

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Gregory D. Carriglio 10753 S Cook Ave Oak Lawn, IL 60453, Cook	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 772060-2016-0111-B1B		
	3. PERMIT/LICENSE NUMBER 336031018H00813	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6) Area Supervisor, 10/13/2015

8. PURPOSE/SPECIAL INSTRUCTIONS

Please conduct a full scope firearms compliance inspection of this Type 01 FFL. During initial review of this assignment please ensure the shared drive is reviewed for any additional variance approvals, correspondences, etc. that are not located in the file.

Zoning - Please utilize the Shared Zoning Folder for all zoning information. If a location needs added, deleted or amended/updated, please do so to ensure we maintain an accurate and up to date database.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	25	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 04/11/2016

IOI (b)(6) issued a Report of Violations (ROV) with 6 citations. The inspection resulted in citations related to recordkeeping, conduct of business and administrative issues. As a result of the inventory reconciliation, there were no missing firearms from inventory. IOI (b)(6) found no indications of trafficking. There were no traces during the inspection period. This inspection represents the licensee's first compliance inspection.

IOI (b)(6) recommends a ROV, Warning Letter and no Recall Inspection.

IOI (b)(6) issued a ROV with 6 citations:

1. 27 CFR 478.124(c)(1)
2. 27 CFR 478.124(c)(3)(iv)
3. 27 CFR 478.124(c)(5)
4. 27 CFR 478.21(a)
5. 27 CFR 478.99(c)
6. 27 CFR 478.125(e)

Violts WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
OCT 2015	1.00
MAR 2016	24.00

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	3. PERMIT/LICENSE NUMBER 336031018H00813	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
APR 2016	23.00
ATF OFFICER'S SUBTOTAL	48.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	48.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Concur with recommendation of Warning Letter with no recall. Warning Letter signed and mailed by A/S (b)(6) on 4/12/16.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 05/03/2016
-------------------------------------------------	---------------------------

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Gregory D. Carriglio	Street Address 10753 S Cook Ave	City Oak Lawn	State IL	Zip Code 60453-	County Cook	Page 1 of 7 Pages
License/Permit/Registry Number (If any) 336031018H00813		Expiration Date 8/1/2018		Date(s) or Period of Inspection 03/22/2016 through 03/22/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

In ^{(b)(3)} instances, the licensee failed to obtain a properly completed ATF Form 4473 from the transferee.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to obtain a properly completed ATF Form 4473 from the transferee.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Report of Violations

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Name of Proprietor Gregory D. Carriglio	Street Address 10753 S Cook Ave	City Oak Lawn	State IL	Zip Code 60453-	County Cook	Page 2 of 7 Pages
License/Permit/Registry Number (If any) 336031018H00813		Expiration Date 8/1/2018	Date(s) or Period of Inspection 03/22/2016 through 03/22/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



• In (b)(3) additional instances, item 3 (Place of Birth) was incomplete.

Citation: 27 CFR 478.124(c)(1)

Report of Violations

Instructions

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Name of Proprietor Gregory D. Camiglio	Street Address 10753 S Cook Ave	City Oak Lawn	State IL	Zip Code 60453-	County Cook	Page 3 of 7 Pages
License/Permit/Registry Number (if any) 336031018H00813		Expiration Date 8/1/2018		Date(s) or Period of Inspection 03/22/2016 through 03/23/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

In ^{(b)(3) 112} instances, the licensee failed to properly record information concerning the background check onto ATF Form 4473.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to properly record information concerning the background check onto ATF Form 4473.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

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License/Permit/Registry Number (If any) 336031018H00813		Expiration Date 8/1/2018	Date(s) or Period of Inspection 03/22/2016 through 03/22/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

- In (b)(3) 112 additional instances, item 21b (Transaction Number) was incorrect.

Citation: 27 CFR 478.124(c)(3)(iv)

Number: 3

Nature of Violation:

- In (b)(3) 112 instances, the licensee failed to properly sign and date the ATF Form 4473 at the time the transfer was conducted.

