

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Walker, Francis Raphael dba Save A Buck Gun Sales 102 Olde Towne Drive Bardstown, KY 40004, Nelson	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 775045-2016-0220-B1B		
	3. PERMIT/LICENSE NUMBER 461179017K04452	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator
Penny Simpson - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 03/25/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
conduct in conjunction with 4-61-04456

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS	1	2111 - PER DIEM	
NO. OF TECS CHECKS	66	NO. OF TECS HITS	1	2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 03/31/2016
The current inspection disclosed (b)(3) - Public violations of the Gun Control Act which warrants a recommendation of Warning Letter due to the licensee accepting a non-qualifying state permit as a substitute for the NICS check in one instance and failing to complete Multiple Handgun Sales Report during the inspection period. Warning Letter recommended. No recall requested. One referral was submitted.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
MAR 2016	16.00		
ATF OFFICER'S SUBTOTAL	16.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
MAR 2016	7.00		
ATF OFFICER'S SUBTOTAL	7.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	23.00		

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Walker, Francis Raphael dba Save A Buck Gun Sales 102 Olde Towne Drive Bardstown, KY 40004, Nelson	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 775045-2016-0220-B1B		
	3. PERMIT/LICENSE NUMBER 461179017K04452	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Warning letter mailed on 4/1/2016. PII sent to the FFLC on the same date.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

REVIEW DATE

04/01/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____



U.S. Department of Justice
Bureau of Alcohol, Tobacco,
Firearms and Explosives

600 Dr. Martin Luther King Jr. Place, Suite 500
Louisville, Kentucky 40202

March 31, 2016

www.atf.gov

775045(b) (6)
5300

**CERTIFIED MAIL/
RETURN RECEIPT REQUESTED**

Francis Raphael Walker dba Save A Buck Gun Sales (FFL # 4-61-04452)
Attention: Francis Walker
102 Olde Towne Drive
Bardstown, KY 40004

Dear Licensee:

During a recent compliance inspection at your firearms business on March 30, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the ATF Form 5030.5, Report of Violations, issued at the time of the inspection is enclosed.

The violations were fully explained to you by Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (IOI)(b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise,

could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact IOI (b)(6) or myself at (502) 753-3500.

Sincerely yours,

(b)(6)

Area Supervisor
Louisville II Field Office

Enclosure

cc: Federal Firearms Licensing Center
Director, Industry Operations
File

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor <i>Francis Raphael Wqlter</i> <i>Save A Buck Gun Sales</i>	Street Address <i>102 Olde Towne Dr.</i>	City <i>Bardstown</i>	State <i>Ky.</i>	ZIP Code <i>40004</i>	County <i>Nelson</i>	Page <i>1</i> of <i>2</i> Pages
License/Permit/Registry Number (if any) <i>4-61-179-01-7K-04432</i>	Expiration Date <i>10-1-17</i>	Date(s) or Period of Inspection <i>3-30-16</i>				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27CFR478.21(a)	Failure to properly complete an ATF F4473 on forms in instances. 105 forms examined. In instance, Item 31 (2012ed) was blank. In instance, Item 32 (2012ed) was blank. In instance, Item 33 (2012ed) was blank. In instance, Item 35 (2012ed) was blank.	Licensee was instructed to properly complete ATF F4473.	immediately
2	27CFR478.124(c)(1)	Failure to obtain a properly completed 4473 on form in instance. 105 forms examined. In instance, Item 14 (2012ed) was blank.	Licensee was instructed to obtain a properly completed 4473.	immediately
3	27CFR478.124(c)(1)(iv)	Failure to properly complete ATF F4473 on form in instance. 105 forms examined. In instance, Item 21c (2012ed) was blank.	Licensee was instructed to properly complete ATF F4473.	immediately
4	27CFR478.124(c)(4)	Failure to properly complete ATF F4473 on forms in instances. 105 forms examined. In instance, Item 27 (2012ed) was blank. In instance, Item 28 (2012ed) was blank.	Licensee was instructed to properly complete ATF F4473.	immediately

