

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) SPORTSMAN'S DEN INC 201 NORTH GAMBLE STREET SHELBY, OH 44875, RICHLAND		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773030-2017-0045-B1B		
		3. PERMIT/LICENSE NUMBER 434139017E37736	4a. TARGET DATE 6/1/2017	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED				
(b) (6) - Assigned Investigator				
(b) (6) - Assigned Investigator				
(b) (6) - Assigned Investigator				
(b) (6) - Lead Investigator				
(b) (6) - Assigned Investigator				
(b) (6) - Assigned Investigator				
(b) (6) - Assigned Investigator				
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(b) (6) - Assigned Investigator				
(b) (6) - Assigned Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)				
(b) (6) , Area Supervisor, 10/03/2016				
8. PURPOSE/SPECIAL INSTRUCTIONS				
CONDUCT FIREARMS DISPOSITION EMPHASIS INSPECTION FOR THIS TYPE 01 LICENSEE. REFER TO IO MANUAL, CHAPTER C, AND THE ANNUAL CLEVELAND GROUP III DOMAIN ASSESSMENT. THIS INSPECTION MUST BE COMPLETED DURING FY17 AS REQUIRED BY THE DOMAIN ASSESSMENT.				
THIS INSPECTION IS IN THE CRITERIA OF "TOP 100" PER THE DOMAIN ASSESSMENT WHICH INVOLVES REPORTING REQUIREMENTS TO DIVISION AND POSSIBLY HQ IF DEEMED NECESSARY.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS		7	NO. OF REFERRALS	
NO. OF TECS CHECKS		224	NO. OF TECS HITS	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2111 - PER DIEM
		\$ VALUE OF TAX DECREASES		2112 - P.O.A.
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2113 - COMM. AIR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2114 - RENTAL CAR
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2115 - GPV EXPENSES
				2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION				
Submitted by (b) (6) - Industry Operations Investigator				
Submitted on: 12/08/2016				
Violts WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)				
NOV 2016		27.50		
ATF OFFICER'S SUBTOTAL		27.50		
		ATF OFFICER'S SIGNATURE		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)				
NOV 2016		19.00		
ATF OFFICER'S SUBTOTAL		19.00		
		ATF OFFICER'S SIGNATURE		

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	3. PERMIT/LICENSE NUMBER 434139017E37736	4a. TARGET DATE 6/1/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
NOV 2016	30.00
ATF OFFICER'S SUBTOTAL	30.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
OCT 2016	8.50
NOV 2016	94.50
DEC 2016	22.00
ATF OFFICER'S SUBTOTAL	125.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
NOV 2016	28.50
ATF OFFICER'S SUBTOTAL	28.50
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
NOV 2016	10.50
ATF OFFICER'S SUBTOTAL	10.50
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
NOV 2016	9.00
ATF OFFICER'S SUBTOTAL	9.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
NOV 2016	18.00
ATF OFFICER'S SUBTOTAL	18.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
NOV 2016	16.00
ATF OFFICER'S SUBTOTAL	16.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
NOV 2016	20.00
ATF OFFICER'S SUBTOTAL	20.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
NOV 2016	32.00
DEC 2016	7.00
ATF OFFICER'S SUBTOTAL	39.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	342.50

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) SPORTSMAN'S DEN INC 201 NORTH GAMBLE STREET SHELBY, OH 44875, RICHLAND	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773030-2017-0045-B1B		
	3. PERMIT/LICENSE NUMBER 434139017E37736	4a. TARGET DATE 6/1/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b) (6) - Area Supervisor

Digitally signed by

REVIEW DATE

12/09/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

OL FILE POSTED DATE _____

(b) (6)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 1 of 7 Pages
License/Permit/Registry Number (If any) 434139017E37736	Expiration Date 5/1/2017	Date(s) or Period of Inspection 11/07/2016 through 12/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to enter the acquisition of firearms into the acquisition and disposition book instances:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

The licensee failed to enter the disposition of firearms into the A&D book instances:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Date Corrections to be Made:
(If not corrected immediately)

12/5/2016

Corrective Action to be Taken:
(If not corrected immediately)

The licensee shall record the firearm acquisition no later than the close of the next business day following such purchase or acquisition. The record shall show the date of receipt, the name and address of the person from whom received, the name of the manufacturer and importer (if any), the model, serial number, type, and the caliber or gauge of the firearm. The sale or other disposition of a firearm shall be recorded by the licensed dealer not later than seven days following the date of such transfer. The record shall show the date of the sale or other disposition of each firearm, the name and address of the person to whom the firearm is transferred if such person is a licensee, or the firearms transaction record, Form 4473, serial number if the licensed dealer transferring the firearm serially numbers the Form 4473 and files them numerically.

If the licensee decides to continue to use the computerized acquisition and disposition book, it must meet the requirements listed in ATF Ruling 2016-1.

The licensee shall report the results of the inspection to the

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License/Permit/Registry Number (If any) 434139017E37736	Expiration Date 5/1/2017	Date(s) or Period of Inspection 11/07/2016 through 12/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

The licensee shall report the theft/loss of the firearms they could not account for by completing ATF Form 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss form in accordance with the instructions on the form. Copy 1 should be retained by the licensee as part of the licensee's permanent records. The theft/loss shall be reported to ATF and local law enforcement authorities. The A&D book disposition should indicate whether the incident is a theft or loss and the ATF issued incident number.

The licensee recorded a firearm in the A&D book (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) instance:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) firearms could not be accounted for during this inspection and had to be reported as missing as a result of inspection:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.125(e)

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License/Permit/Registry Number (If any) 434139017E37736	Expiration Date 5/1/2017	Date(s) or Period of Inspection 11/07/2016 through 12/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

REPEAT VIOLATION from 2011

The licensee failed to complete and submit (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols or Revolvers by the close of business on the day that the multiple sale occurred. See ATF Form for the following purchases:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

The licensee failed to accurately complete at least (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) Report of Multiple Sale form for the following transaction:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Date Corrections to be Made: 11/08/2016
(If not corrected immediately)

Corrective Action to be Taken: The licensee shall always complete an ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers when transferring two or more handguns at one time or during five consecutive business days to an unlicensed person. It shall be filed by the close of the business day that the multiple sale or disposition occurs.
(If not corrected immediately)

Report of Violations

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License/Permit/Registry Number (If any) 434139017E37736	Expiration Date 5/1/2017	Date(s) or Period of Inspection 11/07/2016 through 12/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.126a

Number: 3

Nature of Violation:

The licensee failed to have (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) firearm purchasers answer 10a (ethnicity) on the Form 4473.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.21(a)

Number: 4

Nature of Violation:

The licensee failed to ensure that all questions were properly completed in Section A of the ATF Form 4473 or (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) forms before transferring firearms;

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Preventative Action Discussed.

Corrective Action to be Taken:
(If not corrected immediately)

In all future transactions, the licensee shall ensure that question in item 10a is completed before transferring a firearm to a nonlicensed individual.

Date Corrections to be Made:
(If not corrected immediately)

Preventative Action Discussed.

