# **Assignment and Report**

1. OPERATING NAME AND (INCLUDE ZIP CODE AND	COUNTY)				2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773015-2017-0362-B1B 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS					
Vandalia Tactical Sup Vandalia Tactical	bly LLC			431113019K045		9/30/2017	40. TARGET HOURS			
312 N. Dixie Dr.			5. REQUESTED BY (SIG			<u> </u>				
Vandalia,OH 45377, N	/lontgomery	1			, , , , , , , , , , , , , , , , , , ,	, , , , , , , , , , , , , , , , , , ,				
6. ATF OFFICER(S) ASSIGN	IED d Investigat	or								
7. ASSIGNED BY (SIGNATU	IRE, TITLE A	ND DATE)								
<b>(b)(6)</b> , Area	Supervisor	02/16/2017								
8. PURPOSE/SPECIAL INS	TRUCTIONS									
			on. Refer to IOI N	/lanual, Chapter C – Firea	arms Inspe	ctions and FY 17	7 Domain			
				v unless authorized in adv						
9. INSPECTION RESULTS			ENTS ETC	10 TRAVE						
	ISPECTION RESULTS         CHECK IF NO VIOLATIONS, ADJUSTM           O. OF VIOLATIONS         4         NO. OF REFERRALS				NTS, ETC 10. TRAVEL EXPENSES (OPTIO 2111 - PER DIEM					
NO. OF TECS CHECKS	82	NO. OF TECS			2112 - P.O.					
			AX INCREASES		2113 - CON					
NO. OF TAX ADJUSTMENTS			AX DECREASES		2114 - REN					
NO. OF ASSESSMENTS			SSESSMENTS			V EXPENSES				
NO. OF CLAIMS		\$ VALUE OF C			2116 - MIS					
NO. OF TAX PERIODS					TOTAL \$ F					
11. ATF OFFICER'S RECOM				I						
Submitted by (b)(6)		try Operations	Investigator							
Submitted on: 0 On 4/26/16, IOI <b>(b)(6)</b> inspection covered the 478.21a on 1999 form; 2 instances. All violations	17 initialed a period betv CFR 478 were cited 158 NTN p	Firearms Disp veen 4/26/16 t 124(c)(1) on on ATF F 503 provided by FB	osition Emphasis o 4/26/17. The ins forms; 3) 27 Cl 0.5 (ROV). Furth	Inspection at the premise spection disclosed the foll FR 478.125(e) in <b>section</b> her inspection disclosed 8 o discrepancies disclosed	ance; and 09 firearm	4) 27 CFR 478.3 is on hand, 740 A	89a in <b>19</b> ATF Forms 4473			
			12. TIME ACCOU	UNTING DATA						
ATF OFFICER'S NAME (MC	ONTH, YEAR	, HOURS)	)(6)							
FEB 2	017	1.00								
MAR 2		4.50								
APR 2	017	18.00								
MAY 2	017	29.00								
ATF OFFICER'S SUBTOTAL		52.50	ATF OFFICER'S S	IGNATURE						
TOTAL HOURS		52.50								
			13. REVIEW A	ND ROUTING						
REVIEW COMMENTS AND Viols WL ONLY and										

# Assignment and Report

2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)							
773015-2017-0362-B1B							
3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS							
431113019K04516 9/30/2017							
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)							

ROUTING SEQUENCE AND DATE

1.	
2.	
3.	
4.	

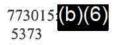
CONTROL FILE POSTED DATE

#### **U.S. Department of Justice**

Bureau of Alcohol, Tobacco, Firearms and Explosives

550 Main Street, Room 8507 Cincinnati, OH. 45202

MAY 08 2017



# CERTIFIED MAIL RETURN RECEIPT REQUESTED

Vandalia Tactical Supply, LLC. Vandalia Tactical 312 N. Dixie Dr. Vandalia, OH 45377

Dear Mr. Bill Jerrett & Brandon Estep:

During a recent inspection at your firearms business covering the period of April 26, 2016, to April 26, 2017, you were cited for a violation of Title 27, Code of Federal Regulation, Part 478. A copy of the Report of Violation, ATF F 5030.5, issued at the time of the inspection is enclosed.

The violation was fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations, and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.



Mr. Bill Jerrett & Brandon Estep

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any further violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact IOI, (b)(6) (513) 684-3351



Cc: Federal Firearms Licensing Center Cincinnati II Field Office Correspondence File

#### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Vandalia Tactical Supply LLC Vandalia Tactical	Street Address 312 N. Dixie Dr.		City Vandalia		State OH	Zip Code 45377-	County Montgomery	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 431113019K04516		Expiration Date 10/1/2019		100000000		of Inspection gh 04/26/2017		
		Inspe	ction Results					

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: |

#### Nature of Violation:

Failure to obtain properly completed ATF F 4473 in out of 740 forms examined. On form, in Section C, item# 23 - Recertification (2016 revised) was blank.