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of ATF Officer: *(b)(6)* *TCI* *OWA*

Date
3-30-16

Date
3-30-16

ATF E-Form 5030.5
Revised April 2005

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Francis Raphael Walker Abq Sale A Buck Gun Sales	Street Address 102 Old Town Dr.	City Bardonia	State Ky.	ZIP Code 40004	County Nelson	Page 2 of 2 Pages
License/Permit/Registry Number (if any) 4-61-179-01-7K-04452		Expiration Date 10-1-17	Date(s) or Period of Inspection 3-30-16			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (if not corrected immediately)	Date Corrections to be Made (if not corrected immediately)
5	27CFR 478.124(c)(5)	Failure to properly complete an ATF 4473 on (b)(3) 11 instances. 103 forms examined. In (b)(3) inst., Item 34 (2012 ed.) was blank. In (b)(3) inst., Item 36 (2012 ed.) was blank.	Licensee was instructed to properly complete ATF 4473.	immediately
6	27CFR 478.126a	Failure to complete a Multiple Handgun Sales Report (b)(3) 112 Public Law 55 125 STAT 552 (b)(6) instance.	Licensee was instructed to complete a Multiple Handgun Sales Report if purchaser acquires 2 or more handguns within 5 bus. days. Licensee was instructed to report unreported MS.	immediately
7	27CFR 478.102(a)	Failure to conduct a background check or obtain a valid alternative permit which qualifies as an exemption to NICS in instance (b)(6)	Licensee was instructed to conduct a bg check or obtain valid alternative permit for any firearm transferred or sold.	immediately

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

UI#: 775045-2016-0220-B1B
FFL#: 4-61-179-01-7K-04452

Address: 102 Olde Towne Drive
Bardstown, Ky. 40004 Nelson County

1 - Introduction

Inspection Profile:

On March 30, 2016, ATF IOI (b)(6) (lead) and IOI (b)(6) visited the business premise of Francis Raphael Walker dba Save A Buck Gun Sales for the purpose of conducting a Disposition Emphasis Compliance Inspection on the Type 01 Federal Firearms License # 4-61-179-01-7K-04452 with an expiration date of October 1, 2017 (UI # 775045-2016-0220-B1B) and conducting a Disposition Emphasis Compliance Inspection on the Type 06 Federal Firearms License # 4-61-179-06-7K-04456 with an expiration date of October 1, 2017 (UI # 775045-2016-0221-B1B). The business is a sole proprietorship in which Francis Walker is listed as owner and sole responsible person. Francis Walker was interviewed and the on-site inspection began. Francis Walker's identity was verified by Kentucky driver's license # (b)(6). The inspection period is March 30, 2015 to present. Advance notice was not provided.

Business Profile:

Save A Buck Gun Sales solely conducts retail sales of firearms at the premise. Francis Walker stated he has a Type 06 FFL to Manufacture Ammunition but he is no longer engaged in that activity. Mr. Walker stated he sold all of his equipment over a year ago and does not intend to renew his Type 06 FFL when it expires on October 1, 2017. Mr. Walker stated he does not conduct gun show sales, gunsmithing activity, consignment sales, internet sales or pawn transactions. The business premise is located in a room attached to Francis Walker's residence at 102 Olde Towne Drive, Bardstown, Ky. 40004. This is a suburban setting. A Type 01 FFL is the appropriate license for the type of business activity conducted. The licensee currently maintains 88 firearms in inventory. This FFL acquired (b)(4) firearms during the previous year. Handguns account for (b)(4) % of the business and long guns account for (b)(4) of the business. Used firearms account for (b)(4) of the business and new firearms account for (b)(4) of the business. The licensee's primary suppliers for new firearms are (b)(4). Francis Walker is the primary employee of the business who conducts firearm sales. Hours of operation are currently listed as Tuesday 2 pm to 10 pm, Wednesday and Thursday 9 am to 5 pm. Francis Walker stated he works full-time (b)(6) but is usually off work on the listed business hours for the FFL. Security includes cameras and motion detectors.

