

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Roy Lynn Eicher Hunters Den of Ohio 1299C Ohio Pike Amelia, OH 45102, Clermont		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773015-2016-0537-B1B		
		3. PERMIT/LICENSE NUMBER 431025018M00941	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

6. ATF OFFICER(S) ASSIGNED	
(b)(6) - Lead Investigator	(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 06/09/2016

8. PURPOSE/SPECIAL INSTRUCTIONS	
Conduct Firearms Disposition Emphasis Inspection. Refer to IOI Manual, Chapter C – Firearms Inspections and FY 16 Domain Assessment. Conduct 100% inventory and ATF Form 4473 review unless authorized in advanced by Area Supervisor and DIO.	

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	81	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION	
Submitted by (b)(6) - Industry Operations Investigator	
Submitted on: 07/15/2016	
This is an alternate recommendation as a result of licensee's failure to file multiple sales reports when legally required in instances. The licensee's overall error rate is low, and the errors were not intentional. Licensee acknowledged significance of violation(s) and was very cooperative during inspection.	
Violts WL ONLY and No Recall Inspection	

12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
JUN 2016	41.00
JUL 2016	19.00
ATF OFFICER'S SUBTOTAL	60.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	60.00

13. REVIEW AND ROUTING	
REVIEW COMMENTS AND RECOMMENDATION	
Agree with IOIs alternate recommendation from Warning Conference to Warning Letter and No Recall based on following:	
Licensee's overall business is very good. Failure to file multiple sale forms constitutes a Warning Conference. However, licensee did file forms correctly and these omissions were a result of oversight. Licensee acknowledged significance of violation(s) and was very cooperative during inspection. No willfulness was disclosed.	
Violts WL ONLY and No Recall Inspection	
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input type="checkbox"/> FINAL DISPOSITION	
SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 07/18/2016

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Roy Lynn Eicher Hunters Den of Ohio 1299C Ohio Pike Amelia, OH 45102, Clermont	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773015-2016-0537-B1B		
	3. PERMIT/LICENSE NUMBER 431025018M00941	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

JALEDoux - Director Industry Operations

Judyth A. LeDoux

Digitally signed by Judyth A. LeDoux
DN: cn=Judyth A. LeDoux, o=ATF,
ou=DIO, Columbus Field Division,
email=(b) (6) c=US
Date: 2016.07.18 13:47:56 -0400

REVIEW DATE

07/18/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

550 Main Street, Room 8-507
Cincinnati, OH 45202

JUL 19 2016

www.atf.gov

773015 (b) (6)
5373

**CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

Roy Eicher
Hunters Den of Ohio
1299C Ohio Pike
Amelia, OH 45102

4-31-025-01-8M-00941

Dear Mr. Eicher,

During a recent compliance inspection at your firearms business covering the period of June 16, 2015, through June 16, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Roy Eicher

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b)(6), at (b)(6).

Sincerely,

(b)(6)

Area Supervisor

Enclosure

cc: National Licensing Center
Cincinnati II (IO) Field Office
Correspondence File

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Roy Lynn Eicher Hunters Den of Ohio	Street Address 1299C Ohio Pike	City Amelia	State OH	Zip Code 45102-	County Clermont	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 431025018M00941		Expiration Date 12/1/2018	Date(s) or Period of Inspection 06/16/2015 through 06/16/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to properly complete ATF Form 4473 transaction as prescribed by the form on [REDACTED] occasions. Licensee failed to ensure transferee correctly completed boxes 24 and 25 in Section C of ATF Form 4473 transactions [REDACTED] (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Ensure that all ATF Form 4473 transactions are completed as prescribed by the form. These errors were made by the transferee and could not be corrected.

Number: 2

Nature of Violation:

Failure to ensure transferee correctly completed ATF Forms 4473 [REDACTED] occasions:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Ensure that the transferee properly completed future ATF Form 4473 transactions. These errors were made by the transferee and could not be corrected.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Roy Lynn Eicher Hunters Den of Ohio	Street Address 1299C Ohio Pike	City Amelia	State OH	Zip Code 45102	County Clermont	Page 2 of 3 Pages
License/Permit/Registry Number (If any) 431025018M00941		Expiration Date 12/1/2018		Date(s) or Period of Inspection 06/16/2015 through 06/16/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

Failure to properly complete the NICS contact information correctly on ATF Form 4473 transactions. Box 21a was blank or incorrect on 5 forms (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Ensure that transferor's information is properly completed in future ATF Form 4473 transactions. Licensee was instructed to make a copy of the ATF Form 4473 and correct the error on the copy, where applicable.