Corrective Action to be Taken:
(If not corrected immediately)

In all future transactions, the licensee shall ensure that all questions in items 10b and 11k are completed before transferring a firearm to a nonlicensed individual.

Report of Violations

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License/Permit/Registry Number (If any) 434139017E37736	Expiration Date 5/1/2017	Date(s) or Period of Inspection 11/07/2016 through 12/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

(b) (3) - Public Law 112-55 instances, the licensee failed to properly complete the identification of the transferee section on the ATF Form 4473:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:
(If not corrected immediately)

Dec. 1, 2016

Corrective Action to be Taken:
(If not corrected immediately)

In all future transactions, the licensee shall always verify and record a government-issued photo identification from the transferee, including the correct expiration date of such identification in item 20a of the ATF Form 4473.

Report of Violations

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Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 6 of 7 Pages
License/Permit/Registry Number (If any) 434139017E37736	Expiration Date 5/1/2017	Date(s) or Period of Inspection 11/07/2016 through 12/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:

In ^{(b) (3) - Public Law 112-55} instances, the licensee failed to properly complete items 21a, 21b, 21c, and 21d on the ATF Form 4473:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Preventative Action Discussed

In all future transactions, the licensee shall ensure items 21a through 21d on the ATF Form 4473 are properly completed.

Although not required, recommend licensee prints out the response from the FBI to correctly record the NTN on the Form 4473 in the future or export list of NTNs to an excel spreadsheet to compare the NTNS recorded on the Form 4473s.

Report of Violations

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Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 7 of 7 Pages
License/Permit/Registry Number (If any) 434139017E37736	Expiration Date 5/1/2017	Date(s) or Period of Inspection 11/07/2016 through 12/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.124(c)(3)(iv)

Number: 7

Nature of Violation:

In (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) instance, the licensee failed to record proper information in item 36 on the ATF Form 4473:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Dec. 1, 2016

Corrective Action to be Taken:
(If not corrected immediately)

In all future transactions, always make sure item 36 of the ATF Form 4473 contains the correct transfer date.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Shagg Huffette Prop

Date
12-1-2016

Signature

(b) (6)

Industry Operations Investigator
t Production

Date
12/1/2016

For Office



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
5005 Rockside Road, Suite 700
Independence, OH 44131

December 09, 2016
www.atf.gov

773030(b) (6)
5440

Certified Mail – Return Receipt Requested

SPORTSMAN'S DEN INC
201 NORTH GAMBLE STREET
SHELBY, OH 44875-
RE: 434139017E37736

Dear Mr. Gregg Griffeth:

During a recent inspection at your firearms business covering the period 11/07/2015 to 11/17/2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

SPORTSMAN'S DEN INC

Should you have any questions regarding this matter, please contact (b) (6)
Industry Operations Investigator at (216)573-8140.

Sincerely yours,

(b) (6)

Area Supervisor, Cleveland III

Enclosure

cc: Federal Firearms Licensing Center
Cleveland III (IO) Field Office

Assignment and Report

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		3. PERMIT/LICENSE NUMBER 434139017E37736	4a. TARGET DATE 6/1/2017
		4b. TARGET HOURS	
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			

6. ATF OFFICER(S) ASSIGNED	
(b)(6)	- Assigned Investigator
(b)(6)	- Assigned Investigator
(b)(6)	- Assigned Investigator
(b)(6)	- Lead Investigator
(b)(6)	- Assigned Investigator
(b)(6)	- Assigned Investigator
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(b)(6)	- Assigned Investigator
(b)(6)	- Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)	
(b)(6)	, Area Supervisor, 10/03/2016

8. PURPOSE/SPECIAL INSTRUCTIONS	
CONDUCT FIREARMS DISPOSITION EMPHASIS INSPECTION FOR THIS TYPE 01 LICENSEE. REFER TO IO MANUAL, CHAPTER C, AND THE ANNUAL CLEVELAND GROUP III DOMAIN ASSESSMENT. THIS INSPECTION MUST BE COMPLETED DURING FY17 AS REQUIRED BY THE DOMAIN ASSESSMENT.	
THIS INSPECTION IS IN THE CRITERIA OF "TOP 100" PER THE DOMAIN ASSESSMENT WHICH INVOLVES REPORTING REQUIREMENTS TO DIVISION AND POSSIBLY HQ IF DEEMED NECESSARY.	

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	224	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION	
Submitted by (b)(6) - Industry Operations Investigator	
Submitted on: 12/08/2016	
Violts WL ONLY and No Recall Inspection	

12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
NOV 2016	27.50
ATF OFFICER'S SUBTOTAL	27.50
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
NOV 2016	19.00
ATF OFFICER'S SUBTOTAL	19.00
ATF OFFICER'S SIGNATURE	

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ATF OFFICER'S SUBTOTAL	30.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
OCT 2016	8.50
NOV 2016	94.50
DEC 2016	22.00
ATF OFFICER'S SUBTOTAL	125.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
NOV 2016	28.50
ATF OFFICER'S SUBTOTAL	28.50
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
NOV 2016	10.50
ATF OFFICER'S SUBTOTAL	10.50
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
NOV 2016	9.00
ATF OFFICER'S SUBTOTAL	9.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
NOV 2016	18.00
ATF OFFICER'S SUBTOTAL	18.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
NOV 2016	16.00
ATF OFFICER'S SUBTOTAL	16.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
NOV 2016	20.00
ATF OFFICER'S SUBTOTAL	20.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
NOV 2016	32.00
DEC 2016	7.00
ATF OFFICER'S SUBTOTAL	39.00
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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 12/09/2016
---	---------------------------

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

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Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 1 of 7 Pages
License/Permit/Registry Number (If any) 434139017E37736	Expiration Date 5/1/2017	Date(s) or Period of Inspection 11/07/2016 through 12/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to enter the acquisition of firearms into the acquisition and disposition book in (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

The licensee failed to enter the disposition of firearms into the A&D book in (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Date Corrections to be Made:
(If not corrected immediately)

12/5/2016

Corrective Action to be Taken:
(If not corrected immediately)

The licensee shall record the firearm acquisition no later than the close of the next business day following such purchase or acquisition. The record shall show the date of receipt, the name and address of the person from whom received, the name of the manufacturer and importer (if any), the model, serial number, type, and the caliber or gauge of the firearm. The sale or other disposition of a firearm shall be recorded by the licensed dealer not later than seven days following the date of such transfer. The record shall show the date of the sale or other disposition of each firearm, the name and address of the person to whom the firearm is transferred if such person is a licensee, or the firearms transaction record, Form 4473, serial number if the licensed dealer transferring the firearm serially numbers the Form 4473 and files them numerically.

If the licensee decides to continue to use the computerized acquisition and disposition book, it must meet the requirements listed in ATF Ruling 2016-1.

The licensee shall report the theft of the firearms to the

Report of Violations

Instructions

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Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 2 of 7 Pages
License/Permit/Registry Number (If any) 434139017E37736	Expiration Date 5/1/2017	Date(s) or Period of Inspection 11/07/2016 through 12/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

The licensee shall report the theft/loss of the firearms they could not account for by completing ATF Form 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss form in accordance with the instructions on the form. Copy 1 should be retained by the licensee as part of the licensee's permanent records. The theft/loss shall be reported to ATF and local law enforcement authorities. The A&D book disposition should indicate whether the incident is a theft or loss and the ATF issued incident number.

