

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Double Barrel Firearms LLC 4989 West Ford Rd Ashville, OH 43103, Pickaway		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773015-2017-0340-B1B		
		3. PERMIT/LICENSE NUMBER 431129078J03886	4a. TARGET DATE 9/30/2017	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED				
(b)(6) - Assigned Investigator				
(b)(6) - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)				
(b)(6), Area Supervisor, 02/06/2017				
8. PURPOSE/SPECIAL INSTRUCTIONS				
Conduct full manufacturer of firearms inspection. Refer to IO Manual Chapter C - Firearms Inspections and FY 17 Domain Assessment. Ensure licensee has filed all AFMERs, if any are missing obtain them during inspection. Conduct 100% inventory and ATF Form 4473 review unless authorized in advanced by Area Supervisor and DIO.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	5	NO. OF REFERRALS	2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS	2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES	2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS	2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	TOTAL \$ FOR INSP.	
11. ATF OFFICER'S RECOMMENDATION				
Submitted by (b)(6) - Industry Operations Investigator				
Submitted on: 04/04/2017				
Licensee did not sign or date any of the ATF Forms 4473 within the inspection scope; however, all forms were corrected by closing conference. Licensee has not had any traces and this is its first compliance inspection. Due to being a Manufacturer of Firearms licensee, Double Barrel Firearms LLC will be inspected in three to five years regardless.				
Violts WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
FEB 2017 1.50				
MAR 2017 8.50				
ATF OFFICER'S SUBTOTAL		10.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
MAR 2017 9.00				
ATF OFFICER'S SUBTOTAL		9.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS		19.00		

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Double Barrel Firearms LLC 4989 West Ford Rd Ashville, OH 43103, Pickaway	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773015-2017-0340-B1B		
	3. PERMIT/LICENSE NUMBER 431129078J03886	4a. TARGET DATE 9/30/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 04/05/2017
---	---------------------------

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page <u>1</u> of <u>3</u>
Double Barrel Firearms, LLC	4989 West Ford Road	Ashville	Ohio	43103	Pickaway	Pages
License/Permit/Registry Number (if any)	Expiration Date	Date(s) or Period of Inspection				
4-31-129-07-8.1-03886	September 1, 2018	March 16-29, 2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27 CFR 478.21(a)	<p>Failure to ensure (b)(3) - Public Law ATF Forms 4473 was accurately completed. Specifically, licensee failed to:</p> <p>a) Enter type of firearm in Section B, Item 18 (2012 ed.) on (b)(3) 112 Pub form</p> <p>b) Enter the number of firearms transferred in Section D, Item 30a (2012 ed.) or (b)(3) - Public Law 112-55 (125 Stat. 552)</p> <p>c) Ensure complete information for FFL name, address and FFL number was entered in Section D, Items 31-32 (2012 ed.) or Item 33 (2016 ed.) or (b)(3) - Public Law 112-55 (125 Stat. 552)</p> <p>d) Enter transferor name and title in Section D, Items 33 and 35 respectively (2012 ed.) or Items 34 and 36 respectively (2016 ed.) on (b)(3) 112</p>	Licensee should ensure ATF Forms 4473 are completed as prescribed by regulation and by form instructions.	Immediately

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

 OWNER

Date
3/29/17

(b)(6)

Industry Operations Investigator

Date
3/29/17

Copy 1 - Proprietor's Copy

ATF E-Form 5030.5
Revised April 2005

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page <u>2</u> of <u>3</u>
Double Barrel Firearms, LLC	4989 West Ford Road	Ashville	Ohio	43103	Pickaway	Pages
License/Permit/Registry Number (if any)		Expiration Date	Date(s) or Period of Inspection			
4-31-129-07-8J-03886		September 1, 2018	March 16-29, 2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
2	27 CFR 478.124(c)(1)	<p>Failure to ensure transferee completed Section A of ATF Form 4473 properly on (b)(3) 112 Public forms. Specifically, licensee failed to ensure transferee:</p> <p>a) Completely/accurately filled out Item 2 on (b)(3) - Public Law 112-55 forms. Specifically, transferee entered country for county or entered incomplete street address information (b)(3) - Public Law 112-55 (125 Stat. 55)</p> <p>b) Certified form by accurately writing date in Item 17 (2012 ed.) on (b)(3) 112 form (b)(3) - Public Law</p>	Prior to making an over-the-counter transfer of a firearm to a non-licensee, the licensee shall every time thoroughly review an ATF Form 4473 to ensure transferee has completed the form correctly.	Immediately
3	27 CFR 478.124(c)(3)(iv)	<p>Failure to completely/accurately record NICS information in Section B of ATF Form 4473 on (b)(3) 112 Public forms. Specifically, license failed to (all forms were 2012 ed.):</p> <p>a) Record NICS transaction number in Item 21b on (b)(3) - Public Law 112-55 (125 Stat. 552)</p> <p>b) Record initial NICS response in Item 21c on (b)(3) - Public Law 112-55 (125 Stat. 552)</p> <p>c) Ensure proper response was recorded in Item 21d on (b)(3) - Public Law 112-55 (125 Stat. 552)</p>	Licensee shall ensure that for all transactions with non-licensees NICS will be contacted prior to making the transfer and all NICS information is recorded properly on ATF Form 4473.	Immediately

