

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) SOUTHERN ARMAMENT LLC 11707 Robinlynn Lane LOUISVILLE, KY 40243, JEFFERSON	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 775045-2015-0327-B1B		
	3. PERMIT/LICENSE NUMBER 461111077G04270	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED  
(b)(6) - Lead Investigator  
(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
(b)(6), Area Supervisor, 08/31/2015

8. PURPOSE/SPECIAL INSTRUCTIONS  
Conduct full firearms mandatory FMI compliance inspection in accordance with applicable provisions of ATF H 5030.2C and the 2016 Domain Assessment.

9. INSPECTION RESULTS		CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	8	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION  
Submitted by (b)(6) - Industry Operations Investigator  
Submitted on: 01/26/2016

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
SEP 2015	1.00		
OCT 2015	31.00		
JAN 2016	33.00		
ATF OFFICER'S SUBTOTAL	65.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
OCT 2015	18.00		
SEP 2016	14.00		
ATF OFFICER'S SUBTOTAL	32.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	97.00		

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	3. PERMIT/LICENSE NUMBER 461111077G04270	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

8 violations. Two rise to the level of W/L.  
W/L mailed 1/26/16. PII sent to the FFLC to update FLS inspection history.

Viols WL ONLY and No Recall Inspection

☐ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

#### SIGNATURE AND TITLE

(b)(6) - Area Supervisor

#### REVIEW DATE

01/26/2016

#### ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor SOUTHERN ARMAMENT LLC	Street Address 11707 Robinlynn Lane	City LOUISVILLE	State KY	Zip Code 40243-	County JEFFERSON	Page 1 of 3 Pages
License/Permit/Registry Number <i>(If any)</i> 461111077G04270		Expiration Date 7/1/2017		Date(s) or Period of Inspection 10/01/2015 through 10/01/2015		

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 1

**Nature of Violation:**

Licensee failed to record the acquisition of (b)(3) 112 firearms. firearms were missing from inventory.

**Citation:** 27 CFR 478.125(e)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee will record all acquisitions as required.

*(If not corrected immediately)*

**Number:** 2

**Nature of Violation:**

The licensee failed to obtain complete and accurate ATF Forms 4473.  
The transferee failed to mark Ethnicity on item 10a on (b)(3) 11 occasion. The licensee failed to mark the type of firearm transferred item 18 on (b)(3) 11 occasions. The licensee failed to record the FFL name, address, and FFL number in items 31 and 32 on (b)(3) 112 Public L occasions. The licensee failed to print the transferor/seller name in item 33 on (b)(3) 112 Public L occasions. The licensee failed to record the transferor/seller title in item 35 on (b)(3) 112 Public L occasions.

**Citation:** 27 CFR 478.21(a)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee will obtain complete and accurate ATF Forms 4473.

*(If not corrected immediately)*

# Report of Violations

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Name of Proprietor SOUTHERN ARMAMENT LLC	Street Address 11707 Robinlynn Lane	City LOUISVILLE	State KY	Zip Code 40243-	County JEFFERSON	Page 2 of 3 Pages
License/Permit/Registry Number <i>(If any)</i> 461111077G04270		Expiration Date 7/1/2017		Date(s) or Period of Inspection 10/01/2015 through 10/01/2015		

## Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

<b>Number:</b> 3	<b>Date Corrections to be Made:</b> <i>(If not corrected immediately)</i>
<b>Nature of Violation:</b> The licensee failed to obtain complete and accurate ATF Forms 4473. The transferee failed to mark middle name on item 1 on <sup>(b)(3)</sup> occasion. The transferee failed to complete full name on item 1 on <sup>(b)(3)</sup> occasion. The transferee failed to mark Yes or No on item 111 on <sup>(b)(3)</sup> occasion.	<b>Corrective Action to be Taken:</b> The licensee will obtain complete and accurate ATF Forms 4473. <i>(If not corrected immediately)</i>
<b>Citation:</b> 27 CFR 478.124(c)(1)	
<b>Number:</b> 4	<b>Date Corrections to be Made:</b> <i>(If not corrected immediately)</i>
<b>Nature of Violation:</b> The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to record the identification issuing authority and type of identification in item 20a on <sup>(b)(3)</sup> occasions.	<b>Corrective Action to be Taken:</b> The licensee will obtain complete and accurate ATF Forms 4473. <i>(If not corrected immediately)</i>
<b>Citation:</b> 27 CFR 478.124(c)(3)(i)	
<b>Number:</b> 5	<b>Date Corrections to be Made:</b> <i>(If not corrected immediately)</i>
<b>Nature of Violation:</b> The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to record the NICS response it item 21c on <sup>(b)(3)</sup> occasion.	<b>Corrective Action to be Taken:</b> The licensee will obtain complete and accurate ATF Forms 4473. <i>(If not corrected immediately)</i>
<b>Citation:</b> 27 CFR 478.124(c)(3)(iv)	