The licensee recorded a firearm in the A&D book (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) firearms could not be accounted for during this inspection and had to be reported as missing as a result of inspection:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.125(e)

Report of Violations

Instructions

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Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 3 of 7 Pages
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

REPEAT VIOLATION from 2011

The licensee failed to complete and submit (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols or Revolvers by the close of business on the day that the multiple sale occurred. See ATF Form for the following purchases:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

The licensee failed to accurately complete at least (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) Report of Multiple Sale form for the following transaction:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Date Corrections to be Made: 11/08/2016
(If not corrected immediately)

Corrective Action to be Taken: The licensee shall always complete an ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers when transferring two or more handguns at one time or during five consecutive business days to an unlicensed person. It shall be filed by the close of the business day that the multiple sale or disposition occurs.
(If not corrected immediately)

Report of Violations

Instructions

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Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 4 of 7 Pages
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.126a

Number: 3

Nature of Violation:

The licensee failed to have ^{(b)(3) - 112 P} firearm purchasers answer 10a (ethnicity) on the Form 4473.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.21(a)

Number: 4

Nature of Violation:

The licensee failed to ensure that all questions were properly completed in Section A of the ATF Form 4473 ^{(b)(3) - 112 Public Law 55} before transferring firearms:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Preventative Action Discussed.

Corrective Action to be Taken:
(If not corrected immediately)

In all future transactions, the licensee shall ensure that question in item 10a is completed before transferring a firearm to a nonlicensed individual.

Date Corrections to be Made:
(If not corrected immediately)

Preventative Action Discussed.

Corrective Action to be Taken:
(If not corrected immediately)

In all future transactions, the licensee shall ensure that all questions in items 10b and 11k are completed before transferring a firearm to a nonlicensed individual.

Report of Violations

Instructions

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Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 5 of 7 Pages
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

(b)(3) - 112 Public Law instances, the licensee failed to properly complete the identification of the transferee section on the ATF Form 4473:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:
(If not corrected immediately)

Dec. 1, 2016

Corrective Action to be Taken:
(If not corrected immediately)

In all future transactions, the licensee shall always verify and record a government-issued photo identification from the transferee, including the correct expiration date of such identification in item 20a of the ATF Form 4473.

Report of Violations

Instructions

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Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 6 of 7 Pages
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:

In ^{(b)(3) - 112 Public Law 55} instances, the licensee failed to properly complete items 21a, 21b, 21c, and 21d on the ATF Form 4473:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Preventative Action Discussed

In all future transactions, the licensee shall ensure items 21a through 21d on the ATF Form 4473 are properly completed.

Although not required, recommend licensee prints out the response from the FBI to correctly record the NTN on the Form 4473 in the future or export list of NTNs to an excel spreadsheet to compare the NTNS recorded on the Form 4473s.

Report of Violations

Instructions

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Name of Proprietor SPORTSMAN'S DEN INC	Street Address 201 NORTH GAMBLE STREET	City SHELBY	State OH	Zip Code 44875-	County RICHLAND	Page 7 of 7 Pages
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(3)(iv)

Number: 7

Nature of Violation:

In (b)(3) - 112 Public instance, the licensee failed to record proper information in item 36 on the ATF Form 4473:

Date Corrections to be Made:
(If not corrected immediately)

Dec. 1, 2016.

Corrective Action to be Taken:
(If not corrected immediately)

In all future transactions, always make sure item 36 of the ATF Form 4473 contains the correct transfer date.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.124(c)(5)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Shagg Huffette Pres

Date
12-1-2016

Signature

(b)(6)

Industry Operations Investigator

Date
12/1/2016

For Office

FIREARMS INSPECTION REPORT

Name: Sportsman's Den Inc.
Trade Name: No Trade Name

UI#: 773030-2017-0045-B1B
FFL#: 4-34-139-01-7E-37736

Address: 201 Shelby North Gamble Street
Shelby, Ohio 44875 (Richland County)

1 - Introduction

Inspection Profile:

On October 3, 2016, Investigator (b)(6) was assigned to conduct a firearms Disposition Emphasis Inspection (DEI) for this Type 01 licensee. The instructions stated in summary, "This inspection is to be completed during fiscal year 2017 as required by the domain assessment. This inspection is in the criteria of "Top 100" per the domain assessment which involves reporting requirements to division and possibly Headquarters if deemed necessary." This assignment was removed from Top 100 consideration prior to the start of the DEI.

On November 7, 2016, Investigators (b)(6) and (b)(6) initiated an unannounced inspection. Present for the licensee were Gregg Griffith and Employee (b)(6). Both assisted throughout the inspection. Mr. Griffith provided his business card (**Exhibit # 1**). On November 8, 2016, the same investigators returned along with Investigator (b)(6). Investigator (b)(6) did not return. On November 9, 2016, Investigators (b)(6) and (b)(6) returned to reconcile the inventory. Investigator (b)(6) returned to the premises on November 16, 2016 to continue the reconciliation of the inventory. The inspection period was November 7, 2015 through November 17, 2016. On December 1, 2016, Investigator (b)(6) and (b)(6) completed a final review of the records and inventory with the licensee during the closing conference.

Business Profile:

Sportsman's Den is a commercial gun shop and one of the largest firearms retailers in northern Ohio. It is located in a commercial storefront on Route 61 in the City of Shelby. The licensee was able to provide the number of firearm acquisitions of (b)(4) and firearm dispositions of (b)(4) for the inspection period by conducting queries in their computer system. They estimate (b)(4) of their sales involve handguns with (b)(4) long guns. Sportsman's Den is in a firearm buyer's group called Sports Inc. which allows the licensee to acquire firearms directly from the manufacturers. They also have distributors they can acquire firearms from such as (b)(4). They are involved in 90% new firearms and 10% used firearms. They do not sell firearms at gun shows but attend the Cardinal Trap shooting event in Marengo, Ohio approximately three to four times per year and may transfer a few firearms from there. The

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Name: Sportsman's Den Inc.
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licensee stated that they signed a ten-year lease there. They estimate 1% of their business consists of Internet transfers and they will charge a \$50 transfer fee to do so. They estimate that they conduct a small amount of gunsmithing (2%). They are currently working on setting up an Internet site that would allow customers to buy and place items in a cart system and it would show their inventory of firearms online. They currently distribute 500,000 flyers monthly in local newspapers. The licensee stated that he advertises in local newspapers targeting customers in a 60-mile radius. They currently have a website now under www.sportsmansden.com but only display that they have firearms for sale. They do not conduct any other businesses from the premises. The licensee sells firearms, ammunition, fishing supplies, hunting, clothing, and general outdoor sports supplies. They do not hold any ATF licenses and permits. They held a previous ATF black powder explosives license but surrendered it years ago. The licensee previously held a sole proprietor license from 1984 to 1999. In 1999 he applied for a new license under the current corporation and around the same time he changed his premise to the current location. Zoning was verified during a previous inspection and was in compliance. They do not store firearms at any off-site location.

