

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) North County Pawn, Inc. Temecula Pawn & Coin 41125 Winchester Road Temecula, CA 92591, Riverside		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 784050-2016-0110-B1B	
		3. PERMIT/LICENSE NUMBER 933065026M40924	4a. TARGET DATE 6/15/2016
		4b. TARGET HOURS	
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			
6. ATF OFFICER(S) ASSIGNED (b)(6) - Assigned Investigator (b)(6) - Assigned Investigator (b)(6) - Lead Investigator			
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 01/04/2016			
8. PURPOSE/SPECIAL INSTRUCTIONS Please conduct a SWB Full Scope DE Recall Compliance Inspection. Please review multiple recall inspections.			
9. INSPECTION RESULTS		10. TRAVEL EXPENSES (OPTIONAL)	
<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC			
NO. OF VIOLATIONS	3	NO. OF REFERRALS	2111 - PER DIEM
NO. OF TECS CHECKS	1	NO. OF TECS HITS	2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES	2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS	2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 04/20/2016 On January 27, 2016, Industry Operations Investigator's (IOI) (b)(6) and (b)(6) conducted an un-announced Full Scope Compliance Inspection, at the licensed business premises of North County Pawn, Inc. dba Temecula Pawn & Coin. IOI (b)(6) recommends a WC with WL and Recall based upon the fact that multiple, repeat violations for which the licensee has attended a Warning Conference for in the past, were cited during the inspection. The following are repeat violations: 27 CFR 478.21(a), 27 CFR 478.124(c) (1), and 27 CFR 478.125(e). No trafficking issues relating to acquisition and disposition of firearms were discovered and no firearms with obliterated serial numbers and/or illegal firearms were found during this inspection. Viols WC with WL and Recall			
12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
JAN 2016		15.00	
FEB 2016		8.00	
ATF OFFICER'S SUBTOTAL		23.00	ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
JAN 2016		18.00	
FEB 2016		55.00	
APR 2016		2.00	
ATF OFFICER'S SUBTOTAL		75.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS		98.00	

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) North County Pawn, Inc. Temecula Pawn & Coin 41125 Winchester Road Temecula, CA 92591, Riverside	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 784050-2016-0110-B1B		
	3. PERMIT/LICENSE NUMBER 933065026M40924	4a. TARGET DATE 6/15/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Recommend alternate to Warning Conference. WL sent 4/21/16

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☐ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

REVIEW DATE

04/19/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor North County Pawn, Inc. Temecula Pawn & Coin	Street Address 41125 Winchester Road	City Temecula	State CA	Zip Code 92591-	County Riverside	Page 1 of 5 Pages
License/Permit/Registry Number <i>(If any)</i> 933065026M40924	Expiration Date 12/1/2016	Date(s) or Period of Inspection 01/27/2016 through 02/08/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to ensure the proper completion of ATF Forms 4473 as indicated by the headings on the form and the instructions on or pertaining to the form on a total of **006** ATF Forms 4473.

Errors found:

-A total of **006** ATF Forms 4473 reviewed during the period of inspection were BLANK on Item #10a, Ethnicity (ATF Forms # **(b)(3), Public Law 112-55, 125 Stat. 552**)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure proper completion of ATF Forms 4473 by including all required information as prescribed by ATF regulations.

Citation: 27 CFR 478.21(a)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor North County Pawn, Inc. Temecula Pawn & Coin	Street Address 41125 Winchester Road	City Temecula	State CA	Zip Code 92591-	County Riverside	Page 2 of 5 Pages
License/Permit/Registry Number (If any) 933065026M40924	Expiration Date 12/1/2016	Date(s) or Period of Inspection 01/27/2016 through 02/08/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

Failure to obtain a properly completed ATF Form 4473, prior to making an over-the-counter transfer of a firearm to a non-licensee, within Section A, on a total of (b)(3) ATF Forms 4473 which consisted of (b)(3) total errors.