#### (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.21(a)

#### Date Corrections to be Made:

(If not corrected immediately)

(If not corrected immediately)

Corrective Action to be Taken: IOI(b)(6) advised the licensee to ensure that ATF Form 4473 was complete and accurate prior to transferring firearms to non-licensees in the future. IOI (b)(6) further advised that a secondary review of ATF Forms 4473 be conducted for accuracy prior to completion of the transaction; licensee agreed to comply.

#### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Vandalia Tactical Supply LLC Vandalia Tactical	Street Address 312 N. Dixie Dr.		City Vandalia	1	State OH	Zip Code 45377-	County Montgomery	Page 2 of 3 Pages
License/Permit/Registry Number (If any) 431113019K04516		Expiration Date 10/1/2019		1		of Inspection of 04/26/2017		

**Inspection Results** 

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

#### Nature of Violation:

Failure to obtain properly completed ATF Forms 4473 in but of 740 forms examined. On forms, in Section A, item 11k was blank. On control form, Section A, items 17 was blank. On forms, Section A, item 21d-1 was blank (2016 revised). And, on form Section A, items 14 & 15 were blank (2016 revised).

# (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Date Corrections to be Made:

(If not corrected immediately)

(If not corrected immediately)



Corrective Action to be Taken: IOI(b)(6) advised licensee to ensure that ATF Forms 4473 were complete and accurate prior to transferring firearms to non-licensees. IOI (b)(6) further advised licensee to slow down and be more attentive when completing Forms 4473 in the future; licensee agreed to comply.

Citation: 27 CFR 478.124(c)(1)

#### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	of Proprietor Street Address		City	Sta	10	7 - Colo	10			
Vandalia Tactical Supply LLC Vandalia Tactical	actical Supply LLC 312 N. Dixie Dr		Vandalia	OF		Zip Code 45377-	County Montgomery	Page 3 of 3 Pages		
License/Permit/Registry Number (If any) 431113019K04516				Date(s) or Period of 04/26/2016 through						
	on Results	04/20/2010	moug	11 04/20/2017						
An examination of your premises, records an	d operations has disclosed th			explained to y	ou:					
Number: 3 Nature of Violation: Failure to accurately maintain acquisition a failed to record the disposition information (0) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) Citation: 27 CFR 478.125(e)	and disposition record book:	In instance, licensee	Date Correc (If not corrective )	ctions to be M ected immediat Action to be T ected immediat	ade: tely) aken:	licensee that if l within 30 days. ATF Tracing C	d licensee under 27 ( ain his A&D Record he could not locate th the firearm should b enter on ATF F 3310 Loss Report; licensed	s. IOI also informed ne ATF Form 4473, e reported as loss to 0.11., Federal Firearms		
<ul> <li>Number: 4</li> <li>Nature of Violation:</li> <li>Failure to notify ATF of missing firearms within 48 hours and completing an ATF Form 3310.11, Federal Firearms Licensee TheIt/Loss Report: In instances, licensee failed to report missing firearms inventory that he discovered on 2/1/16 to ATF Firearms Tracing Center. However, on 2/1/16, he did report the firearms to local PD (Vandalia Police Department) under Case# 201600004414-000 as missing. Nevertheless, IOI (D) (6) required licensee to report the firearms to ATF Tracing Center during this inspection under incident# 20170001-003; licensee complied.</li> <li>Citation: 27 CFR 478.39a</li> </ul>				ctions to be Ma acted immediat Action to be Ta acted immediat	ely) iken: ely)	missing firearm an ATF Form 3 Theft/Loss Repo properly logged	ructed the licensee to s inventory to ATF T 310.11 - Federal Fire ort, and to ensure tha out of his A&D Rec 0001-003. Licensee v	t each firearms was ords using ATF		
I Have Received a Copy of This Report of Viola	tions (Proprietor's signati	ure and title)						Date		
Signature and Title of ATF Officer								Date		
For Official Lise Only			_		-					