Ownership and Control:

The license is held as a corporation with one corporate officer, Gregg Griffeth as President. There have been no unreported changes in control. Mr. Griffeth stated that he has been talking to his attorney and accountant about forming another type of corporation for this business. Mr. Griffeth was advised that he may need a new license if the entity changes. Mr. Griffeth wishes to turn over all of his records from his licenses if he does create the new corporation. Investigator (b)(6) discussed those procedures with Mr. Griffeth and a new application packet was provided. Mr. Griffeth stated he plans to be the majority owner of the new corporation but wants to add (b)(6) (b)(6) and (b)(6) to the new license. Mr. Griffeth was encouraged to contact Investigator (b)(6) if and/or when he decides to transition his business. He stated he would do so.

Variance:

No variances are on file or were requested during this inspection. Mr. Griffeth uses a computerized A&D book called Celerant. Mr. Griffeth was provided with the newest ATF Ruling 2016-1 regarding maintaining a computerized A&D book and meeting the specifications in the ruling. Mr. Griffeth stated that the company that developed the software has hired an employee that was working at FFL/Vance's in Columbus. The software program is used by a few other FFLs that Mr. Griffeth is aware of in Columbus and Michigan area. Mr. Griffeth stated he likes the program for everything except conducting inventories since there are some minor glitches in the system. He stated the

FIREARMS INSPECTION REPORT

Name: Sportsman's Den Inc.
Trade Name: No Trade Name

UI#: 773030-2017-0045-B1B
FFL#: 4-34-139-01-7E-37736

company that developed the Celerant program stated they can print out the book to do inventory by brands which Mr. Griffeth does not think is useful for his purposes. Mr. Griffeth was maintaining a computerized A&D book called ARS until June 10, 2014 and at that time he converted all his records from ARS to the Celerant program.

2 - Recommendation

Report of Violations, Warning Letter (WL) and No Recall Inspection.

3 - Inspection History

The licensee received the license in 1999 under the corporation. Gregg Griffeth held a dealer's license as a sole proprietor in May of 1984 to May of 1999. There have been nine previous compliance inspections conducted since the licensee received the license as a corporation. Inspections were completed in 2011, 2010, 2009, 2007, 2006, 2005, 2004, 2003, 2000 with the qualification inspection in 1999.

The licensee received a warning letter dated on November 22, 2011 and on June 7, 2010.

Date of Inspection: October 17, 2011
Type of Inspection: Firearms Recall Compliance Inspection
UI#: 773030-2011-0409-B1B
Inspection Results: Three violations were cited. Recommendation of a warning letter and no recall inspection. A warning letter issued.
Cited Violations: 27 CFR 478.124(c) and 27 CFR 478.21(a) – Licensee failed to fully and properly complete ATF Form 4473 in [REDACTED] instances out of 3,891 forms reviewed (discrepancies included [REDACTED] form had the date transferred incorrect, [REDACTED] form had date NICS contacted blank, after a delay response was received from NICS, the final response was blank on [REDACTED] form, [REDACTED] form had firearm type and caliber left blank, [REDACTED] forms with trade name, address, and FFL number blank). 27 CFR 478.126(a) – REPEAT violation – Licensee failed to complete and file [REDACTED] Report of Multiple Sales forms and [REDACTED] forms were on file with the licensee but did not appear on the VCAB printout. 27 CFR 478.102(a)(2)(ii) – Licensee in [REDACTED] instance transferred a firearm without waiting for the transfer date by NICS.

Date of Inspection: May 24, 2010

FIREARMS INSPECTION REPORT

Name: Sportsman's Den Inc.
Trade Name: No Trade Name

UI#: 773030-2017-0045-B1B
FFL#: 4-34-139-01-7E-37736

Type of Inspection: Firearms Recall Compliance Inspection
UI#: 773030-2010-0224-B1B
Inspection Results: Two violations were cited which resulted in a Warning Letter and a Recall Inspection.
Cited Violations: 27 CFR 478.99(c) – Licensee transferred a firearm to a person they had reasonable cause was prohibited from possessing a firearm.
27 CFR 478.124(c)/478.21(a) – [REDACTED] Form 4473s had discrepancies out of 2261 forms examined
(b)(3) - 112 Public Law 55 125 Stat 552

4 - Acquisition & Disposition (A&D) Record - Inventory

The licensee maintains a computerized acquisition and disposition book. The program is called Celerant. The licensee was previously using a program called ARS but discontinued it and began using in June 2014. A complete inventory of firearms was completed during this inspection. The licensee provided a list of firearms in physical inventory sorted by serial number from the Celerant computer A&D book. The licensee was able to have two scanners and most of the inventory was completed by scanning all serial numbers of most of the firearms and they were generated in an excel spreadsheet. Employee and nephew of responsible person Gregg Griffith, (b)(6) [REDACTED] was able to sort the firearm serial numbers in chronological order that were scanned with the scanners. The investigators compared the inventory to the computerized printout. A majority of the firearms are maintained in a stockroom located behind the firearms on the sales floor, the firearms including handgun cases and long guns on the sales floor consisted of approximately 1200 firearms. There were also firearms in a storeroom where the shipping takes place. There were 10,192 firearms in physical inventory. There were [REDACTED] open dispositions in the A&D book. There were [REDACTED] discrepancies found with the inventory. They were [REDACTED] untimely firearm acquisitions (**Exhibit # 2**), [REDACTED] untimely firearms dispositions/one duplicate entry (**Exhibit # 3**), [REDACTED] firearms were reported as missing as a result of this inspection (**Exhibit # 4**). See **Violation # 1, Inventory and A&D Review Worksheet, Section 13 Violations Section of this Report, and Exhibit # 2 through # 4.**

Most of the discrepancies were from when the licensee converted the inventory from the ARS computerized A&D book to the Celerant computerized A&D book. The licensee stated that the Celerant program makes it almost impossible to get the firearm out of the store or A&D book without the firearm being checked out by the cashier since the bar code of the firearm is scanned and it is taken out of the A&D book. An office employee makes a change to the disposition entry in the A&D book adding the Form 4473 number that is given and recorded as the Form 4473 Transferor's Transaction Serial Number.

FIREARMS INSPECTION REPORT

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Trade Name: No Trade Name

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FFL#: 4-34-139-01-7E-37736

Some of the problems disclosed with the A&D book was that if there was no money exchanged, the cashier cannot ring out the firearm unless someone makes a manual change which means sometimes having to change \$.01 in the computer. Some of the issues were firearms being sent back to the supplier. The licensee maintains a bound book for repairs or for shipments in addition to the employee shipping and receiving the firearm maintaining an excel spreadsheet. The licensee was advised to maintain all of the repair firearms into the Celerant program or maintain a separate A&D book in the future if he did not wish to place them in the Celerant program.