Errors Found:

-A total of (b)(3) ATF Forms 4473 reviewed contained errors on Item #3, Place of Birth State (ATF Forms # (b)(3), Public Law 112-55, 125 Stat. 552).

(b)(3) ATF Form 4473 reviewed was BLANK, on Item #16, Transferee's Signature (ATF Form # (b)(3), Public Law 112-55).

(b)(3) ATF Form 4473 reviewed contained errors on Item #3, Place of Birth State and BLANK, on Item #10b, Race (ATF Form # (b)(3), Public Law 112-55, 125 Stat. 552).

(b)(3) ATF Form 4473 reviewed contained errors on Item #2, County of Current Residence; was BLANK on Item #13, State of residence; and BLANK on Item #14, Country of Citizenship (ATF Form # (b)(3), Public Law 112-55).

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure the proper completion of ATF Forms 4473 as required per ATF regulations.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor North County Pawn, Inc. Temecula Pawn & Coin	Street Address 41125 Winchester Road	City Temecula	State CA	Zip Code 92591-	County Riverside	Page 4 of 5 Pages
License/Permit/Registry Number (If any) 933065026M40924	Expiration Date 12/1/2016	Date(s) or Period of Inspection 01/27/2016 through 02/08/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

Failure to timely and/or correctly complete the Acquisition and Disposition (A & D) record as required per ATF regulations on a total of (b)(3) instances.

-A total of (b)(3) instances in which the disposition information was not timely recorded after transferring the firearm to a non-licensee (Inventory # (b)(3), Public Law 112-55, 125 Stat. 552

(b)(3), Public Law 112-55, 125 Stat. 552

(4473 Verified).

(b)(3) instance in which the disposition information was not timely recorded after transferring the firearm to a licensee (Inventory # (b)(3), Public Law 112-55, 125 Stat. 552 (Dealer invoice verified)

-A total of (b)(3) instances in which the disposition information was not timely recorded after being transferred to a police officer/ law enforcement agency (Inventory # (b)(3), Public Law 112-55, 125 Stat. 552 (PD property receipt verified)

(b)(3) instance in which the disposition information was not timely recorded after a Voided Pawn (Inventory # (b)(3), Public Law 112-55, 125 Stat. 552 (Voided Receipt verified).

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Timely and correctly log in/out all firearms as required.

Ensure the proper completion of the A & D record as required per ATF regulations.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor North County Pawn, Inc. Temecula Pawn & Coin	Street Address 41125 Winchester Road	City Temecula	State CA	Zip Code 92591-	County Riverside	Page 5 of 5 Pages
License/Permit/Registry Number (If any) 933065026M40924	Expiration Date 12/1/2016	Date(s) or Period of Inspection 01/27/2016 through 02/08/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.125(e)

I Have Received

(b)(6)

Date

2-8-16

Signature and Title of ATF Officer

IOI

(b)(6)

Date

2-8-16

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924

Address: 41125 Winchester Road
Temecula, CA 92591 (Riverside County)

1 - Introduction

Inspection Profile:

On January 27, 2016, Industry Operations Investigator's (IOI) (b)(6) and (b)(6) conducted an un-announced Full Scope Compliance Inspection, at the licensed business premises of North County Pawn, Inc. dba Temecula Pawn & Coin, a Type 02 Pawnshop Dealer, located at 41125 Winchester Road, Temecula, CA 92591 (Riverside County). Mr. Christopher Michael De Falco, President/Responsible Person, was available at the time of arrival, properly identified and assisted with the inspection.

The inspection period covered January 1, 2015, through January 27, 2016. No other Federal, State, or local agencies participated in the inspection. A Federal Licensing System (FLS) query yielded the following special attention flag for the licensee: (b)(3) - 112 Public Law 55 125 Stat 552

An NFORCE query conducted by IOI (b)(6) returned no results of any criminal cases for this licensee. This on-site inspection concluded February 8, 2016.

