

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) MEYERS, DERRICK & TAMARA RIVER CITY FIREARMS 2915 PRESTON HWY LOUISVILLE, KY 40217, JEFFERSON	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 775045-2017-0056-B1B		
	3. PERMIT/LICENSE NUMBER 461111018A04531	4a. TARGET DATE 9/30/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Assigned Investigator
(b)(6) - Assigned Investigator
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/17/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
Jefferson County compliance inspection focusing on awareness of possible straw purchases and trafficking of firearms.
Top 100 trace inspection.
Prior to inspection, contact (b)(6) as well to see if they have any pertinent information regarding this FFL.
See attached CE MCP.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	9	NO. OF REFERRALS	9	2111 - PER DIEM	
NO. OF TECS CHECKS	36	NO. OF TECS HITS	1	2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 03/30/2017
On February 13, 2017, IOI (b)(6) conducted a Firearms Compliance Inspection was conducted in accordance with ATF H5030.2c and the FY 2017 Domain Assessment.

The current inspection disclosed nine violations of the Gun Control Act, which warrants a recommendation of a Warning Letter, due to failure to file multiple sales reports when legally required on (b)(6) occasions. IOI (b)(6) recommends a Warning Letter. No recall requested. Nine referrals were submitted as a result of this inspection.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
FEB 2017	33.00		
ATF OFFICER'S SUBTOTAL	33.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
FEB 2017	54.50		
ATF OFFICER'S SUBTOTAL	54.50	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
JAN 2017	2.00		
FEB 2017	85.00		

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	3. PERMIT/LICENSE NUMBER 461111018A04531	4a. TARGET DATE 9/30/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
MAR 2017	117.50
ATF OFFICER'S SUBTOTAL	204.50
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	292.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

High volume dealer. Nine violations cited rising to the level of warning letter. WL mailed 3/31/2017.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 03/31/2017
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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____



U.S. Department of Justice
Bureau of Alcohol, Tobacco,
Firearms and Explosives

600 Dr. Martin Luther King Jr. Place, Suite 500
Louisville, Kentucky 40202

March 31, 2017

www.atf.gov

775045 (b)(6)
5300

**CERTIFIED MAIL/
RETURN RECEIPT REQUESTED**

Derrick Meyers & Tamara Meyers
dba: River City Firearms (FFL # 4-61-04531)
2915 Preston Highway
Louisville, Kentucky 40217

Dear Licensee:

During a recent compliance inspection at your firearms business covering the period of February, 13, 2017 to March 17, 2017, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the ATF Form 5030.5, Report of Violations, issued at the time of the inspection is enclosed.

The violations were fully explained to you by Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (IOI) (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise,

could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact IOI (b)(6) or myself at (502) 753-3500.

Sincerely yours,

(b)(6)

Area Supervisor
Louisville II Field Office

Enclosure

cc: Federal Firearms Licensing Center
Director, Industry Operations
File

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor MEYERS, DERRICK & TAMARA RIVER CITY FIREARMS	Street Address 2915 PRESTON HWY	City LOUISVILLE	State KY	Zip Code 40217-	County JEFFERSON	Page 1 of 4 Pages
License/Permit/Registry Number (If any) 461111018A04531		Expiration Date 1/1/2018	Date(s) or Period of Inspection 02/13/2017 through 03/17/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to obtain complete and accurate ATF Forms 4473.

The transferee/buyer failed to mark "Are you the actual buyer of the firearm(s) listed on this form?" in item 11a on (b)(3)(1) occasion. The transferee failed to sign item 24 on (b)(3)(1) occasions. The transferee failed to record recertification date in item 25 on (b)(3)(1) occasions. The licensee failed to record total number of firearms in item 30a on (b)(3)(1) occasions. The licensee failed to record the FFL name in item 31 on (b)(3)(1) occasions. The licensee failed to enter FFL number in item 32 on (b)(3)(1) occasions. The licensee failed to enter seller's name in item 33 on (b)(3)(1) occasions. The licensee failed to enter transferor/seller's title in item 35 on (b)(3)(1) occasions.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Number: 2

Nature of Violation:

The licensee failed to obtain complete and accurate ATF Forms 4473.

