

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Accurate Law Enforcement - GOV Sales Inc 3562 Summer Avenue Memphis, TN 38122, Shelby	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 776055-2016-0035-B1B		
	3. PERMIT/LICENSE NUMBER 162157018H02606	4a. TARGET DATE 7/26/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/01/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
Firearms recall compliance inspection. Inspect after 06/26/2016.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Sub. 09/01/2016
IOI (b)(6) recommends a different recommendation than the administrative action requirement of a warning conference due to instances of not filing multiple sales reports when legally required and due to the previous inspection resulting in a warning letter because of the failure to file multiple sales reports in instances. In of the instances, a multiple sales report was not filed, while in the remaining instances, a multiple sales report was filed, but not timely, with the untimeliness ranging from three to seven days because employees misunderstood the filing requirements. The owner and employees now understand the filing requirements and the owner has two to three different people reviewing Forms 4473 to ensure multiple sales are timely filed.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
JUN 2016	8.00		
JUL 2016	94.00		
AUG 2016	21.00		
SEP 2016	1.00		
ATF OFFICER'S SUBTOTAL	124.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	124.00		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
The licensee failed to report one multiple sale and failed to report multiple sales in a timely manner. Each of the multiple sales was reported within 7-12 days instead of the required five business days. The licensee was thoroughly educated on the reporting instructions for multiple sales.

Viols WL ONLY and No Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONCUR	<input checked="" type="checkbox"/> SEE COMMENTS	<input type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) - Area Supervisor		REVIEW DATE 09/01/2016	

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Accurate Law Enforcement - GOV Sales Inc 3562 Summer Avenue Memphis, TN 38122, Shelby	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 776055-2016-0035-B1B		
	3. PERMIT/LICENSE NUMBER 162157018H02606	4a. TARGET DATE 7/26/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Alternate recommendation of Warning Letter and No Recall Inspection (In Lieu of a Warning Conference) due to ^{(b)(3)} instances of the licensee failing to file multiple sales reports. In ^{(b)(3)} of the ^{(b)(3)} instances, a multiple sales report was not filed. In the remaining ^{(b)(3)} instances, a multiple sales report was filed, but not timely, because employees misunderstood the filing requirements. The owner and employees now understand the filing requirements and the owner has two to three different people reviewing Forms 4473 to ensure multiple sales are timely filed.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

KLBOYDSTON - Director, Industry Operations

REVIEW DATE

11/29/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Amended **Report of Violations**

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Accurate Law Enforcement - GOV Sales Inc	Street Address 3562 Summer Avenue	City Memphis	State TN	Zip Code 38122-	County Shelby	Page 1 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 162157018H02606		Expiration Date 8/1/2018		Date(s) or Period of Inspection 06/30/2016 through 08/24/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

REPEAT OF VIOLATION CITED ON NOVEMBER 11, 2011, AND ON JUNE 26, 2015.

Failure to properly maintain the Acquisition & Disposition Record, in (b)(3) instances: in (b)(3) instances, the acquisition of a firearm was not correctly recorded, and in (b)(3) instances, the disposition of a firearm was not recorded.

See attachment 1.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Correctly and timely record acquisitions and dispositions in the A&D Record.
(If not corrected immediately)

Number: 2

Nature of Violation:

Failure of the transferee and/or transferor to properly complete Form 4473, in (b)(3) instance: on Form 4473 (b)(3)- Public Law 112-56 (1256) question 25, Recertification Date, is incorrect.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Ensure Form 4473 Section C Recertification is timely and properly completed, when required.
(If not corrected immediately)

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Accurate Law Enforcement - GOV Sales Inc	Street Address 3562 Summer Avenue	City Memphis	State TN	Zip Code 38122-	County Shelby	Page 2 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 162157018H02606		Expiration Date 8/1/2018		Date(s) or Period of Inspection 06/30/2016 through 08/24/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: REPEAT OF VIOLATION CITED ON JUNE 26, 2015. Failure to receive a properly completed Form 4473, in (b)(3) instance: on Form 4473, (b)(3) question 2, is incorrect.	Corrective Action to be Taken: Ensure transferee correctly completes Section A of Form 4473. Specifically, ensure that the transferee's current address is listed in question 2. <i>(If not corrected immediately)</i>
Citation: 27 CFR 478.124(c)(1)	
Number: 4	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: REPEAT OF VIOLATION CITED ON NOVEMBER 11, 2011. Failure to properly complete Form 4473 in (b)(3) instance: on Form 4473, (b)(3)-Public Law 112-59 (12-Stat question 20b is incorrect.	Corrective Action to be Taken: Ensure that proper identification is obtained from the transferee and that the identification is properly documented on the Form 4473. <i>(If not corrected immediately)</i>
Citation: 27 CFR 478.124(c)(3)(i)	

