

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Flint Hills Gun Works, LLC 1109 N. 3rd St. Manhattan, KS 66502, RILEY		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779025-2017-0185-B1B		
		3. PERMIT/LICENSE NUMBER 548161078H02911	4a. TARGET DATE 9/15/2017	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 10/01/0216		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Assigned Investigator (b)(6) - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 10/03/2016				
8. PURPOSE/SPECIAL INSTRUCTIONS Compliance. Licensee failed to file required AFMER for 2015.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	6	NO. OF REFERRALS		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF TECS CHECKS	26	NO. OF TECS HITS		2111 - PER DIEM
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator  Submitted on: 06/16/2017  Viols WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
MAY 2017		31.00		
ATF OFFICER'S SUBTOTAL		31.00		ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
APR 2017		4.00		
MAY 2017		65.00		
JUN 2017		10.00		
ATF OFFICER'S SUBTOTAL		79.00		ATF OFFICER'S SIGNATURE
TOTAL HOURS		110.00		

## Assignment and Report

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	5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 10/01/0216		

### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

JUSTIFICATION: The inspection meets the standard for Warning Letter under the current Administrative Action Policy, ATF O 5370.1C (2/21/2017). The licensee was cited in Violation-4 for failing in <sup>(b)(3)</sup> instances to record complete ID information for buyers where the document provided did not match the information in Section A (i.e., residence address) – WL at Sec. 6.c.(4). Additional inventory, form and multiple sales reporting violations do not rise to the level of administrative action.

AS Review: 7/5/2017  
Warning Letter sent: 7/5/2017  
PII sent 7/5/2017

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

(b)(6)

Digitally signed by (b)(6)

Date: 2017.07.05 13:41:58 -05 00

REVIEW DATE

07/05/2017

ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Flint Hills Gun Works, LLC	Street Address 1109 N. 3rd St.	City Manhattan	State KS	Zip Code 66502-	County RILEY	Page 1 of 4 Pages
License/Permit/Registry Number (If any) 548161078H02911	Expiration Date 8/1/2018	Date(s) or Period of Inspection 05/01/2017 through 05/04/2017				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

#### Nature of Violation:

Failure to maintain Acquisition & Disposition (A&D) Record as prescribed in 27 CFR 478.125(e).

- In (b)(3) 112 PUBID instances acquisitions were not recorded prior to inspection as firearms were found in inventory, but were not found in A&D Record.

- In (b)(3) 112 PUBID instance licensee failed to accurately record the disposition of a firearm in that a Remington Model 1100, 20 gauge shotgun, bearing serial number (b) (6) as in the A&D record (Gunsmith) but missing from licensee's inventory.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made: 05/05/2017

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Not later than May 5, 2017, licensee shall report to ATF & local law enforcement the loss of a firearm as set forth in 27 CFR 478.39a. Further, licensee shall amend the A&D Record to reconcile identified recordkeeping discrepancies.



## Report of Violations

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Name of Proprietor Flint Hills Gun Works, LLC	Street Address 1109 N. 3rd St.	City Manhattan	State KS	Zip Code 66502-	County RILEY	Page 2 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 548161078H02911	Expiration Date 8/1/2018	Date(s) or Period of Inspection 05/01/2017 through 05/04/2017				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

#### Nature of Violation:

Failure to ensure that all information called for on each ATF Form 4473 was furnished as indicated by the headings on the form and the instruction on or pertaining to the form.

- In <sup>(b)(3) 112 Pub</sup> instances ATF Forms were missing accurate information in that recertification signature/date from purchaser was missing and/or type of firearms transferred was incomplete.

Citation: 27 CFR 478.21(a)

#### Date Corrections to be Made:

*(If not corrected immediately)*

Corrective Action to be Taken: Violation uncorrectable/preventative action discussed.

