

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) TCONNOR ENTERPRISES LLC TC'S TACTICAL 3799 ASBURY LANE Murfreesboro, TN 37129-3141, RUTHERFORD	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 776070-2016-0041-B1B		
	3. PERMIT/LICENSE NUMBER 162149077G05364	4a. TARGET DATE 3/17/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b) (6) Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b) (6) Area Supervisor, 10/02/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
Conduct a MIP Investigation in accordance with the ATF IOM.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b) (6) Industry Operations Investigator
Submitted on: 06/06/2016
Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)		
OCT 2015	14.00	
NOV 2015	12.50	
JAN 2016	23.00	
FEB 2016	36.00	
MAR 2016	4.00	
MAY 2016	2.00	
ATF OFFICER'S SUBTOTAL	91.50	ATF OFFICER'S SIGNATURE
TOTAL HOURS	91.50	

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

TConnor Enterprises LLC
TC's Tactical
162149077G-05364

(b) (6)
3/7/16

I concur with the findings and recommendation of this investigation.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

(b) (6)

TITLE
- Area Supervisor

(b) (6)

REVIEW DATE
06/07/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TCONNOR ENTERPRISES LLC TC'S TACTICAL	Street Address 3799 ASBURY LANE	City Murfreesboro	State TN	Zip Code 37129-3141	County RUTHERFORD	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 162149077G05364		Expiration Date 7/1/2017		Date(s) or Period of Inspection 10/01/2014 through 09/30/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

Licensee failed to properly and accurately maintain the ATF F4473 form. Licensee had errors or omissions on (b) (3) - Public Law 112-55 (125 Stat. 552) forms out of a total (b) (3) - Public Law 112-55 (125 Stat. 552) forms reviewed. There was (b) (3) - Public Law 112-55 (125 Stat. 552) form with errors or omissions pertaining to 27 CFR 478.124(c)(3)(iv).

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Licensee will immediately pay more attention to the proper completion of the ATF F4473 forms.
(If not corrected immediately)

Number: 3

Nature of Violation:

Licensee failed to properly and accurately maintain the ATF F4473 form. Licensee had errors or omissions on (b) (3) - Public Law 112-55 (125 Stat. 552) forms out of a total (b) (3) - Public Law 112-55 (125 Stat. 552) forms reviewed. There was (b) (3) - Public Law 112-55 (125 Stat. 552) form with errors or omissions pertaining to 27 CFR 478.124(c)(4).

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Licensee will immediately pay more attention to the proper completion of the ATF F4473 forms.
(If not corrected immediately)

Number: 4

Nature of Violation:

Licensee failed to properly and accurately maintain the ATF F4473 form. Licensee had errors or omissions on (b) (3) - Public Law 112-55 (125 Stat. 552) forms out of a total (b) (3) - Public Law 112-55 (125 Stat. 552) forms reviewed. There was (b) (3) - Public Law 112-55 (125 Stat. 552) form with errors or omissions pertaining to 27 CFR 478.124(c)(5).

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Licensee will immediately pay more attention to the proper completion of the ATF F4473 forms.
(If not corrected immediately)

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor TCONNOR ENTERPRISES LLC TC'S TACTICAL	Street Address 3799 ASBURY LANE	City Murfreesboro	State TN	Zip Code 37129-3141	County RUTHERFORD	Page 2 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 162149077G05364		Expiration Date 7/1/2017		Date(s) or Period of Inspection 10/01/2014 through 09/30/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:
Licensee failed to report a multiple sale of 2 or more handguns transferred to a non-licensee within 5 business days in one instance. (b) (3) - Public Law 112-55 (125 Stat. 552) licensee trasferred (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee will immediately report all multiple sales of two (2) or more hand guns transferred to a non-licensee within five (5) business days.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

November 9 2018 Production

709

For Official Use Only

ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
Nashville IV Area Office
5300 Maryland Way, Suite 200
Brentwood, TN 37027

www.atf.gov

June 6, 2016

776076 (b) (6)
5373

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

Troy Connor
TConnor Enterprises, LLC dba TC'S Tactical
3799 Asbury Lane
Murfreesboro, Tennessee 37129-3141 RUTERFORD