Number: 4

Nature of Violation:

Failure to complete ATF Form 3310.4 (Report of Multiple Sale or Other Disposition of Pistols and Revolvers) on occasions (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Ensure that all multiple sales of handguns are properly reported using ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, whenever more than 1 firearm is transferred in a five business day period. Investigator verified all multiple sales were properly completed by licensee via ATF Form 3310.4.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Roy Lynn Eicher Hunters Den of Ohio	Street Address 1299C Ohio Pike	City Amelia	State OH	Zip Code 45102-	County Clermont	Page 3 of 3 Pages
License/Permit/Registry Number (If any) 431025018M00941		Expiration Date 12/1/2018	Date(s) or Period of Inspection 06/16/2015 through 06/16/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

Failure to ensure transferee correctly completed certification information on (b)(3) ATF Forms 4473:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.99(c)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure that if a transferee answers "Yes" to prohibited person questions in item 11 that no NICS background check is run and the transaction is stopped. This error was made by the transferee and could not be corrected.

Number: 6

Nature of Violation:

Failure to properly record the acquisition and/or disposition into the bound book record on occasions. Licensee failed to properly record the acquisition into the bound book record on occasions and failed to properly record the disposition into the bound book record on occasions.

(b)(3) 112-55 (125 Stat. 552) was not recorded prior to inspection (b)(3) - Public Law 112-55 (125 Stat. 552)
(b)(3) - Public Law 112-55 (125 Stat. 552), (b)(6) There were open dispositions in the bound book which were reconciled after review of available records (bound book lines) (b)(3) - Public Law 112-55 (125 Stat. 552)
(b)(3) - Public Law 112-55 (125 Stat. 552) firearm was missing from inventory and could not be reconciled (bound book) (b)(3) - Public Law 112-55 (125 Stat. 552), (b)(6)

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure all acquisitions and dispositions are properly recorded into the bound book record.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of ATF Officer

(b)(6)

IOI

(b)(6)

Date

7-8-16

Date

7/8/2016

For Official Use Only

ATF Form 5040.5
Revised April 2004

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
FFL#: 4-31-025-01-8M-00941

Address: 1299C Ohio Pike
Amelia, OH 45102

1 - Introduction

Inspection Profile:

Industry Operations Investigator (IOI) (b)(6) conducted a full firearms compliance inspection beginning 6/16/2016 at the above business premises of Type 01/Dealer Federal Firearm Licensee Roy Eicher d/b/a Hunters Den of Ohio. The review of records and inventory concluded on 7/8/2016. Advanced notification was not provided. Responsible person Roy Eicher and store employee (b)(6) were interviewed during the course of the inspection at the licensed business premises, and licensee gave record/inventory access to IOI (b)(6) during the course of the compliance inspection. The inspection covered the period of 6/16/2015-6/16/2016. The scope of the inspection was a Firearms Disposition Emphasis Inspection. IOI (b)(6) confirmed the identity of Roy Eicher (OH DL (b)(6), expires 2/9/2020) by viewing a valid state of Ohio Drivers License. No other federal, state, or local agencies participated in the investigation.

Business Profile:

The licensed business premises is a storefront located within Pierce Township, Clermont County, Ohio. IOI (b)(6) contacted Zoning Officer (b)(6) of Pierce Township (950 Locust Corner Road Cincinnati, OH 45245; (b)(6) (b)(6)) and confirmed the business premises meets all zoning requirements.

The licensee mainly uses the FFL to buy and sell new and used handguns and long guns to the general public in Clermont County, Ohio. Licensee also provides transfer services to the public. This store location retails firearms, firearms accessories, ammunition, and other sporting goods supplies and equipment. No other business operations are conducted from the FFL location. The licensee does conduct business at gun shows, primarily Bill Goodman's in Sharonville, Ohio. (b)(4)) are the primary suppliers. The licensee does not conduct internet sales. The licensee advertises by word of mouth and business cards. There is no off-site storage of firearms. A Type 01/Dealer Federal Firearms License remains appropriate for the business.

During the course of the inspection, it was discovered that the licensee acquired approximately (b)(4) firearms and transferred approximately (b)(4) firearms during the inspection period. At the time of inspection, the licensee had an inventory of 162 firearms. Approximately (b)(4) of sales are new firearms and approximately (b)(4) of sales are used firearms.