The licensee failed to record expiration date of identification in item 18a on (b)(3)(1) occasions. The licensee failed to record identification expiration date in item 20a on (b)(3)(1) occasions.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Report of Violations

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License/Permit/Registry Number (If any) 461111018A04531	Expiration Date 1/1/2018	Date(s) or Period of Inspection 02/13/2017 through 03/17/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The licensee failed to obtain complete and accurate ATF Form 4473.

The licensee failed to record the NICS transaction number in item 21b on (b)(3) occasions. The licensee failed to mark NICS response in item 21c on (b)(3) occasions. The licensee failed to mark NICS response if Delayed the following response was received in item 21d on (b)(3) occasions.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Number: 4

Nature of Violation:

The licensee failed to obtain complete and accurate ATF Form 4473.

The licensee failed to mark total number of firearms in item 30a on (b)(3) occasions.

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Number: 5

Nature of Violation:

The licensee failed to obtain complete and accurate ATF Form 4473.

The licensee failed to sign the ATF F 4473 in item 34 on (b)(3) occasions. The licensee failed to record the date transferred in item 36 on (b)(3) occasions.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Report of Violations

Instructions

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Name of Proprietor MEYERS, DERRICK & TAMARA RIVER CITY FIREARMS	Street Address 2915 PRESTON HWY	City LOUISVILLE	State KY	Zip Code 40217-	County JEFFERSON	Page 3 of 4 Pages
License/Permit/Registry Number (If any) 461111018A04531		Expiration Date 1/1/2018	Date(s) or Period of Inspection 02/13/2017 through 03/17/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(5)

Number: 6

Nature of Violation:

The licensee failed to report the multiple sale of pistols and revolvers on ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on (b)(3) occasions.

Citation: 27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Number: 7

Nature of Violation:

The licensee failed to obtain complete and accurate ATF Forms 4473.

The licensee failed to record the Kentucky Concealed Carry Permit dates of issuance & expiration in item 23 on (b)(3) occasions.

Citation: 27 CFR 478.131(a)(2)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Number: 8

Nature of Violation:

Licensee failed to record the disposition of (b)(3) firearms.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Report of Violations

Instructions

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Name of Proprietor MEYERS, DERRICK & TAMARA RIVER CITY FIREARMS	Street Address 2915 PRESTON HWY	City LOUISVILLE	State KY	Zip Code 40217-	County JEFFERSON	Page 4 of 4 Pages
License/Permit/Registry Number (If any) 461111018A04531		Expiration Date 1/1/2018	Date(s) or Period of Inspection 02/13/2017 through 03/17/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.125(c)

Number: 9

Nature of Violation:

Licensee failed to obtain complete and accurate ATF Forms 4473.

The transferee failed to mark "Are you under indictment or information in any court for a felony, for which a judge could imprison you for more than one year?" in item 11b on (b)(3) occasion. The transferee failed to mark "Have you ever been convicted in any court of a felony?" in item 11c on (b)(3) occasion. The transferee failed to mark "Are you a fugitive from justice?" in item 11d on (b)(3) occasions. The transferee failed to mark "Are you subject to a court order restraining you from harassing, your child or an intimate partner?" in item 11h on (b)(3) occasions. The transferee failed to mark "Have you been convicted in any court of a misdemeanor crime of domestic violence?" in item 11i on (b)(3) occasions. The transferee failed to mark "Have you ever renounced your United States citizenship?" in item 11j on (b)(3) occasions. The transferee failed to mark "Are you an alien illegally in the United States?" in item 11k on (b)(3) occasions. The transferee failed to mark "Are you an alien admitted to the United States under a nonimmigrant visa?" in item 11l on (b)(3) occasion. The transferee failed to mark Country of Citizenship item 12b on (b)(3) occasions. The transferee failed to record certification date in item 17 on (b)(3) occasions.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

3-17-17

Signature and Title

(b)(6)

Date

3/17/2017

For Official Use Only

December 10 2018 Production

317

ATF E-Form 5030.5
Revised April 2005

FIREARMS INSPECTION REPORT

Name: Derrick Meyers & Tamara Meyers
Trade Name: dba: River City Firearms

UI#: 775045-2017-0056
FFL#: 4- 61-111-01-8A-04531

Address: 2915 Preston Highway
Louisville, Kentucky 40217

1 - Introduction

Inspection Profile:

On February 13, 2017, Industry Operations Investigator (IOI) (b)(6), Senior Industry Operations Investigator (SIOI) (b)(6), Industry Operation Investigator (IOI) (b)(6) and Industry Operations Intelligence Specialist (b)(6) visited the business premise of River City Firearms for the purpose of conducting a compliance inspection. River City Firearms holds a Type 01 Dealer in Firearms Other Than Destructive Devices 4-61-04531 with an expiration date of January 1, 2018.