Amended **Report of Violations**

Instructions

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Name of Proprietor Accurate Law Enforcement - GOV Sales Inc	Street Address 3562 Summer Avenue	City Memphis	State TN	Zip Code 38122-	County Shelby	Page 3 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 162157018H02606		Expiration Date 8/1/2018		Date(s) or Period of Inspection 06/30/2016 through 08/24/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

Failure to properly complete Form 4473 in (b)(3) 112 instances: on (b)(3) 112 Forms the licensee failed to list the manufacturer and/or importer.

See Forms 4473: (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6).

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Ensure transferor properly completes Form 4473 question

(If not corrected immediately) 26, Manufacturer and/or Importer, as required.

Number: 6

Nature of Violation:

Failure to properly complete Form 4473 in (b)(3) 112 instances: on (b)(3) 112 Forms question 36, Date Transferred, is incorrect.

See Forms 4473: (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6).

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Ensure the transferor properly completes question 36, Date

(If not corrected immediately) Transferred, as required.

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Amended

Report of Violations

Instructions

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 4 of 4 Pages
Accurate Law Enforcement - GOV Sales Inc	3562 Summer Avenue	Memphis	TN	38122-	Shelby	

License/Permit/Registry Number (If any)	Expiration Date	Date(s) or Period of Inspection
162157018H02606	8/1/2018	06/30/2016 through 08/24/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:

REPEAT OF VIOLATION CITED ON JUNE 26, 2015.

Failure to properly submit a Report of Multiple Sales of Handguns, ATF Form 3310.4, in instances: in instances the Report was not timely submitted and in instance the Report was not submitted.

See Forms (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure Report of Multiple Sales of Handguns, ATF Form 3310.4, is properly and timely submitted.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

Signature and Title of ATF Officer

Date

December 10 2018 Production

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For Official Use Only

ATF E-Form 5030 5

Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
5300 Maryland Way, Suite 200
Brentwood, Tennessee 37027

www.atf.gov

776055^{(b) (6)}
5300

Accurate Law Enforcement – GOV Sales Inc.
PO Box 18
Memphis, TN 38122

FFL No.: 1-62-157-01-8H-02606

Dear Ms. Harrison:

During a recent compliance inspection at your firearms business covering the period of June 27, 2015 to June 29, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Accurate Law Enforcement-Government Sales, Inc.

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

c: Federal Firearms Licensing Center
Nashville Group II

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government Sales, Inc. **UI#:** 776055-2016-0035-B1B
Trade Name: **FFL#:** 1-62-157-01-8H-02606

Address: 3562 Summer Ave.
Memphis, TN 38122 (Shelby County)

1 - Introduction

Inspection Profile:

ATF IOI (b)(6) participated in this inspection and interviewed responsible person Vicky Harrison, President, and employees (b)(6). The period of the inspection was June 27, 2015, to June 29, 2016. The inspection start date was June 30, 2016. The scope of the inspection was Recall DE.

Business Profile:

The licensee sells firearms and accessories mainly to law enforcement and other government agencies. The location of the premises is commercial and urban. There are no off-site storage locations. During the last twelve months, the approximate number of firearms acquired was (b)(4) and the approximate number disposed was (b)(4). The firearms business is about (b)(4)% wholesale and (b)(4)% retail and consists of about (b)(4) new guns and (b)(4)% used guns. The primary suppliers of firearms are (b)(4).

The licensee occasionally makes sales at gun shows. The licensee conducts internet sales on its own website, accuratelawenforcement.com. There is one other business on the premises: Southern Belle Brass. The licensee conducts no other business and holds no other ATF licenses. The licensee has state and local business licenses. The licensed premises are zoned for commercial activity. The licensee has held an ATF license since 2006.