*(If not corrected immediately)*

Number: 3

#### Nature of Violation:

Failure to ensure transferee accurately recorded responses on Section A of the ATF Form 4473 prior to the transfer of a firearm:

- In <sup>(b)(3) 112 Pub</sup> instances transferee failed to verify the information provided by purchaser on the ATF Form 4473 in that forms contained omissions/errors in certification dates.

Citation: 27 CFR 478.124(c)(1)

#### Date Corrections to be Made:

*(If not corrected immediately)*

Corrective Action to be Taken: Violation uncorrectable/preventative action discussed.

*(If not corrected immediately)*



## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Flint Hills Gun Works, LLC	Street Address 1109 N. 3rd St.	City Manhattan	State KS	Zip Code 66502-	County RILEY	Page 3 of 4 Pages
License/Permit/Registry Number (If any) 548161078H02911	Expiration Date 8/1/2018	Date(s) or Period of Inspection 05/01/2017 through 05/04/2017				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

#### Nature of Violation:

Failure to verify the current residence address of the transferee by examining the identification document presented:

- In (b)(3) 112 Public Law instances licensee failed to verify that the address listed on the identification document provided by the transferee matched the current residence address in Block 2 of the ATF Form 4473.

Citation: 27 CFR 478.124(c)(3)(i)

#### Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Violation uncorrectable. Preventative action discussed.

(If not corrected immediately)

Number: 5

#### Nature of Violation:

Failure to sign/date the ATF Form 4473 prior to transferring the firearm described on the form:

- In (b)(3) 112 Public Law instances licensee failed to accurately certify the date on which the firearm transfer occurred, in that certification date was either missing or in error.

Citation: 27 CFR 478.124(c)(5)

#### Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Violation uncorrectable. Preventative action discussed.

(If not corrected immediately)

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Flint Hills Gun Works, LLC	Street Address 1109 N. 3rd St.	City Manhattan	State KS	Zip Code 66502-	County RILEY	Page 4 of 4 Pages
License/Permit/Registry Number (If any) 548161078H02911		Expiration Date 8/1/2018	Date(s) or Period of Inspection 05/01/2017 through 05/04/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

#### Nature of Violation:

Failure to document the multiple sale of handguns as prescribed in 27 CFR 478.126a.  
- In (b)(3) 112 Public L instances, involving (b)(3) 112 Public Law 55 firearms, licensee failed to submit, to ATF and local law enforcement, the required Report of Multiple Sale or Other Disposition of Pistols and Revolvers (ATF F 3310.4), by the close of business on the day the multiple sale occurred.

Citation: 27 CFR 478.126a

Date Corrections to be Made: 05/05/2017

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Not later than close of business May 5, 2017, licensee will submit Report of Multiple Sale or Other Disposition of Pistols and Revolvers (ATF F 3310.4), via fax, to ATF and local law enforcement and verify submission by forwarding confirmations to IOI (b)(6)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

(b)(6)

owner

Date

6-16-17

Signature and Title of ATF Officer

(b)(6)

Industry Ops Investigator

Date

6/8/17





U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

Kansas City Field Division – KC III (Kansas)

1251 NW Briarcliff Pkwy, Ste 600  
Kansas City, MO 64116  
www.atf.gov

July 5, 2017

779025: (b) (6)  
5373

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

Flint Hills Gun Works, LLC  
Attn: Mr. John Maike, Manager  
1109 N. 3<sup>rd</sup> St.  
Manhattan, KS 66502

RE: 5-48-161-07-8H-02911

Dear Mr. Maike:

This letter summarizes the issues discussed with you du  
your business, Federal firearms licensee (FFL) Flint Hi  
4, 2017. The inspection covered the period of May 1, 2  
inspection, your business was cited for violations of Ti  
478. A copy of the Report of Violations, Form 5030.5  
enclosed.

All violations were fully ex  
Explosives (ATF) Investiga  
licensed business, you ackn  
firearms laws and regulatio  
prevent future violations.