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

UI#: 775045-2016-0220-B1B
FFL#: 4-61-179-01-7K-04452

Ownership and Control:

As previously stated, the business is a sole proprietorship in which Francis Walker is listed as owner and sole responsible person. Francis Walker stated he owns 100% of the business. No changes in ownership/control reported. No hidden ownership is suspected.

Variance:

No variance requests were submitted as a result of this inspection.

2 - Recommendation

The current inspection disclosed seven violations of the Gun Control Act which warrants a recommendation of Warning Letter due to the licensee accepting a non-qualifying state permit as a substitute for the NICS check in one instance and failing to complete one Multiple Handgun Sales Report during the inspection period. Warning Letter recommended. No recall requested. One referral was submitted.

3 - Inspection History

Date of Inspection: 8/19/2011
Type of Inspection: Qualification Inspection
UI#: 775045-2011-0375-B1B
Inspection Results: Application approved.

4 - Acquisition & Disposition (A&D) Record - Inventory

The licensee maintains a computerized A & D record. The computerized A & D record appears to be compliant with ATF Ruling 2013-5. The A & D record is in the correct format. The licensee stated he has been conducting an inventory for comparison to the A & D record on a quarterly basis. There was no tag system set up which would reference a particular firearm in the physical inventory with the A & D records. The licensee was able to print out a list of firearms listed as open dispositions in the A & D record for comparison to the current inventory. The licensee stated the acquisitions and dispositions are typically entered into the bound book the same day of the transaction. The licensee's current procedures with regards to the inventory and A & D record are adequate as there were no A & D record errors disclosed during the inspection.

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

UI#: 775045-2016-0220-B1B
FFL#: 4-61-179-01-7K-04452

At the time of the inspection, there were 88 firearms in physical inventory and there were [REDACTED] firearms on hand according to the licensee's A & D records. A 100% inventory was conducted on the firearms. No discrepancies were disclosed.

[REDACTED] firearms located on commercial invoices were compared to the A & D record. No discrepancies were disclosed.

[REDACTED] ATF F 4473s during the inspection period were compared to the A & D record. No discrepancies disclosed.

(See Worksheet 2 - Inventory and A & D Review Worksheet)

5 - ATF Forms 4473 – NICS & Other Dispositions

The salesclerk is responsible for the proper completion of ATF Forms 4473 at the time of the transaction. The licensee verifies the ID of the purchaser, enters the licensee information and signs the form. There were no errors disclosed during the current inspection which were related to the identification section. There were no errors related to the certification section. The majority of the ATF F 4473 errors occurred in Section D. The licensee currently maintains their ATF F 4473s in chronological order. There are instances where a firearm is shipped to a licensee outside the business on behalf of a customer. In these instances, the licensee obtains a certified copy of the recipient dealer's FFL and a file copy is maintained. Overall, the error rate for proper completion of the ATF F 4473 was 11.4% during the inspection period. The licensee's procedures with regards to the ATF F 4473 need to show improvement.

The inspection period was March 30, 2015 to present. [REDACTED] ATF F 4473s were reviewed. There were deficiencies noted on [REDACTED] forms. These violations included the following:

Failure to properly complete ATF F4473s. [REDACTED] forms were examined. Errors were found in [REDACTED] instances on [REDACTED] forms. The following was found: In [REDACTED] instance, Item 31 (2012 edition) was blank. In [REDACTED] instance, Item 32 (2012 edition) was blank. In [REDACTED] instances, Item 33 (2012 edition) was blank. In [REDACTED] instances, Item 35 (2012 edition) was blank. **(See Exhibit 1-Photos of ATF F 4473 Errors for Violation of 27CFR478.21(a), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)**

Failure to obtain a properly completed ATF F4473 in [REDACTED] out of [REDACTED] forms examined. A total of [REDACTED] instance was found to be either missing, incomplete or incorrect. The following was found: In [REDACTED] instance, Item 14 (2012 edition) was blank. **(See Exhibit 2- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(1), Violations,**

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

UI#: 775045-2016-0220-B1B
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Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)