Business Profile:

North County Pawn, Inc. is licensed as a Type 02-Pawnbroker of firearms. All firearms are acquired through the Secondary Market ((b)(4))% long guns and ((b)(4))% handguns). The licensee acquired a total of ((b)(4)) firearms during the period of inspection and disposed of, as either a pawn redemption or a firearm sale, a total of ((b)(4)) firearms. The licensee does not participate in gun shows and there are no off-site storage locations. The licensee conducts cash advance loans, pawnbroking activities, and retail sales of general merchandise from the licensed business premises. The current business premises are located at 41125 Winchester Road, Temecula, CA 92591 (Riverside County), within a commercially zoned location; there are no zoning restrictions. According to the FLS report, this licensee has been in operation since November 5, 1998.

The property is being leased by North County Pawn, and the property owner is aware of the firearm operations being conducted. All firearms transactions are conducted on-site and off-site storage is utilized. Firearms are stored in several locations within the shop. IOI's (b)(6) determined that there are a total of eight possible storage sites within the shop. The following list outlines the storage areas:

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924

(b)(4)

Please note: (b)(4)

The licensee is in compliance with local and State firearms requirements. During the on-site inspection, Mr. De Falco referred IOI (b)(6) to his display board which showcased all of his permits and licenses issued by the State of California. North County Pawn is properly maintaining the following permits as required:

Pawnbroker License (**Refer to Exhibit #1**)
Certificate of Eligibility (**Refer to Exhibit #2**)
Business Registration (**Refer to Exhibit #3**)
Centralized Dealer Listing- CFD #20433 (**Refer to Exhibit #4**)
Seller's Permit

The licensee was notified of ATF's right of entry and that the records, inventory, and premises are subject to inspection. The current business premises are suitable for the operations conducted by North County Pawn.

Ownership and Control:

North County Pawn, Inc. is a For-Profit Corporation recognized by the California Secretary Of State (**See Exhibit #5**). The active entity was successfully filed for on November 22, 1991.

Prior to arriving at the business premises an NCIC/NLETS criminal history query were conducted on Mr. De Falco with no disabling hits found.

The information reflected within the Federal Licensing System registration report for the licensee accurately reflects Mr. Christopher De Falco, as a Responsible Person under FFL #9-33-40924. All corporate documents have previously been filed with the Federal Firearms Licensing Center.

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924

At the time of inspection no additional responsible persons were added and no signs of hidden ownership were detected.

Variance:

The licensee has no current variances in effect and did not request any variances for this location.

2 - Recommendation

Violations, Warning Conference with Warning Letter and Recall.

IOI (b)(6) recommends a WC with WL and Recall based upon the fact that multiple, repeat violations for which the licensee has attended a Warning Conference for in the past, were cited during the inspection. The following are repeat violations: 27 CFR 478.21(a), 27 CFR 478.124(c) (1), and 27 CFR 478.125(e).

It should be noted that licensee was able to show an attempt to maintain compliance since attending a prior Warning Conference held on February 19, 2013. The percentage of ATF Forms 4473's, as well as A & D violations cited; have dramatically decreased, and the President/RP of the company, Mr. De Falco, also hired a new compliance officer to complete all ATF paperwork.

All A & D errors found during IOI (b)(6) inspection were immediately corrected and verified by IOI (b)(6). Mr. De Falco and his entire staff are eager to follow ATF regulations and he stated that he will now implement a full inventory check once every three months and also requires his staff to triple check each ATF Form 4473 prior to filing.

3 - Inspection History

Date of Inspection: June 11, 2014
Type of Inspection: Firearms Compliance Inspection
UI#: 784050-2014-0082-B1B
Inspection Results: Violations, WL Only, No Recall Inspection
Cited Violations: 27 CFR 478.21a; 27 CFR 478.124(c) (1); 27 CFR 478.124(c) (3) (i); 27 CFR 478.124(c) (5); and 27 CFR 478.125(e).