Ownership and Control:

The licensee is a Tennessee corporation. There is one shareholder, Vicky Harrison, who is the sole member of the Board of Directors and holds both principal corporate offices, President and Secretary. There are no indications of hidden ownership. The responsible persons are correctly shown and no changes need to be made to the FLS database.

See Exhibit 2, Tennessee Corporation Annual Report Form

Variance:

The licensee has no variances.

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government Sales, Inc. **UI#:** 776055-2016-0035-B1B
Trade Name: **FFL#:** 1-62-157-01-8H-02606

2 - Recommendation

Violations, Warning Letter Only and No Recall Inspection

IOI (b)(6) recommends a different recommendation than the administrative action requirement of a warning conference due to (b)(3) instances of not filing multiple sales reports when legally required and due to the previous inspection resulting in a warning letter because of the failure to file multiple sales reports in (b)(3) instances. In (b)(3) 11 of the (b)(3) instances, a multiple sales report was not filed, while in the remaining (b)(3) instances, a multiple sales report was filed, but not timely, with the untimeliness ranging from three to seven days. Employees misunderstood the filing requirements. The owner and employees now understand the filing requirements and the owner has two to three different people reviewing Forms 4473 to ensure multiple sales are timely filed.

3 - Inspection History

The license was issued in July 2006. This inspection is the licensee's third compliance inspection. Acknowledgements of Federal Firearms Regulations were completed after each inspection listed below.

Date of Inspection: June 22, 2015
Type of Inspection: Compliance
UI#: 776055-2015-0186-B1B
Inspection Results: Violations, ROV, Warning Letter and Recall Inspection
Cited Violations: 1. 27 CFR 478.124(c)(1), (b)(3) 112 forms with errors.
2. 27 CFR 478.124(a), (b)(3) 112 instance.
3. 27 CFR 478.102(a), one instance.
4. 27 CFR 478.126a, (b)(3) 112 unreported multiple sales.
5. 27 CFR 478.125(e), (b)(3) 112 instances of unrecorded acquisition or disposition.

Date of Inspection: November 15, 2011
Type of Inspection: Amended Application
UI#: 776055-2012-0103-B1B
Inspection Results: Approved
Cited Violations: None

Date of Inspection: November 15, 2011
Type of Inspection: Compliance
UI#: 776055-2012-0108-B1B
Inspection Results: Violations, ROV Only, No Recall

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government Sales, Inc. **UI#:** 776055-2016-0035-B1B
Trade Name: **FFL#:** 1-62-157-01-8H-02606

Cited Violations: 1. 27 CFR 478.125(e), (b)(3)(1) instances of incorrectly recording acquisition or disposition.
2. 27 CFR 478.124(c)(3)(i), (b)(3)(1)(2)(F) instances.

Date of Inspection: May 11, 2006
Type of Inspection: Application
UI#: 776055-2006-0343-B1B
Inspection Results: Approved
Cited Violations: None

4 - Acquisition & Disposition (A&D) Record - Inventory

A full inventory was conducted and discrepancies were disclosed. The number of firearms in inventory was 302 and the number of open entries in the A&D Record was (b)(3)(1)(2)(F): (b)(3)(1)(2)(F) dispositions were not recorded in the A&D Record. In (b)(3)(1) instances, the acquisition of a firearm was not correctly recorded in the A&D Record: in (b)(3)(1) instances the importer was not recorded; in (b)(3)(1)(2) instances the manufacturer was not recorded or was incorrectly recorded; in (b)(3)(1) instance the Type of firearm was incorrectly recorded; in (b)(3)(1)(2)(F) instances the serial number was incorrectly recorded; in (b)(3)(1) instance the manufacturer and model were incorrectly recorded; and in (b)(3)(1) instance the serial number was incorrectly recorded and the manufacturer was not recorded. The licensee submitted no theft or loss reports during the inspection period or as a result of this inspection. The A&D Record is in the proper format. All required information is not accurate and all entries are not timely. Twenty firearms were traced from acquisition through disposition and no discrepancies were disclosed. No obliterated serial numbers and no illegal firearms were discovered.

The licensee's standard operating procedure is to record acquisitions and dispositions within seven days and to conduct a quarterly inventory. Employee (b)(6) stated that the licensee will begin using a computerized A&D Record. ATF Rulings 2013-5 and 2016-1, concerning computerized A&D Records, were reviewed with the licensee.