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
FFL#: 4-31-025-01-8M-00941

Ownership and Control:

The business is a sole proprietorship. No unreported changes in business structure or hidden ownership were noted. All responsible persons are correctly listed in the Federal Licensing System (FLS) data base.

Variance:

The licensee does not maintain a variance from the Bureau of ATF; no need for a variance was discovered.

2 - Recommendation

Violations, Warning Letter Only and No Recall

This is an alternate recommendation as a result of licensee's failure to file multiple sales reports when (b)(7)(E). The licensee's overall error rate is low, and the errors were not intentional. Licensee acknowledged significance of violation(s) and was very cooperative during inspection.

3 - Inspection History

Inspection history is listed below:

Date and Type of Inspection:	September 2003, Qualification Inspection
UI#:	773015-2003-0504
Inspection Results:	Approve Application
Cited Violations:	N/A
Date and Type of Inspection:	April 2005, Compliance Inspection
UI#:	773015-2005-0154
Inspection Results:	No Violations, No Further Action, No Referrals
Cited Violations:	None
Date and Type of Inspection:	December 2006, Compliance Inspection
UI#:	773015-2007-0079
Inspection Results:	Violations, ROV Only, No Recall
Cited Violations:	27 CFR 478.21(a) 27 CFR 478.124(c)(3)(i) 27 CFR 478.124(c)(3)(ii) 27 CFR 478.124(c)(3)(iv) 27 CFR 478.124(c)(4) 27 CFR 478.124(c)(5) 27 CFR 478.126a

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
FFL#: 4-31-025-01-8M-00941

Date and Type of Inspection: April 2008, Compliance Inspection
UI#: 773015-2008-0115
Inspection Results: No Violations, No Further Action, No Referrals
Cited Violations: None

Date and Type of Inspection: April 2009, COA Qualification Inspection
UI#: 773015-2009-0256
Inspection Results: Approve Application
Cited Violations: N/A

Date and Type of Inspection: July 2010, Compliance Inspection
UI#: 773015-2010-0358
Inspection Results: No Violations, No Further Action, No Referrals
Cited Violations: None

Date and Type of Inspection: August 2011, Compliance Inspection
UI#: 773015-2011-0139
Inspection Results: Violations, ROV Only, No Recall
Cited Violations: 27 CFR 478.124(c)(1)

4 - Acquisition & Disposition (A&D) Record - Inventory

In order to check the accuracy of the licensee's bound book record to present inventory, IOI (b)(6) conducted an inventory by comparing the firearms in inventory at the licensed premises to the open dispositions in the bound book. There were (b)(3) - 11 open dispositions in the licensee's bound book record and 162 firearms in inventory. The open disposition for an (b)(3) - 112 Public Law 55 125 Stat 552 could not be reconciled, and the firearm was considered missing from inventory. The licensee submitted an ATF Form 3310.11 Theft/Loss Report on 7/8/2016. See **Exhibit 1** and reference ATF Incident (b)(3) - 112 Public Law 55 125 Stat 552

The licensee was cited a violation (Violation 6) under Title 27 CFR 478.125(e) for failure to properly record the acquisition and/or disposition into the bound book record (b)(3) - 112 occasions. In addition to the (b)(3) - 11 missing firearm, there were five open dispositions in the bound book which were reconciled after review of available records. (b)(3) - 112 Public Law 55 125 Stat 552. Further details of this violation can be found in Section 13 – Violations. Also see Worksheet 1 and reference Violation 6.

No theft/loss reports were filed with ATF during the 12 month inspection period. Also, no trafficking issues relating to acquisition and disposition of firearms, firearms containing obliterated serial numbers, or illegal firearms were found.

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
FFL#: 4-31-025-01-8M-00941

5 - ATF Forms 4473 – NICS & Other Dispositions

IOI (b)(6) reviewed all (b)(3)-(1)(2)(F) ATF Form 4473 transactions completed by the licensee in the previous 12 months. After reviewing the licensee's ATF Form 4473s and verifying the NICS Transaction Numbers from the last two months through use of the NICS FFL audit log, no fraudulent use of NICS was found. There were 11 denials within the past 12 months. The NICS FFL audit log is attached as **Exhibit 2**. No sales to law enforcement officers were found. During the inspection of the licensee's ATF Forms 4473, 5 violations were found regarding Federal Firearms Regulations as described below.

There were (b)(3)-(1)(2)(F) ATF Form 4473s containing errors, accounting for five violations:

Violation #1 – (b)(3)-(1)(2)(F) ATF Forms 4473 contained errors in boxes 24 and 25 resulting in violation of 27 CFR 478.21(a).