The business is a corporation in which Derrick Meyers is listed as president/responsible person and Tamara Meyers is listed as responsible person in FLS. IOI (b)(6) discussed with Mr. Meyers that he would need to submit an ATF Form 7 Application for Federal Firearms License since he had changed his entity. Mr. Meyers had formed his corporation in October 21, 2015. Derrick Meyer's identity was verified by Indiana Driver's License # (b)(6). Mr. Meyers was interviewed and the on-site inspection began. The inspection period is February 13, 2016 to March 17, 2017. Advance notice was not provided.

Business Profile:

River City Firearms conducts retail sales of firearms, holsters and ammunition. Mr. Meyers estimated (b)(4)% of firearm transfers were new guns. The business premise is located in urban area at 2915 Preston Highway. The licensee operates from a commercial storefront located in a suburban area in Jefferson County, Kentucky. The licensee holds a Type 01 Dealer in Firearms Other Than Destructive Devices, which is appropriate for the type of business activity conducted. River City Firearms inventory mainly consists of (b)(4) new firearms and (b)(4) used firearms.

The licensee had 1,053 firearms in inventory. The licensee acquired approximately (b)(4) firearms in the past 12 months. The licensee transferred approximately (b)(4) firearms in the past 12 months. River City Firearms inventory consists of approximately (b)(4) handguns and approximately (b)(4) long guns. Mr. Meyers stated that his principal suppliers are (b)(4). (b)(4) maintains an email address and a website www.rcfguns.com. River City Firearms conducted one internet sale during the inspection period. Mr. Meyers stated that River City Firearms

FIREARMS INSPECTION REPORT

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Trade Name: dba: River City Firearms

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mainly sells to family, friends and other Federal Firearm Dealers. River City Firearms does not participate in gun shows.

River City Firearms operates with four employees that conduct firearm sales. Hours of Operation are currently listed as Monday – Thursday 10am-6pm. Friday 10am-5pm and Saturday 10am-2pm. Closed on Sunday.

Ownership and Control:

As previously stated, River City Firearms is a corporation in which Derrick Meyers is listed as president. IOI (b)(6) stated to Mr. Meyers that he would need to apply for a new license since he had formed River City Firearms as a corporation instead of a sole proprietorship. Mr. Meyers stated that he was not aware that he needed to apply for a new license. On February 14, 2017, Mr. Meyers applied for his new license. River City Firearms is listed with Kentucky Secretary of State, active and in good standing. Hidden ownership is not suspected. (Exhibit 1)

Variance:

The licensee does not hold any variances regarding their Type 01 FFL. No variance requests were submitted as a result of this inspection.

2 - Recommendation

The current inspection disclosed nine violations of the Gun Control Act, which warrants a recommendation of a Warning Letter, due to failure to file multiple sales reports when legally required on (b)(3)(1)(2) occasions. IOI (b)(6) recommends a Warning Letter. No recall requested. (b)(3)(1)(2) referrals were submitted as a result of this inspection.

3 - Inspection History

This was the licensee's first compliance inspection.

Date of Inspection:	October 25, 2011
Type of Inspection:	Qualification Inspection
UI#:	775045-2012-0030
Inspection Results:	Recommend Approval of the Type 01 FFL Application.
Cited Violations:	None

4 - Acquisition & Disposition (A&D) Record - Inventory

The licensee maintains a bound book for the A & D record which appears to contain all of the required information. The licensee stated that they conducted an inventory every

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6 months for a comparison to the A & D record to ensure accuracy. The licensee stated all A & D entries are typically made by the close of business. The current inspection disclosed that there were (b)(3)(112) A & D entries, not made in a timely/accurate manner. The FFL did use a tag system to keep track of the entries in the A & D record.