# Assignment and Report

(INCLUDE ZIP CODE AND COUNTY) 773	G. CODE, ASSIGNMENT NO., P.P.C.) 3015-2017-0362-B1B						
Vandalia Tactical Supply LLC3. PERMIT/LICENSE NUMEVandalia Tactical431113019K04516312 N. Dixie Dr.5. REQUESTED BY (SIGNA)	3015-2017-0362-818						
Vandalia Tactical431113019K04516312 N. Dixie Dr.5. REQUESTED BY (SIGNA)	3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS						
Vandalia,OH 45377, Montgomery	TURE, TITLE AND DATE)						
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator							
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)							
<b>(b)(6)</b> , Area Supervisor, 02/16/2017							
8. PURPOSE/SPECIAL INSTRUCTIONS							
Conduct Firearms Disposition Emphasis Inspection. Refer to IOI Manual, Chapter C - Firearm							
Assessment. Conduct 100% inventory and ATF Form 4473 review unless authorized in advan	ced by Area Supervisor and DIO.						
9. INSPECTION RESULTS CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC 10	). TRAVEL EXPENSES (OPTIONAL)						
	11 - PER DIEM						
NO. OF TECS CHECKS 82 NO. OF TECS HITS 21'	12 - P.O.A.						
NO. OF TAX \$ VALUE OF TAX INCREASES 21	13 - COMM. AIR						
ADJUSTMENTS \$ VALUE OF TAX DECREASES 21	14 - RENTAL CAR						
NO. OF ASSESSMENTS \$ VALUE OF ASSESSMENTS 21	15 - GPV EXPENSES						
NO. OF CLAIMS \$ VALUE OF CLAIMS 21	16 - MISC.						
NO. OF TAX PERIODS \$ VALUE OF TAXES VERIFIED TO	ITAL \$ FOR INSP.						
Submitted by (b)(6) Submitted on 0 17 On 4/26/16, IO (b)(6) initialed a Firearms Disposition Emphasis Inspection at the premises of inspection covered the period between 4/ <u>26/16 to </u> 4/26/17. The inspection disclo <u>sed the</u> follow	of Vandalia Tactical Supply LLC. The ing: Four violations cited: 1) 27 CFR						
478.21a arrows form; 2) CFR 478.124(c) (Constrained forms; 3) 27 CFR 478.125(e) (Constrained instances. All violations were cited on ATF F 5030.5 (ROV). Further inspection disclosed 809 on hand/reviewed, and 158 NTN provided by FBI-NICS verified; no discrepancies disclosed. N Viols WL ONLY and No Recall Inspection	firearms on hand, 740 ATF Forms 4473						
instances. All violations were cited on ATF F 5030.5 (ROV). Further inspection disclosed 809 on hand/reviewed, and 158 NTN provided by FBI-NICS verified; no discrepancies disclosed. N Viols WL ONLY and No Recall Inspection	firearms on hand, 740 ATF Forms 4473						
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instances. All violations were cited on ATF F 5030.5 (ROV). Further inspection disclosed 809 on hand/reviewed, and 158 NTN provided by FBI-NICS verified; no discrepancies disclosed. N Viols WL ONLY and No Recall Inspection <b>12. TIME ACCOUNTING DATA</b> ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6) FEB 2017 1.00 MAR 2017 4.50	firearms on hand, 740 ATF Forms 4473						
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instances. All violations were cited on ATF F 5030.5 (ROV). Further inspection disclosed 809 on hand/reviewed, and 158 NTN provided by FBI-NICS verified; no discrepancies disclosed. N Viols WL ONLY and No Recall Inspection           12. TIME ACCOUNTING DATA           ATF OFFICER'S NAME (MONTH, YEAR, HOURS)         (b)(6)           FEB         2017         1.00           MAR         2017         4.50           APR         2017         18.00           MAY         2017         29.00           ATF OFFICER'S SUBTOTAL         52.50         ATF OFFICER'S SIGNATURE	firearms on hand, 740 ATF Forms 4473						
instances. All violations were cited on ATF F 5030.5 (ROV). Further inspection disclosed 809 on hand/reviewed, and 158 NTN provided by FBI-NICS verified; no discrepancies disclosed. N Viols WL ONLY and No Recall Inspection          12. TIME ACCOUNTING DATA         ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)         FEB 2017       1.00         MAR 2017       4.50         APR 2017       18.00         MAY 2017       29.00         ATF OFFICER'S SUBTOTAL       52.50         TOTAL HOURS       52.50         TOTAL HOURS       52.50         REVIEW COMMENTS AND RECOMMENDATION         Viols WL ONLY and No Recall Inspection	firearms on hand, 740 ATF Forms 4473						
instances. All violations were cited on ATF F 5030.5 (ROV). Further inspection disclosed 809 on hand/reviewed, and 158 NTN provided by FBI-NICS verified; no discrepancies disclosed. N Viols WL ONLY and No Recall Inspection          12. TIME ACCOUNTING DATA         ATF OFFICER'S NAME (MONTH, YEAR, HOURS)         (b)(6)         FEB 2017         ATF OFFICER'S NAME (MONTH, YEAR, HOURS)         (b)(6)         FEB 2017         ATF OFFICER'S NAME (MONTH, YEAR, HOURS)         ATF OFFICER'S NAME (MONTH, YEAR, HOURS)         APR 2017         ALL 00         MAR 2017         ALL 00         MAR 2017         ATF OFFICER'S SUBTOTAL         52.50         TOTAL HOURS         SECOMMENTS AND RECOMMENDATION         Viols WL ONLY and No Recall Inspection         SEE COMMENTS © SEE COMMENTS	firearms on hand, 740 ATF Forms 4473 lo further field actions taken.						