- Item 34 (Transferor's Signature) and item 36 (Date Transferred) were blank on (b)(3) 112 forms.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to properly sign and date ATF Form 4473 at the time the transfer is conducted.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Gregory D. Carriglio	Street Address 10753 S Cook Ave	City Oak Lawn	State IL	Zip Code 60453-	County Cook	Page 5 of 7 Pages
License/Permit/Registry Number (if any) 336031018H00813		Expiration Date 8/1/2018		Date(s) or Period of Inspection 03/22/2016 through 03/22/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

In ^{(b)(3) 112} instances, the licensee failed to ensure all of the information called for in each form was furnished as indicated by the headings on the form and the instructions on or pertaining to the form.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure all information called for in each form is furnished as indicated by the headings on the form and the instructions on or pertaining to the form.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

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License/Permit/Registry Number (if any) 336031018H00813		Expiration Date 8/1/2018	Date(s) or Period of Inspection 03/22/2016 through 03/22/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



* In (b)(3) 112 additional instances, items 33 (Name) and 35 (Title) were blank.

Citation: 27 CFR 478.21(a)

Report of Violations

Instructions

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Name of Proprietor Gregory D. Carriglio	Street Address 10753 S Cook Ave	City Oak Lawn	State IL	Zip Code 60453-	County Cook	Page 7 of 7 Pages
License/Permit/Registry Number (If any) 336031016H00813		Expiration Date 8/1/2018	Date(s) or Period of Inspection 03/22/2016 through 03/22/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

In ^{(b)(3)} instance, the licensee sold or otherwise transferred a firearm to a person whom the licensed dealer had reasonable cause to believe is prohibited under the Gun Control Act (GCA).

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.99(c)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee shall not sell or deliver any firearm to a person whom the licensed dealer has reasonable cause to believe is prohibited under the Gun Control Act (GCA).

Number: 6

Nature of Violation:

In ^{(b)(3)} instances, the licensee failed to properly enter into a record each receipt and/or disposition of a firearm.

• ^{(b)(3)} Firearm acquisition was recorded without the caliber of the firearm.

• ^{(b)(3)} Firearm acquisition was recorded without the gauge of the firearm and without the residence address of the individual from whom the firearm was received.

• ^{(b)(3)} Additional firearm acquisitions were recorded without the residence addresses of the individuals from the firearms were received.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to properly enter into a record each receipt and disposition of a firearm.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date
03/29/16

(b)(6)

INDUSTRY OPERATIONS INVESTIGATOR

Date
3/29/16



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Downers Grove Satellite Office

3250 Lacey Road Suite 400
Downers Grove, IL 605154
www.atf.gov

April 12, 2016

772060 (b)(6)
5300

**CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

Gregory D. Carriglio
10753 S. Cook Ave.
Oak Lawn, IL 60453

FFL #3-36-031-01-8H-00813

Dear Mr. Carriglio:

During a recent compliance inspection at your firearms business covering the period of March 22, 2015 through March 22, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

The violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms & Explosives (ATF) Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact IO Investigator (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor
Springfield II
Downers Grove SO

Enclosure

c: Federal Firearms Licensing Center

FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
Trade Name:

UI#: 772060-2016-0111-B1B
FFL#: 3-36-031-01-8H-00813

Address: 10753 S. Cook Ave.
Oak Lawn, IL 60453

1 - Introduction

Inspection Profile:

On March 22, 2016, Industry Operations Investigator (IOI) (b)(6) initiated an announced compliance inspection of Gregory D. Carriglio at the licensed premises address of 10753 S. Cook Ave., Oak Lawn, IL 60453. The inspection was announced as the premises is the residence of the Responsible Person. Present during the inspection was Gregory Carriglio, owner and sole Responsible Person.

The inspection period was from March 22, 2015 to March 22, 2016.

IOI (b)(6) identified himself as a Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator and presented his credentials.

Identifying information obtained for Mr. Carriglio was his Illinois State Firearms Owner Identification (FOID) - (b)(6) – Expiration Date 2/1/21

Business Profile:

The licensee has operated as a Type 01 Dealer of Firearms since 2003. The premises is the residence of the Responsible Person and is located in a suburban neighborhood within Oak Lawn, IL. IOI (b)(6) corresponded with the Community Development Department who referred firearm dealer determinations to Police Detective (b)(6). Detective (b)(6) stated the firearms business was permitted from the premises.

The licensee conducts sales and transfers of new and used firearms to friends, family, and the general public. Handguns and long guns each comprise approximately (b)(4)% of the licensee's business. Used firearms comprise approximately (b)(4)% of the licensee's business while new firearms comprise approximately (b)(4)%. The primary suppliers of firearms are (b)(4). The licensee also acquires from the estates of deceased individuals.

The licensee does not conduct sales of firearms using the internet. He does, however, facilitate the transfer of firearms to individuals who purchase firearms on the internet on sites such as www.gunbroker.com and www.galleryofguns.com.

FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
Trade Name:

UI#: 772060-2016-0111-B1B
FFL#: 3-36-031-01-8H-00813

The licensee generally carries an inventory of approximately 100 firearms. On the day of the inspection, the licensee had 107 firearms in inventory.

The licensee conducts business at the licensed premises and gun shows within Illinois. The licensee stated he attended a gun show in St. Charles, IL and Joliet, IL. IOI (b)(6) reviewed the rules and regulations as they pertain to in-state and out-of-state gun shows.

The licensee does not conduct business in the repair of firearms. IOI (b)(6) reviewed the rules and regulations as they pertain to gunsmithing in the event that the licensee does conduct such business in the future.

The licensee does not utilize any off-site storage facilities. All firearms are stored in safes located in the basement of the residence.

Ownership and Control:

The licensee operates as a sole proprietor. There has been no change in ownership since inception of business.

Hidden ownership is not suspected.

Variance:

No variances were requested nor required.

2 - Recommendation

IOI (b)(6) issued a Report of Violations (ROV) with 6 citations. The inspection resulted in citations related to recordkeeping, conduct of business and administrative issues. As a result of the inventory reconciliation, there were no missing firearms from inventory. IOI (b)(6) found no indications of trafficking. There were no traces during the inspection period. This inspection represents the licensee's first compliance inspection.

IOI (b)(6) recommends a ROV, Warning Letter and no Recall Inspection.

IOI (b)(6) issued a ROV with 6 citations:

1. 27 CFR 478.124(c)(1)
2. 27 CFR 478.124(c)(3)(iv)
3. 27 CFR 478.124(c)(5)
4. 27 CFR 478.21(a)
5. 27 CFR 478.99(c)

FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
Trade Name:

UI#: 772060-2016-0111-B1B
FFL#: 3-36-031-01-8H-00813

6. 27 CFR 478.125(e)

3 - Inspection History

Date of Inspection: July 8, 2003
Type of Inspection: Application Inspection
UI#: 772050-2003-0279-B1B
Inspection Results: Recommendation of issuance of license.
Cited Violations: No cited violations.

4 - Acquisition & Disposition (A&D) Record - Inventory

A full physical inventory was conducted by IOI (b)(6). A total of one hundred seven (107) firearms were found in inventory. The physical inventory was then compared to entries in the A&D Books by comparing serial number, make, model, and caliber/gauge. All 107 firearms in inventory were recorded as open entries in the A&D Book. The A&D Book contained (b)(3) - 112 Public Law 55 125 Stat 552 open entries. All (b)(3) - 112 open entries were reconciled with a firearm in inventory.

The licensee maintains his A&D Records in bound book format. The licensee has utilized eleven (11) A&D Book since inception of business. The A&D Books were found to be of acceptable format. Entries appear to be made in a timely fashion.

The licensee had (b)(3) 112 Public Law 55 125 Stat errors in the recording of information in the A&D Book (*Worksheet 1*). The majority of the errors were for the failure to record the address of the individual from whom a firearm was received. The licensee was recording the individual's driver license number and/or FOID number in lieu of the address. The licensee was cited, in (b)(3) 112 Public Law 55 125 Stat instances, for the failure to properly enter into a record each receipt and disposition of a firearm (*ROV #6*). Samples of the A&D Book errors are included as *Exhibit 6*.

During the inspection period, the licensee acquired approximately (b)(4) firearms and disposed of approximately (b)(4) firearms.

IOI (b)(6) found no indications of trafficking.

There were no thefts or losses of firearms during the inspection period.

FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
Trade Name:

UI#: 772060-2016-0111-B1B
FFL#: 3-36-031-01-8H-00813

5 - ATF Forms 4473 – NICS & Other Dispositions

IOI (b)(6) reviewed (b)(3) - 112 Public Law 55 125 Stat 552 ATF Forms 4473 which represent transfers to unlicensed individuals during the 12-month inspection period. All of the forms, or 100%, were found to contain errors (*Worksheet 2*). The errors include incorrect or improper completion of required entries to the ATF Form 4473 on the part of the transferees and the transferor. Item 21b, NICS or state transaction number, was incorrect on all forms. Items 33-36, Transferee's Name, Signature, Title, and Date Transferred were incorrect or blank on all forms. The licensee was not aware that he had to complete such items.

Samples of the ATF Forms 4473 with errors are included as *Exhibits 1 - 5*.

The licensee files the ATF Forms 4473 by date of disposition. Denials and no sales are filed separately.