Failure to properly complete ATF F4473s. [REDACTED] forms were examined. Errors were found in [REDACTED] instance on [REDACTED] form. The following was found: In [REDACTED] instance, Item 21c (2012 edition) was blank. **(See Exhibit 3- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(3)(iv), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)**

Failure to properly complete ATF F4473s. [REDACTED] forms were examined. Errors were found in [REDACTED] instances on [REDACTED] forms. The following was found: In [REDACTED] instances, Item 27 (2012 edition) was blank. In [REDACTED] instance, Item 28 (2012 edition) was blank. **(See Exhibit 4- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(4), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)**

Failure to properly complete ATF F4473s. [REDACTED] forms were examined. Errors were found in [REDACTED] instances on [REDACTED] forms. The following was found: In [REDACTED] instances, Item 34 (2012 edition) was blank. In [REDACTED] instances, Item 36 (2012 edition) was blank. **(See Exhibit 5- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(5), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)**

Failure to complete a Multiple Handgun Sales Report when two or more handguns are transferred/sold to the same individual within five working days. The licensee failed to complete [REDACTED] Multiple Handgun Sales Report during the inspection period. **(b)(6)** **(See Exhibit 6- Photos of ATF F 4473 Errors for Violation of 27CFR478.126a, Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)**

The licensee failed to conduct a background check (NICS check) or obtain a valid state permit in [REDACTED] instance in violation of 27CFR478.102(a). In this instance, the licensee completed an ATF F 4473 but accepted a non-qualifying state permit as a substitute for the NICS check. The licensee accepted a KY CCDWL which was not valid at the time of transfer. **(b)(6)** 1-26-16) **(See Exhibit 7- Photos of ATF F 4473 Errors for Violation of 27CFR478.102(a), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)**

Transaction approval numbers were acquired from NICS for the period of January 28, 2016 to March 28, 2016. There were 14 approval numbers/open transactions for this period. There were no denied transactions during the inspection period. All 14 NTN's for approved/open transactions were compared to the ATF F 4473s. No discrepancies disclosed. **(See Exhibit 8- NICS Audit Log)**

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

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Overall, there were deficiencies noted on (b)(3) of the (b)(3) forms reviewed which is an error rate of 11.4%. The licensee accepted a non-qualifying state permit as substitute for the NICS check in (b)(3) instance. The licensee failed to complete (b)(3) Multiple Handgun Sales Report during the inspection period. Violations of 27 CFR §§ 478.21(a), 478.124(c)(1), 478.124(c)(3)(iv), 478.124(c)(4), 478.124(c)(5), 478.126a and 478.102(a) were cited on the Report of Violations. **(See Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)**

6 - Multiple Sales

The licensee reported (b)(3) multiple sale transactions involving (b)(3) handguns during the past year according to the report acquired from the Violent Crime Analysis Branch. A review of the ATF F 4473s during the previous year disclosed (b)(3) unreported multiple handgun sale. The licensee failed to complete a Multiple Handgun Sales Report for (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(See Exhibit 6- Photos of ATF F 4473 Errors for Violation of 27CFR478.126a, Exhibit 9- VCAB Query, Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)

7 - Suspicious/Prohibited Purchasers

The ATF F 4473s during the inspection period were reviewed to determine if any trafficking patterns were present. (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

. A referral was made to ATF CE in this instance. **(See Referrals)**

8 - Trace Activity

Prior to conducting the inspection, the Firearms Trace History of the licensee was obtained from the Violent Crime Analysis Branch for the previous year. The VCAB Query indicated the licensee was associated with (b)(3) firearm trace request. This trace attempt was successful. **(See Exhibit 9- VCAB Query)**

A secondary market analysis was conducted. (b)(3) used firearms were randomly chosen from the A & D record. These firearms were run through NCIC and LEAD. There were no firearms associated with the secondary market analysis which had been

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

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reported stolen according to NCIC. There were no firearms associated with the secondary market analysis which had been traced after the firearm was acquired by the FFL.

9 - NFA/Importer/Collector/Manufacturer

No NFA/Importer/Collector/Manufacturer operations conducted.