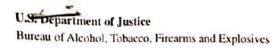
# Assignment and Report

2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)							
773015-2017-0362-B1B							
3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS							
431113019K04516 9/30/2017							
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)							

ROUTING SEQUENCE AND DATE

□ 1	
<u>2</u> .	
<b>3</b> .	
4	

CONTROL FILE POSTED DATE



#### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Vandalia Tactical Supply LLC Vandalia Tactical	Street Address 312 N. Dixie Dr.		City Vandalia	State OH	Zip Code 45377-	County Montgomery	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 431113019K04516		Expiration Date 10/1/2019			f Inspection th 04/26/2017	1	

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

#### Number: 1

#### Nature of Violation:

Failure to obtain properly completed ATF F 4473 in [00] - 112 int of 740 forms examined. On form, in Section C, item# 23 - Recertification (2016 revised) was blank.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

(If not corrected immediately)

Corrective Action to be Taken: 101 (b)(6) dvised the licensee to ensure that ATF Form 4473 was complete and accurate prior to transferring firearms to non-licensees in the future. IO(b)(6) further advised that a secondary review of ATF Forms 4473 be conducted for accuracy prior to completion of the transaction; licensee agreed to comply.



#### Instructions

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Name of Proprietor Vandalia Tactical Supply LLC Vandalia Tactical	Street Address 312 N. Dixie Dr.		City Vandalia		State OH	Zip Code 45377-	County Montgomery	Page 2 of 3 Pages
License/Permit/Registry Number (If any) 431113019K04516		Expiration Date 10/1/2019		Date(s) or Period of Inspection 04/26/2016 through 04/26/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

#### Number: 2

Nature of Violation:

Failure to obtain properly completed ATF Forms 4473 i ut of 740 forms examined. On forms, in Section A, item 11k was blank. On one form, Section A, items 17 was blank. On forms, Section A, item 21d-1 was blank (2016 revised). And, or the section A, items 14 & 15 were blank (2016 revised).

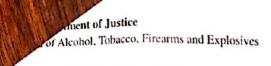
#### (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Date Corrections to be Made: (If not corrected immediately)

(If not corrected immediately)

Corrective Action to be Taken: 101(b)(6) dvised licensee to ensure that ATF Forms 4473 were complete and accurate prior to transferring firearms to non-licensees. IOI (b)(6) further advised licensee to slow down and be more attentive when completing Forms 4473 in the future: licensee agreed to comply.

Citation: 27 CFR 478.124(c)(1)



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Name of Proprietor											
Vandalia Tactical Supply LLC Vandalia Tactical	Street Address 312 N. Dixie Dr.		City State Vandalia OH			Zip Code 45377-	County Montgomery	Page 3 of			
Vandalia Taclical			, and and		on	45577-	wongonery	3 Pages			
License/Permit/Registry Number (If any) 431113019K04516	sopration but			Date(s) or Period of			f Inspection				
		10/1/2019		04/26/20	16 throug	gh 04/26/2017					
			ion Results								
An examination of your premises, records and ope	rations has disclosed th	e following violations	which have been	explained	to you:						
Number: 3			Date Correc			06/01/2017					
Nature of Violation:			(If not corre Corrective A			10(b)(6)	Version and a 27 CED 4	70 125(-) 5			
Failure to accurately maintain acquisition and di failed to record the disposition information out (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)	isposition record book: of his A&D record bool	II <sup>(0)(3) - 112</sup> Instance, license (b)(3) - 112 Public Law 55 125 Stat 552	(If not corre			failure to maintain licensee that if he within 30 days, th	licensee under 27 CFR 4 n his A&D Records, IOI could not locate the ATI ne firearm should be repo nter on ATF F 3310.11., 1	also informed F Form 4473, orted as loss to			
							oss Report; licensee agree				
Citation: 27 CFR 478.125(e)							•				
Number: 4			Date Correc	tions to k	Mada						
			(If not correc								
Nature of Violation: Failure to notify ATF of missing firearms within	48 hours and completi	ing an ATE Form	Corrective A	Action to	be Taken:		icted the licensee to imm				
3310.11, Federal Firearms Licensee Theft/Loss	Report stances	, licensee failed to repo	rt (If not corre	ected imm	ediately)		inventory to ATF Tracin, 10.11 - Federal Firearms				
missing firearms inventory that he discovered o However, on 2/1/16, he did report the firearms						Theft/Loss Report	rt, and to ensure that each	n firearms was			
Case# 201600004414-000 as missing. Neverthe						properly logged ( Incident#	Licensee willful				
firearms to ATF Tracing Center during this insp	ection under incident	license	e			merdenta	Electisee withu	ny complica			
complied. Citation: 27 CFR 478.39a											
I Have Received a Copy of This Report of Violation	s (Proprietor's signal	ture and title)					Dat	ie			
Breech the	licensee						Ç	5-4-2017			
							Da				
I DI I DI IATE	TOT							5/4/17			