See Violation 1; Worksheet 1; Exhibit 3, A&D Record Errors and Exhibit 3a, documentation for unrecorded dispositions.

5 - ATF Forms 4473 – NICS & Other Dispositions

All Forms 4473 were reviewed and discrepancies were disclosed. The total number of Forms 4473 on file for the last twelve months was (b)(3)(1)(2)(F). The licensee transferred firearms to other licensees properly and the licensee properly documented sales to law enforcement officers. A FFL audit log verification disclosed no discrepancies.

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government Sales, Inc. **UI#:** 776055-2016-0035-B1B
Trade Name: **FFL#:** 1-62-157-01-8H-02606

The following violations were disclosed:

1. 27 CFR 478.21(a) - on (b)(3) 112 Form 4473, the transferee misdated question 25, Recertification Date.

See Exhibit 4, Form 4473, (b)(3) 112 Public Law 55 125 STAT 552 (b)(6) and Violation 2.

2. 27 CFR 478.124(c)(1) - on (b)(3) 112 Form 4473, the transferee incorrectly answered question 2, Current Residence Address.

See Exhibit 5, Form 4473, (b)(3) 112 Public Law 55 125 STAT 552 (b)(6); and Violation 3.

3. 27 CFR 478.124(c)(3)(i) - on (b)(3) 112 Form 4473, the alternate ID used did not list the current address.

See Exhibit 6, Form 4473, (b)(3) 112 Public Law 55 125 STAT 552 (b)(6) and Violation 4.

4. 27 CFR 478.124(c)(4) - on (b)(3) - 112 Pub Forms 4473, the licensee did not list the manufacturer and/or importer, in question 26, Manufacturer and/or Importer.

See Exhibit 7, Form 4473, (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) and Violation 5.

5. 27 CFR 478.124(c)(5) - on (b)(3) 112 Pub Forms 4473, the licensee incorrectly dated question 36, Date Transferred.

See Exhibit 10, Form 4473, (b)(3) 112 Public Law 55 125 STAT 552 (b)(6); and Violation 6.

The licensee's standard operating procedure for the Forms 4473 is to have two employees review the Forms 4473 before a transaction is completed. The Form 4473 is reviewed a third time after the transaction is completed.

See also Worksheet 2.

6 - Multiple Sales

The number of multiple sales reported during the inspection period was (b)(3) - 11. The number of unreported multiple sales was (b)(3) 112, involving (b)(3) 112 firearms. The number of untimely reported multiples sales was (b)(3), involving (b)(3) firearms. The untimeliness of the unreported multiple sales ranged three days to seven days.

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government
Sales, Inc.

UI#: 776055-2016-0035-B1B

Trade Name:

FFL#: 1-62-157-01-8H-02606

See Violation 7 and

Exhibit 13, (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
Exhibit 14,
Exhibit 15,
Exhibit 16,
Exhibit 17,
Exhibit 18,
Exhibit 19,
Exhibit 20,
Exhibit 21,
Exhibit 22,
Exhibit 23,
Exhibit 24,
Exhibit 25,
Exhibit 26,
Exhibit 27,

See also Worksheet 2.

7 - Suspicious/Prohibited Purchasers

No traffickers, straw purchasers or suspicious purchasers were identified. No referrals were made.

8 - Trace Activity

In the last 12 months the number of traces was (b)(3) 112. There were no unsuccessful traces. A sample of used firearms was queried in E-Trace with negative results.

9 - NFA/Importer/Collector/Manufacturer

The licensee conducts no Collector or Manufacturing activity. The licensee is an active NFA firearms dealer and has a 2017 Special Tax Stamp, ATF Form 5630.6A as evidence of tax payment. All NFA firearms on hand were inventoried and no discrepancies were disclosed between the Forms 4473, A&D Record, the NFRTR and licensee's file of NFA registration and transfer forms. The NFA firearms were properly marked.

10 - Referrals

No referrals were made.

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government
Sales, Inc.

UI#: 776055-2016-0035-B1B

Trade Name:

FFL#: 1-62-157-01-8H-02606

11 - Other

The licensee has the Youth Handgun Safety Act poster posted and has the pamphlets available for distribution. The licensee has secure gun storage /safety devices available. No noteworthy anomalies were disclosed.

