

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) SHOOTERS SUPPLY & LAW ENFORCEMENT EQUIPMENT CO LLC 8007 8 VINECREST AVE LOUISVILLE, KY 40222, JEFFERSON	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 775045-2016-0079-B1B 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS 461111016G03825 6/30/2016 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)
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6. ATF OFFICER(S) ASSIGNED

- (b)(6) - Assigned Investigator
- (b)(6) - Assigned Investigator
- (b)(6) - Assigned Investigator
- (b)(6) - Assigned Investigator
- (b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b)(6), Area Supervisor, 10/16/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
 EXP 07/01/2016 Conduct full firearms compliance inspection in accordance with the provisions of ATF H 5030.2C and the 2016 Domain Assessment. Please verify if this FFL owns a current FEL, and if so, conduct compliance inspection of that FEL as well.

9. INSPECTION RESULTS	CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF VIOLATIONS	5	NO. OF REFERRALS	2111 - PER DIEM
NO. OF TECS CHECKS	7	NO. OF TECS HITS	2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES	2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS	2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 03/10/2016
 A compliance inspection was conducted in accordance with ATF H 5030.2C and the 2016 Domain Assessment. The licensee was cited for five violations.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)		
FEB 2016	25.00		
ATF OFFICER'S SUBTOTAL	25.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)		
FEB 2016	27.00		
ATF OFFICER'S SUBTOTAL	27.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)		
FEB 2016	32.00		
ATF OFFICER'S SUBTOTAL	32.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)		
FEB 2016	31.00		
ATF OFFICER'S SUBTOTAL	31.00	ATF OFFICER'S SIGNATURE	

Assignment and Report

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12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
JAN 2016	5.00
FEB 2016	109.00
MAR 2016	4.00
ATF OFFICER'S SUBTOTAL	118.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	233.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Inspection completed on 2/26/2016. FFL was cited for 5 violations. 2 violations rise to the level of WL. Warning Letter was mailed on 3/10/2016. PII sent to the FFLC on same date.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
(b)(6) - Area Supervisor	03/10/2016

ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____



U.S. Department of Justice
Bureau of Alcohol, Tobacco,
Firearms and Explosives

600 Dr. Martin Luther King Jr. Place, Suite 500
Louisville, Kentucky 40202

March 10, 2016

www.atf.gov

775045(b)(6)
5300

**CERTIFIED MAIL/
RETURN RECEIPT REQUESTED**

Shooters Supply & Law Enforcement Equipment LLC
Attention: Ron Buschmann
8007-8 Vinecrest Avenue
Louisville, Kentucky 40222

Dear Licensee:

During a recent compliance inspection at your firearms business covering the period of February 8, 2016, through February 25, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the ATF Form 5030.5, Report of Violations, issued at the time of the inspection is enclosed.

The violations were fully explained to you by Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Senior Industry Operations Investigator (SIOI) (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise,

could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact SIOI (b)(6) or myself at (502) 753-3500.

Sincerely yours,

(b)(6)

Area Supervisor
Louisville II Field Office

Enclosure

cc: Federal Firearms Licensing Center
Director, Industry Operations
File

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor SHOOTERS SUPPLY & LAW ENFORCEMENT EQUIPMENT CO LLC	Street Address 8007 8 VINECREST AVE	City LOUISVILLE	State KY	Zip Code 40222-	County JEFFERSON	Page 1 of 2:Pages
License/Permit/Registry Number (If any) 461111016G03825	Expiration Date 7/1/2016	Date(s) or Period of Inspection 02/08/2016 through 02/25/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to retain a copy of an ATF Form 4473 for a transferred firearm on (b)(7)(F) occasion.

Citation: 27 CFR 478.129(b)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The individual who purchased the firearm came in during the inspection and completed an ATF Form 4473. The licensee will retain all ATF Forms 4473 as required. (b)(6)

Number: 2

Nature of Violation:

The licensee failed to report the multiple sale or other disposition of pistols or revolvers on ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols or Revolvers, on (b)(7)(F) occasion involving the sale of (b)(7)(F) handguns.

Citation: 27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will report the multiple sale or other disposition of pistols or revolvers on ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols or Revolvers, as required.