Date of Inspection: September 10, 2012
Type of Inspection: Firearms Compliance Inspection
UI#: 784050-2012-0032-B1B

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924

Inspection Results: Violations, WC with WL and Recall
Cited Violations: 27 CFR 478.21(a); 27 CFR 478.102(a); 27 CFR 478.102(c);
27 CFR 478.124(c)(1); 27 CFR 124(c)(3)(i); 27 CFR
478.124(c)(3)(iv); 27 CFR 478.124(c)(5); 27 CFR
478.124(c)(4); and 27 CFR 125(e)

4 - Acquisition & Disposition (A&D) Record - Inventory

On January 27, 2016, IOI's (b)(6) began a full inventory check by comparing each firearm to the A/D record. The initial 100% inventory check resulted in a total of 306 firearms within inventory and (b)(6) open entries, resulting in (b)(6) firearms which were originally un-accounted for. Upon reconciling this inventory discrepancy, Mr. De Falco was able to locate a total of (b)(6) firearms scattered in various locations throughout the store. These firearms took approximately two days to locate which resulted in IOI (b)(6) suggesting to Mr. De Falco that he organize his firearms storage in order to ensure compliance. Mr. De Falco stated that he is aware the organization of his store is a problem and informed IOI (b)(6) that he is in the process of re-structuring his storage space in the near future. **Please note:** The (b)(6) firearms/open dispositions were not cited as a result of the licensee being able to locate them. This information is solely being noted.

Of the remaining (b)(6) un-accounted for/open dispositions, IOI (b)(6) discovered that a total of (b)(6) open entries were not timely recorded after transferring the firearm to a non-licensee; a total of (b)(6) open entries were not timely recorded after being transferred to a police officer (LE pick-up); (b)(6) open entry was not timely recorded after transferring the firearm to a licensee; and lastly, (b)(6) open entry was timely recorded after a customer voided a pawn transaction.

All ATF Forms 4473, dealer invoices, and/or PD property receipts were verified at the time of inspection and are attached for review **(Refer to Exhibit #6) (Refer to Exhibit #7)**. There were no firearms missing as a result of this inspection.

As a result, the licensee was issued a Report of Violations, ATF Form 5030.5, under 27 CFR 478.125(e), for failing to ensure the proper completion of the required Acquisition and Disposition record on a total of (b)(6) known instances **(Refer to attached ROV-This is a REPEAT VIOLATION)**.

No trafficking issues relating to acquisition and disposition of firearms were discovered and no firearms with obliterated serial numbers and/or illegal firearms were found during this inspection.

(Refer to Worksheet #1) (Refer to Section #13-Violations)

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924

5 - ATF Forms 4473 – NICS & Other Dispositions

A complete review of ATF Forms 4473 for the inspection period, covering January 1, 2015, through January 27, 2016, was conducted by IOI's (b)(6). A total of (b)(6) ATF Forms 4473 were on file during the inspection period and all were reviewed. All forms are filed in numerical order by the licensee's transaction serial number.

Prior to initiating the on-site inspection, IOI (b)(6) requested the Dealer Record of Sale (DROS) transaction report from the State of California (DOJ). The transactions listed on the DROS Log was reviewed and the active NICS/DORS numbers were compared to ensure positive identification of transactions; no discrepancies were found. During the forms review, IOI (b)(6) also cross checked (b)(6) firearms from acquisition to disposition, utilizing the A & D Record and ATF Form 4473; there were no additional discrepancies found.

A 100% review of all ATF Forms 4473 on file for the inspection period resulted in cited violations. The licensee was issued two REPEAT violations cited under, 27 CFR 478.21(a) and 27 CFR 478.124(c) (1) (**Refer to Worksheet 2**). All ATF Forms 4473 found with discrepancies were documented with copies produced by IOI (b)(6) with permission from Mr. De Falco (**Refer to Exhibit #8**) (**Refer to Exhibit #9**). As a result, recommendations were made by IOI (b)(6) to employ a system involving thorough and additional re-checks of ATF Forms 4473 to prevent discrepancies in the future.

During the period of inspection, no retail transactions were made to law enforcement officials during the inspection period. Mr. De Falco is aware that DROS checks are required to be conducted on firearms purchases for all law enforcement officials.