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page <u>3</u> of <u>3</u>
Double Barrel Firearms, LLC	4989 West Ford Road	Ashville	Ohio	43103	Pickaway	3 Pages
License/Permit/Registry Number (if any)		Expiration Date	Date(s) or Period of Inspection			
4-31-129-07-8J-03886		September 1, 2018	March 16-29, 2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
4	27 CFR 478.124(c)(4)	Failure to properly identify firearm information in Section D of ATF Form 4473 on (b)(3) 112 Public forms. Specifically, licensee failed to record any firearm information in Items 26-30 (2012 ed.) or (b)(3) - Public Law 112-55 (125 Stat. 5507) forms.	Licensee shall ensure ATF Form 4473 with incomplete firearm information are corrected. Licensee shall also ensure all firearm information is accurately recorded in Section D of form as prescribed by regulation.	Immediately
5	27 CFR 478.124(c)(5)	Failure to properly certify transfer of firearm in Section D of ATF Form 4473 on (b)(3) 112 Public forms. Specifically, licensee failed to sign and date forms in Items 34 and 36 (2012 ed.) or Items 35 and 37 (2016 ed.) on (b)(3) 112 Public forms.	Licensee shall sign and date forms. Licensee shall, with the transfer of every firearm, complete the ATF Form 4473 by signing and entering correct transfer date in Section D.	Immediately



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
550 Main Street, Room 8-507
Cincinnati, OH 45202

APR 05 2017

www.atf.gov

773015:(b) (6)
5373

**CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

Double Barrel Firearms LLC
4989 West Ford Road
Ashville, OH 43103

4-31-129-07-8J-03886

Dear Mr. Smith:

During a recent compliance inspection at your firearms business covering the period of March 16, 2016, through March 16, 2017, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Double Barrel Firearms LLC

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b)(6) at (b)(6).

Sincerely,

(b)(6)

Area Supervisor

Enclosure

cc: National Licensing Center
Cincinnati II (IO) Field Office
Correspondence File

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page <u>1</u> of <u>3</u>
Double Barrel Firearms, LLC	4989 West Ford Road	Ashville	Ohio	43103	Pickaway	Pages
License/Permit/Registry Number (if any)		Expiration Date	Date(s) or Period of Inspection			
4-31-129-07-8J-03886		September 1, 2018	March 16-29, 2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27 CFR 478.21(a)	<p>Failure to ensure (b)(3) - 112 Public L ATF Forms 4473 was accurately completed. Specifically, licensee failed to:</p> <p>a) Enter type of firearm in Section B, Item 18 (2012 ed.) or (b)(3) - 112 Public Form (b)(3) - 112 Public</p> <p>b) Enter the number of firearms transferred in Section D, Item 30a (2012 ed.) or (b)(3) - 112 Public Law 55 125 Stat 552</p> <p>c) Ensure complete information for FFL name, address and FFL number was entered in Section D, Items 31-32 (2012 ed.) or Item 33 (2016 ed.) on (b)(3) - 112 Public Law 55 125</p> <p>d) Enter transferor name and title in Section D, Items 33 and 35 respectively (2012 ed.) or Items 34 and 36 respectively (2016 ed.) or (b)(3) - 112 Public Law 55 125 forms (b)(3) - 112 Public Law 55 125</p>	Licensee should ensure ATF Forms 4473 are completed as prescribed by regulation and by form instructions.	Immediately

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

[Signature]

owner

Date

3/29/17

(b)(6)