Violation #2 – (b)(3)-(1)(2)(F) ATF Forms 4473 contained errors in boxes 11c, 11j, 11l, and/or 17 resulting in violation of 27 CFR 478.124(c)(1).

Violation #3 – (b)(3)-(1)(2)(F) ATF Forms 4473 contained errors recording NICS contact information in boxes 21a and/or 21d resulting in violation of 27 CFR 478.124(c)(3)(iv).

Violation #4 – (b)(3)-(1)(2)(F) unreported multiple sales were found on ATF Forms 4473 resulting in violation of 27 CFR 478.126a.

Violation #5 (b)(3)-(1)(2)(F) ATF Forms 4473 contained a “Yes” answer to a prohibited person question(s) in item 11 and the firearm was subsequently transferred resulting in violation of 27 CFR 478.99(c).

Further details of these Violations can be found in Section 13 – Violations; also see Worksheet 2 2012 Edition. Erroneous ATF Forms 4473 are attached as **Exhibit 3**.

6 – Multiple Sales

There were (b)(3)-(1)(2)(F) multiple sale transactions involving (b)(3)-(1)(2)(F) firearms reported by the licensee over the course of the last 12 months. After reviewing all (b)(3)-(1)(2)(F) ATF Form 4473 transactions completed by the licensee in the previous 12 months, IOI (b)(6) discovered that the licensee failed to complete ATF Form 3310.4 (Report of Multiple Sale or Other Disposition of Pistols and Revolvers) (b)(3)-(1)(2)(F) occasions involving (b)(3)-(1)(2)(F) firearms. The licensee was cited a violation under Title 27 CFR 478.126a. A copy of the ATF Form 4473 transactions are attached in **Exhibit 3**; also see Worksheet 2 (2012 Edition) and reference Violation 4. IOI (b)(6) verified all multiple sales were properly completed by licensee via ATF Form 3310.4. See **Exhibit 4**.

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
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7 - Suspicious/Prohibited Purchasers

No straw purchases were found. No suspicious purchasing habits of transferee's were discovered.

8 - Trace Activity

According to the Firearm Trace History provided by the Electronic Tracing System (eTrace), the licensee had ^{(b)(3)} firearm traces over the course of the last 12 months. As a result of the licensee's (b)(3) - 112 Public Law 55 125 Stat 552 ^{(b)(3)}. See Exhibit 5.
The licensee is in compliance with the demand letter requirements.

9 - NFA/Importer/Collector/Manufacturer

The licensee does not possess any NFA Special Occupational Tax Stamp.

10 - Referrals

No referrals were generated.

11 - Other

Deconfliction was conducted by IOI (b)(6) on 6/20/2016 through NFORCE, NCIC, as well as ATF CE and Intel with negative results.

Licensee is compliant with Youth Handgun Safety Act and provides secure gun storage/safety devices where applicable.

12 - Closing Actions

A closing conference was held on 7/8/2016 with responsible person Roy Eicher regarding the findings of the compliance inspection. The licensee was reminded of the Gun Control Act requirements for maintaining a Federal Firearms License. IOI (b)(6) reviewed the Report of Violations with licensee, concluding with the signing of the Report of Violations. An overview concerning Federal Firearms Rules and Regulations was then given to the licensee, concluding with the signing of the Acknowledgement of Federal Firearms Regulations by Roy Eicher. See Exhibit 6.

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
FFL#: 4-31-025-01-8M-00941

13 - Violations

1. 27 CFR 478.21(a)

REPEAT OF VIOLATION CITED DECEMBER 2006

Nature of Violation:

Failure to properly complete ATF Form 4473 transaction as prescribed by the form on [REDACTED] occasions. Licensee failed to ensure transferee correctly completed boxes 24 and 25 in Section C of 4 ATF Form 4473 transactions ((b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) [REDACTED])

Licensee Response:

Licensee claimed employee(s) must have been in a hurry when completing these ATF Forms 4473.

Corrective Action:

Ensure that all ATF Form 4473 transactions are completed as prescribed by the form. Licensee was instructed to make a copy of the ATF Form 4473 and correct the error on the copy, where applicable.

*Copies of all erroneous ATF Forms 4473 transactions are attached as **Exhibit 3**. Also reference **Worksheet 2 (2012 Edition)**.*

2. 27 CFR 478.124(c)(1)

REPEAT OF VIOLATION CITED AUGUST 2011

Nature of Violation:

Failure to ensure transferee correctly completed ATF Forms 4473 [REDACTED] occasions:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
[REDACTED]

Licensee Response:

Licensee claimed employee(s) must have been in a hurry when completing these ATF Forms 4473.

