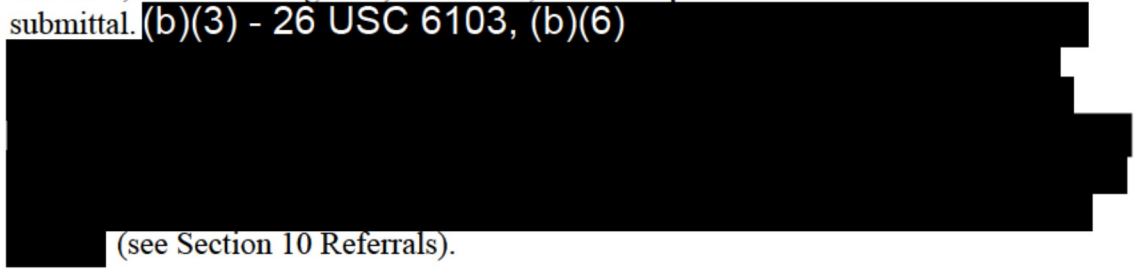
**Name:** Ronald F. Sega Inc.  
**Trade Name:** Guns ETC

**UI#:** 785110-2017-0190-B1B  
**FFL#:** 9-86-013-01-0E-07324

**(b)(3) - 26 USC 6103, (b)(6)**



The FFL, Ronald F. Sega Inc, Dba Guns, Etc. was questioned about the ATF Form 4 submittal. **(b)(3) - 26 USC 6103, (b)(6)**



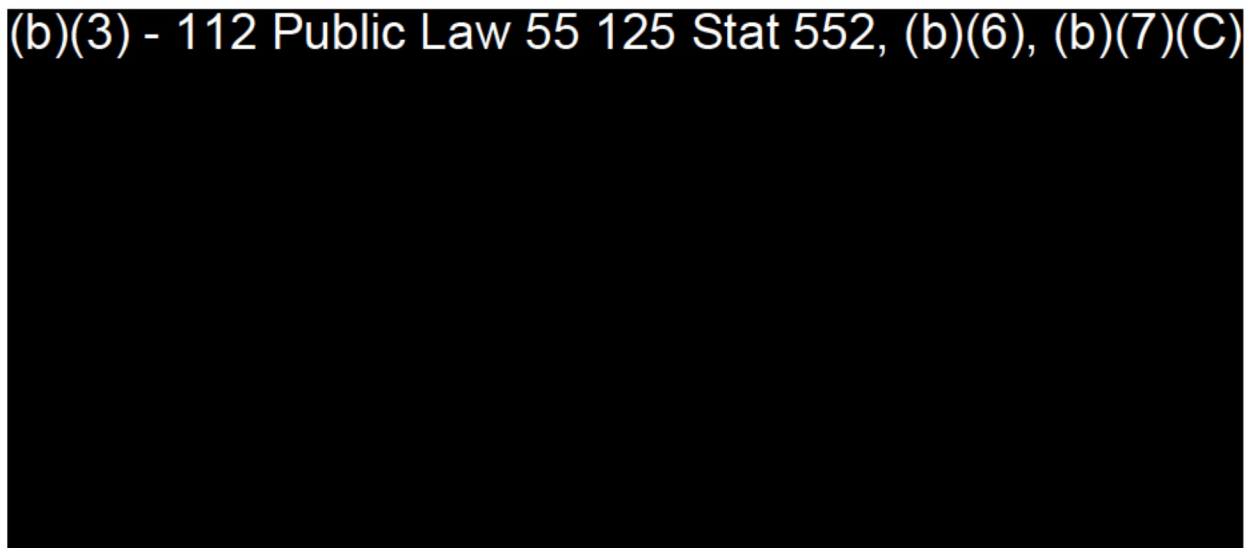
(see Section 10 Referrals).

The licensee is not engaged in Importer, Collector, or Manufacturer activities.

## **10 - Referrals**

The following Referrals of Information were generated:

**(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6), (b)(7)(C)**



# FIREARMS INSPECTION REPORT

**Name:** Ronald F. Segal Inc.  
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**UI#:** 785110-2017-0190-B1B  
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## **11 - Other**

The Youth Handgun Safety Act Poster was posted. The licensee is aware of the requirement and providing the Youth Handgun Safety Act Notice to non-licensees that purchase handguns. The licensee is aware and complying with the requirements regarding the Child Safety Lock Act of 2005. The IRS Publication 1544 (Reporting Cash Payments of Over \$10,000) and IRS Form 8300 were provided to the licensee. The RP was queried in NForce, and Open Fox. No prohibiting activity was identified for the RP under the license.

## **12 - Closing Actions**

On May 1, 2017 IOI (b)(6) conducted a closing conference with RP Segal. A review of the findings was conducted with him. IOI (b)(6) reviewed ATF Form 5030.5 - Report of Violations (ROV) with the licensee. Mr. Segal signed the Report of Violations and was provided with a copy. An Acknowledgement of Federal Firearms Regulations (**Exhibit - 1**) was completed with the licensee. A copy was provided to him for future reference. RP Segal was advised to contact IOI (b)(6) or the ATF office with any questions that pertain to the firearms business.

## **13 - Violations**

### **1. 18 U.S.C. 923(g)(5)(A)**

**Nature of Violation:** Failure to timely or correctly report the sale of two or more semi-automatic rifles, larger than .22 caliber with the ability to accept detachable magazines, on the same day or within five consecutive business days in (b)(6) instance. Specifically, (b)(6) rifles were transferred on the same day to a non-licensee without completing a report of multiple sale.

**Licensee Response:** The licensee completed (b)(6) Multiple Sales Reports and submitted the reports to the tracing center.

**Corrective Action:** The licensee shall prepare a report of multiple sales or other disposition for all transfers of two or more semi-automatic rifles, larger than .22 caliber with the ability to accept detachable magazines, on the same day or within five consecutive business days to an unlicensed person.

**Worksheets & Exhibits:** Worksheet 1, Exhibit 5

### **2. 27 CFR 478.125(e)**

**Nature of Violation:** Failure to record acquisition and disposition information in an Acquisition and Disposition record on one instance. Specifically, there (b)(6) open disposition with no corresponding firearm in inventory.

**Licensee Response:** The licensee immediately corrected all missing information in the A&D record.

# FIREARMS INSPECTION REPORT

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**UI#:** 785110-2017-0190-B1B  
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**Corrective Action:** The licensee will timely and accurately enter all acquisition and disposition information in their A&D record as prescribed by the regulation and in the time frame allowed.

**Worksheets & Exhibits:** Worksheet 1, Exhibit 3

X

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Industry Operations Investigator

## **Index of Worksheets and Exhibits**

Worksheet 1

Exhibit – 1 Signed Acknowledgement of Federal Firearms Regulations.

Exhibit – 2 Arizona Corporation Commission Printout

Exhibit – 3 Acquisition and Disposition Record

Exhibit – 4 ATF E-Form 3310.11

Exhibit – 5 ATF Form 4473 and ATF Form 3310.12

Exhibit – 6 Silencer Shop Contract