ATF E-Form 5030.5 Revised April 2005

Name: Vandalia Tactical Supply, LLC Trade Name: Vandalia Tactical UI#: 773015-2017-0362 B1B FFL#: 4-31-113-01-9K-04516

Address: 312 N. Dixie Dr. Vandalia, OH 45377

#### 1 - Introduction

#### **Inspection Profile:**

On April 26, 2017, Industry Operations Investigator, (IOI) (b)(6) conducted a Firearms Disposition Emphasis Inspection at the premises of Vandalia Tactical Supply, LLC., dba, Vandalia Tactical. The inspection covered the period between April 26, 2016, to April 26, 2017. IOI (b)(6) interviewed licensee representative, Brandon H. Estep, Store Manager. IOI (b)(6) identified Mr. Estep using his Ohio Driver's licenses (b)(6) 8/28/18). Mr. Brandon H. Estep will be referred to hereafter as licensee. No other Federal, State, or local agencies participated in the inspection. No advance notice given.

#### **Business Profile:**

Licensee is a firearms retailer who operates from a commercial location. The site is located in a strip-mall situated in suburban Vandalia, Ohio. From that location, licensee conducts Type 01, Dealer in Firearms, activities.

During this inspection, IOI (b)(6) examined licensee's A&D records. Those records indicated that licensee acquired (b)(4) and disposed of (b)(4) firearms within the last 12 months. Of those, 80 percent were new and the remaining 20 percent were used, with a (b)(4) ratio between handguns and long guns. Licensee's primarily acquires his firearms inventories from (b)(4)

(b)(4) Licensee stated that they do not participate in Gun shows; however, they do conduct internet sales using Gunbroker.com; no website owned or maintained.

Furthermore, IOI(b)(6) verified licensee's Ohio Vendor License (# 57-187583), State of Ohio Corporate Filing Certificate under #1472730, EIN#(b)(3) - 26 USC 6103, and local zoning status with (b)(6), Director of Public Service, City of Vandalia Development & Engineering Services; (b)(6) indicated that no zoning issues exist at the premises location.

They do not hold any other ATF Licenses, conducts no other business activities from this location, and does not maintain any off-site storage locations.

Name:	Vandalia Tactical Supply, LLC
Trade Name:	Vandalia Tactical

**UI#:** 773015-2017-0362 B1B **FFL#:** 4-31-113-01-9K-04516

Federal Licensing System database (FLS) indicated that licensee acquired the Type 01 license in 2013.

#### **Ownership and Control:**

Licensee conducts business as a Limited Liability Corporation. IOI (b)(6) determined that licensee has complete control and ownership of the business; no hidden ownership detected; and no unreported changes were made in the business. All responsible people are correctly shown in the FLS database and no necessary changes or corrections are required.

#### Variance:

No variance requested or maintained.

#### 2 - <u>Recommendation</u>

Warning Letter, ROV & no recall

#### 3 - Inspection History

This was licensee's first compliance inspection under this license.

Date and Type of Inspection:	September 17, 2013, Qualification Inspection		
UI#:	773015-2013-0514 B1B		
Inspection Results:	Application Approved		
Cited Violations:	None		

#### 4 - Acquisition & Disposition (A&D) Record – Inventory

The IOI (b)(6) examined licensee's Acquisition & Disposition Record (A&D), the examination disclosed for open A&D entries and 809 firearms on hand in physical inventory. IOI (b)(6) serially traced all firearms to the Acquisition & Disposition records book as well as through audit trials; the serial trace disclosed the following: firearm presumably sold but not signed out of A&D Records. The firearm: (b)(3) - 112 Public Law 55 125 Stat 552. Licensee stated that he was sure the

Name:	Vandalia Tactical Supply, LLC	UI#:	773015-2017-0362 B1B
Trade Name:	Vandalia Tactical	FFL#:	4-31-113-01-9K-04516

firearm had been sold but, he could not find the form 4473. Therefore, IOI (b)(6) cited licensee under 27 CFR 478.125(e) for failure to maintain his A&D Records in that one instance. IOI also went on to inform licensee that if he could not locate the ATF Form 4473, within 30 days, the firearm should be reported as loss on ATF F 3310.11., Federal Licensee Theft/Loss report form; licensee agreed to comply. Furthermore, licensee submitted firearms which licensee failed to report to ATF Tracing Center after an inventory shortage in 2016. On the other hand, licensee did report the firearms to Vandalia Police Department under Case# 201600004414-000 as lost. Nonetheless, IOI cited licensee under 27 CFR 478.39a for his failure to notify ATF of the missing firearms within 48 hours and completing an ATF Form 3310.11, Federal Firearms Licensee Theft/Loss Report under incident#