At the time of inspection, there were 1,053 firearms in physical inventory and there were (b)(3)(112) firearms on hand according to the licensee's A & D records. A 100% inventory was conducted on the firearms, which disclosed (b)(3)(112) A & D errors. The error rate for failing to timely and/or correctly record acquisitions is 0%. The error rate for failing to timely and/or correctly record dispositions is 0%.

There were (b)(3)(112) ATF 4473s during the inspection period. (Worksheet 1 – Inventory and A & D Review Worksheet and Report of Violations)

After the final reconciliation, the following violations of 27 CFR 478.125(e) regarding the inventory and A & D record were reported on the Report of Violations; Licensee failed to record a firearm disposition in the A & D record in (b)(3)(112) instances. (Exhibit 2 – Photos of A & D Record Errors for Violation of CFR 478.125(e))

5 - ATF Forms 4473 – NICS & Other Dispositions

There were (b)(3)(112) ATF Forms 4473 on file for the inspection period. All ATF Forms 4473 on file were reviewed. Each sales clerk is responsible for the proper completion of ATF Forms 4473 at the time of the transaction. The licensee maintains their ATF F 4473s in chronological order. The licensee maintains the denials separately. Overall, the error rate for proper completion of the ATF F 4473 was 1.4% during the inspection period. The licensee's procedures concerning the ATF F 4473 need to show improvement. IOI (b)(6) recommended the licensee set up a review procedure for the ATF F 4473 to ensure proper completion in the future. These violations included the following:

Errors were found on (b)(3)(112) occasions on (b)(3)(112) forms. The following was found: On (b)(3)(112) occasion, Item 11a (2012 Edition) was incorrect. On (b)(3)(112) occasions, Item 24 (2012 Edition) licensee failed to require the transferee to recertify. On (b)(3)(112) occasions, Item 25 (2012 Edition) licensee failed to require the transferee to enter date of recertification. On (b)(3)(112) occasions, Item 30a (2012 Edition) licensee failed to record total number of firearms. On (b)(3)(112) occasions, Item 31 and 32 (2012 Edition) licensee failed to record the FFL name, and FFL number. On (b)(3)(112) occasions, Item 33 (2012 Edition) and (2016 Edition) licensee failed to enter seller's name. On (b)(3)(112) occasions, Item 35 (2012 Edition) licensee failed to enter transferor/seller's title. (Exhibit 3 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.21(a))

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Name: Derrick Meyers & Tamara Meyers
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Errors were found on (b)(3) 112 Public Law 55 occasions on (b)(3) 112 Public Law 55 forms. The following was found: On (b)(3) 112 occasions, Item 11b (2012 Edition) the transferee failed to mark "Are you under indictment or information in any court for a felony, for which a judge could imprison you for more than one year? On (b)(3) 112 occasions, Item 11c (2012 Edition) the transferee failed to mark "Have you ever been convicted in any court of a felony? On (b)(3) 112 occasions, Item 11d (2012 Edition) the transferee failed to mark "Are you a fugitive from justice? On (b)(3) 112 occasions, Item 11h (2012 Edition) the transferee failed to mark "Are you subject to a court order restraining you from harassing, your child or an intimate partner? On (b)(3) 112 occasions, Item 11i (2012 Edition) the transferee failed to mark "Have you been convicted in any court of a misdemeanor crime of domestic violence? On (b)(3) 112 occasions, Item 11j (2012 Edition) the transferee failed to mark "Have you ever renounced your United States citizenship? On (b)(3) 112 occasions, Item 11k (2012 Edition) the transferee failed to mark "Are you an alien illegally in the United States? On (b)(3) 112 occasions, Item 11l (2012 Edition) the transferee failed to mark "Are you an alien admitted to the United States under a nonimmigrant visa? On (b)(3) 112 occasions, Item 12 (2016 Edition) the transferee failed to mark Country of Citizenship. On (b)(3) 112 occasions, Item 17 (2012 Edition) the transferee failed to record certification date. (Exhibit 4 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(1))

Errors were found on (b)(3) 112 Public occasions on (b)(3) 112 Public forms. The following was found: On (b)(3) 112 occasions, Item 18a (2016 Edition) the licensee failed to record expiration date of identification. On (b)(3) 112 occasions, Item 20a (2012 Edition) the licensee failed to record identification expiration date. (Exhibit 5 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(3)(i))