Industry Operations Investigator

Copy 1 - Proprietor's Copy

Date

3/29/17

ATF E-Form 5030.5
Revised April 2005

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Double Barrel Firearms, LLC	Street Address 4989 West Ford Road	City Ashville	State Ohio	ZIP Code 43103	County Pickaway	Page 2 of 3 Pages
License/Permit/Registry Number (if any) 4-31-129-07-8J-03886		Expiration Date September 1, 2018	Date(s) or Period of Inspection March 16-29, 2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
2	27 CFR 478.124(c)(1)	<p>Failure to ensure transferee completed Section A of ATF Form 4473 properly on (b)(3) - 112 Public Law 55 125 Stat 55 forms. Specifically, licensee failed to ensure transferee:</p> <p>a) Completely/accurately filled out Item 2 of (b)(3) - 112 Public Law 55 125 Stat 55 forms. Specifically, transferee entered country for county or entered incomplete street address information (b)(3) - 112 Public Law 55 125 Stat 55</p> <p>b) Certified form by accurately writing date in Item 17 (2012 ed.) on (b)(3) - 112 Public Law 55 125 Stat 55</p>	Prior to making an over-the-counter transfer of a firearm to a non-licensee, the licensee shall every time thoroughly review an ATF Form 4473 to ensure transferee has completed the form correctly.	Immediately
3	27 CFR 478.124(c)(3)(iv)	<p>Failure to completely/accurately record NICS information in Section B of ATF Form 4473 on (b)(3) - 112 Public Law 55 125 Stat 55 forms. Specifically, license failed to (all forms were 2012 ed.):</p> <p>a) Record NICS transaction number in Item 21b on (b)(3) - 112 Public Law 55 125 Stat 55 forms (b)(3) - 112 Public Law 55 125 Stat 55</p> <p>b) Record initial NICS response in Item 21c on (b)(3) - 112 Public Law 55 125 Stat 55 forms (b)(3) - 112 Public Law 55 125 Stat 55</p> <p>c) Ensure proper response was recorded in Item 21d on (b)(3) - 112 Public Law 55 125 Stat 55 form (b)(3) - 112 Public Law 55 125 Stat 55</p>	Licensee shall ensure that for all transactions with non-licensees NICS will be contacted prior to making the transfer and all NICS information is recorded properly on ATF Form 4473.	Immediately

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page <u>3</u> of <u>3</u>
Double Barrel Firearms, LLC	4989 West Ford Road	Ashville	Ohio	43103	Pickaway	3 Pages
License/Permit/Registry Number (if any)		Expiration Date	Date(s) or Period of Inspection			
4-31-129-07-8J-03886		September 1, 2018	March 16-29, 2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
4	27 CFR 478.124(c)(4)	Failure to properly identify firearm information in Section D of ATF Form 4473 on (b)(3) - 112 Public forms. Specifically, licensee failed to record any firearm information in Items 26-30 (2012 ed.) or (b)(3) - 112 Public forms (b)(3) - 112 Public Law 55 125	Licensee shall ensure ATF Form 4473 with incomplete firearm information are corrected. Licensee shall also ensure all firearm information is accurately recorded in Section D of form as prescribed by regulation.	Immediately
5	27 CFR 478.124(c)(5)	Failure to properly certify transfer of firearm in Section D of ATF Form 4473 on (b)(3) - 112 forms. Specifically, licensee failed to sign and date forms in Items 34 and 36 (2012 ed.) or Items 35 and 37 (2016 ed.) (b)(3) - 112 Public forms (b)(3) - 112 Public Law 55 125	Licensee shall sign and date forms. Licensee shall, with the transfer of every firearm, complete the ATF Form 4473 by signing and entering correct transfer date in Section D.	Immediately

FIREARMS INSPECTION REPORT

Name: Double Barrel Firearms LLC
Trade Name:

UI#: 773015-2017-0340 B1B
FFL#: 4-31-129-07-8J-03886

Address: 4989 West Ford Rd
Ashville, OH 43103 (Pickaway County)

1 - Introduction

Inspection Profile:

On March 16, 2017 Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigators (IOI) (b)(6) and IOI (b)(6) (b)(6) conducted a compliance inspection on federal firearms licensee (FFL) Double Barrel Firearms, LLC. The inspection scope covered March 16, 2016 through March 16, 2017. Advance notice of inspection was given due to licensee working at their full-time job during the hours of availability and needing to make available time for the inspection. IOI (b)(6) interviewed Steven W. Smith, owner, whose identity was verified via State of Ohio driver's license. No other agencies collaborated on this inspection.