10 - Referrals

Referral #1

The following referral was submitted to (b)(6), ATF Special Agent in Charge, Louisville Field Division for information and whatever action deemed appropriate. A response back to the referring office is not requested.

During the Disposition Emphasis Compliance Inspection which began on March 30, 2016, at Francis Raphael Walker dba Save A Buck Gun Sales (Type 1 FFL # 4-61-179-01-7K-04452) located at 102 Olde Towne Drive, Bardstown, Ky. 40004, the following individual was identified as possibly dealing without a license in violation of 27CFR478.41(a):

(b)(6)

A review of the ATF F 4473s during the inspection period disclosed (b)(6)
(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

(b)(6) . ATF TFO (b)(6) out of the Chicago IV Field Office entered this information (772025-16-0025). IOI (b)(6) questioned Francis Walker (owner of Save A Buck Gun Sales) as to why (b)(6). Francis Walker stated he did not know what (b)(6) was doing with all of the firearms but if he had to guess he was

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
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probably selling them at gun shows. Francis Walker further stated that (b)(6) son (also named (b)(6)) coated firearms. Francis Walker provided a business card which listed (b)(6) as the owner/operator of (b)(4). FLS did not disclose a license for (b)(6), (b)(4).

A copy of (b)(6) ATF F 4473s and the (b)(4) business card are attached with this report.

11 - Other

No other information.

12 - Closing Actions

On March 30, 2016, IOI (b)(6) and IOI (b)(6) attended a closing conference at the licensed premises. Francis Walker was present. A review of the regulatory requirements was conducted. An Acknowledgement of Federal Firearms Regulations was signed by Francis Walker. (See **Exhibit 10-Acknowledgement of Federal Firearms Regulations**)

A Report of Violations (ATF F 5030.5) was issued on March 30, 2016 by IOI (b)(6) and reviewed. Francis Walker was present. Francis Walker signed the Report of Violations. (See **Report of Violations**)

13 - Violations

1. **27 CFR 478.21(a) – Forms Prescribed**

Failure to properly complete ATF F4473s. (b)(6) forms were examined. Errors were found in (b)(6) instances on (b)(6) forms. The following was found:

In (b)(6) instance, Item 31 (2012 edition) was blank.

In (b)(6) instance, Item 32 (2012 edition) was blank.

In (b)(6) instances, Item 33 (2012 edition) was blank.

In (b)(6) instances, Item 35 (2012 edition) was blank.

Francis Walker stated the ATF F 4473 errors were unintentional mistakes. Francis Walker stated he was going to start reviewing the ATF F 4473s more closely to reduce the number of errors.

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

UI#: 775045-2016-0220-B1B
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Licensee was instructed to properly complete ATF F4473s.

(See Exhibit 1-Photos of ATF F 4473 Errors for Violation of 27CFR478.21(a), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)

2. 27 CFR 478.124(c)(1) – Firearms Transaction Record

Failure to obtain a properly completed ATF F4473 in [REDACTED] out of [REDACTED] forms examined. A total of [REDACTED] instance was found to be either missing, incomplete or incorrect. The following was found:

In [REDACTED] instance, Item 14 (2012 edition) was blank.

Francis Walker stated the ATF F 4473 errors were unintentional mistakes. Francis Walker stated he was going to start reviewing the ATF F 4473s more closely to reduce the number of errors.

Licensee was instructed to obtain a properly completed ATF F 4473.

(See Exhibit 2- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(1), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)

3. 27CFR478.124(c)(3)(iv) – Firearms Transaction Record

Failure to properly complete ATF F4473s. 105 forms were examined. Errors were found in [REDACTED] instance on [REDACTED] form. The following was found:

In [REDACTED] instance, Item 21c (2012 edition) was blank.

Francis Walker stated the ATF F 4473 errors were unintentional mistakes. Francis Walker stated he was going to start reviewing the ATF F 4473s more closely to reduce the number of errors.

Licensee was instructed to properly complete ATF F4473s.