Errors were found on (b)(3) 112 Public Law 55 occasions on (b)(3) 112 Public Law 55 forms. The following was found: On (b)(3) 112 occasions, Item 21b (2012 Edition) the licensee failed to record the NICS transaction number. On (b)(3) 112 occasions, Item 21c (2012 Edition) the licensee failed to mark NICS response. On (b)(3) 112 occasions, Item 21d (2012 Edition) the licensee failed to mark NICS response if Delayed the following response was received. (Exhibit 6 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(3)(iv))

Errors were found on (b)(3) 112 occasions on (b)(3) 112 forms. The following was found: On (b)(3) 112 occasions, Item 30a (2012 Edition) the licensee failed to mark total number of firearms. (Exhibit 7 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(4))

Errors were found on (b)(3) 112 occasions on (b)(3) 112 forms. The following was found: On (b)(3) 112 occasions, Item 34 (2012 Edition) the licensee failed to sign the ATF F 4473. On (b)(3) 112 occasions, Item 36 the licensee failed to record the date transferred. (Exhibit 8 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(5))

FIREARMS INSPECTION REPORT

Name: Derrick Meyers & Tamara Meyers
Trade Name: dba: River City Firearms

UI#: 775045-2017-0056
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Errors were found on (b)(3) 112 F occasions on (b)(3) 112 F forms. The following was found: On (b)(3) 112 F occasions, Item 23(2012 Edition) the licensee failed to record the Kentucky Concealed Carry Permit dates of issuance and expiration. (Exhibit 9 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.131(a)(2))

IOI Penny Simpson, IOI Nichole Martinez, SIOI (b)(6) and IOIS (b)(6) compared 359 NICS Transaction Numbers identified on ATF Forms 4473 to the FBI/FFL Audit Report during the inspection. No discrepancies were identified. (Worksheet 2 – ATF Form 4473 (2012 Edition) and (2016 Edition) Review Worksheet)

Overall, there were deficiencies noted on (b)(3) of the (b)(3) 112 F Public forms reviewed, which is an error rate of 1.9%. (See Violations, Report of Violations, Worksheet 2 – ATF Form 4473 (2012 Edition) and (2016 Edition) Review Worksheet)

6 - Multiple Sales

The licensee reported (b)(3) 112 F multiple sales of handguns during the inspection period according to the report acquired from the Violent Crime Analysis Branch. A review of the ATF F 4473s during the inspection period disclosed (b)(3) 112 F unreported multiple sales of handguns, which resulted in (b)(3) 112 F Public handguns that were unreported. The following violation of 27 CFR 478.126a licensee failed to report the multiple sale of pistols or revolvers on ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on (b)(3) 112 F occasions. (Exhibit 10 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.126a)

7 - Suspicious/Prohibited Purchasers

The ATF F 4473s during the inspection period were reviewed to determine if any trafficking patterns were present. There were nine trafficking patterns detected. NCIC showed one hit. Nine referrals were forwarded to Louisville Field Division regarding suspicious purchasers. (See Referrals)

8 - Trace Activity

Prior to conducting the inspection, the Firearms Trace History of the licensee was obtained from the Violent Crime Analysis Branch for the previous year. The VCAB Query indicated the licensee was associated with (b)(3) firearm trace requests. The VCAB Query indicated that (b)(3) successful traces and (b)(3) unsuccessful traces. The (b)(3) unsuccessful traces (Trace # (b)(3) 112 Public Law 55 125 STAT 552) were resolved by IOI (b)(6) and IOIS (b)(6) the information was

FIREARMS INSPECTION REPORT

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forward to the National Tracing Center. (Exhibit 11 – Firearms Inspection Worknote – Traces Perfected During Inspection)

A secondary market analysis was conducted; twenty firearms were run through NCIC and Lead. There were no firearms associated with the secondary market analysis, which had been reported stolen according to NCIC. There were no firearms associated with the secondary market analysis, which had been traced after the firearm was acquired by the FFL.

9 - NFA/Importer/Collector/Manufacturer

No NFA/Importer/Collector/Manufacturer operations conducted.

10 - Referrals

The following referrals were submitted to Stuart L. Lowrey, ATF Special Agent in Charge, Louisville Field Division for information and whatever deemed appropriate. A response back to the referring office is requested.