The records you are require  
efforts to reduce violent cri  
Federal laws and regulation  
is critical to ATF carrying c  
gain access to firearms. AT  
area and we look forward to

U.S. Postal Service™  
**CERTIFIED MAIL™ RECEIPT**  
(Domestic Mail Only; No Insurance Coverage Provided)  
For delivery information visit our website at www.usps.com®

**OFFICIAL USE**

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

Postmark Here

Sent To  
Flint Hills Gun Works, LLC  
Attn: Mr. John Maike, Manager  
1109 N. 3<sup>rd</sup> St.  
Manhattan, KS 66502  
PS Form 3800, August 2006 See Reverse for Instructions

**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Flint Hills Gun Works, LLC  
Attn: Mr. John Maike, Manager  
1109 N. 3<sup>rd</sup> St.  
Manhattan, KS 66502

2. Article Number  
(Transfer from service label)

7012 3460 0002 8653 6210

PS Form 3811, July 2013

Domestic Return Receipt

**COMPLETE THIS SECTION ON DELIVERY**

A. **(b) (6)** ☐ Agent ☐ Addressee  
B. Received by (Printed Name) C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes  
If YES, enter delivery address below: ☐ No

3. Service Type

- ☒ Certified Mail® ☐ Priority Mail Express™
- ☐ Registered ☐ Return Receipt for Merchandise
- ☐ Insured Mail ☐ Collect on Delivery

4. Restricted Delivery? (Extra Fee)

☐ Yes





U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

Kansas City Field Division – KC III (Kansas)

1251 NW Briarcliff Pkwy, Ste 600  
Kansas City, MO 64116  
www.atf.gov

July 5, 2017

779025 (b) (6)  
5373

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

Flint Hills Gun Works, LLC  
Attn: Mr. John Maike, Manager  
1109 N. 3<sup>rd</sup> St.  
Manhattan, KS 66502

RE: 5-48-161-07-8H-02911

Dear Mr. Maike:

This letter summarizes the issues discussed with you during the recent compliance inspection of your business, Federal firearms licensee (FFL) Flint Hills Gun Works, LLC, conducted on May 1-4, 2017. The inspection covered the period of May 1, 2016, through May 1, 2017. During the inspection, your business was cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5 issued at the time of the inspection is enclosed.

All violations were fully explained to you by Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). As the owner and sole responsible person for the licensed business, you acknowledged at the inspection that you understand the requirements of the firearms laws and regulations, and you assured IOI (b)(6) that your business would take action to prevent future violations.

The records you are required to maintain are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your activities as a licensed firearms manufacturer. This is critical to ATF carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area and we look forward to continuing to work with you in that regard.

Flint Hills Gun Works, LLC  
Attn: Mr. John Maike, Manager  
Page 2

You are reminded that retention of your business' Federal firearms license is conditioned upon its compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of the license. If you have any questions regarding this matter, please contact Investigator Lake or the ATF Kansas City Group III Office at (816) 410-6000.

Sincerely,

(b)(6)

Area Supervisor  
Industry Operations (Kansas)  
Kansas City Group III (Regulatory)  
Kansas City Field Division

cc: National Licensing Center  
Director of Industry Operations

# FIREARMS INSPECTION REPORT

**Name:** Flint Hills Gun Works, LLC  
**Trade Name:**

**UI#:** 779025-2017-0185  
**FFL#:** 5-48-161-07-8H-02911

**Address:** 1109 N. 3<sup>rd</sup> Street  
Manhattan, KS 66502 (Riley County)

## 1 - Introduction

### Inspection Profile:

On May 1-4, 2017, Industry Operations Investigators (IOI) (b)(6) and (b)(6) conducted a full compliance inspection of Federal Firearms Licensee (FFL), Flint Hills Gunworks, LLC, operating out of Manhattan, Kansas. IOI's (b)(6) and (b)(6) met with Owner/RP John Maike, Jr. (KS D/L (b)(6)), and his wife, Jacalyn (Jackie) Maike (KS D/L (b)(6)). The inspection included all licensed business activities from May 1, 2016, through May 1, 2017. No advance notice was given.