RE: *FEL #1-62-149-07-7G-05634*

Dear Mr. Connor:

During a recent compliance inspection at your firearms business covering the period of 10/1/14 to 9/30/15, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and ensure the public's safety. It is essential that you comply with all Federal laws and regulations that govern your firearms business. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that

Troy Connor
TConnor Enterprises, LLC dba TC'S Tactical

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future compliance is achieved. Should you have any questions regarding this matter, please contact Investigator (b) (6) at (b) (6)

Sincerely yours,

(b) (6)
Area Supervisor

Enclosure

Cc: Federal Firearms Licensing Center

FIREARMS INSPECTION REPORT

Name: TConnor Enterprises, LLC
Trade Name: TC's Tactical

UI#: 776070-2016-0041-B1B
FFL#: 1-62-149-07-7G-05364

Address: 2799 Asbury Lane
Murfreesboro, TN 37129 (RUTHERFORD)

1 - Introduction

Inspection Profile:

On 10/1/15, Industry Operations Investigator (IOI) (b)(6) began an MIP investigation into the business activity of TConnor Enterprises, LLC dba TC'S Tactical. Troy Connor (Responsible Person-RP) (TNDI (b)(6)), DOB (b)(6) was interviewed at the business premises/residence. The inspection period covered was 10/1/2014-9/30/15. No advanced notification was given prior to beginning this investigation. The inspection was concluded on 01/07/2016.

Business Profile:

TConnor Enterprises, LLC is licensed as a firearms manufacturer. There was no firearms manufactured during the inspection period. Mr. Connor does intend to manufacture firearms in the future. These include building AR-type rifles. TConnor Enterprises, LLC holds a Type 07-Manufacturer of Firearms Other Than Destructible Devices Federal Firearms License (FFL). The entity has been licensed since 2011. Mr. Connor utilizes ARMSLIST.COM and GUNOWNERS.COM for internet sales. The premises include the office/spare room of the residence. Firearms are also stored in (b)(4). Connor had acquired (b)(4) firearms during the inspection period and disposed of (b)(4) (b)(4) firearms during the inspection period. All firearms acquired were new firearms. The primary suppliers are (b)(4). Mr. Connor conducts no other businesses from his residence. Mr. Connor doesn't conduct business at gunshows and is employed full-time (b)(6).

Ownership and Control:

TConnor Enterprises, LLC is a sole-member Limited Liability Company (LLC) and Troy Connor is the sole owner (SEE EXHIBIT A). There are no other owners or officers for this business.

FIREARMS INSPECTION REPORT

Name: TConnor Enterprises, LLC
Trade Name: TC's Tactical

UI#: 776070-2016-0041-B1B
FFL#: 1-62-149-07-7G-05364

Variance:

Mr. Connor has no variances. The definition of a variance and the process to obtain a variance were explained to Mr. Connor.

2 - Recommendation

IOI (b)(6) recommends Violations, Warning Letter Only and No Recall Inspection.

3 - Inspection History

Date of Inspection: 05/09/2011
Type of Inspection: Qualification Inspection
UI#: 776070-2011-0207-B1B
Inspection Results: Application Approved

4 - Acquisition & Disposition (A&D) Record - Inventory

A full inventory was conducted and there were (b)(3) - 112 Public Law 55 open entries in the A&D Record. Mr. Connor stated that he had transferred (b)(6) firearms to his personal inventory, but did not complete an ATF F4473 form or conduct a TICS background check. Mr. Connor had transferred (b)(3) - 112 Public Law 55 125 Stat 552 firearms to individuals. Mr. Connor was able to provide bill of sale receipts for these firearms. None of the individuals were prohibited persons. There was one firearm in the inventory that had been ordered but had not been picked up yet. The remaining (b)(6) firearms were inventoried as Mr. Connor's personal collection. Mr. Connor stated that his normal business practice is to order the specific firearm wanted by the customer, instead of maintaining a large inventory. The A&D Record was maintained in the proper format all entries appeared to be timely entered. There were no Theft or Loss reports submitted during the inspection. There were no trafficking issues disclosed during this investigation.