12 - Closing Actions

The closing conference was held on July 18, 2016. Responsible person Vicki Harrison and employee (b)(6) were present at the closing conference. Investigator (b)(6) reviewed the violations cited, and the licensee reviewed the corrective actions taken and the new policies and procedures instituted to prevent future violations.

Investigator (b)(6) reviewed with Vicki and (b)(6) the topics listed on the Acknowledgement of Federal Firearms Regulations (see exhibit 1). The documents referenced on the Acknowledgement were provided or reviewed with Vicki and (b)(6). One of the topics reviewed was the Acquisition and Disposition Record. Responsible person Vicki Harrison was informed of the required acquisition and disposition information and of the time requirements for recording acquisition and disposition information. The requirements for computerized recordkeeping were also reviewed.

Another topic reviewed was the Form 4473. Each question on the Form 4473 was reviewed with responsible person Vicki Harrison. The Form 4473 topics reviewed include: When does a Form 4473 have to be completed? How do the buyers and sellers complete the Form 4473? Who is a prohibited person? What are the residency and age requirements? What is an immigrant and nonimmigrant and what documents do they need? What is an acceptable ID document? How is a background check conducted and documented on the Form 4473? When and how is Section C of the Form 4473 completed?

Responsible person Vicki Harrison has attended a dealer seminar. Responsible person Vicki Harrison stated that her goal is to have zero violations.

The onsite end date was August 24, 2016 because additional documentation was obtained.

13 - Violations

1. 27 CFR 478.125(e)

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government Sales, Inc. **UI#:** 776055-2016-0035-B1B
Trade Name: **FFL#:** 1-62-157-01-8H-02606

REPEAT OF VIOLATION CITED ON NOVEMBER 11, 2011, AND ON JUNE 26, 2015.

Nature of violation:

Failure to properly maintain the Acquisition and Disposition Record, in (b)(3) instances: in (b)(3) instances, the acquisition of a firearm was not correctly recorded, and in (b)(3) 112 instances, the disposition of a firearm was not recorded.

See ROV attachment 1.

Licensee's response:

Responsible person Vicki Harrison and employee (b)(6) stated that the failure to list the importer information in the A&D Record was due to misunderstanding of the regulations and that the failure to correctly record the acquisition and dispositions of firearms was due to oversight.

Corrective action:

Correctly and timely record acquisitions and dispositions in the Acquisition and Disposition Record.

On July 26, 2016, responsible person Vicki Harrison stated that the errors cited above have been corrected.

See Worksheet 1, Exhibit 3 and 3a.

2. 27 CFR 478.21(a)

Nature of violation:

Failure of the transferee and/or transferor to properly complete Form 4473, in (b)(3) 112 instance: on Form 4473, (b)(3) 112 Public Law 55-126 STAT 550, question 25, Recertification Date, is incorrect.

Licensee's response:

Responsible person Vicki Harrison stated that the customer misdated question 25, Recertification Date, and that the sales person did not catch the error. Responsible person Vicki Harrison stated that every Form 4473 will be reviewed by two persons before a firearm leaves the store.

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government Sales, Inc. **UI#:** 776055-2016-0035-B1B
Trade Name: **FFL#:** 1-62-157-01-8H-02606

Corrective action:

Ensure Form 4473 Section C Recertification is timely and properly completed, when required.

See Worksheet 2 and Exhibit 4.

3. **27 CFR 478.124(c)(1)**

REPEAT OF VIOLATION CITED ON JUNE 26, 2015.

Nature of violation:

Failure to receive a properly completed Form 4473, in (b)(3) 112 instance: on Form 4473, (b)(3) 112 Public Law 55-125 STAT 552 (b)(3), question 2, is incorrect.

Licensee's response:

Responsible person Vicki Harrison stated that the sales person had the customer update their Driver's License address via the State of Tennessee Department of Safety and Homeland Security website but failed to have the customer record the updated address on the Form 4473. Responsible person Vicki Harrison stated that every Form 4473 will be reviewed by two persons before a firearm leaves the store.

Corrective action:

Ensure transferee correctly completes Section A of Form 4473. Specifically, ensure that the transferee's current address is listed in question 2.