Business Profile:

Licensee is a firearms manufacturer operating from his residence in rural Ashville, Ohio. Licensee primarily manufactures and sells both new and used handguns and long guns, and conducts the transfers of new and used firearms, as well as gunsmithing. At the time of the inspection 20 firearms were in inventory. Over the course of the past 12 months, licensee acquired (b)(4) firearms and disposed of (b)(4) firearms. Licensee does not engage in importing or exporting of firearms, deal in black powder, deal in National Firearms Act (NFA) firearms, and is not a major distributor for wholesalers. Licensee does conduct business at gun shows or other qualifying events, typically three (3) events a year. Clientele includes the general public, other FFL dealers, law enforcement, and family and friends. Licensees primary suppliers are (b)(4). Licensee is not involved in any other businesses on the licensed premises and does not hold other ATF licenses. The business hours are listed as 8:00 am to 12:00 pm on Friday and by appointment only Saturday. Licensee is not engaging in any other types of businesses on the licensed premises at this time. There is off-site storage of the firearms, in a safe located in the garage of the Licensee's home.

Ownership and Control:

The business is a limited liability corporation with Mr. Smith and his wife Beth Smith as the only members and Mr. Smith is the only responsible person for the license. There were no signs of hidden ownership.

FIREARMS INSPECTION REPORT

Name: Double Barrel Firearms LLC
Trade Name:

UI#: 773015-2017-0340 B1B
FFL#: 4-31-129-07-8J-03886

Variance:

Licensee holds no variances and requested none.

2 - Recommendation

Warning Letter with no recall inspection. Licensee did not sign or date any of the ATF Forms 4473 within the inspection scope; however, all forms were corrected by closing conference. Licensee has not had any traces and this is its first compliance inspection. Due to being a Manufacturer of Firearms licensee, Double Barrel Firearms LLC will be inspected in three to five years regardless.

3 - Inspection History

Date of Inspection: June 30, 2012
Type of Inspection: Qualification Inspection
UI#: 773015-2012-0525
Inspection Results: License Issued.

4 - Acquisition & Disposition (A&D) Record - Inventory

A&D record is in bound book format with two (2) books currently maintained. Open dispositions totaled (b)(3)- entries. A full inventory was conducted of 20 firearms with zero discrepancies. According to Mr. Smith, all firearms are logged in and out the same day instantly as acquisition or disposition. Inventories are not conducted usually, due to Mr. Smith keeping such a small inventory. There have been zero (0) traces and zero (0) interstate theft/loss for this FFL since previous inspection through March 16, 2017. None have occurred during the past 12 months.

5 - ATF Forms 4473 – NICS & Other Dispositions

IOIs reviewed all ATF Forms 4473 on hand for past 12 months, totaling 114 forms (43; 2012 ed. And 71; 2016 ed.). Out of the 114 forms reviewed, IOIs disclosed (b)(3)-11 forms with violations. These violations included:

- Not ensuring form is completed as prescribed by regulation (b)(3)-112 forms);
- Not ensuring transferee completely/accurately fills out form in Section A (b)(3) forms);
- Not completely/accurately recording National Instant Criminal Background Check System (NICS) information in Section B of form (b)(3) forms);
- Not completing firearm information in Section D of form (b)(3) forms);
- Not properly certifying form in Section D (b)(3)-112 forms).

A NICS audit log check disclosed two (2) NICS responses for this FFL within the scope of this inspection (2 proceed) with zero (0) discrepancies. Mr. Smith disclosed that ATF

FIREARMS INSPECTION REPORT

Name: Double Barrel Firearms LLC
Trade Name:

UI#: 773015-2017-0340 B1B
FFL#: 4-31-129-07-8J-03886

Forms 4473 are reviewed once prior to filing, if the transfer occurs at the premise. If the transfer occurs at a fund raiser or qualifying event, then the 4473s are reviewed at the event and once they are at the premise. Forms are filed by serial number (chronologically).

Reference Section 13 (Violations) of this report (Violations #1-5) for further information.

Reference Report of Violations #1-5 for further information.

Reference Worksheet #2 for further information.

Reference Exhibits #1-5 for further information.

6 - Multiple Sales

The Violent Crime Analysis Bureau (VCAB) disclosed (b)(3) - 112 Public reported multiple handgun sales for the FFL within the inspection scope. IOI (b)(6) disclosed zero unreported multiple handgun sales.