Number: 3

Nature of Violation:

The licensee failed to record the disposition of firearms in the Acquisition and Disposition Record on (b)(7)(F) occasions. The licensee incorrectly recorded the disposition of a firearm on (b)(7)(F) occasion. The licensee failed to record the correct serial number in the acquisition and disposition record on (b)(7)(F) occasions.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will correctly and timely record all firearms acquisitions and dispositions in the Acquisition and Disposition Record.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor SHOOTERS SUPPLY & LAW ENFORCEMENT EQUIPMENT CO LLC	Street Address 8007 S VINECREST AVE	City LOUISVILLE	State KY	Zip Code 40222-	County JEFFERSON	Page 2 of 2 Pages
License/Permit/Registry Number (If any) 461111016G03825	Expiration Date 7/1/2016	Date(s) or Period of Inspection 02/02/2016 through 02/25/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.125(e)

Number: 4

Nature of Violation:

The licensee failed to obtain complete and accurate ATF Forms 4473 on (b)(6) occasion. The transferee answered question 11a - Are you the actual transferee/buyer of the firearm(s) listed on this form? - incorrectly. The transferee answered "No." There is no indication that transferee met the requirement of expectation for answering "Yes" to the question.

Citation: 27 CFR 478.21(a) (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee will obtain complete and accurate ATF Forms 4473. (If not corrected immediately)

Number: 5

Nature of Violation:

The licensee failed obtain complete and accurate ATF Form 4473 on (b)(6) occasion. The transferee failed to answer Item 11j - Have you ever renounced your United States citizenship? The transferee had a Kentucky Concealed Carry Permit (an exemption to NICS).

Citation: 27 CFR 478.124(c)(1) (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee will obtain complete and accurate ATF Forms 4473. (If not corrected immediately)

I Have Read This Report of Violations (Proprietor's signature and title)

(b)(6)

Date

2/25/16

Signature and Title of ATF Officer

(b)(6)

Date

2/25/2016

FIREARMS INSPECTION REPORT

Name: Shooter's Supply & Law Enforcement **UI#:** 775045-2016-0079
Equipment CO LLC
Trade Name: **FFL#:** 4-61-111-01-6G-03825

Address: 8007 8 Vinecrest Avenue
Louisville, Kentucky 40222

1 - Introduction

Inspection Profile:

An unannounced compliance inspection was conducted at the licensed premises on 02/08/2016, by Senior Industry Operations Investigator (b)(6) and Industry Operations Investigators: (b)(6) (b)(6) (b)(6) and (b)(6). Ron Buschmann, Sole Managing Member, was interviewed for purposes of the inspection. The inspection covered the period 02/08/2015 through 02/08/2016.

Business Profile:

The licensee operates a large retail firearm and sporting goods business in Louisville, Kentucky. The business premises is a commercial location. The licensee acquired (b)(4) firearm and disposed of approximately (b)(4) firearms during the inspection period. The licensee deals in new and used firearms; however, a large majority of the firearms are new. The licensee does not make sales at gun shows. The licensee does conduct internet sales. The licensee does not operate any other businesses from the licensed premises. The licensee is in compliance with zoning requirements. The licensee does not maintain any other ATF licenses. The licensee has held the ATF license since 2010. There are no off-site storage locations.

Ownership and Control:

The licensee is limited liability company owned and operated by Sole Managing Member, Ron Buschmann. The LLC is registered with the state of Kentucky. See Exhibit 15.

Variance:

The licensee does not maintain a variance.

2 - Recommendation

Violations, Warning Letter and No Recall.

FIREARMS INSPECTION REPORT

Name: Shooter's Supply & Law Enforcement
Equipment CO LLC
Trade Name:

UI#: 775045-2016-0079
FFL#: 4-61-111-01-6G-03825

3 - Inspection History

This was the licensee's first compliance inspection

Date of Inspection: May 2010
Type of Inspection: Application Inspection
UI#: 775045-2010-0230
Inspection Results:
Cited Violations:

4 - Acquisition & Disposition (A&D) Record - Inventory

A full serial number inventory was completed. There were 1243 firearms in inventory at the time of the inspection. A comparison of the inventory to the A&D Records showed (b)(3) 112 FBI open entries. There were (b)(3) 112 FBI instances of violations of 27 CFR 478.125(e). The licensee failed to record the disposition of (b)(3) 112 FBI firearms. Specifically, the licensee did not log out the following (b)(3) 112 FBI NFA Firearms: (b)(3) 112 Public Law 55 125 STAT 552

(b)(3) 112 FBI. See Exhibits (b)(3) 112 FBI Inventory and A&D Worksheet, and Violation 3. The licensee failed to record the disposition of the following (b)(3) 112 Public Law 55 125 STAT 552 firearms sent back to the manufacturer for repair: (b)(3) 112 Public Law 55 125 STAT 552. See Exhibits 13-14, Inventory and A&D Worksheet, and Violation 3. The licensee incorrectly recorded the disposition of a firearm on (b)(3) 112 FBI occasion. The licensee recorded the disposition of (b)(3) 112 Public Law 55 125 STAT 552. See Exhibit (b)(3) 112 FBI, Inventory and A&D Worksheet, and Violation 3. The licensee failed to record the correct serial number in the acquisition and disposition record on (b)(3) 112 FBI occasions. See Exhibits 9 -11, Inventory and A&D Worksheet, and Violation 3.