### Business Profile:

Flint Hills Gun Works, LLC, is a full retail gun shop offering an array of firearms, ammunition and related merchandise. The business also offers gun smith services and consignment options. The store-front operation is open to walk-in clientele between 9 a.m. to 6 p.m. The business is owned and operated by John Maike, Jr. Maike previously worked for FFL Gander Mountain as a gunsmith and has also previously possessed his own FFL under the name John's Guns in Alma, Kansas (5-48-01-33792), which is now inactive.

The business premises consist of a retail storefront in suburban Manhattan, Kansas in Riley County. The building has an alarm and surveillance system.

Maike operates Flint Hills Gunworks, LLC, conducting firearms sales of both new (b)(4) and used (b)(4) firearms. He provides gunsmith services for rebuilds, restorations & cerakoting. He plans some limited manufacturing activities of custom bolt rifles in the future. Maike states gunsmith services comprise approximately (b)(4) of his business. In addition, he facilitates dealer to dealer transfers, Internet transfers and consignment sales. Main suppliers are (b)(4). Licensee does not maintain any off-site storage. He does not attend gun shows.

The Type-07 (*Manufacturer*) license held is appropriate for the business activities and is in compliance with all local requirements, including zoning. This license has been active since July, 2012.

In the last 12 months, Flint Hills Gun Works, LLC, has acquired (b)(4) firearms ((b)(4) retail (b)(4) gunsmith), and has disposed of (b)(4) firearms ((b)(4) retail (b)(4) gunsmith).



# FIREARMS INSPECTION REPORT

**Name:** Flint Hills Gun Works, LLC  
**Trade Name:**

**UI#:** 779025-2017-0185  
**FFL#:** 5-48-161-07-8H-02911

## **Ownership and Control:**

Licensee is a Limited Liability Company (LLC) in good standing with the Kansas Secretary of State. John Maike advised IOI (b)(6) that he now holds 100% interest in the LLC, and is the only person able to dictate the day-to-day operations of this business. Former partners Brent and Melinda Roth are no longer associated with the business.

In reviewing the licensed business entity via the Kansas Secretary of State website, IOI (b)(6) discovered a second entity under the name, "Flint Hills Gun Works of Manhattan, LLC" which was formed by Maike in May of 2016. IOI (b)(6) asked Maike about the second LLC. He stated he formed the business entity to dissolve his relationship with the former partners on the existing business. IOI (b)(6) advised him that the license is issued to the existing LLC, and that he would need to apply for a new license if he wishes to change the business entity to which the current FFL is issued. Maike stated he had spoken with Examiner (b)(6) at the Federal Firearms Licensing Center and she advised they could take care of everything at renewal.

## **Variance:**

No variance has been issued to this business. Licensee was given guidance on how to request a marking variance along with ATF Rulings pertaining to marking variances for firearms manufacturers.

## **2 - Recommendation**

Violations Warning Letter only and no Recall Inspection.

## **3 - Inspection History**

<b>Date of Inspection:</b>	June 2012
<b>Type of Inspection:</b>	Qualification Inspection
<b>UI#:</b>	779025-2012-0618 B1B
<b>Inspection Results:</b>	License Issued
<b>Cited Violations:</b>	N/A

## **4 - Acquisition & Disposition (A&D) Record - Inventory**

The Acquisition & Disposition (A&D) record consists of two sets of hand-written bound books. Gunsmith activities are recorded separately from the retail business. This business does not maintain computerized records.