The licensee did not record either the transaction number received by the state or the NICS number in item 21b of the forms. On the majority of forms, the licensee recorded the state transaction number in the space for the Transferor's Transaction Serial Number on the front of the form. IOI (b)(6) verified 50 of the FTIP checks recorded as the Transferor's Transaction Serial Number through a comparison to the FTIP Audit Log. Also, IOI (b)(6) checked the name of the transferee, FOID number, date of the background check and response received against the results provided in the FTIP Log and determined a background check was conducted on each transferee.

Dispositions to FFLs were recorded with the FFL name and FFL number.

There were no dispositions to law enforcement officers for duty use during the inspection period.

A review of (b)(3) - 112 ATF Forms 4473 resulted in the following 5 violations (*ROV #1-5*):

1. 27 CFR 478.124(c)(1): (b)(3) instances
2. 27 CFR 478.124(c)(3)(iv): (b)(3) - 11 instances
3. 27 CFR 478.124(c)(5): (b)(3) - 112 instances
4. 27 CFR 478.21(a): (b)(3) - 112 instances
5. 27 CFR 478.99(c): (b)(3) instance

FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
Trade Name:

UI#: 772060-2016-0111-B1B
FFL#: 3-36-031-01-8H-00813

6 - Multiple Sales

The licensee reported [REDACTED] multiple handgun dispositions during the inspection period. A review of the records did not reveal any multiple handgun dispositions that were unreported. IOI (b)(6) reviewed the proper procedures to report multiple handgun dispositions.

7 - Suspicious/Prohibited Purchasers

There were no suspicious or prohibited purchasers detected during a review of the records.

8 - Trace Activity

The licensee was not involved in any traces during the inspection period.

Five (5) of the used firearms in inventory were run in eTrace and there were no results that indicate they were reported stolen or involved in previous traces. Five (5) of the used firearms transferred during the inspection period were also run in eTrace and there were no results that indicate they were reported stolen or involved in previous traces.

9 - NFA/Importer/Collector/Manufacturer

The licensee does not conduct business as it pertains to NFA, importing or manufacturing firearms. Mr. Carriglio has used the license to acquire personal firearms. IOI (b)(6) informed the licensee that, as a sole proprietor, he may log personal firearms out to owner's personal collection without an ATF Form 4473 or background check.

10 - Referrals

No referrals were generated as a result of this inspection.

11 - Other

Queries of N-Force and LEADS on the Responsible Person resulted in no open cases or prohibiting factors. A query of FLS revealed no other active ATF licenses or permits held by the Responsible Person.

The GPS coordinates of the licensed premises are 41.69571 N and 87.75145 W.

12 - Closing Actions

A Report of Violations (ROV) was issued on March 29, 2016. The Federal Firearms Regulations were explained and discussed by IOI (b)(6) and all questions were

FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
Trade Name:

UI#: 772060-2016-0111-B1B
FFL#: 3-36-031-01-8H-00813

answered. Mr. Carriglio signed and dated the Acknowledgement of Federal Firearms Regulations. (*Exhibit 7*)

IOI (b)(6) provided the licensee with all of the reference materials included in the new applicant packets (*Exhibit 8*). IOI (b)(6) also provided the licensee with ATF contact information.

13 - Violations

1. 27 CFR 478.124(c)(1)

Nature of violation:

In (b)(3) instances, the licensee failed to obtain a properly completed ATF Form 4473 from the transferee.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
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(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

- In (b)(3) additional instances, item 3 (Place of Birth) was incomplete.

Licensee's Response

Mr. Carriglio stated he must have looked at the forms quickly and didn't see anything incorrect.

Corrective Action

The licensee will ensure to obtain a properly completed ATF Form 4473 from the transferee.

IOI (b)(6) conducted background checks through LEADS on the transferees who neglected to provide a response to an item in question 11. No prohibiting factors were found on these individuals.

Reference Worksheet 2 and Exhibit 1

2. 27 CFR 478.124(c)(3)(iv)

Nature of violation:

In (b)(3) instances, the licensee failed to properly record information concerning the background check onto ATF Form 4473.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
Trade Name:

UI#: 772060-2016-0111-B1B
FFL#: 3-36-031-01-8H-00813

- In (b)(3) 112 F additional instances, item 21b (Transaction Number) was incorrect.

Licensee's Response

Mr. Carriglio stated he did not know the proper transaction number to record in 21b.

Corrective Action

The licensee will ensure to properly record information concerning the background check onto ATF Form 4473.