During the Disposition Emphasis Compliance Inspection which began February 13, 2017, at Derrick Meyers & Tamara Meyers/dba: River City Firearms (Type 01 FFL#4-61-04531) located at 2915 Preston Highway, Louisville, KY 40217, the following individuals were identified as a possible straw purchaser in violation of 18.U.S.C.922(a)(6):

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



The following individual was identified as a felon in possession of a firearm in violation of 18.U.S.C.922(g)(1):

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



The following individuals were identified as a possible firearms trafficker in violation of 18.U.S.C.922(g)(1):

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(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



(Exhibit 12 - ATF F 4473s for referrals)

11 - Other

Nothing noted.

12 - Closing Actions

On March 17, 2017, IOI (b)(6) held a closing conference at the licensed premises. Derrick Meyers and Jonathan Fulkerson were present. A review of the regulatory requirements was conducted. An Acknowledgement of Federal Firearms Regulations was signed by Derrick Meyers. (Exhibit 13 – Acknowledgement of Federal Firearms Regulations)

A Report of Violations (ATF F 5030.5) was issued on March 17, 2017, by IOI (b)(6) and reviewed. Derrick Meyers and Jonathan Fulkerson were present. Derrick Meyers signed the Report of Violations. (See Report of Violations)

13 - Violations

1. 27 CFR 478.21(a) – Forms Prescribed – Violation cited on 3/17/17

Nature of violation:

Licensee failed to obtain complete and accurate ATF Forms 4473. The transferee, buyer failed to mark “Are you the actual buyer of the firearm(s) listed on this form? in item 11a on (b)(3) occasion. The transferee failed to sign item 24 on (b)(3) occasions. The

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transferee failed to record recertification date in item 25 on (b)(3)(12) occasions. The licensee failed to record total number of firearms in item 30a on (b)(3)(12) occasions. The licensee failed to record the FFL Name in item 31 on (b)(3)(12) occasions. The licensee failed to enter FFL number in item 32 on (b)(3)(12) occasions. The licensee failed to enter seller's name in item 33 on (b)(3)(12) occasions. The licensee failed to enter transferor/seller's title in item 35 on (b)(3)(12) occasions.

Licensee's response:

The licensee stated that he will review the forms at the end of the business day to obtain complete and accurate ATF F 4473s.

Corrective action:

The licensee will obtain complete and accurate ATF Forms 4473. (See Report of Violations, Worksheet 3 ATF F 4473 (2012 Edition) & (2016 Edition) Review Worksheet)

2. **27 CFR 478.124(c)(3)(i) – Forms Prescribed - Violation cited on 3/17/17**

Nature of violation:

The licensee failed to obtain and accurate ATF Forms 4473. The licensee failed to record expiration date of identification in item 18a on (b)(3)(12) occasions. The licensee failed to record identification expiration date in item 20a on (b)(3)(12) occasions.

Licensee's response:

The licensee stated that he will review the forms at the end of the business day to obtain complete and accurate ATF F 4473s.

Corrective action:

The licensee will obtain complete and accurate ATF Forms 4473. (See Report of Violations, Worksheet 3 ATF F 4473 (2012 Edition) & (2016 Edition) Review Worksheet)

3. **27 CFR 478.124(c)(3)(iv) – Forms Prescribed – Violation cited on 3/17/17**

Nature of violation:

The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to record the NICS transaction number in item 21b on (b)(3)(12) occasions. The licensee failed to mark NICS response in item 21c on (b)(3)(12) occasions. The licensee

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failed to mark NICS response if Delayed the following response was received in item 21d on (b)(3)(12) occasions.

Licensee's response:

The licensee stated that he would review the forms at the end of the business day to obtain complete and accurate ATF F 4473s.

Corrective action:

The licensee will obtain complete and accurate ATF Forms 4473. (See Report of Violations, Worksheet 3 ATF F 4473 (2012 Edition) Review Worksheet)

4. **27 CFR 478.124(c)(4) – Forms Prescribed – Violation cited on 3/17/17**

Nature of violation:

The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to mark total number of firearms in item 30a on (b)(3)(12) occasions.

Licensee's response:

The licensee stated that he would review the forms at the end of the business day to obtain complete and accurate ATF F 4473s.