A full review of the A&D Record was conducted for the inspection period. The following information was revealed from this segment of the inspection:

# FIREARMS INSPECTION REPORT

**Name:** Flint Hills Gun Works, LLC  
**Trade Name:**

**UI#:** 779025-2017-0185  
**FFL#:** 5-48-161-07-8H-02911

- 261 firearms in inventory (96 gunsmith/165 retail)
- (b)(3) - 112 open entries in the A&D Record (b)(3) - 112 gunsmith/ (b)(3) - 112 retail)
- (b)(4) firearms acquired in the last 12 months (b)(4) gunsmith/ (b)(4) retail)
- (b)(4) firearms dispositions recorded in the last 12 months (b)(4) gunsmith/ (b)(4) retail)

This portion of the inspection revealed:

- (b)(3) - 112 Public Law instances acquisitions were not recorded prior to inspection as firearms were found in inventory, but were not found in A&D record (Gunsmith).

- In (b)(3) - 112 Public Law instance licensee failed to accurately record the disposition of a firearm in that a (b)(3) - 112 Public Law 55 125 Stat 552

**(See Section 13-Violations #1; Exhibit #1; Worksheet #1)**

No firearms with obliterated serial numbers or other illegal (unregistered NFA items) firearms were identified. There were no reports of thefts or losses during the past 12 months. No evidence of firearms trafficking was detected.

Ronnebaum is primarily responsible for entering the acquisition and disposition information into the A&D record. He does have an employee helping him with recordkeeping a couple of days per week, however, Ronnebaum claimed sole responsibility for maintaining records for firearms transactions. There were no reports of thefts or losses during the past 12 months. No evidence of firearms trafficking was detected.

RP John Maike is primarily responsible for the gunsmith records while his wife, Jackie, maintains the record and acts as the bookkeeper for the retail side. Jackie is primarily responsible for entering the acquisition and disposition information into the A&D record. Jackie Maike advised they conduct a full inventory of firearms on premises every 30 days comparing tags to book. IOI (b)(6) advised that they should be comparing the actual firearm to the book to reveal any discrepancies in documentation from book to gun, ie., serial number issues. Records of acquisition (invoices) are maintained and were reviewed with no discrepancies identified.

## **5 - ATF Forms 4473 – NICS & Other Dispositions**

IOI's (b)(6) & (b)(6) conducted a 100% review of the ATF F 4473's on file for the time period of 05/1/2016 to 05/3/2017. There were (b)(3) - 112 ATF Forms 4473 on file for the inspection period. Maike's standard operating procedure pertaining to ATF Forms 4473 includes forms being filed chronologically by form serial number. No sales to law

# FIREARMS INSPECTION REPORT

**Name:** Flint Hills Gun Works, LLC  
**Trade Name:**

**UI#:** 779025-2017-0185  
**FFL#:** 5-48-161-07-8H-02911

enforcement officers occurred during the inspection period. No trafficking issues pertaining to purchasers were identified.

The FFL Audit Log provided by NICS contained data from February 2017 – March 2017. Licensee had a total of 61 NICS Transaction Numbers (NTN) on the FFL Audit Report, which were verified by referencing a corresponding ATF Form 4473 (61 Proceeds). There were three (3) denied transactions on file, which are filed separately from transactions where a transfer occurred.

Of the 386 ATF Forms 4473 reviewed, the following violations were identified:

**1. 27 CFR 478.21(a)**

Failure to ensure that all information called for on each ATF Form 4473 was furnished as indicated by the headings on the form and the instruction on or pertaining to the form.

- In (b)(3) - 112 Public instances ATF Forms were missing accurate information in that recertification signature/date from purchaser was missing and/or type of firearms transferred was incomplete.

**(See Section 13-Violations #2, Exhibit #2, Worksheet #2)**

**2. 27 CFR 478.124(c)(1)**

Failure to ensure transferee accurately recorded responses on Section A of the ATF Form 4473 prior to the transfer of a firearm:

- In (b)(3) - 112 Public instances transferee failed to verify the information provided by purchaser on the ATF Form 4473 in that forms contained omissions/errors in certification dates.

**(See Section 13-Violations #3, Exhibit #3, Worksheet #2)**

**3. 27 CFR 478.124(c)(3)(i)**

Failure to verify the current residence address of the transferee by examining the identification document presented:

- In (b)(3) instances licensee failed to verify that the address listed on the identification document provided by the transferee matched the current residence address in Block 2 of the ATF Form 4473.