Further examination of A&D record disclosed that the records were in the proper format, all the required information was accurate, and all entries were timely made. IOI (b)(6) went on to trace 50 firearms through audit trial; no discrepancies disclose. No trafficking issues relating to the acquisition and disposition of firearms, no discovery of obliterated serial numbers or illegal firearms activities disclosed. (Reference# 13 -Violations# 3 & 4, Worksheet# 1, Exhibits# 7 & 8)

Licensee's standard operating procedures relevant to the A&D record and inventory: Licensee verifies the required marking prior to recording the firearms information into the A&D record when acquiring firearms. Upon selling firearms and after verifying the accuracy of the ATF F 4473, licensee logs the firearms disposition information out of their A&D record book.

**Internal Controls**: In addition to window/door bars, licensee also has an alarm system with motion detectors and surveillance cameras which monitor the premises. Licensee does not have a procedural manual but does conduct secondary reviews of ATF Forms 4473 and provides gun locks with every handgun sold.

# 5 - ATF Forms 4473 - NICS & Other Dispositions

IOI (b)(6) examined ATF Form 4473 on file for the inspection period. The period covered from April 26, 2016 to April 26, 2017. The examination disclosed 740 ATF Forms 4473, maintained in chronological order, on file. IOI(b)(6) examined all forms. The examination disclosed two violations. The violations involved 1) 27 CFR 478.21(a) in<sup>(b)(6)</sup> instance and 2) 27 CFR 478.124(c)(1)(b)(6) instances. All violations were cited on ATF Form 5030.5.

Name:	Vandalia Tactical Supply, LLC	UI#:	773015-2017-0362 B1B
Trade Name:	Vandalia Tactical	FFL#:	4-31-113-01-9K-04516

Further investigation disclosed that licensee transfers firearms to law enforcement officials for official duty purposes and to other FFLs. All firearms transferred to LE for official duty purposes were completed on agency letterheads and copies of FFL licenses were obtained for all firearms transferred to other FFL. In both cases, the A&D record book was properly notated. No trafficking issues relating to purchasers of firearms disclosed. On March 13, 2017, IOI (b)(6) acquired the FFL audit log verification, reflecting the period of January 13, 2017 to March 13, 2017. The log disclosed that FBI-NICS provide 158 responses to licensee during the stated time period; 154 proceeds, one open, and four denials. IOI (b)(6) verified all NTN to their corresponding ATF Form 4473; no discrepancies disclosed.

Licensee standard operating procedures relevant to ATF Forms 4473 completion and other dispositions: Upon transferring firearms, licensee requires the purchasers to complete ATF Forms 4473. Once the forms are completed, NICS background checks are initiated. If approved, firearms are transferred; if delayed, the firearms are held until FBI-NICS gives either an approval date or three business days has elapse; however, if denied, firearms are not transferred and purchasers are given a NICS Denial Appeal Form which provide instructions on how to appeal the NICS-denial response. Note – the examination of ATF Forms 4473 disclosed that licensee accepts the Ohio CCW Permit issued after 3/27/15 around thirty-five percent (35%) of the time. (Reference 13- Violations# 1& 2; Worksheet# 2, and Exhibits# 5 &6.)

#### 6 – <u>Multiple Sales</u>

On March 13, 2017, IOI(b)(6) acquired the Violent Crime Analysis Branch (VCAB) Report. The report covered the period of March 13, 2014 to March 14, 2017. The report disclosed that licensee was associated with and multiple handgun sales during that time period involving firearms. IOI(b)(6) did not identify any unreported multiple handgun sales during this inspection.

#### 7 – <u>Suspicious/Prohibited Purchasers</u>

IOI (b)(6) did not identify any possible traffickers, straw purchasers or suspicious buyers during the inspection. IOI (b)(6) selected forty-two (42) computerized criminal history checks for querying purposes; no hits were disclosed. No referrals generated as a result of the queries.

Name:	Vandalia Tactical Supply, LLC	UI#:	773015-2017-0362 B1B
Trade Name:	Vandalia Tactical	FFL#:	4-31-113-01-9K-04516

#### 8 - Trace Activity

On March 13, 2017, (b)(6) acquired the VCAB Report. The report covered the aforementioned period and disclosed that licensee was associated with five successful firearm traces; three of which occurred within the last 12 months; no unsuccessful traces disclosed. IOI (b)(6) also conducted a secondary market analysis on 40 previously owned firearms; no hits were disclosed.