There were no thefts or losses during the inspection period. The A&D record is in the proper format. There were twenty firearms traced through the licensee's required records from acquisition through disposition, and no discrepancies were identified. There were no trafficking issues. There were no firearms with obliterated serial numbers or illegal firearms.

5 - ATF Forms 4473 – NICS & Other Dispositions

There were (b)(3) 112 FBI ATF Forms 4473 on file for the inspection period. All of the ATF Forms 4473 were reviewed. The review of the forms indicated (b)(3) 112 FBI forms with errors. There was (b)(3) 112 FBI violation of 27 CFR 478.21(a). The licensee failed to obtain a complete and accurate ATF Form 4473. The transferee answered question 11 a – Are you the

FIREARMS INSPECTION REPORT

Name: Shooter's Supply & Law Enforcement
Equipment CO LLC

UI#: 775045-2016-0079

Trade Name:

FFL#: 4-61-111-01-6G-03825

actual transferee/buyer of the firearm(s) listed on this form? – incorrectly. The transferee answered “No.” There was no indication that the transferee met the requirement of exception for answering “Yes” to the question. See Exhibit 4, ATF Form 4473 (2012 Edition) Review Worksheet, and Violation 4. There was (b)(3)(1)(2) violation of 27 CFR 478.124(c)(1). The licensee failed to obtain a complete and accurate ATF Form 4473. The transferee failed to answer Item 11j – Have you ever renounced your United States citizenship? The transferee had a Kentucky Concealed Carry Permit (an exemption to NICS). See Exhibit 5, ATF Form 4473 (2012 Edition) Review Worksheet, and Violation 5. The licensee had (b)(3)(1)(2) violation of 27 CFR 478.129(b). The licensee failed to retain a copy of an ATF Form 4473 for a transferred firearm on (b)(3)(1)(2) occasion. The licensee stated that he was sure that the individual, (b)(6) completed an ATF Form 4473 because they had recorded his KY Concealed Carry Permit Number (an exemption to NICS) in the Acquisition and Disposition Record. The licensee further stated that when the individual returned on 2/21/2016 to complete an ATF Form 4473, the individual, (b)(6), stated that he had completed a form the first time. The ATF Form 4473 showed the same KY CCDW permit number with an issue date prior to the disposition date of the firearm. The original sales clerk could not be questioned. He is now retired. The licensee also had invoices showing the transferee of the firearm to (b)(6). See Exhibit 2, ATF Form 4473 (2012 Edition) Review Worksheet, and Violation 1.

The licensee transferred firearms to other licensees properly. The licensee makes sales to law enforcement officers correctly. There were no trafficking issues. An FFL audit log verification was conducted. There were 317 listed NICS transactions for the time period. There were no discrepancies. The licensee reviews each ATF Form 4473 at the end of the day for completeness. The licensee stated that he assumed that he just overlooked the (b)(3)(1)(2) forms with errors. The licensee had a less than 1% error rate on the ATF Forms, excluding (b)(3)(1)(2) unreported multiple sale.

6 - Multiple Sales

The licensee failed to report the multiple sale of pistols or revolvers on (b)(3)(1)(2) occasion involving (b)(3)(1)(2) firearms. See Exhibit 3, ATF Form 4473 (2012 Edition) Review, and Violation 2. The licensee had (b)(3)(1)(2) multiple sale transaction involving (b)(3)(1)(2) firearms that were properly reported.

7 - Suspicious/Prohibited Purchasers

There were no suspicious/prohibited purchasers.

FIREARMS INSPECTION REPORT

Name: Shooter's Supply & Law Enforcement
Equipment CO LLC

UI#: 775045-2016-0079

Trade Name:

FFL#: 4-61-111-01-6G-03825

8 - Trace Activity

There were (b)(3) firearms traced to the licensee during the inspection period. All of the traces were successful.

9 - NFA/Importer/Collector/Manufacturer

The licensee is an NFA dealer. The licensee transferred approximately (b)(4) NFA Firearms during the inspection period. A review of the NFA list to the licensee's NFA records indicated (b)(3) discrepancies. (b)(3) 112 Public Law 95 of the firearms listed on the NFA list, the licensee did not receive. There (b)(3) 112 FLD firearms in inventory that were not listed on the NFA list. The ATF Form 3 was obtained for each firearm. The information was forwarded to the NFA branch.

10 - Referrals

There were no referrals.

11 - Other

No other information was disclosed.

12 - Closing Actions

A closing conference was conducted with Ron Buschmann on 02/25/2016. The Acknowledgment of Firearms Regulations was reviewed with and signed by Mr. Buschmann.

13 - Violations

1. 27 CFR 478.129(b)

Nature of violation:

The licensee failed to retain a copy of the ATF Form 4473 for a transferred firearm on (b)(3) occasion.