**(See Section 13-Violations #4, Exhibit #4, Worksheet #2)**



# FIREARMS INSPECTION REPORT

**Name:** Flint Hills Gun Works, LLC  
**Trade Name:**

**UI#:** 779025-2017-0185  
**FFL#:** 5-48-161-07-8H-02911

## 4. 27 CFR 478.124(c)(5)

Failure to sign/date the ATF Form 4473 prior to transferring the firearm described on the form:

- In (b)(3) - 112 Public Law instances licensee failed to accurately certify the date on which the firearm transfer occurred, in that certification date was either missing or in error.

(See Section 13-Violations #5, Exhibit #5, Worksheet #2)

## 5. 27 CFR 478.126a

Failure to document the multiple sale of handguns as prescribed in 27 CFR 478.126a.

- In (b)(3) - 112 Public Law instances, involving (b)(3) - 112 Public Law 55 125 firearms, licensee failed to submit, to ATF and local law enforcement, the required Report of Multiple Sale or Other Disposition of Pistols and Revolvers (ATF F 3310.4), by the close of business on the day the multiple sale occurred.

(See Section 13-Violations #6, Exhibit #6, Worksheet #2)

## 6 - Multiple Sales

As above a review of the Violent Crime Analysis Branch FFL Master Query report (VCAB) revealed (b)(3) - 112 Public Law unreported multiple sales involving (b)(3) - 112 Public Law 55 125 firearms.

Jackie Maike is the primary bookkeeper for the business and stated she would make sure to comply with reporting requirements if two or more handguns are transferred to the same person within five consecutive business days.

## 7 - Suspicious/Prohibited Purchasers

IOI (b)(6) reviewed the ATF Forms 4473, and the licensee's A&D book for suspicious firearm purchases. The focus was for multiple crime gun purchases, out of character purchases, structured purchases, and potential straw purchases. IOI (b)(6) also reviewed purchases looking for multiple purchasers from the same address, or purchasers from significant distances. No remarkable information was developed, no prohibited persons, straw purchasers, or firearm traffickers were identified.

## 8 - Trace Activity

A further review of the Violent Crime Analysis Branch FFL Master Query report (VCAB) revealed (b)(3) - 112 Public Law historical trace requests since 2012.

Twenty-five firearms were queried through NCIC and eTrace with no adverse information discovered.

# FIREARMS INSPECTION REPORT

**Name:** Flint Hills Gun Works, LLC  
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**UI#:** 779025-2017-0185  
**FFL#:** 5-48-161-07-8H-02911

## 9 - NFA/Importer/Collector/Manufacturer

As previously mentioned, Flint Hills Gun Works, LLC is primarily operating as a gunsmith. He does plan to expand into the manufacturing arena offering high caliber, custom-bolt rifles, however, he has not conducted any manufacturing activities during the inspection period.

Data contained in the Annual Firearms Manufacturing and Export Report (AFMER) (**Exhibit #7**) database indicates licensee is reporting as required. No firearms manufactured to date.

IOI (b)(6) advised licensee that, as set forth in 27 CFR 478.92, he is required to affix required manufacturer's marks of identification to any firearms he manufactures in the future.

## 10 - Referrals

No referrals were generated from this inspection.

## 11 - Other

John Maike, Jr., is aware that the Youth Handgun Safety Act pamphlet and a secure safety device must be provided with all handgun transfers. Federal Firearms License and Youth Handgun Safety Act poster are displayed as required.

Investigator (b)(6) conducted queries on John Maike, Jr. via the NCIC/NLETS. No significant findings. Further, queries regarding Maike via FLS, NFORCE and e-Trace, were all negative.

Maike was notified about the Public Safety Advisory that has been issued regarding exploding targets and tannerite. He does offer these items for sale and will post the advisory.