#### 9 - NFA/Importer/Collector/Manufacturer

The investigation disclosed that licensee does not conduct any importation, collection, NFA or manufacturing activities.

#### 10 - Referrals

No referrals generated during this inspection.

#### 11- Other

IOI (b)(6) observed the Youth Handgun Safety Act poster and notices on hand. The poster was posted and the notices were being distributed to non-license handgun purchasers; the inspection did not disclose any other noteworthy findings.

On April 27, 2017, IOI(b)(6) determined no conflicts existed to conduct this inspection: Federal Licensing System database (FLS) disclosed no adverse actions taken under previous FFL held by licensee (4-31-01182); N-Force disclosed no active or pending ATF Criminal Enforcement (CE) investigations into licensee; Cincinnati I Field Office and Columbus II Crime Gun Intelligence Center (CGIC) disclosed no potential ATF CE investigations into licensee; and The National Crime Information Center (NCIC) and Ohio Law Enforcement Gateway (OHLEG) queries of Licensee disclosed no prohibiting criteria.

#### 12 - Closing Actions

On May 4, 2017, IOI (b)(6) held a closing conference with RP Brandon Estep. During

Revised 9/21/16

Page 5 of 10

Name:	Vandalia Tactical Supply, LLC
Trade Name:	Vandalia Tactical

**UI#:** 773015-2017-0362 B1B **FFL#:** 4-31-113-01-9K-04516

the close, IOI (b)(6) discussed the cited violations, inspection findings, other regulatory issues, and the Acknowledgement of Federal Firearms Regulations with licensee. At the conclusion, licensee signed and dated both the ROV and Acknowledgement. IOI (b)(6) provided RP a copy for his records. No additional reference materials were provided and no further field actions taken.

# 13 – <u>Violations</u>

## 1) 27 CFR 478.21a

#### Nature of violation:

Failure to obtain properly completed ATF F 4473 in out of 740 forms examined. On one form, in Section C, item# 23 (Recertification) Form 4473 revised 2016 was blank.

#### $\frac{1}{4}$ (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

#### Licensee's response:

Licensee stated that the omission was due to an oversight and neglect on his part. He apologized and said that he will slow down and be more attentive in the future when forms are being completed.

#### **Corrective action:**

IOI (b)(6) advised the licensee to ensure that ATF Form 4473 was complete and accurate prior to transferring firearms to non-licensees in the future. IOI (b)(6) further advised that a secondary review of ATF Forms 4473 be conducted for accuracy prior to completion of the transaction; licensee agreed to comply.

#### (Reference Worksheet# 2 and Exhibit# 5)

2) 27 CFR 478.124(c)(1)

#### Nature of violation:

Failure to obtain properly completed ATF Forms 4473 out of 740 forms examined. forms, in Section A, item 11k was blank. form, Section A,

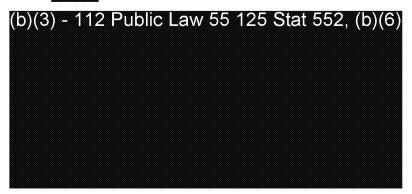
Revised 9/21/16

Page 6 of 10

Name:	Vandalia Tactical Supply, LLC
Trade Name:	Vandalia Tactical

**UI#:** 773015-2017-0362 B1B **FFL#:** 4-31-113-01-9K-04516

items 17 was blank. forms, Section A, item 21d-1 was blank (2016 revised). And, form Section A, items 14 & 15 were blank (2016 revised).



## Licensee's response:

Licensee stated that only two to three employee are in the shop at one time and that sometime it gets so busy that areas on the ATF Forms 4473 are overlooked. He apologized and stated that they will slow down and be more attentive with completing ATF Forms 4473 in the future.

# **Corrective action:**

IOI (b)(6) advised licensee to ensure that ATF Forms 4473 were complete and accurate prior to transferring firearms to non-licensees. IOI (b)(6) further advised licensee to slow down and be more attentive when completing Forms 4473 in the future; licensee agreed to comply.

IOI conducted NCIC/NLETS queries on all individuals, no hits were disclosed.

(Reference Worksheet# 2 and Exhibits# 6)

3) 27 CFR 478.125 (e)

# Nature of violation:

Failure to accurately maintain acquisition and disposition book. In finite instance, licensee failed to record the disposition information out of his A&D record book for a (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

#### Licensee's response:

Licensee stated that he was sure the firearm had been sold but could not find the form

Revised 9/21/16

Page 7 of 10

Name:	Vandalia Tactical Supply, LLC	UI#:	773015-2017-0362 B1B
Trade Name:	Vandalia Tactical	FFL#:	4-31-113-01-9K-04516

4473.