Corrective action:

The licensee will obtain complete and accurate ATF Forms 4473. (See Report of Violations, Worksheet 3 ATF F 4473 (2012 Edition) Review Worksheet)

5. **27 CFR 478.124(c)(5) – Forms Prescribed – Violation cited on 3/17/17**

Nature of violation:

The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to sign the ATF F 4473 in item 34 on (b)(3)(12) occasions. The licensee failed to record the date transferred in item 36 on (b)(3)(12) occasions.

Licensee's response:

The licensee stated that he would review the forms at the end of the business day to obtain complete and accurate ATF F 4473s.

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Corrective action:

The licensee will obtain complete and accurate ATF Forms 4473. (See Report of Violations, Worksheet 3 ATF F 4473 (2012 Edition) Review Worksheet)

6. **27 CFR 478.126a – Report of Multiple Sale or Other Disposition of Pistols and Revolvers – Violation cited on 3/17/17**

Nature of violation:

The licensee failed to report the multiple sale of pistols and revolvers on ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on (b)(3)(1)(2) occasions.

Licensee's response:

The licensee stated that he would review the forms at the end of the business day to obtain complete and accurate ATF F 4473s. The licensee stated that he will report all multiple sales on pistols or revolvers on ATF Form 3310.4 as required.

Corrective action:

The licensee will report the multiple sale of pistols or revolvers on ATF Form 3310.4 as required. (See Report of Violations, Worksheet 3 ATF F 4473 (2012 Edition) Review Worksheet)

7. **27 CFR 478.131(a)(2) – Forms Prescribed – Violation cited on 3/17/17**

Nature of Violation:

The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to record the Kentucky Concealed Carry Permit dates of issuance & expiration in item 23 on (b)(3)(1)(2) occasions.

Licensee's response:

The licensee stated that they would enter the Kentucky Concealed Carry Permit dates of issuance & expiration on the ATF Form 4473.

Corrective action:

The licensee will obtain complete and accurate ATF Forms 4473. (See Report of Violations, Worksheet 3 ATF F 4473 (2012 Edition) Review Worksheet)

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8. **27 CFR 478.125(e) – Forms Prescribed – Violation cited on 3/17/17**

Nature of Violation:

The licensee failed to record the disposition of (b)(3) 112 firearms.

Licensee's response:

The licensee stated that they would record the disposition of each firearm as required.

Corrective action:

The licensee will record all dispositions as required. (See Report of Violations, Worksheet 3 ATF F 4473 (2012 Edition) Review Worksheet)

9. **27 CFR 478.124(c)(1) – Forms Prescribed – Violation cited on 3/17/17**

Nature of violation:

The licensee failed to obtain complete and accurate ATF Forms 4473. The transferee failed to mark "Are you under indictment or information in any court for a felony, for which a judge could imprison you for more than one year? in item 11b on (b)(3) 112 occasion. The transferee failed to mark "Have you ever been convicted in any court of a felony? in item 11c on (b)(3) 112 occasion. The transferee failed to mark "Are you a fugitive from justice? in item 11d on (b)(3) 112 occasions. The transferee failed to mark "Are you subject to a court order restraining you from harassing, your child or an intimate partner? in item 11h on (b)(3) 112 occasions. The transferee failed to mark "Have you been convicted in any court of a misdemeanor crime of domestic violence? in item 11i on (b)(3) 112 occasions. The transferee failed to mark "Have you ever renounced your United States citizenship? in item 11j on (b)(3) 112 occasions. The transferee failed to mark "Are you an alien illegally in the United States? in item 11k on (b)(3) 112 occasions. The transferee failed to mark "Are you an alien admitted to the United States under a nonimmigrant visa? in item 11l on (b)(3) 112 occasion. The transferee failed to mark Country of Citizenship Item 12 on (b)(3) 112 occasions. The transferee failed to record certification date in item 17 on (b)(3) 112 occasions.

Licensee's response:

The licensee stated that he would review the forms at the end of the business day to obtain complete and accurate ATF F 4473s.

Corrective action:

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The licensee will obtain complete and accurate ATF Forms 4473. (See Report of Violations, Worksheet 3 ATF F 4473 (2012 Edition) & (2016 Edition) Review Worksheet)

X

(b)(6)

Industry Operations Investigator

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