(See Exhibit 3- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(3)(iv), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

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4. 27CFR478.124(c)(4) – Firearms Transaction Record

Failure to properly complete ATF F4473s. 105 forms were examined. Errors were found in [REDACTED] instances on [REDACTED] forms. The following was found:

In [REDACTED] instances, Item 27 (2012 edition) was blank.

In [REDACTED] instance, Item 28 (2012 edition) was blank.

Francis Walker stated the ATF F 4473 errors were unintentional mistakes. Francis Walker stated he was going to start reviewing the ATF F 4473s more closely to reduce the number of errors.

Licensee was instructed to properly complete ATF F4473s.

(See Exhibit 4- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(4), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)

5. 27CFR478.124(c)(5) – Firearms Transaction Record

Failure to properly complete ATF F4473s [REDACTED] forms were examined. Errors were found in [REDACTED] instances on [REDACTED] forms. The following was found:

In [REDACTED] instances, Item 34 (2012 edition) was blank.

In [REDACTED] instances, Item 36 (2012 edition) was blank.

Francis Walker stated the ATF F 4473 errors were unintentional mistakes. Francis Walker stated he was going to start reviewing the ATF F 4473s more closely to reduce the number of errors.

Licensee was instructed to properly complete ATF F4473s.

(See Exhibit 5- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(5), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)

6. 27CFR478.126a – Reporting Multiple Sales or Other Disposition of Pistols and Revolvers

Failure to complete a Multiple Handgun Sales Report when two or more handguns are transferred/sold to the same individual within five working days.

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

UI#: 775045-2016-0220-B1B
FFL#: 4-61-179-01-7K-04452

The licensee failed to complete (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) Multiple Handgun Sales Report during the inspection period. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Francis Walker stated the ATF F 4473 errors were unintentional mistakes. Francis Walker stated he was going to start reviewing the ATF F 4473s more closely to reduce the number of errors. Francis Walker stated his new computer system should catch any future unreported multiple sales.

The licensee was instructed to complete/report a Multiple Handgun Sales Report when two or more handguns are transferred/sold to the same individual within five working days. Licensee was instructed to report the unreported multiple sale.

(See Exhibit 6- Photos of ATF F 4473 Errors for Violation of 27CFR478.126a, Exhibit 9- VCAB Query, Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)

7. 27CFR478.102(a)- Sales or Deliveries of Firearms on and after November 30, 1998

The licensee failed to conduct a background check (NICS check) or obtain a valid state permit in (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance in violation of 27CFR478.102(a).

In this instance, the licensee completed an ATF F 4473 (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) NCIC did not disclose this individual was prohibited.

Francis Walker stated the ATF F 4473 errors were unintentional mistakes. Francis Walker stated he was going to start reviewing the ATF F 4473s more closely to reduce the number of errors.

The licensee was instructed to conduct a NICS check or obtain valid alternative permit for any firearms transferred/sold.

(See Exhibit 7- Photos of ATF F 4473 Errors for Violation of 27CFR478.102(a), Violations, Report of Violations, Worksheet 3 - ATF Form 4473 (2012 Edition) Review Worksheet)

FIREARMS INSPECTION REPORT

Name: Francis Raphael Walker
Trade Name: Save A Buck Gun Sales

UI#: 775045-2016-0220-B1B
FFL#: 4-61-179-01-7K-04452

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Report of Violations

Worksheets

- 1-Information Page
- 2-Inventory and A&D Review Worksheet
- 3-ATF F 4473 (2012 Edition) Review Worksheet
- 4-Statistical Page

Exhibits

- 1- Photos of ATF F 4473 Errors for Violation of 27CFR478.21(a)
- 2- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(1)
- 3- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(3)(iv)
- 4- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(4)
- 5- Photos of ATF F 4473 Errors for Violation of 27CFR478.124(c)(5)
- 6- Photos of ATF F 4473 Errors for Violation of 27CFR478.126a
- 7- Photos of ATF F 4473 Errors for Violation of 27CFR478.102(a)
- 8- NICS Audit Log
- 9- VCAB Query
- 10- Acknowledgement of Federal Firearms Regulations

Referrals

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)