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

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License/Permit/Registry Number (If any) 461111077G04270		Expiration Date 7/1/2017	Date(s) or Period of Inspection 10/01/2015 through 10/01/2015			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:  
The licensee failed to obtain complete and accurate ATF Forms 4473  
The licensee failed to record the correct firearm type in item 29 on (b)(3) 112-P-0 occasions.

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken: The licensee will obtain complete and accurate ATF Forms 4473.  
(If not corrected immediately)

Number: 7

Nature of Violation:  
The licensee failed to obtain complete and accurate ATF Forms 4473  
The licensee failed to sign the ATF Form 4473 in item 34 on (b)(3) 112-P-0 occasions. The licensee failed to record the date transferred in item 36 on (b)(3) 112-P-0 occasions.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken: The licensee will obtain complete and accurate ATF Forms 4473.  
(If not corrected immediately)

Number: 8

Nature of Violation:  
The licensee failed to report the multiple sale of pistols and revolvers on ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on (b)(3) 112-P-0 occasions.

Citation: 27 CFR 478.126a

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken: The licensee will report the multiple sale of pistols or revolvers on ATF 3310.4 as required.  
(If not corrected immediately)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

Signature and Title of ATF Officer

Date

December 10 2018 Production

462

For Official Use Only

ATF E-Form 5030 5  
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

600 Dr. Martin Luther King Jr. Plaza, Suite 500  
Louisville, Kentucky 40202

[www.atf.gov](http://www.atf.gov)

January 26, 2016

775045 (b) (6)  
5300

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Southern Armament, LLC  
11707 Robinlynn Lane  
Louisville, Kentucky 40243

Dear Mr. Camarota:

During a recent compliance inspection at your firearms business covering the period October 1, 2014 through October 1, 2015, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.



Southern Armament, LLC  
11707 Robinlynn Lane  
Louisville, Kentucky 40243

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6) at 502-753-3500.

Sincerely yours,

(b)(6)

Area Supervisor  
Louisville II Field Office

Enclosure

cc: Federal Firearms Licensing Center  
Director, Industry Operations  
Louisville II Field Office

# FIREARMS INSPECTION REPORT

**Name:** Southern Armament, LLC  
**Trade Name:**

**UI#:** 775045-2015-0327  
**FFL#:** 4-61-111-07-7G-04270

**Address:** 11707 Robinlynn Lane  
Louisville, Kentucky 40243

## 1 - Introduction

### Inspection Profile:

On October 1, 2015, Industry Operations Investigator (IOI)(b)(6) and Senior Industry Operations Investigator (SIOI)(b)(6) visited the business premises of Southern Armament, LLC to conduct a compliance inspection on the licensee's Type 07, Manufacturer of Firearms Other Than Destructive Devices license. An appointment was scheduled. Mr. Robert J. Camarota was interviewed and the on-site inspection began. The inspection period is October 1, 2014 to October 1, 2015.

### Business Profile:

Southern Armament, LLC manufactures AR-15, M-16, and AK style receivers, and conduct sales of firearms from his business residence at 11707 Robinlynn Lane, Louisville, Kentucky.