## 12 - Closing Actions

IOI (b)(6) thoroughly reviewed Federal Firearms laws and regulations utilizing the Acknowledgement of Federal Firearms Regulations worknote (**Exhibit #8**) with Maike. He acknowledged his understanding of the regulations by signing the form and he was advised to retain a copy for his records. Maike was advised regarding registering with the Tax & Trade Bureau for excise taxes on manufacturing activities and given information regarding registering with the Directorate of Defense Trade Controls (DDTC) for ITAR purposes. Maike was provided with ATF P 5300.15, ATF Licensee Quick Reference and Best Practices Guide; ATF P 3317.2, Safety and Security Information for Federal Firearms Licensees; ATF Form 3310.11, Federal Firearm Licensee Theft/Loss Report; ATF P 5380.1, Loss Prevention for Firearms Retailers; ATF P 5380.2 How to Conduct a Firearms Inventory; and the ATF website address.

# FIREARMS INSPECTION REPORT

**Name:** Flint Hills Gun Works, LLC  
**Trade Name:**

**UI#:** 779025-2017-0185  
**FFL#:** 5-48-161-07-8H-02911

Maike was encouraged to visit the ATF website to utilize such features as FFL Ez-Check, the online learning theatre and the Distribution Center order form.

IOI (b)(6) answered questions posed by both John and Jackie Maike. They were encouraged to contact IOI (b)(6) or the local ATF office in the future should questions arise.

A closing conference was held (via telephone) with Maike on May 31, 2017. The attached ATF Form 5030.5, Report of Violations, was issued (via e-mail), and the violations discussed. Maike stated he understood the violations. He signed the form and returned it (via e-mail) on June 16, 2017.

Additional emphasis was placed upon proper recordkeeping including, accurate recording of acquisitions/dispositions and proper completion of ATF Forms 4473 to include verifying current residence address on identification provided by customers. Maike stated that he understood the violations cited and reiterated that he was embarrassed by the number of recordkeeping discrepancies identified and would ensure compliance with all Federal regulations pertaining to firearms transactions. Maike signed the ROV and was instructed to retain a copy for his records.

## **13 - Violations**

### **1. 27 CFR 478.125(e)**

Failure to maintain Acquisition & Disposition (A&D) Record as prescribed in 27 CFR 478.125(e).

- In (b)(3) - 112 Public Law 55 125 Stat 552 instances acquisitions were not recorded prior to inspection as firearms were found in inventory, but were not found in A&D Record.

- In (b)(3) - 112 Public Law 55 125 Stat 552 instance licensee failed to accurately record the disposition of a firearm in that (b)(3) - 112 Public Law 55 125 Stat 552, was in the A&D record (Gunsmith) but missing from licensee's inventory.

### **Corrective Action:**

Not later than May 5, 2017, licensee shall report to ATF & local law enforcement the loss of a firearm as set forth in 27 CFR 478.39a. Further, licensee shall amend the A&D Record to reconcile identified recordkeeping discrepancies.

### **Licensee response:**

Licensee stated he could not explain discrepancies but would ensure compliance with regulations in the future.

### **Reference Report of Violations #1; Exhibit #1, Worksheet #1 - A&D Worksheet**



# FIREARMS INSPECTION REPORT

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**2. 27 CFR 478.21(a)**

Failure to ensure that all information called for on each ATF Form 4473 was furnished as indicated by the headings on the form and the instruction on or pertaining to the form.

- In (b)(3) - 112 Public instances ATF Forms were missing accurate information in that recertification signature/date from purchaser was missing and/or type of firearms transferred was incomplete.

**Corrective Action:**

Violation uncorrectable/preventative action discussed.

**Licensee response:**

Licensee will ensure compliance with regulations in the future.

**Reference Report of Violations #2; Exhibit #2, Worksheet #2 - 4473 Worksheet**

**3. 27 CFR 478.124(c)(1)**

Failure to ensure transferee accurately recorded responses on Section A of the ATF Form 4473 prior to the transfer of a firearm:

- In (b)(3) - 112 Public instances transferee failed to verify the information provided by purchaser on the ATF Form 4473 in that forms contained omissions/errors in certification dates.