FIREARMS INSPECTION REPORT

Name: TConnor Enterprises, LLC
Trade Name: TC's Tactical

UI#: 776070-2016-0041-B1B
FFL#: 1-62-149-07-7G-05364

5 - ATF Forms 4473 – NICS & Other Dispositions

There were (b)(3) - 112 Public Law 55 125 Stat 552) ATF 4473 forms to review for the inspection period. 97% of the transfers made by this licensee were to non-licensees. The licensee was correctly transferring to licensees and correctly documenting the transactions. There were no transfers to law enforcement officers without conducting a background check and completing an ATF F4473 form. There were no discrepancies disclosed during the comparison of the TICS (NICS) Audit Log.

6 - Multiple Sales

There were (b)(3) - 112 Public Law 55 125 S multiple sales of two (2) or more firearms purchased by non-licensees within five (5) business days of each other. These multiple sales involved (b)(3) - 112 Public Law 55 125 Stat 552 firearms. There was (b)(3) - 112 Public unreported multiple sale involving (b)(3) - 112 Public firearms purchased by a non-licensee within five business days. All of the reported multiple sales were purchased on the same date and the unreported multiple sale occurred on two days within five (5) of each other.

7 - Suspicious/Prohibited Purchasers

There were no suspicious or prohibited transfers.

8 - Trace Activity

Licensee was involved in (b)(3) - 112 completed traces during the inspection period. There were no unresolved traces.

9 - NFA/Importer/Collector/Manufacturer

Mr. Connor does not deal in NFA firearms and is not licensed as a Collector. TConnor Enterprises, LLC is licensed to manufacture firearms but has not manufactured any firearms since being licensed in 2011. The Annual Firearms Manufacturing and Export Report (AFMER) has been timely submitted each year (SEE EXHIBIT B).

10 - Referrals

There were no referrals generated as a result of this inspection.

FIREARMS INSPECTION REPORT

Name: TConnor Enterprises, LLC
Trade Name: TC's Tactical

UI#: 776070-2016-0041-B1B
FFL#: 1-62-149-07-7G-05364

11 - Other

There were no other issues discovered during this inspection.

12 - Closing Actions

A closing conference was conducted on November 26, 2015. Mr. Troy Connor (Responsible Person) was present for this closing. The Acknowledgement of Federal Firearms Regulations (SEE EXHIBIT C) was reviewed with and signed by Mr. Connor, however due to a correction to the Report of Violations, the closing conference was not concluded until 03/07/16.

13 - Violations

1. **27 CFR 478.21(a)**

Nature of Violation:

Licensee failed to properly and accurately maintain the ATF F4473 form. Licensee had errors or omissions on (b)(3) - 112 Public Law 55 125 Stat 552 forms out of a total of (b)(3) - 112 Public Law 55 125 Stat 552 reviewed. There were (b)(3) - 112 Public Law 55 125 Stat 552 forms with errors or omissions pertaining to 27 CFR 478.21(a).

Licensee's Response:

Licensee stated that he didn't realize the mistake and it only occurred on the one form that was not done electronically.

Corrective Action:

Licensee will immediately check all forms for accuracy, whether electronically generated or written.

2. **27 CFR 478.124(c)(3)(iv):**

Nature of Violation:

Licensee failed to properly and accurately maintain the ATF F4473 form. Licensee had errors or omission on (b)(3) - 112 Public Law 55 125 Stat 552 forms out of a total of (b)(3) - 112 Public Law 55 125 Stat 552 forms reviewed. There was (b)(3) - 112 Public Law 55 125 Stat 552 form with errors or omissions pertaining to 27 CFR 478.124(c)(3)(iv).