Also during the period of inspection, IOI (b)(6) noticed that the licensee acquired (and disposed) several firearms from licensed dealers. The licensee is aware of ATF requirements regarding dealer to dealer transfers/purchases.

(Refer to Section #13-Violations)

6 - Multiple Sales

The Violent Crime Analysis Branch (VCAB) query report for FFL #9-33-40924 revealed that during the period of inspection this licensee reported zero multiple handgun sales and zero multiple rifle sales. As a result of this inspection, there were no unreported Multiple Sales found.

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924

7 - Suspicious/Prohibited Purchasers

No suspicious purchasers or prohibited persons were indicated by Mr. De Falco nor detected by IOI (b)(6).

8 - Trace Activity

A trace report was requested and received from the Violent Crime Analysis Branch. This report revealed that the licensee had zero trace requests. No previously open trace requests were present and none were resolved by IOI (b)(6).

9 - NFA/Importer/Collector/Manufacturer

The licensee does not deal in NFA weapons or Collector's firearms, and does not conduct importation or manufacturing operations.

10 - Referrals

There were no referrals submitted as a result of this inspection.

11 - Other

The licensee is in compliance with the Youth Handgun Safety Act and all gun safety and storage device requirements. There were no other noteworthy anomalies discovered.

12 - Closing Actions

On February 8, 2016, IOI's (b)(6) conducted a closing conference with Mr. Christopher De Falco, President/Responsible Person, and his firearms compliance manager, (b)(6). IOI (b)(6) discussed in detail the Report of Violations (ROV) and offered all in attendance the opportunity to ask questions and respond to the violations. Mr. De Falco stated that he understood the violations disclosed and signed and dated the ROV. IOI (b)(6) provided a copy of the ROV to Mr. De Falco for his ATF records.

IOI (b)(6) also reviewed the Acknowledgement of Federal Firearms Regulations with the licensee, allowing opportunity to ask questions and provided the following reference material: ATF Form 4473, Federal Firearms Licensee Firearms Inventory Theft/Loss Report (ATF Form 3310.11), Report of Multiple Sale or Other Disposition of Pistols and Revolvers (ATF Form 3310.4), Report of Multiple Rifle Sales (ATF F 3310.12) Youth Handgun Safety Act Notice (ATF Information 5300.2), and IOI (b)(6) contact information. Emphasis was placed on proper completion of ATF Form 4473 and

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924

inventory and A & D requirements. Mr. De Falco stated that he understood the regulations discussed and signed a copy of the Acknowledgement of Federal Firearms Regulations (**Refer to Exhibit #10**).

13 - Violations

1. 27 CFR 478.21(a)

REPEAT OF VIOLATION CITED ON JULY 23, 2014 AND SEPTEMBER 27, 2012.

Nature of Violation: Failure to ensure the proper completion of ATF Forms 4473 as indicated by the headings on the form and the instructions on or pertaining to the form on a total of [REDACTED] ATF Forms 4473.

Errors found:

-A total of [REDACTED] ATF Forms 4473 reviewed during the period of inspection were BLANK on Item #10a, Ethnicity (ATF Forms # **(b)(3) Pub law 112-55 125 Stat. 552**).

Licensee's Response: Mr. De Falco stated that these discrepancies are clerical errors and occurred as a result of him failing to properly review the ATF Form 4473 during each transaction. Mr. De Falco informed IOI **(b)(6)** that they are short staffed at the moment and that he and his firearms manager, Ms. Antonia Mendoza, often deal with 3 to 4 customers at one time; therefore, causing them to be so busy that they often fail to properly review each ATF Form 4473.

Corrective Action: Ensure proper completion of ATF Forms 4473 by including all required information as prescribed by ATF regulations.

(Refer to Worksheet #2) (Refer to Exhibit #8)

2. 27 CFR 487. 124(c)(1)

REPEAT OF VIOLATION CITED ON JULY 23, 2014 AND SEPTEMBER 27, 2012.