Southern Armament, LLC holds a Type 07, Manufacturer of Firearms Other Than Destructive Devices, which is appropriate license for the type of business activity conducted. Southern Armament had not conducted any internet sales or participated in gun shows since he has maintain a license in 2011. Southern Armament, LLC stated that he would be interested in conducting internet sales of firearms and participate in gun shows in the future. Southern Armament, LLC mainly deals in new firearms. The licensee currently maintains 142 firearms in inventory. This FFL acquired zero firearms during the inspection period. Handguns account for (b)(4) of the business and receiver's account for (b)(4) of the business. Used firearms account for (b)(4) of the business and new firearms account for (b)(4) of the business. The licensee's primary supplier is (b)(4). Southern Armament, LLC has no other employees who conducts firearm sales. Hours of Operation are currently listed Monday – Saturday 8am to 5pm; Sunday – Closed.

### Ownership and Control:

Southern Armament LLC, is owned by Robert Camarota, and he is listed as the Member Manager/Responsible Person in the Federal Licensing System Database. No other persons were identified. No hidden ownership is suspected. (Exhibit 1)



# FIREARMS INSPECTION REPORT

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## Variance:

Southern Armament, LLC does not have a variance. No variance requests were submitted at the time of inspection.

## 2 - Recommendation

The current inspection disclosed eight violations of the Gun Control Act which warrants a recommendation of Warning Letter due to failure to report missing firearms when legally required on (b)(3) - (1)(2) occasions. Failure to file multiple sales reports when legally required on (b)(3) - (1)(2) occasions. IOI (b)(6) recommends a Warning Letter. No referrals were submitted.

## 3 - Inspection History

This was the licensee's first compliance inspection.

**Date of Inspection:** April 27, 2011  
**Type of Inspection:** Qualification Inspection  
**UI#:** 775045-2011-0272  
**Inspection Results:** Licensed Issued  
**Cited Violations:** None

## 4 - Acquisition & Disposition (A&D) Record - Inventory

The licensee maintains a bound book for the A & D record which appears to contain all of the required information. The licensee stated that he tried to conduct an inventory yearly for comparison to the A & D record to ensure accuracy. The licensee stated all A & D entries are typically made by the close of business. The current inspection disclosed there were (b)(3) - (1)(2) A & D entries which were not made in a timely/accurate manner and (b)(3) - (1)(2) firearms that were missing from inventory.

At the time of inspection, there were 142 firearms in physical inventory and there were (b)(3) - (1)(2) firearms on hand according to the licensee's A & D records. A 100% inventory was conducted on the firearms which disclosed (b)(3) - (1)(2) A & D errors. The error rate for failing to timely and/or correctly record acquisitions is 2%. The error rate for failing to timely and/or correctly record dispositions is 4%.

There were (b)(3) - (1)(2) ATF F 4473s during the inspection period. (Worksheet 1 – Inventory and A & D Review Worksheet, Report of Violations)

# FIREARMS INSPECTION REPORT

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After the final reconciliation, the following violations of 27 CFR 478.125(e) regarding the inventory and A & D record were reported on the Report of Violations; Licensee failed to record a firearm acquisition in the A & D record in three instances.

## 5 - ATF Forms 4473 – NICS & Other Dispositions

There were [REDACTED] ATF Forms 4473 on file for the inspection period. All ATF Forms 4473 on file were reviewed. Mr. Robert Camarota is responsible for the proper completion of ATF Forms 4473 at the time of transaction. The licensee maintains their ATF Forms 4473s in alphabetical order. The licensee maintains the denials separately. Overall, the error rate for proper completion of the ATF Forms 4473 was 100% during the inspection period. The licensee's procedures with regards to the ATF Forms 4473 need to show improvement. IOI (b)(6) [REDACTED] recommended the licensee set up a review procedure for the ATF Forms 4473 to ensure proper completion in the future. The review period covered one year (October 1, 2014 – October 1, 2015). There were deficiencies noted on [REDACTED] forms. These violations included the following:

Errors were found on [REDACTED] occasions on [REDACTED] forms. The following was found: On [REDACTED] occasion Item 10a (2012 edition) the transferee failed to mark Ethnicity. On [REDACTED] occasions Item 18 (2012 edition) licensee failed to mark the type of firearm transferred. On [REDACTED] occasions Item 31 and 32 (2012 edition) licensee failed to record the FFL name, address, and FFL number. On [REDACTED] occasions Item 33 (2012 edition) licensee failed to print the transferor/seller name. On [REDACTED] occasions Item 35 (2012 edition) licensee failed to record the transferor/seller title. (Exhibit 2 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.21(a))