The licensee completed the ATF Theft/Loss Report for (b)(3) - 112 long guns that he could not account for.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

The licensee searched through sales receipts, Form 4473s and could not locate the disposition of (b)(3) - 112 Public Law 55 125 Stat 552 firearms. (b)(3) - 112 Public Law 55 125 Stat 552 (b)(3) - 112 Public Law 55 125 Stat 552 that held a FFL but has since turned in his records and obtained a new license. Investigator (b)(6) had the ATF Out of Business Record Center (OOBRC) search through the records and those specific firearms could not be located. (b)(3) - 112 Public Law 55 125 Stat 552 rifle to a local sales rep but was not able to confirm that for fact. Therefore, the licensee completed the ATF Theft/Loss report with ATF (Exhibit # 4) and the Shelby Police Department. The licensee was provided an ATF incident number of (b)(3) - 112 Public Law 55 125 Stat 552 and Shelby Police Department Officer (b)(6) provided police report number 16-21762.

Investigator (b)(6) and (b)(6) verified five different days in June from the computerized A&D book (Exhibit # 5) to the information recorded on the Form 4473s for the following days for (b)(3) - 112 transactions listed below and found no discrepancies:

June 2, 2016	(b)(3) - 112	transactions verified
June 7, 2016	(b)(3) - 112	transactions verified
June 9, 2016	(b)(3) - 112	transactions verified
June 14, 2016	(b)(3) - 112	transactions verified
June 28, 2016	(b)(3) - 112	transactions verified

5 - ATF Forms 4473 – NICS & Other Dispositions

For the inspection period November 7, 2015 through November 7, 2016, there were (b)(3) - 112 ATF Form 4473s on file for firearm transfers. There were (b)(3) - 112 denials and (b)(3) - 112 no transfers for the same period. The Investigators requested a sampling due to the large amount of forms. The sampling was approved by Cleveland Area Supervisor (b)(6) (b)(6) and Acting Director of Industry Operations (DIO) (b)(6). The Investigators sampled every other box of Form 4473s which consisted of approximately

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1,000 forms per box examining 6,409 forms.

The following forms were reviewed:

(b)(3) - 112 Public Law 55 125 Stat 552



There were (b)(3) forms that contained discrepancies that were disclosed (**See Exhibits # 6 through # 11, ATF Form 4473 Review Worksheet, Violations # 2 through # 7**).

The following violations were cited involving Form 4473s (a few forms had more than one violation cited):

- 1) Violation # 2 for 27 CFR 478.126a - (b)(3)-112 Form 4473s involving an unreported multiple sale (**Exhibit # 6**)
- 2) Violation # 3 for 27 CFR 478.21(a) - (b)(3)-112 Form 4473s had 10a left blank (**Exhibit # 7**)
- 3) Violation # 4 for 27 CFR 478.124(c)(1) - (b)(3)-112 Public Form 4473s had 10b or a prohibited question left blank (**Exhibit # 8**)
- 4) Violation # 5 for 27 CFR 478.124(c)(3)(i) - (b)(3)-112 Form 4473s had identification errors (**Exhibit # 9**)
- 5) Violation # 6 for 27 CFR 478.124(c)(3)(iv) - (b)(3)-112 Public Form 4473s had NICS errors (**Exhibit # 10**)
- 6) Violation # 7 for 27 CFR 478.124(c)(5) - (b)(3)-112 Public Law 55 4473 had an error in the seller's date in item 36 (**Exhibit # 11**)

The Investigators compared the NTN's listed on the NICS Audit log (**Exhibit # 12**) to the NTN's listed on the Form 4473s. There were 954 NTN's verified including proceeds, denials, open transactions, and transactions under appeal. During the inspection, the Investigators disclosed that there were some discrepancies for the NTN's recorded on the Form 4473s. Mr. Griffeth stated that it may be due to them conducting the E-Checks and on busy days using up to three computers to conduct and retrieve the responses. He stated it might be his employees looking across the computer screen and recording the ending of the number incorrectly. Mr. Griffeth stated once the NICS number is accessed it is gone and they could not retrieve it. Investigator (b)(6) recommended the licensee print out the response when they conduct the NICS check and place it in with the Form 4473. Investigator (b)(6) inquired with (b)(6), ATF Firearms Specialist - NICS Liaison to the FBI to determine if there was any way of obtaining past NICS numbers. He stated that the licensee could look in their FBI NICS User Manual on page 27-32 and it instructs them on how to export their activity to

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excel. He recommended them doing this at the end of each day for quality control review later. Investigator (b)(6) provided the information to the licensee. If the licensee transfers firearms to law enforcement officers or agencies he will place the letter with a Form 4473 to account for the transaction.

6 - Multiple Sales

The Violent Crime Analysis Branch (**Exhibit # 13**) showed (b)(3) - 112 multiple sales involving (b)(3) - 112 firearms for the inspection period. There were an additional (b)(3) - 112 additional multiple sales involving (b)(3) - 112 firearms that were completed after the VCAB report was requested and (b)(3) - 112 multiple sales that were completed and attached to the required Form 4473s but did not show up on the VCAB report. Investigator (b)(6) scanned and emailed the reports to the National Tracing Center along with corrections to be made including spellings of last names and correct serial numbers (**Exhibit # 14**). A total of (b)(3) - 112 multiple sales forms were completed involving (b)(3) - 112 firearms during the inspection period including the one unreported multiple sale report involving two handguns. When it was brought to the licensee's attention, the licensee immediately completed the ATF 3310.4, Report of Handgun Sale form and faxed it to ATF and the Shelby Police Department. **See Violation # 2 and Exhibit # 6.**

7 - Suspicious/Prohibited Purchasers

During the inspection, there were suspicious and prohibited purchasers disclosed. There were no formal referrals made during this inspection.

1. On the initial day of the inspection, Investigator (b)(6) was notified by one of the licensee's employees that (b)(6) of the ATF Cleveland Group I office contacted them regarding obtaining a copy of a Form 4473 regarding a denied purchaser. Investigator (b)(6) ensured the Group received the information and did not need any additional documentation. ATF Criminal Enforcement Cleveland Group I Group Supervisor (b)(6) opened UI 773000-2017-0001 for a NICS Standard investigation. The individual did not receive the firearm but was charged with a felony according to the information in the ANR system for NICS denials (**Exhibit # 15**). No further information was needed due to the open CE case as previously mentioned.
2. During the inspection on November 9th, some of the Investigators overheard a customer state that some firearms the licensee had on display were his stolen firearms. Investigator (b)(6) recorded the firearm information for the below listed three long guns with the Richland County Sheriff's Department Detective (b)(6) and telephone number of (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

FIREARMS INSPECTION REPORT

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The Sheriff advised the licensed dealer to hold the firearms. Investigator (b)(6) conducted queried of the two firearm serial numbers in NCIC and E-Trace and disclosed no entries. On the return visit to the licensed premises, Investigator (b)(6) inquired with the licensee about the firearms and was told the individual that stole the firearms told the person they sold them to the licensed dealer. Until the inspection, the licensee had the firearms held and did not display the firearms on the sales floor but when the Investigators were conducting the inventory, the owner of the shop displayed them so the Investigators would inventory them. The licensee stated that he would hold the firearms for the original owner pending the outcome of the Sheriff Department's investigation.