Nature of Violation: Failure to obtain a properly completed ATF Form 4473, prior to making an over-the-counter transfer of a firearm to a non-licensee, within Section A, on a total of [REDACTED] ATF Forms 4473 which consisted of [REDACTED] total errors.

Errors Found:

-A total of [REDACTED] ATF Forms 4473 reviewed contained errors on Item #3, Place of Birth State (ATF Forms # **(b)(3) Pub law 112-55 125 Stat. 552**).

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924

(b)(3) ATF Form 4473 reviewed was BLANK, on Item #16, Transferee's Signature (ATF Form # (b)(3) Pub law 112-55 125 Stat. 552).

(b)(3) ATF Form 4473 reviewed contained errors on Item #3, Place of Birth State and BLANK, on Item #10b, Race (ATF Form #2 (b)(3) Pub law 112-55 125 Stat. 552).

(b)(3) ATF Form 4473 reviewed contained errors on Item #2, County of Current Residence; was BLANK on Item #13, State of residence; and BLANK on Item #14, Country of Citizenship (ATF Form # (b)(3) Pub law 112-55 125 Stat. 552).

Licensee's Response: Mr. De Falco stated that these discrepancies are clerical errors and occurred as a result of him failing to properly review each ATF Form 4473 during the transaction.

Corrective Action: Ensure the proper completion of ATF Forms 4473 as required per ATF regulations.

(Refer to Worksheet #2) (Refer to Exhibit #9)

3. 27 CFR 478.125(e)

REPEAT OF VIOLATION CITED ON JULY 23, 2014 AND SEPTEMBER 27, 2012.

Nature of Violation: Failure to timely and/or correctly complete the Acquisition and Disposition (A & D) record as required per ATF regulations on a total of (b)(3) instances.

-A total of (b)(3) instances in which the disposition information was not timely recorded after transferring the firearm to a non-licensee (Inventory # (b)(3) Pub law 112-55 125 Stat. 552)

(b)(3) (4473 Verified).

(b)(3) instance in which the disposition information was not timely recorded after transferring the firearm to a licensee (Inventory # (b)(3) Pub law 112-55 125 Stat. 552) (Dealer invoice verified)

-A total of (b)(3) instances in which the disposition information was not timely recorded after being transferred to a police officer/ law enforcement agency (Inventory # (b)(3) Pub law 112-55 125 Stat. 552) (PD property receipt verified)

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924

(b)(7) instance in which the disposition information was not timely recorded after a Voided Pawn (Inventory # (b)(3) Pub law 112-55 125 Stat. 5) (Voided Receipt verified).

Licensee's Response: Mr. De Falco stated that these discrepancies occurred as a result of a previous employee failing to timely log in/out the required firearms information. A new employee is in place and has been instructed on the proper way to record all transactions.

(Refer to Exhibit #6) (Refer to Exhibit #7)

2/25/2016
x (b)(6)
Industry Operations Investigator Signed by: (b)(6)

Index of Worksheets and Exhibits

Worksheets

Worksheet 1

Worksheet 2

Worksheet Statistic Page

Exhibits

Exhibit 1- Pawnbroker License

Exhibit 2- Certificate of Eligibility

Exhibit 3- Business Registration

Exhibit 4- Centralized Dealer Listing- CFD #20433

Exhibit 5- Secretary of State Business Query

Exhibit 6- A & D open entries with no corresponding firearm

Exhibit 7- Firearm transfer verifications (ATF Forms 4473, PD receipts, dealer to dealer invoice)

Exhibit 8- ATF Forms 4473 found with cited discrepancies -27 CFR 478.21(a)

Exhibit 9- ATF Forms 4473 found with cited discrepancies- 27 CFR 478.124(c)(1)

Exhibit 10- Acknowledgement of Federal Firearms Regulations

FIREARMS INSPECTION REPORT

Name: North County Pawn, Inc.
Trade Name: Temecula Pawn & Coin

UI#: 784050-2016-0110-B1B
FFL#: 9-33-065-02-6M-40924