Errors were found on [REDACTED] occasions on [REDACTED] forms. The following was found: On [REDACTED] occasion Item 1 (2012 edition) transferee failed to mark middle name. On [REDACTED] occasion Item 1 (2012 edition) transferee failed to complete full name. On [REDACTED] occasion Item 11l (2012 edition) transferee failed to mark Yes or No. (Exhibit 3 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(1))

Errors were found on [REDACTED] occasions [REDACTED] forms. The following was found: On [REDACTED] occasions Item 20a (2012 edition) licensee failed to record the identification issuing authority and type of identification. (Exhibit 4 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(3)(i))

Errors were found on [REDACTED] occasion on [REDACTED] form. The following was found: On [REDACTED] occasion Item 21c (2012 edition) licensee failed to record the NICS response. (Exhibit 5 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(3)(iv))

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Errors were found on (b)(3) - 112 Public occasions on (b)(3) - 112 Public forms. The following was found: On (b)(3) - 112 Public occasions Item 29 (2012 edition) licensee failed to record the correct firearm type. (Exhibit 6 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(4))

Errors were found on (b)(3) - 112 Public Law 55 occasions on forty-one forms. The following was found: On (b)(3) - 112 Public Law 55 occasions Item 34 (2012 edition) licensee failed to sign the ATF F 4473. On (b)(3) - 112 Public Law 55 occasions Item 36 (2012 edition) licensee failed to record the date transferred. (Exhibit 7 – Photos of ATF F 4473 Errors for Violations of 27 CFR 478.124(c)(5))

Transaction approval numbers were requested from NICS for the period of November 30, 1998 to September 25, 2015. There were no approval numbers/open transactions for this period. There were no denied transactions on the audit log which occurred during the inspection period.

Overall, there were deficiencies noted on (b)(3) - 112 Public of the (b)(3) - 112 Public forms reviewed which is an error rate of 100%. (See Violations, Report of Violations, Worksheet 3 – ATF Form 4473 (2012 Edition) Review Worksheet

## **6 - Multiple Sales**

The licensee did not report any multiple sale of handguns during the inspection period according to the report acquired from the Violent Crime Analysis Branch. A review of the ATF F 4473s during the previous year disclosed (b)(3) - 112 Public unreported multiple sales of handguns which resulted (b)(3) - 112 Public handguns that were unreported. The following violation of 27 CFR 478.126a licensee failed to report the multiple sale of pistols or revolvers on ATF F 3310.4. Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on three occasions. (Exhibit 8 - Photos of ATF F 4473 Errors for Violations of 27 CFR 478.126a).

## **7 - Suspicious/Prohibited Purchasers**

The ATF F 4473s during the inspection were reviewed to determine if any trafficking patterns were present. None were detected. No referrals were made.

## **8 - Trace Activity**

Prior to conducting the inspection the Firearms Trace History of the licensee was obtained from the Violent Crime Analysis Branch (VCAB) for the previous year. The VCAB Query indicated the licensee had zero trace requests.

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A secondary market analysis was not conducted during the inspection period. Southern Armament, LLC did not acquire any used guns in his inventory.

## 9 - NFA/Importer/Collector/Manufacturer

No NFA/Importer/Collector/Manufacturer operations conducted.

## 10 - Referrals

No referrals.

## 11 - Other

No other information.

## 12 - Closing Actions

On October 19, 2015, IOI (b)(6) and SIOI (b)(6) held a closing conference at the licensed premises. Robert Camarota was present. A review of the regulatory requirements was conducted. An Acknowledgement of Federal Firearms Regulations was signed by Robert Camarota. (Exhibit 9 -Acknowledgement of Federal Firearms Regulations)

A Report of Violations (ATF F 5030.5) was issued on October 19, 2015 by IOI (b)(6) and SIOI (b)(6) and reviewed. Mr. Robert Camarota was present. Mr. Robert Camarota signed the Report of Violations. (See Report of Violations)

## 13 - Violations

### 1. **27 CFR 478.125(e) – Firearms Receipt and Disposition by Dealers – Violation cited on 10/19/2015**

Licensee failed to record the acquisition of (b)(3) - 112 Public Law 54 firearms. (b)(3) firearms were missing from inventory.