7 - Suspicious/Prohibited Purchasers

No suspicious/prohibited purchasers disclosed.

8 - Trace Activity

There have been zero (0) traces for this FFL since previous inspection and zero within the past 12 months.

9 - NFA/Importer/Collector/Manufacturer

Double Barrel Firearms, LLC holds a Type 07 Manufacturer of Firearms license. According to Annual Firearms Manufacturing and Exportation Report (AFMER) application, licensee has submitted an ATF Form 5300.11 for each calendar year that the license has been active. No firearms were reported manufactured. Licensee does not manufacture/deal in NFA, import, or collect firearms.

10 - Referrals

No referrals generated.

11 - Other

Youth Handgun Safety Act poster and FFL posted at premises. FFL also had gun locks and Youth Handgun Safety notices on hand for distribution.

FIREARMS INSPECTION REPORT

Name: Double Barrel Firearms LLC
Trade Name:

UI#: 773015-2017-0340 B1B
FFL#: 4-31-129-07-8J-03886

On February 22, 2017 IOI (b)(6) determined no conflicts existed to conduct this inspection:

- N-Force disclosed no active or pending ATF Criminal Enforcement (CE) investigations into Steven W. Smith;
- Columbus I Field Office and Columbus II Crime Gun Intelligence Center (CGIC) disclosed no potential ATF CE investigations into FFL;
- National Crime Information Center (NCIC) and Ohio Law Enforcement Gateway (OHLEG) queries of Steven W. Smith disclosed no prohibiting criteria.

12 - Closing Actions

IOI (b)(6) held closing conference on March 16, 2017 with Steven W. Smith. Mr. Smith signed and reviewed the Acknowledgement of Federal Firearms Regulations (reference Exhibit #6). IOIs (b)(6) and (b)(6) answered all questions and provided contact information.

13 - Violations

1. 27 CFR 478.21(a)

Nature of violation:

Failure to ensure (b)(3) - 112 Public Law ATF Forms 4473 was accurately completed. Specifically, licensee failed to:

- Enter type of firearm in Section B, Item 18 (2012 ed.) on (b)(3) - 112 Public Law 55 125 Stat 552
- Enter the number of firearms transferred in Section D, Item 30a (2012 ed.) on (b)(3) - 112 Public Law 55 125 Stat 552
- Ensure complete information for FFL name, address and FFL number was entered in Section D, Items 31-32 (2012 ed.) or Item 33 (2016 ed.) (b)(3) - 112 Public Law 55 125 Stat 552 forms (b)(3) - 112 Public Law 55 125 Stat 552
- Enter transferor name and title in Section D, Items 33 and 35 respectively (2012 ed.) or Items 34 and 36 respectively (2016 ed.) on (b)(3) - 112 Public Law 55 125 Stat 552

Licensee's response:

Mr. Smith stated "I did not know I had to complete that section of the form". After IOIs explained the importance of completing the ATF Form 4473 and the regulation, Mr.

FIREARMS INSPECTION REPORT

Name: Double Barrel Firearms LLC
Trade Name:

UI#: 773015-2017-0340 B1B
FFL#: 4-31-129-07-8J-03886

Smith acknowledged the violation.

Corrective action:

Licensee should ensure ATF Forms 4473 are completed as prescribed by regulation and by form instructions.

Reference Report of Violations #1 for further information.
Reference Worksheet #2 for further information.
Reference Exhibit #1 for further information.

2. **27 CFR 478.124(c)(1)**

Nature of violation:

Failure to ensure transferee completed Section A of ATF Form 4473 properly on (b)(3)-112 forms. Specifically, licensee failed to ensure transferee:

a) Completely/accurately filled out Item 2 on (b)(3)-112 Public Law forms. Specifically, transferee entered country for county or entered incomplete street address information (b)(3)-112 Public Law

b) Certified form by accurately writing date in Item 17 (2012 ed.) on (b)(3)-112 Public Law form

Licensee's response:

Mr. Smith acknowledged the violations and had no questions.

Corrective action:

Prior to making an over-the-counter transfer of a firearm to a non-licensee, the licensee shall every time thoroughly review an ATF Form 4473 to ensure transferee has completed the form correctly.

Reference Report of Violations #2 for further information.
Reference Worksheet #2 for further information.
Reference Exhibit #2 for further information.