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
FFL#: 4-31-025-01-8M-00941

Corrective Action:

Ensure that the transferee properly completed future ATF Form 4473 transactions. These errors were made by the transferee and could not be corrected. NOTE: IOI (b)(6) ran the transferees through NCIC/III resulting in no hits.

*Copies of all erroneous ATF Forms 4473 transactions are attached as **Exhibit 3**. Also reference **Worksheet 2 (2012 Edition)**.*

3. **27 CFR 478.124(c)(3)(iv)**

REPEAT OF VIOLATION CITED DECEMBER 2006

Nature of Violation:

Failure to properly complete the NICS contact information correctly on 16 ATF Form 4473 transactions. Box 21a was blank or incorrect on (b)(3) forms (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6) and box 21d was blank or incorrect on (b)(3) forms (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee Response:

Licensee claimed employee(s) must have been in a hurry when completing these ATF Forms 4473.

Corrective Action:

Ensure that transferor's information is properly completed in future ATF Form 4473 transactions. Licensee was instructed to make a copy of the ATF Form 4473 and correct the error on the copy, where applicable.

*Copies of all erroneous ATF Forms 4473 transactions are attached as **Exhibit 3**. Also reference **Worksheet 2 (2012 Edition)**.*

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
FFL#: 4-31-025-01-8M-00941

4. **27 CFR 478.126a**

REPEAT OF VIOLATION CITED DECEMBER 2006

Nature of Violation:

Failure to complete ATF Form 3310.4 (Report of Multiple Sale or Other Disposition of Pistols and Revolvers) (b)(3) - 112 occasions: (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee Response:

Licensee indicated these errors were an oversight.

Corrective Action:

Ensure that all multiple sales of handguns are properly reported using ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, whenever more than 1 firearm is transferred in a five business day period. IOI (b)(6) verified all multiple sales were properly completed by licensee via ATF Form 3310.4.

*Copies of all erroneous ATF Forms 4473 transactions are attached as **Exhibit 3**. Also reference **Worksheet 2 (2012 Edition)**.*

5. **27 CFR 478.99(c)**

Nature of Violation:

Failure to ensure transferee correctly completed certification information on (b)(3) - 112 ATF Forms 4473:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Licensee Response:

Licensee claimed employee(s) must have been in a hurry when completing these ATF Forms 4473.

Corrective Action:

Ensure that if a transferee answers "Yes" to prohibited person questions in item 11 that no NICS background check is run and the transaction is stopped. This error was made by the transferee and could not be corrected. NOTE: IOI (b)(6) ran the transferees through NCIC/III resulting in no hits.

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
FFL#: 4-31-025-01-8M-00941

6. **27 CFR 478.125(e)**

Nature of Violation:

Failure to properly record the acquisition and/or disposition into the bound book record on (b)(3) occasions. Licensee failed to properly record the acquisition into the bound book record on (b)(3) occasion and failed to properly record the disposition into the bound book record on (b)(3) occasions.

(b)(3) - 112 Public Law 55 125 Stat 552

. There were (b)(3) - 112 open dispositions in the bound book which were reconciled after review of available records (bound book lines

(b)(3) - 112 Public Law 55 125 Stat 552 firearm was missing from inventory and could not be reconciled (b)(3) - 112 Public Law 55 125 Stat 552

Licensee Response:

Licensee indicated he was absent from the business premises for an extended period of time due to illness and failed to maintain his bound books at an acceptable level.

Corrective Action:

Ensure all acquisitions and dispositions are properly recorded into the bound book record.

Reference Worksheet 1.

Respectfully submitted,

(b)(6)

/15/2016

Industry Operations Investigator

FIREARMS INSPECTION REPORT

Name: Roy Eicher
Trade Name: Hunters Den of Ohio

UI#: 773015-2016-0537-B1B
FFL#: 4-31-025-01-8M-00941

Index of Worksheets and Exhibits

Worksheets

- 1) Information Page
- 2) Worksheet 1, Inventory and A&D Review
- 3) Worksheet 2, ATF Form 4473 (2012 Edition) Review
- 4) Statistical Page

Exhibits

- 1) ATF Form 3310.11
- 2) NICS FFL Audit Log
- 3) ATF Forms 4473
- 4) ATF Forms 3310.4
- 5) (b)(3) - 112 Public Law 85-125 Stat 552
- 6) The Acknowledgement of Federal Firearms Rules and Regulations