The licensee will record all acquisitions as required.

### 2. **27 CFR 478.21(a) – Forms Prescribed – Violation cited on 10/19/2015**

The licensee failed to obtain complete and accurate ATF Forms 4473. The transferee failed to mark Ethnicity on item 10a on (b)(3) - 112 Public Law 54 occasion. The licensee failed to mark the type of firearm transferred item 18 on (b)(3) - 112 Public Law 54 occasion. The licensee failed to record the FFL name, address, and FFL number in items 31 and 32 on (b)(3) - 112 Public Law 54 occasions. The

# FIREARMS INSPECTION REPORT

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licensee failed to print the transferor/seller name in item 33 on (b)(3) - 112 Public Law 55 occasions.  
The licensee failed to record the transferor/seller title in item 35 on (b)(3) - 112 Public Law 55 occasions.

3. The licensee will obtain complete and accurate ATF Forms 4473.  
**27 CFR 478.124(c)(1) – Forms Prescribed – Violations cited on 10/19/2015**

The licensee failed to obtain complete and accurate ATF Forms 4473. The transferee failed to mark middle name on item 1 on (b)(3) - 112 occasion. The transferee failed to complete full name on item 1 on (b)(3) - 112 occasion. The transferee failed to mark Yes or No on item 111 (b)(3) - 112 Public occasion.

4. The licensee will obtain complete and accurate ATF Forms 4473.  
**27 CFR 478.124(c)(3)(i) – Forms Prescribed – Violations cited on 10/19/2015**

The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to record the identification issuing authority and type of identification in item 20a on (b)(3) - 112 Public Law 55 occasions.

5. The licensee will obtain complete and accurate ATF Forms 4473.  
**27 CFR 478.124(c)(3)(iv) – Forms Prescribed – Violations cited on 10/19/2015**

The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to record the NICS response in item 21c (b)(3) - 112 Public occasion.

6. The licensee will obtain complete and accurate ATF Forms 4473.  
**27 CFR 478.124(c)(4) – Forms Prescribed – Violations cited on 10/19/2015**

The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to record the correct firearm type in item 29 on (b)(3) - 112 Public occasions.

The licensee will obtain complete and accurate ATF Forms 4473.

7. **27 CFR 478.124(c)(5) – Forms Prescribed – Violations cited on 10/19/2015**

The licensee failed to obtain complete and accurate ATF Forms 4473. The licensee failed to sign the ATF Form 4473 in item 34 on (b)(3) - 112 Public Law 55 occasions. The licensee failed to record the date transferred in item 36 on (b)(3) - 112 Public Law 55 occasions.

8. **27 CFR 478.126a – Report of Multiple Sale or Other Disposition of Pistols and Revolvers – Violations cited on 10/19/2015**

# FIREARMS INSPECTION REPORT

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The licensee failed to report the multiple sale of pistols and revolvers on ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, on [REDACTED] occasions.

The licensee will report multiple sale of pistols or revolvers on ATF Form 3310.4 as required.

X

(b)(6)

Industry Operations Investigator

## Index of Worksheets and Exhibits

### Report of Violations

#### Worksheets

Worksheet 1 – Informational Page  
Worksheet 2 – Inventory and A & D Review Worksheet  
Worksheet 3 – ATF F 4473 (2012 Edition) Review Worksheet  
Worksheet 4 – Statistical Page

#### Exhibits

Exhibit 1 – Kentucky Secretary of State – Southern Armament LLC  
Exhibit 2 - Photos of ATF F 4473 Errors for Violation of 27 CFR 478.21(a)  
Exhibit 3 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124 (c)(1)  
Exhibit 4 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(3)(i)  
Exhibit 5 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(3)(iv)  
Exhibit 6 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(4)  
Exhibit 7 – Photos of ATF F 4473 Errors for Violation of 27 CFR 478.124(c)(5)  
Exhibit 8 - Photos of ATF F 4473 Errors for Violation of 27 CFR 478.126a  
Exhibit 9 – Acknowledgement of Federal Firearms Regulations