FIREARMS INSPECTION REPORT

Name: Double Barrel Firearms LLC
Trade Name:

UI#: 773015-2017-0340 B1B
FFL#: 4-31-129-07-8J-03886

3. **27 CFR 478.124(c)(3)(iv)**

Nature of violation:

Failure to completely/accurately record NICS information in Section B of ATF Form 4473 on [REDACTED] forms. Specifically, license failed to (all forms were 2012 ed.):

- a) Record NICS transaction number in Item 21b on [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552
- b) Record initial NICS response in Item 21c on [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552
- c) Ensure proper response was recorded in Item 21d on [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552

Licensee's response:

Mr. Smith acknowledged the violations and had no questions.

Corrective action:

Licensee shall ensure that for all transactions with non-licensees NICS will be contacted prior to making the transfer and all NICS information is recorded properly on ATF Form 4473.

Reference Report of Violations #3 for further information.

Reference Worksheet #2 for further information.

Reference Exhibit #3 for further information.

4. **27 CFR 478.124(c)(4)**

Nature of violation:

Failure to properly identify firearm information in Section D of ATF Form 4473 on [REDACTED] forms. Specifically, licensee failed to record any firearm information in Items 26-30 (2012 ed.) on [REDACTED] (b)(3) - 112 Public Law 55 125 Stat 552

Licensee's response:

Mr. Smith acknowledged the violations and had no questions.

FIREARMS INSPECTION REPORT

Name: Double Barrel Firearms LLC
Trade Name:

UI#: 773015-2017-0340 B1B
FFL#: 4-31-129-07-8J-03886

Corrective action:

Licensee shall ensure ATF Form 4473 with incomplete firearm information are corrected. Licensee shall also ensure all firearm information is accurately recorded in Section D of form as prescribed by regulation.

Reference Report of Violations #4 for further information.
Reference Worksheet #2 for further information.
Reference Exhibit #4 for further information.

5. 27 CFR 478.124(c)(5)

Nature of violation:

Failure to properly certify transfer of firearm in Section D of ATF Form 4473 on (b)(3)-11 forms. Specifically, licensee failed to sign and date forms in Items 34 and 36 (2012 ed.) or Items 35 and 37 (2016 ed.) on (b)(3)-112 forms (b)(3)-112 Public Law 55-125.

Licensee's response:

Mr. Smith stated "I did not know I had to complete that section of the form". After IOIs explained the importance of completing the ATF Form 4473 and the regulation, Mr. Smith acknowledged the violation.

Corrective action:

Licensee shall sign and date forms. Licensee shall, with the transfer of every firearm, complete the ATF Form 4473 by signing and entering correct transfer date in Section D.

Reference Report of Violations #5 for further information.
Reference Worksheet #2 for further information.
Reference Exhibit #5 for further information.

(b)(6)

Signature

Industry Operations Investigator

Title

March 29, 2017

Date of Report

FIREARMS INSPECTION REPORT

Name: Double Barrel Firearms LLC
Trade Name:

UI#: 773015-2017-0340 B1B
FFL#: 4-31-129-07-8J-03886

Index of Worksheets and Exhibits

Informational Page Worksheet

Worksheet #1 – Inventory, A&D

Worksheet #2 – 4473 (2012 edition)

Worksheet #2 – 4473 (2016 edition)

Other Statistics Worksheet

Exhibit #1 – Copies of ATF Forms 4473 in support of Violation #1

Exhibit #2 – Copies of ATF Forms 4473 in support of Violation #2

Exhibit #3 – Copies of ATF Forms 4473 in support of Violation #3

Exhibit #4 – Copies of ATF Forms 4473 in support of Violation #4

Exhibit #5 – Copies of ATF Forms 4473 in support of Violation #5

Exhibit #6 – Acknowledgement of Federal Firearms Regulations



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
550 Main Street, Room 8-507
Cincinnati, OH 45202

APR 05 2017

www.atf.gov

773015;(b)(6)
5373

**CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

Double Barrel Firearms LLC
4989 West Ford Road
Ashville, OH 43103

4-31-129-07-8J-03886

Dear Mr. Smith:

During a recent compliance inspection at your firearms business covering the period of March 16, 2016 , through March 16, 2017, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Double Barrel Firearms LLC

Should you have any questions regarding this matter, please contact Investigator (b)(6)
(b)(6) at (b)(6)

Sincerely,

(b)(6)

Area Supervisor

Enclosure

cc: National Licensing Center
Cincinnati II (IO) Field Office
Correspondence File