3. During the inspection on (b)(3) - 112 Public Law 55 125 Stat 552, a firearm purchaser by the name (b)(3) - 112 Public Law 55 125 Stat 552 returned a firearm he had purchased from this licensee on (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

The firearm was entered into the licensee's acquisition and disposition book. Investigator (b)(6) advised the customer that he could not possess firearms and he stated he knows that now. He indicated he had purchased other firearms and had never had any issues. He contacted an attorney and the licensee provided a copy of the NICS Appeal Brochure providing the individual with the NTN for the transaction. Copies of the original Form 4473s (b)(3) - 112 Public Law 55 125 Stat 552 were provided to Investigator (b)(6) as well as a printout of the licensee's A&D book showing the transactions (Exhibit # 16). Cleveland Group I ATF Special Agent (b)(3) - 112 Public Law 55 125 Stat 552 Investigator (b)(3) - 112 Public Law 55 125 Stat 552 during the inspection and wanted to know if she could obtain the 4473 and A&D book page. On the same day, Investigator (b)(3) - 112 Public Law 55 125 Stat 552 provided the information by email to him as well as providing the originals to him the following morning. N-Force showed the UI 773000-17-0001 regarding a NICS-delayed transaction. (b)(3) - 112 Public Law 55 125 Stat 552 informed Investigator (b)(3) - 112 Public Law 55 125 Stat 552 that he had met with the purchaser's friend that took possession of (b)(3) - 112 Public Law 55 125 Stat 552 firearms and advised him of his legal obligation to not return the firearms until (b)(3) - 112 Public Law 55 125 Stat 552 is no longer prohibited.

4. There was (b)(3) - 112 Public Law 55 125 Stat 552 firearm purchaser by the name of (b)(3) - 112 Public Law 55 125 Stat 552 that purchased a firearm on Form (b)(3) - 112 Public Law 55 125 Stat 552 was delayed and denied on (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) queried the firearm purchaser in NForce and determined that Special Agent (b)(3) - 112 Public Law 55 125 Stat 552 of the ATF Cleveland Group I Criminal Enforcement ATF office had a current UI opened

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(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

No further information was relayed since Cleveland Group I office was handling it (**Exhibit # 17**).

5. During the inspection, (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

The information was referred to the ATF Cleveland Group I Law Enforcement office for further information as they deemed necessary (**Exhibit # 18**).

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) inquired with the licensee to determine if he knew what the individual was doing with the firearms. The licensee stated he just likes guns and buys and sells them. Information was referred to Intel and no other information was provided (**See Worknotes**). There were other suspicious purchasers looked at but not referred since they did not merit further information. See other purchasers referred to Cleveland Group I for further information as they deem appropriate (**Worknotes**).

8 - Trace Activity

The Violent Crime Analysis Branch printout (**Exhibit # 13**) showed (b)(3) - 112 successful traces including some duplicates for the inspection period including (b)(3) - 112 open trace that was successful by the first day of the inspection. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) Investigator (b)(6) confirmed the licensee was submitting timely reports of used firearms they acquired to ATF in which confirmation was received from the National Tracing Center (**Exhibit # 19**). The licensee provided a list of 281 used firearms they acquired between July 1, 2016 and September 29, 2016 they had submitted to ATF (**Exhibit # 20**) during the inspection. Investigator (b)(3) - 112 Public queried 80 random used firearms from the printout in E-Trace and NCIC. No firearms were listed in NCIC as stolen. A few firearm serial numbers were in E-Trace listed as sold as part of multiple sales from this dealer as well as other local licensees.

9 - NFA/Importer/Collector/Manufacturer

Sportsmen's Den pays Special Occupational Tax (SOT) as a Class 63 dealer. Verification was made with the NFA Branch that the licensee was current and active for 2017 tax year (**Exhibit # 21**). The licensee displayed his Special Occupational Tax for Year 2017 in the office. Investigator (b)(6) received a list of NFA weapons that were registered to the licensee before the inspection (**Exhibit # 22**). The NFA Branch

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provided a list (b)(3) - 26 USC 6 NFA weapons consisting (b)(3) - 26 USC 6 silencers registered on Form 3s, (b)(3) - 26 USC 6 machineguns registered on Form 4s, (b)(3) - 26 USC 6103 short-barreled rifle registered on a Form 3. The licensee stated that he acquired the machineguns as part of an estate. Investigators (b)(6) verified the NFA weapons that are located (b)(4) (b)(4) to the NFA weapons listed on the NFA printout.

There were (b)(3) - 112 NFA weapons found in physical inventory and not recorded on the NFA printout.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



Investigator (b)(6) verified all of the NFA weapons were entered in the licensee's computerized acquisition and disposition book. No discrepancies were found. There were (b)(4) NFA weapons in physical inventory and there were (b)(4) weapons recorded in the A&D book.

10 - Referrals

No formal referrals were made during the inspection.

11 - Other

Prior to initiating this inspection, IOI (b)(6) contacted ATF Cleveland Group I Group Supervisor (b)(6) by email. GS (b)(6) not have any issues which would prohibit moving forward with the inspection (Worknote). On October 26, 2016, notification was made to Intel to IOIS (b)(6) to determine if he had any reason not to inspect and he did not (Worknote). On October 26, 2016, Investigator (b)(6) conducted deconfliction queries through N-Force, OHLEG and Open Fox (NCIC/NLETS) that disclosed nothing prohibiting.

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According to the licensee, he employs 36 employees although only 12 employees can sell firearms. He stated "the girls" do not sell the firearms. The newest employee is (b)(6) that was hired in June 2016 and he records the firearm dispositions Form 4473 number out of the computerized A&D book. The licensee's IT person (b)(6) left approximately six months prior to this inspection. They try to conduct inventories monthly of all the handguns on the sales floor. They inventory their firearms every six months and anticipate conducting another inventory in January. The employees double and triple check the Form 4473s. Currently the employee that assists the purchaser with the firearm sale handles the entire transaction.

The licensee has cameras located throughout the store which hold information for approximately two months. The licensee also has facial recognition cameras installed that capture the images as individuals enter the store. Cameras and alarms are through Vector security.

12 - Closing Actions

On December 1, 2016, Investigator (b)(6) reviewed the Acknowledgment of Federal Firearms Regulations (**Exhibit # 24**) with the licensee. Investigator (b)(6) and the licensee signed both copies. Mr. Griffith was provided one of the copies for future reference. The licensee was provided the Important Notice – Selling Firearms AFTER Revocation, Expiration, or Surrender of an FFL. They were provided a business card when the inspection was initiated. They were provided an ATF phone list with the appropriate contact information in order to contact this office or appropriate ATF office with any future questions.