#### **Corrective action:**

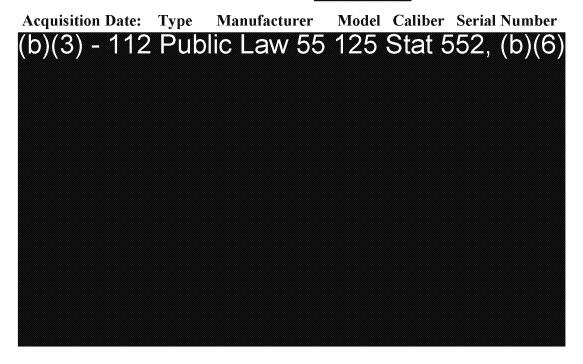
IOI (b)(6) cited licensee under 27 CFR 478.125(e) for failure to maintain his A&D Records. IOI also informed licensee that if he could not locate the ATF Form 4473, within 30 days, the firearm should be reported as loss on ATF F 3310.11., Federal Firearms Licensee Theft/Loss Report; licensee agreed to comply.

#### (Reference Worksheet# 1 and Exhibit# 7)

4) 27 CFR 478.39a

#### Nature of violation:

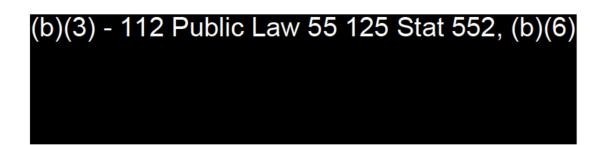
Failure to notify ATF of the missing firearms within 48 hours and completing an ATF Form 3310.11, Federal Firearms Licensee Theft/Loss Report instances, licensee failed to report missing firearms inventory that occurred on 2/1/16 to ATF Firearms Tracing Center. However, licensee did report the firearms to local PD (Vandalia Police Department) under Case# 201600004414-000 as missing firearms inventory. Nonetheless, IOI (b)(6) required licensee to report the firearms to ATF Tracing Center during this inspection under incident#



Revised 9/21/16

Page 8 of 10

Name: Vandalia Tactical Supply, LLC Trade Name: Vandalia Tactical UI#: 773015-2017-0362 B1B FFL#: 4-31-113-01-9K-04516



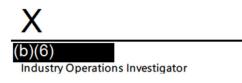
#### Licensee's response's:

Licensee stated that although he correctly reported the missing firearms inventory to Vandalia Police Department on 2/1/16 under Case# 201600004414-000, he forgot to follow-up with a report to ATF Tracing Center during that time. Licensee apologized and stated that, from now on, he will follow proper protocol and report any missing firearms inventory to ATF Tracing Center as well as Vandalia Police Department during the time of discovery.

#### **Corrective action:**

IOI (b)(6) instructed the licensee to immediately report the missing firearms inventory to ATF Tracing Center, complete an ATF Form 3310.11 - Federal Firearms Licensee Theft/Loss Report, and to ensure that each firearms was properly logged out of his A&D Record using ATF Incident

(Reference Exhibits# 8)



Revised 9/21/16

Page 9 of 10

Name:	Vandalia Tactical Supply, LLC	UI#:	773015-2017-0362 B1B
Trade Name:	Vandalia Tactical	FFL#:	4-31-113-01-9K-04516

#### Index of Worksheets and Exhibits

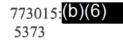
- 1. Acknowledgement of Federal Firearms Regulations
- 2. VCAB
- 3. FFL Audit Log
- 4. Signed ROV
- 5. Violation of 27 CFR 478.21a, <sup>(0)(1)-112FM</sup> instance
- 6. Violation of 27 CFR 478.124(c) (1).
  7. Violation of 27 CFR 478.125(e).
  8. Violation of 27 CFR 478.125(e).
  9. Violation of 27 CFR 478.20
- 8. Violation of 27 CFR 478.39a, instances
- 9. Corporate Documentation
- 10. Site Location

## **U.S. Department of Justice**

Bureau of Alcohol, Tobacco, Firearms and Explosives

550 Main Street, Room 8507 Cincinnati, OH. 45202

MAY D 8 2017 www.atf.gov



## CERTIFIED MAIL RETURN RECEIPT REQUESTED

Vandalia Tactical Supply, LLC. Vandalia Tactical 312 N. Dixie Dr. Vandalia, OH 45377

Dear Mr. Bill Jerrett & Brandon Estep:

During a recent inspection at your firearms business covering the period of April 26, 2016, to April 26, 2017, you were cited for a violation of Title 27, Code of Federal Regulation, Part 478. A copy of the Report of Violation, ATF F 5030.5, issued at the time of the inspection is enclosed.

The violation was fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations, and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.



Mr. Bill Jerrett & Brandon Estep

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any further violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact IOI,(b)(6) (b)(6)



Cc: Federal Firearms Licensing Center Cincinnati II Field Office Correspondence File