Licensee's response:

The licensee stated that he was sure that the individual completed an ATF Form 4473 because they had recorded his Kentucky Concealed Carry Permit Number (an exemption to NICS) in the Acquisition and Disposition Record. The licensee further stated that when the individual returned on 2/21/2016 to complete an ATF Form 4473,

FIREARMS INSPECTION REPORT

Name: Shooter's Supply & Law Enforcement
Equipment CO LLC

UI#: 775045-2016-0079

Trade Name:

FFL#: 4-61-111-01-6G-03825

the individual, (b)(6), stated that he had completed a form the first time. The ATF Form 4473 showed the same KY CCDW permit number with an issue date prior to the disposition date of the firearm. The original sales clerk could not be questioned. He is now retired. The licensee stated the he is irritated that errors have occurred.

Corrective action:

The individual who purchased the firearm came in during the inspection and completed an ATF Form 4473. The licensee will retain all ATF Forms 4473 as required.

Reference ATF Form 4473 (2012 Edition) Review Worksheet and Exhibit 2.

2. **27 CFR 478.126a**

Nature of Violation:

The licensee failed to report the multiple sale or other disposition of pistols or revolvers on ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols or Revolvers, on (b)(1)(2) occasion involving the sale of (b)(1)(2) handguns.

Licensee's Response:

The licensee stated that they are usually diligent in making sure that all multiple sales are reported; however, he stated that he assumed that they just "missed" (b)(1)(2).

Corrective Action:

The licensee will report the multiple sale or other disposition of pistols or revolvers on ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols or Revolvers, as required.

Reference ATF Form 4473 (2012 Edition) Review Worksheet and Exhibit 3.

3. **27 CFR 478.125(e)**

Nature of Violation:

The licensee failed to record the disposition of firearms in the Acquisition and Disposition Record on (b)(1)(2) occasions. The licensee incorrectly recorded the disposition of a firearm on (b)(1)(2) occasion. The licensee failed to record the correct serial number in the acquisition and disposition record on (b)(1)(2) occasions.

FIREARMS INSPECTION REPORT

Name: Shooter's Supply & Law Enforcement **UI#:** 775045-2016-0079
Equipment CO LLC
Trade Name: **FFL#:** 4-61-111-01-6G-03825

Licensee's Response:

The licensee stated that errors should not have occurred.

Corrective Action:

The licensee will correctly and timely record all firearms acquisitions and dispositions in Acquisition and Disposition Record.

Reference Inventory and A&D Worksheet and Exhibits 6-14.

4. **27 CFR 478.21(a)**

Nature of Violation:

The licensee failed to obtain complete and accurate ATF Forms 4473 on [REDACTED] occasion. The transferee answered question 11a - Are you the actual transferee/buyer of the firearm(s) listed on this form? - incorrectly. The transferee answered "No." There is no indication that transferee met the requirement of exception for answering "Yes" to the question.

Licensee's Response:

The licensee stated that the forms are reviewed, and that the violation should not have occurred.

Corrective Action:

The licensee will obtain complete and accurate ATF Forms 4473.

Reference ATF Form 4473 (2012 Edition) Review Worksheet and Exhibit 4.

5. **27 CFR 478.124(c)(1)**

Nature of Violation:

The licensee failed obtain complete and accurate ATF Form 4473 on [REDACTED] occasion. The transferee failed to answer Item 11j - Have you ever renounced your United States citizenship? The transferee had a Kentucky Concealed Carry Permit (an exemption to NICS).

FIREARMS INSPECTION REPORT

Name: Shooter's Supply & Law Enforcement
Equipment CO LLC

UI#: 775045-2016-0079

Trade Name:

FFL#: 4-61-111-01-6G-03825

Licensee's Response:

The licensee stated that the forms are reviewed, and that the violation should not have occurred.

Corrective Action:

The licensee will obtain complete and accurate ATF Forms 4473.

Reference ATF Form 4473 (2012 Edition) Review Worksheet and Exhibit 5.

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Worksheet 1 – Inventory & A&D Review Worksheet

Worksheet 2 – ATF Form 4473 (2012 Edition) Review Worksheet

Exhibit 1 – Acknowledgement of Federal Firearms Regulations

Exhibit 2 – (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Exhibit 3 –

Exhibit 4 –

Exhibit 5 –

Exhibit 6 –

Exhibit 7 –

Exhibit 8 –

Exhibit 9 –

Exhibit 10

Exhibit 11

Exhibit 12

Exhibit 13

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Name: Shooter's Supply & Law Enforcement
Equipment CO LLC

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Trade Name:

FFL#: 4-61-111-01-6G-03825

Exhibit 14 – (b)(3), 112 Public Law 55 128 STAT 582

Exhibit 15 – LLC Information