IOI (b)(6) conducted background checks through LEADS on the transferees where the ATF Forms 4473 did not provide a proper response to the background check submitted by the licensee. No prohibiting factors were found on these individuals.

Reference Worksheet 2 and Exhibit 2

3. 27 CFR 478.124(c)(5)

Nature of violation:

In (b)(3) 112 F instances, the licensee failed to properly sign and date the ATF Form 4473 at the time the transfer was conducted.

- Item 34 (Transferor's Signature) and item 36 (Date Transferred) were blank on (b)(3) 112 F forms.

Licensee's Response

The licensee stated he did not know items 33-36 needed to be completed.

Corrective Action

The licensee will ensure to properly sign and date ATF Form 4473 at the time the transfer is conducted.

Reference Worksheet 2 and Exhibit 3

4. 27 CFR 478.21(a)

Nature of violation:

In (b)(3) 112 F instances, the licensee failed to ensure all of the information called for in each form was furnished as indicated by the headings on the form and the instructions on or pertaining to the form.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

FIREARMS INSPECTION REPORT

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(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



- In (b)(3) additional instances, item 19 (Gun show) was incorrect and items 33 (Name) and 35 (Title) were blank.
- In (b)(3) 112 additional instances, items 33 (Name) and 35 (Title) were blank.

Licensee's Response

Mr. Carriglio acknowledged the errors. He stated the gun show information was recorded because Illinois residents purchased handguns at gun shows in Indiana, but the firearms were shipped to him as the Illinois FFL for transfer to the unlicensed individuals.

FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
Trade Name:

UI#: 772060-2016-0111-B1B
FFL#: 3-36-031-01-8H-00813

Corrective Action

The licensee will ensure all information called for in each form is furnished as indicated by the headings on the form and the instructions on or pertaining to the form.

Reference Worksheet 2 and Exhibit 4

5. 27 CFR 478.99(c)

Nature of violation:

In ^{(b)(3)} instance, the licensee sold or otherwise transferred a firearm to a person whom the licensed dealer had reasonable cause to believe is prohibited under the Gun Control Act (GCA).

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Licensee's Response

The licensee stated he did not notice the incorrect response. Mr. Carriglio indicated the transferee has purchased firearms on other occasions and the answer to that question was negative on such occasions.

Corrective Action

The licensee shall not sell or deliver any firearm to a person whom the licensed dealer has reasonable cause to believe is prohibited under the Gun Control Act (GCA).

IOI ^{(b)(6)} conducted a background check through LEADS on the above transferee. No prohibiting factors were found on this individual.

Reference Worksheet 2 and Exhibit 5

6. 27 CFR 478.125(e)

Nature of violation:

In ^{(b)(3)} instances, the licensee failed to properly enter into a record each receipt and/or disposition of a firearm.

- ^{(b)(3)} firearm acquisition was recorded without the caliber of the firearm.
- ^{(b)(3)} firearm acquisition was recorded without the gauge of the firearm and without the residence address of the individual from whom the firearm was received.
- ^{(b)(3)} additional firearm acquisitions were recorded without the residence addresses of the individuals from whom the firearms were received.

FIREARMS INSPECTION REPORT

Name: Carriglio, Gregory D.
Trade Name:

UI#: 772060-2016-0111-B1B
FFL#: 3-36-031-01-8H-00813

Licensee's Response

Mr. Carriglio stated he did not know he was entering the information incorrectly. He is now recording the individual's residence address in lieu of the FOID card and/or driver license number. Mr. Carriglio provided his A&D Book as evidence of the correct acquisition entries.

Corrective Action

The licensee will ensure to properly enter into a record each receipt and disposition of a firearm.

Reference Worksheet 1 and Exhibit 6

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Worksheets

- Worksheet 1 – Inventory and A&D Review Worksheet
- Worksheet 2 – ATF Form 4473 (2012 Edition) Review Worksheet
- Firearms Inspection Informational Page
- Firearms Inspection Statistical Page

Exhibits

1. ATF Forms 4473 with errors of 27 CFR 478.124(c)(1)
2. ATF Forms 4473 with errors of 27 CFR 478.124(c)(3)(iv)
3. ATF Forms 4473 with errors of 27 CFR 478.124(c)(5)
4. ATF Forms 4473 with errors of 27 CFR 478.21(a)
5. ATF Form 4473 with error of 27 CFR 478.99(c)
6. A&D Book errors of 27 CFR 478.125(e)
7. Acknowledgement of Federal Firearms Regulations
8. Application Reference Material Checklist (December 2014)