Licensee's Response:

Licensee stated that he didn't realize the mistake and it only occurred on the one form that was not done electronically.

Corrective Action:

Licensee will immediately check all forms for accuracy, whether electronically generated or written.

FIREARMS INSPECTION REPORT

Name: TConnor Enterprises, LLC
Trade Name: TC's Tactical

UI#: 776070-2016-0041-B1B
FFL#: 1-62-149-07-7G-05364

3. **27 CFR 478.124 (c)(4):**

Nature of Violation:

Licensee failed to properly and accurately maintain the ATF F4473 form. Licensee had errors or omissions on (b)(3) - 112 Public Law forms out of a total of (b)(3) - 112 Public Law 55 125 Stat 552 reviewed. There was (b)(3) - 112 Public L form with errors or omissions pertaining to 27 CFR 478.124(c)(4).

Licensee's Response:

Licensee stated that he didn't realize the mistake and it only occurred on the one form that was not done electronically.

Corrective Action:

Licensee will immediately check all forms for accuracy, whether electronically generated or written.

4. **27 CFR 478.124(c)(5):**

Nature of Violation:

Licensee failed to properly and accurately maintain the ATF F4473 form. Licensee had errors or omissions on (b)(3) - 112 Public Law forms out of a total of (b)(3) - 112 Public Law 55 125 Stat 552 reviewed. There was (b)(3) - 112 Public L form with errors or omissions pertaining to 27 CFR 478.124(c)(5).

Licensee's Response:

Licensee stated that he didn't realize the mistake and it only occurred on the one form that was not done electronically.

Corrective Action:

Licensee will immediately check all forms for accuracy, whether electronically generated or written.

5. **27 CFR 478.126a:**

Nature of Violation:

Licensee failed to report a multiple sale of 2 or more handguns transferred to a non-licensee within 5 business days. On (b)(3) - 112 Public Law 55 125 Stat 552 licensee transferred (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6).

Licensee's Response:

Licensee stated he left all of the firearms transferred to himself and then to others as open entries so he would know which firearms were transferred. Licensee stated that he kept a bill of sale with the buyer's identification as proof of sale. He stated that is how a personal transaction was completed.

Corrective Action: Licensee will insure that two (2) or more handguns purchased within five (5) business days are correctly reported.

6. **27 CFR 478.125(e):**

Nature of Violation:

Licensee failed to properly maintain the Acquisition and Disposition (A&D) record. Licensee had (b)(3) - 112 Public Law open entries in the A&D record and only (b)(3) - 112 Public L firearm in the inventory. There were (b)(6) firearms in the personal inventory of Mr.

FIREARMS INSPECTION REPORT

Name: TConnor Enterprises, LLC
Trade Name: TC's Tactical

UI#: 776070-2016-0041-B1B
FFL#: 1-62-149-07-7G-05364

Connor and the remaining (b)(3) - 112 Public Law 55 125 firearms were transferred to individuals with a bill of sale. None of these individuals were prohibited persons.

Licensee's Response:

Licensee will immediately insure that all Multiple Sale Forms are completed when required by regulation.

Licensee stated that he didn't realize that the time period had been met. All other Multiple Sale Forms completed were two firearms transferred on the same day.

Corrective Action:

Licensee will immediately insure that all acquisitions and dispositions to himself and dispositions to other unlicensed individuals are completed by doing a background check and an ATF F4473 form.

7. **27 CFR 478.102(a):**

Nature of Violation:

Licensee is licensed as a Limited Liability Company (LLC). Licensee failed to complete a background check and an ATF F4473 form when transferring firearms to himself.

Licensee's Response:

Licensee stated that he didn't realize that he had to do a background check and ATF F4473 form on himself since he was the sole owner.

Corrective Action:

Licensee will immediately complete a background check and an ATF F4473 form if transferring a firearm to himself.

X

Industry Operations Investigator

Index of Worksheets and Exhibits

- A. Company Information
- B. AFMER Reports
- C. Acknowledgement of Federal Firearms Regulations.