See Worksheet 2 and Exhibit 5.

4. **27 CFR 478.124(c)(3)(i)**

REPEAT OF VIOLATION CITED ON NOVEMBER 11, 2011

Nature of violation:

Failure to properly complete Form 4473 in (b)(3) 112 instance: on Form 4473, (b)(3) 112 Public Law 55-125 STAT 552 (b)(3), question 20b is incorrect.

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government Sales, Inc. **UI#:** 776055-2016-0035-B1B
Trade Name: **FFL#:** 1-62-157-01-8H-02606

Licensee's response:

Responsible person Vicki Harrison stated that the sales person did not notice that the alternate documentation provided did not match the address recorded in question 2, Current Residence Address. Responsible person Vicki Harrison stated that every Form 4473 will be reviewed by two persons before a firearm leaves the store.

Corrective action:

Ensure that proper identification is obtained from the transferee and that the identification is properly documented on the ATF Form 4473.

See Worksheet 2 and Exhibit 6.

5. **27 CFR 478.124(c)(4)**

Nature of violation:

Failure to properly complete ATF Form 4473 in (b)(3) 112 FO instances: on (b)(3) 112 FO Forms the licensee failed to list the manufacturer and/or importer, in question 26, Manufacturer and/or Importer.

See Forms 4473: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6).

Licensee's response:

Responsible person Vicki Harrison stated that the failure to list the importer or manufacturer was due to misunderstanding of the regulations. Responsible person Vicki Harrison stated that she now understands how to properly complete question 26, Manufacturer and/or Importer. Responsible person Vicki Harrison stated that every Form 4473 will be reviewed by two persons before a firearm leaves the store.

Corrective action:

Ensure transferor properly completes Form 4473 question 26, Manufacturer and/or Importer, as required.

See Worksheet 2 and Exhibits 7, 8 & 9.

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government Sales, Inc. **UI#:** 776055-2016-0035-B1B
Trade Name: **FFL#:** 1-62-157-01-8H-02606

6. **27 CFR 478.124(c)(5)**

Nature of violation:

Failure to properly complete Form 4473 in (b)(3) 112 P instances: on (b)(3) 112 e Forms question 36, Date Transferred, is incorrect.

See Forms 4473: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6).

Licensee's response:

Responsible person Vicki Harrison stated that these errors were due to employee oversight. Responsible person Vicki Harrison stated that every Form 4473 will be reviewed by two persons before a firearm leaves the store.

Corrective action:

Ensure the transferor properly completes question 36, Date Transferred, as required.

See Worksheet 2 and Exhibits 10, 11 & 12.

7. **27 CFR 478.126a**

REPEAT OF VIOLATION CITED ON JUNE 26, 2015.

Nature of violation:

Failure to properly submit a Report of Multiple Sales of Handguns, ATF Form 3310.4, in (b)(3) instances: in (b)(3) instances the Report was not timely submitted and in (b)(3) 112 instance the Report was not submitted.

See Forms 4473/3310.4: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

[REDACTED]

Licensee's response:

Responsible person Vicki Harrison stated that there was a misunderstanding about the time requirement for submitting the Report of Multiple Sales of Handguns. Responsible person Vicki Harrison stated that they now understand the reporting requirements.

FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government
Sales, Inc.

UI#: 776055-2016-0035-B1B

Trade Name:

FFL#: 1-62-157-01-8H-02606

Responsible person Vicki Harrison stated that every Form 4473 will be reviewed by two persons before a firearm leaves the store.

Corrective action:

Ensure Report of Multiple Sales of Handguns, ATF Form 3310.4, is properly and timely submitted. The licensee submitted the unreported Form 3310.4 during the inspection.

See Worksheet 2 and Exhibits 13 - 27.

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Worksheets-

1. Inventory and A&D Review
2. ATF Form 4473 Review

Exhibits-

1. Acknowledgement of Federal Firearms Regulations
2. Tennessee Corporation Annual Report Form
3. A&D Record errors
- 3a. Documentation for unrecorded dispositions.

4. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

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FIREARMS INSPECTION REPORT

Name: Accurate Law Enforcement-Government
Sales, Inc.

UI#: 776055-2016-0035-B1B

Trade Name:

FFL#: 1-62-157-01-8H-02606

18 (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
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