**Corrective Action:**

Violation uncorrectable/preventative action discussed.

**Licensee response:**

Licensee will ensure compliance with regulations in the future.

**Reference Report of Violations #3; Exhibit #3, Worksheet #2 - 4473 Worksheet**

**4. 27 CFR 478.124(c)(3)(i)**

Failure to verify the current residence address of the transferee by examining the identification document presented:

- In (b)(3) - 112 Public Law 55 instances licensee failed to verify that the address listed on the identification document provided by the transferee matched the current residence address in Block 2 of the ATF Form 4473.

# FIREARMS INSPECTION REPORT

**Name:** Flint Hills Gun Works, LLC  
**Trade Name:**

**UI#:** 779025-2017-0185  
**FFL#:** 5-48-161-07-8H-02911

**Corrective Action:**

Violation uncorrectable. Preventative action discussed.

**Licensee Response:**

Licensee was not aware this was required, but will ensure compliance with regulations in the future.

**Reference Report of Violations #4; Exhibit #4, Worksheet #2 - 4473 Worksheet**

**5. 27 CFR 478.124(c)(5)**

Failure to sign/date the ATF Form 4473 prior to transferring the firearm described on the form:

- In (b)(3) - 112 Public Law instances licensee failed to accurately certify the date on which the firearm transfer occurred, in that certification date was either missing or in error.

**Corrective Action:**

Violation uncorrectable. Preventative action discussed.

**Licensee Response:**

Licensee will ensure compliance with regulations in the future.

**Reference Report of Violations #5; Exhibit #5, Worksheet #2 - 4473 Worksheet**

**6. 27 CFR 478.126a**

Failure to document the multiple sale of handguns as prescribed in 27 CFR 478.126a.

- In (b)(3) - 112 Public Law instances, involving (b)(3) - 112 Public Law 55 125 firearms, licensee failed to submit, to ATF and local law enforcement, the required Report of Multiple Sale or Other Disposition of Pistols and Revolvers (ATF F 3310.4), by the close of business on the day the multiple sale occurred.

**Corrective Action:**

Not later than close of business May 5, 2017, licensee will submit Report of Multiple Sale or Other Disposition of Pistols and Revolvers (ATF F 3310.4), via fax, to ATF and local law enforcement and verify submission by forwarding confirmations to IOI (b)(6)

**Licensee Response:**

Licensee said he will ensure compliance with the regulations in the future.

# FIREARMS INSPECTION REPORT

**Name:** Flint Hills Gun Works, LLC  
**Trade Name:**

**UI#:** 779025-2017-0185  
**FFL#:** 5-48-161-07-8H-02911

**Reference Report of Violations #6; Exhibit #6, Worksheet #2 - 4473 Worksheet**

**(b)(6)**

Industry Operations Investigator  
June 16, 2017

## **Index of Worksheets and Exhibits**

### **Attachments:**

- FLS
- Articles of Organization
- FFL Audit (NICS) Log
- VCAB Report
- Report of Violations

### **Worksheets:**

Worksheet #1 – Inventory & A&D Worksheet

Worksheet #2 – ATF Form 4473 (2012 & 2016) Review Worksheet

### **Exhibits:**

1. Acquisition & Disposition Record w/Missing Firearm
2. ATF Forms 4473 containing violation of 27 CFR 478.21(a)
3. ATF Forms 4473 containing violation of 27 CFR 478.124(c)(1)
4. ATF Forms 4473 containing violation of 27 CFR 478.124(c)(3)(i)
5. ATF Forms 4473 containing violation of 27 CFR 478.124(c)(5)
6. ATF Forms 4473 containing violation of 27 CFR 478.126a
7. Annual Firearms Manufacturing & Export Report (AFMER)
8. Acknowledgement of Federal Firearms Regulations