Mr. Griffith stated that (b)(6) receives the updates from ATF. The following forms and publications were reviewed and provided to the licensee:

Questions and Answers Section from the new Federal Firearms Regulation 2014 Guide
and how to download the book and purchase the Federal Regulation Book
ATF I 5300.1, Youth Handgun Safety Act (poster)
FFL Newsletters: September 2016 and April 2015
ATF P 3317.2, Safety & Security Information for Fed. Firearms Licensees, 2/2010
ATF P 3312.8, (12/03), Personal Firearms Records
ATF P 5310.1, Gun Free School Zone Notice, October 2006
ATF P 5300.15, (8/10), FFL Quick Reference and Best Practices Guide
ATF F 5300.9, ATF Form 4473 (4/12), Firearms Transaction Record Part I
Questions and Answers – sent with revised ATF Form 4473 (April 2012 edition of Form 4473)
Important Notice to All FFLs regarding new Form 4473 to begin using 1/16/17
ATF Ruling 2016-2, Electronic Version of the ATF Form 4473
ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistols & Revolvers
Open letter dated 7/7/2009 regarding delivering firearms frames or receivers

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ATF F 5300.38, Application for an Amended Federal Firearms License
ATF F 1370.2, Requisition for Firearms/Explosives Forms
ATF F 3310.11, Federal Firearms Licensee Theft/Loss Report
ATF I 5300.2, Youth Handgun Safety Act Notice
ATF I 5300.23A (3/06), Gun Show Guidelines
ATF Ruling 2016-1, Records Required for FFLs regarding computerized A&D book
ATF I 5330.16, Antique Firearms under the Gun Control Act of 1968 and the
Arms Export Control Act of 1976
H/O, Firearms Acquisition and Disposition Record
ATF P 5380.2 (7/16), How to Conduct a Firearms Inventory
H/O, Information Guidelines, "Cans" and Can't"
H/O, Address list for ATF offices
ATF Ruling 2001-5 – Identification of Transferee
H/O, Information on the FFL EZ Check
Advisory letter dated 3/7/14, Online Scams Using Fraudulent Federal Firearms Licenses
H/O, Copy of Voluntary Appeal File (VAF) pamphlet
Acknowledgement of Federal Firearms Regulations
Business card
Child Safety Lock Act of 2005 letter dated 3/17/06
Instructions on how to register for ATF newsletter and other reference material
NICS information on Denied and Delayed transactions
NICS Appeal Brochure
ATF P 5300.21, Best Practices: Transfers of Firearms by Private Sellers
ATF Procedure 2013-1
ATF P 5380.2, ATF How to Conduct a Firearms Inventory?
ATF P 5310.2, Do I Need A License to Buy and Sell Firearms?
FFL Guide, Facilitating Private Sales
ATF I 3317.6, ATF Tip poster to report suspicious activity

Since Mr. Griffeth pays SOT as a NFA dealer, the following were provided to him:
Information printed from ATF's website regarding Final Rule 41F

13 - Violations

1. **27 CFR 478.125(e)**

Nature of Violation:

The licensee failed to enter the acquisition of firearms into the acquisition and disposition book ^{(b)(3) - 112 Public Law 55 125} instances:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

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(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



The licensee failed to enter the disposition of firearms into the A&D book in (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



The licensee recorded a firearm in the A&D book twice (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instance:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) firearms could not be accounted for during this inspection and had to be reported as missing as a result of inspection:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response:

The licensee stated that (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) missing firearms were acquired before they began using computerized A&D books (ARS in 2010 and Celerant in 2014). While conducting inventories both firearms were accounted for (ARS had a column for firearms counted) and the firearm acquired in 2009 was accounted for so they did not look at the handwritten A&D books. (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) stated that he knew both firearms were accounted for and scanned in 2014. They searched through Form 4473s and sales receipts and could not locate the disposition of either firearm.

One of the firearms he believes were transferred to another FFL that has since turned in

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his FFL records and acquired a new FFL so he would not have the records to look for them.

Corrective Action:

The licensee shall record the firearm acquisition no later than the close of the next business day following such purchase or acquisition. The record shall show the date of receipt, the name and address or the name and license number of the person from whom received, the name of the manufacturer and importer (if any), the model, serial number, type, and the caliber or gauge of the firearm. The sale or other disposition of a firearm shall be recorded by the licensed dealer not later than seven days following the date of such transfer. The record shall show the date of the sale or other disposition of each firearm, the name and address of the person to whom the firearm is transferred if such person is a licensee, or the firearms transaction record, Form 4473, serial number if the licensed dealer transferring the firearm serially numbers the Form 4473 and files them numerically.

If the licensee decides to continue to use the computerized acquisition and disposition book, it must meet the requirements listed in ATF Ruling 2016-1.

The licensee shall report the theft/loss of the firearms they could not account for by completing ATF Form 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss form in accordance with the instructions on the form. Copy 1 should be retained by the licensee as part of the licensee's permanent records. The theft/loss shall be reported to ATF and local law enforcement authorities. The A&D book disposition should indicate whether the incident is a theft or loss and the ATF issued incident number.

Date corrections to be made:

On December 5, 2016, after the licensee exhausted all possibilities for the firearms dispositions as well as Investigator (b)(6) inquiring with (b)(6) at the ATF Out of Business Record Center to try and determine (b)(3) - 112 Public Law 55 125 Stat 552, missing firearm had been transferred (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

no records could be found. The licensee thought the Weatherby firearm may have been transferred to a sales rep but could not confirm it. On the same day as Investigator (b)(6) was informed that the firearms were not located being acquired by the Arkansas FFL, Sportsman's Den was informed the ATF Theft/Loss Report needed to be completed and filed as well as local law enforcement notified. The licensee's (b)(6) (b)(6) immediately emailed Investigator (b)(6) a copy of the report and Investigator (b)(6) confirmed both firearms were entered as missing in E-Trace (Exhibit # 4). The ATF Incident number was (b)(3) - 112 Public Law 55 125 Stat 552. He also emailed an updated form with the Shelby Police Department Officer Magers and Police Report number 16-21762. On December 6, 2016, Officer Magers contacted the ATF

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Cleveland Industry Operations Office to determine if the missing firearms needed to be entered into NCIC through the Ohio LEADS terminal. Investigator (b)(6) spoke to Officer (b)(6) on the same day and he was informed since the disposition of the firearms could not be determined to be stolen they should not be entered into NCIC but the missing firearms only get entered into ATF's database since we do not know how they were disposed. Officer (b)(6) agreed and stated he would note the missing firearms in his police report.

Violation # 1, Inventory and A&D Review Worksheet, Exhibits # 2 through # 4.

2. 27 CFR 478.126a *REPEAT VIOLATION from 2011 inspection*

Nature of Violation:

The licensee failed to complete and submit (b)(3) - 112 ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols or Revolvers by the close of business on the day that the multiple sale occurred. See ATF Form for the following purchases:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

The licensee failed to accurately complete at least one Report of Multiple Sale form for the following transaction:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response:

The licensee immediately completed the Report of Multiple Sale form and submitted it to ATF and to the local law enforcement. The licensee stated that when the firearm is sold and is scanned at the cash register it triggers a multiple sale form to be completed by displaying a photo of the form on the screen. The licensee stated sometimes the cashier may miss the form if they are ringing quickly.

The licensee stated that his niece completed the multiple sale form with the incorrect date.

Corrective Action:

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The licensee shall always complete an ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers when transferring two or more handguns at one time or during five consecutive business days to an unlicensed person. It shall be filed by the close of the business day that the multiple sale or disposition occurs.

Date Corrections to be made:
November 8, 2016

Violation # 2 ATF Form 4473 Review Worksheet, Exhibit # 6

3. 27 CFR 478.21(a) *REPEAT VIOLATION from 2011 inspection*

Nature of Violation:

The licensee failed to have (b)(3)-112 firearm purchasers answer 10a (ethnicity) on the Form 4473.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response:

The licensee stated this was an oversight.

Corrective Action:

In all future transactions, the licensee shall ensure that question in item 10a is completed before transferring a firearm to a nonlicensed individual.

Date Corrections to be made:
Preventative Action Discussed.

Violation # 3, ATF Form 4473 Review Worksheet, Exhibit # 7.

4. 27 CFR 478.124(c)(1) *REPEAT VIOLATION from 2010 inspection*

Nature of Violation:

The licensee failed to ensure that all questions were properly completed in Section A of the ATF Form 4473 on (b)(3)-112 Public Law forms before transferring firearms:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response:

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The licensee stated this was an oversight.

Corrective Action:

In all future transactions, the licensee shall ensure that all questions in items 10b and 11k are completed before transferring a firearm to a nonlicensed individual.

Date Corrections to be made:

Preventative Action Discussed.

Violation # 4, ATF Form 4473 Review Worksheet, Exhibit # 8.

5. 27 CFR 478.124(c)(3)(i) *REPEAT VIOLATION from 2010 inspection*

Nature of Violation:

In (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances, the licensee failed to properly complete the identification of the transferee section on the ATF Form 4473:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response:

The licensee stated he could correct the identification information since they scan all firearm purchaser's identification cards.

Corrective Action:

In all future transactions, the licensee shall always verify and record a government-issued photo identification from the transferee, including the correct expiration date of such identification in item 20a of the ATF Form 4473.

Date Corrections to be made:

December 1, 2016

Investigator (b)(6) verified (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) firearm purchasers had current valid identifications through queries in OHLEG.

Violation # 5, ATF Form 4473 Review Worksheet, Exhibit # 9.

6. 27 CFR 478.124(c)(3)(iv) *REPEAT VIOLATION from 2011 inspection*

Nature of Violation:

In (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) instances, the licensee failed to properly complete items 21a, 21b, 21c, and 21d on the ATF Form 4473:

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(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



Licensee's Response:

The licensee believed that his employees looked across the computer screen and recorded the incorrect NTN on the Form 4473s.

The licensee stated that he emphasized to his employees that they must record the NTN before anything else to ensure proper recording on the Form 4473. The licensee was advised he could print out the information when he completed the NICS E-Check or he could download the NTNS to an excel spreadsheet for comparison at the end of the day.

Corrective Action:

In all future transactions, the licensee shall ensure items 21a through 21d on the ATF Form 4473 are properly completed.

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Although not required, recommend licensee prints out the response from the FBI to correctly record the NTN on the Form 4473 in the future or export list of NTNs to an excel spreadsheet to compare the NTNS recorded on the Form 4473s.

Date Corrections to be made:

Preventative Action Discussed.

The Form 4473s with the same NTN recorded were listed on the NICS Audit log and on the same days there were NTNs with the same type of firearms where the NTN was not checked so it is suspected the licensee's employee incorrectly recorded the incorrect NTN when looking on the computer screen. (b)(3) - 112 Public Law 55 125 Stat 552

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Violation # 6, ATF Form 4473 Review Worksheet, Exhibit # 10.

7. 27 CFR 478.124(c)(5) *REPEAT VIOLATION from 2011 inspection*

Nature of Violation:

In (b)(3) - 112 Public instance, the licensee failed to record proper information in item 36 on the ATF Form 4473:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee's Response:

The licensee acknowledged the error.

Corrective Action:

In all future transactions, always make sure item 36 of the ATF Form 4473 contains the correct transfer date.

Date Corrections to be made:

December 1, 2016

Violation # 7, ATF Form 4473 Review Worksheet, Exhibit # 11.

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X

Industry Operations Investigator

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Updated Report of Violations

Worksheets

Informational Page

Inventory and A&D Review Worksheet

ATF Form 4473 Review Worksheet

Statistical Page

Exhibits

- 1 – Copy of Business Card
- 2 – Copies of A&D pages documenting untimely firearm acquisitions
VIOLATION # 1
- 3 – Copies of A&D Pages, Form 4473s and documents documenting untimely firearm dispositions
VIOLATION # 1
- 4 – ATF Theft/Loss Report for (b)(3)-112 missing firearms
Copies of A&D pages, FFL copy, email to ATF Stolen Firearms Branch
VIOLATION # 1
- 5 – Copies of A&D pages that were compared to Form 4473s
- 6 – Copies of Form 4473s and Unreported Report of Multiple Sale
VIOLATION # 2
- 7 - Copies of Form 4473s
VIOLATION # 3
- 8 – Copies of Form 4473s
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VIOLATION # 6
- 11 – Copies of Form 4473
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- 12 – FFL Audit Log

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- 13 – Violent Crime Analysis Branch printout (also in Worknotes)
- 14 – Email for corrections to the information recorded in the National Tracing Center
Copies of Form 4473s and Report of Multiple Sale forms
- 15 – ANR record with (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) referred to Cleveland Group I
- 16 – Copies of A&D book pages and (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) referred to Cleveland Group I
- 17 - Copy of (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) referred to Cleveland Group I
- 18 - Copy of (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) referred to Cleveland Group I
- 19 – Email to confirm licensee was current with submitting the Demand Letter program
- 20 – List of used firearms the licensee submitted for the Demand Letter program
- 21 – Email verifying current SOT payer
- 22 – List of NFA weapons registered to the licensee
- 23 - Copy of Form 3 for discrepancy
- 24 – Email with Form 3 for NFA discrepancy sent to NFA Branch
- 25 - Acknowledgement of Federal Firearms Regulations

Worknotes

Information and Statistics

NFA Inventory Discrepancies

Secondary Market Information

NCIC/NLETS Queries

Additional Worknotes

OHLEG printout for responsible person

Notification of inspection to Cleveland Group I Supervisor and Intel

Violent Crime Analysis Branch printout for three-year period

Additional information for firearm purchasers that were forwarded to Cleveland Group I



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
5005 Rockside Road, Suite 700
Independence, OH 44131

December 09, 2016
www.atf.gov

773030 (b)(6)
5440

Certified Mail – Return Receipt Requested

SPORTSMAN'S DEN INC
201 NORTH GAMBLE STREET
SHELBY, OH 44875-
RE: 434139017E37736

Dear Mr. Gregg Griffeth:

During a recent inspection at your firearms business covering the period 11/07/2015 to 11/17/2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

SPORTSMAN'S DEN INC

Should you have any questions regarding this matter, please contact (b)(6)
Industry Operations Investigator at (b)(6)

Sincerely yours,

(b)(6)

Area Supervisor, Cleveland III

Enclosure

cc: Federal Firearms Licensing Center
Cleveland III (IO) Field Office