

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Lombard Gun Shop & Range LTD 250 Cortland Ave Lombard, IL 60148, DuPage		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 772060-2016-0047-B1B		
		3. PERMIT/LICENSE NUMBER 336043017K03247	4a. TARGET DATE 9/4/2016	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Assigned Investigator (b)(6) - Lead Investigator (b)(6) - Assigned Investigator (b)(6) - Assigned Investigator (b)(6) - Assigned Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6) Area Supervisor, 10/05/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS Please conduct a full scope recall inspection of this Type 01 FFL. During initial review of this assignment please ensure the shared drive is reviewed for any additional variance approvals, correspondences, etc. that are not located in the file.				
9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF VIOLATIONS	8	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	44	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 08/29/2016 IOI (b)(6) issued a Report of Violations (ROV) with 8 citations. The inspection resulted in citations related to recordkeeping and administrative issues. As a result of the inventory reconciliation, there were no missing firearms from inventory. IOI (b)(6) found no indications of trafficking. There (b)(3) - Public Law 112-55 (125) during the inspection period, each has now been resolved to an individual purchaser. IOI (b)(6) recommends a ROV, Warning Letter and no Recall Inspection. IOI (b)(6) issued a ROV with 8 citations: 1. 27 CFR 478.124(c)(1) - REPEAT VIOLATION - JUNE 9, 2015 2. 27 CFR 478.124(c)(3)(i) - REPEAT VIOLATION - JUNE 9, 2015 3. 27 CFR 478.124(c)(3)(iv) - REPEAT VIOLATION - JUNE 9, 2015 4. 27 CFR 478.124(c)(4) - REPEAT VIOLATION - JUNE 9, 2015 5. 27 CFR 478.124(c)(5) - REPEAT VIOLATION - JUNE 9, 2015 6. 27 CFR 478.21(a) - REPEAT VIOLATION - JUNE 9, 2015 7. 27 CFR 478.126a - REPEAT VIOLATION - JUNE 9, 2015 8. 27 CFR 478.125(e) - REPEAT VIOLATION - JUNE 9, 2015 Viols WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)		
JUL 2016		23.50		
ATF OFFICER'S SUBTOTAL		23.50		ATF OFFICER'S SIGNATURE

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Lombard Gun Shop & Range LTD 250 Cortland Ave Lombard, IL 60148, DuPage	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 772060-2016-0047-B1B		
	3. PERMIT/LICENSE NUMBER 336043017K03247	4a. TARGET DATE 9/4/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
JUL 2016	99.00
AUG 2016	9.00
ATF OFFICER'S SUBTOTAL	108.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
JUL 2016	24.50
ATF OFFICER'S SUBTOTAL	24.50
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
JUL 2016	23.00
ATF OFFICER'S SUBTOTAL	23.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
JUL 2016	31.00
ATF OFFICER'S SUBTOTAL	31.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	210.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION Concur with recommendation of Warning Letter with no recall. Warning Letter signed and mailed by A/S (b)(6) on 8/30/16. Violts WL ONLY and No Recall Inspection	
<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONCUR
<input checked="" type="checkbox"/> SEE COMMENTS	<input checked="" type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 08/30/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Lombard Gun Shop & Range LTD	Street Address 250 Cortland Ave	City Lombard	State IL	Zip Code 60148	County DuPage	Page 1 of 9 Pages
License/Permit/Registry Number (if any) 336043017K03247	Expiration Date 10/1/2017	Date(s) or Period of Inspection 07/12/2016 through 07/29/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

In ^{(b)(3)} instances, the licensee failed to obtain a properly completed ATF Form 4473 from the transferee.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to obtain a properly completed ATF Form 4473 from the transferee.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Report of Violations

Instructions

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Name of Proprietor Lombard Gun Shop & Range LTD	Street Address 250 Cortland Ave	City Lombard	State IL	Zip Code 60148-	County DuPage	Page 2 of 9 Pages
License/Permit/Registry Number (If any) 336043017K03247	Expiration Date 10/1/2017	Date(s) or Period of Inspection 07/12/2016 through 07/29/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(1)

Number: 2

Nature of Violation:

In ^{one} instances, the licensee failed to complete thoroughly onto ATF Form 4473 the proper identification information of the transferee.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to complete thoroughly onto ATF Form 4473 the proper identification information of the transferee.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Lombard Gun Shop & Range LTD	Street Address 250 Cortland Ave	City Lombard	State IL	Zip Code 60148	County DuPage	Page 3 of 9 Pages
License/Permit/Registry Number (If any) 336043017K03247	Expiration Date 10/1/2017	Date(s) or Period of Inspection 07/12/2016 through 07/29/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

In ^{(b)(3)} instances, the licensee failed to properly record information concerning the background check onto ATF Form 4473.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(e)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to properly record information concerning the background check onto ATF Form 4473.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Lombard Gun Shop & Range LTD	Street Address 250 Cortland Ave	City Lombard	State IL	Zip Code 60148-	County DuPage	Page 4 of 9 Pages
License/Permit/Registry Number (if any) 336043017K03247		Expiration Date 10/3/2017	Date(s) or Period of Inspection 07/12/2016 through 07/29/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

In ☒ instances, the licensee failed to properly identify the firearm to be transferred by listing on the ATF Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and/or the serial number of the firearm.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to properly identify the firearm to be transferred by listing on the ATF Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Lombard Gun Shop & Range LTD	Street Address 250 Cortland Ave	City Lombard	State IL	Zip Code 60148-	County DuPage	Page 5 of 9 Pages
License/Permit/Registry Number (if any) 336043017K03247	Expiration Date 10/1/2017	Date(s) or Period of Inspection 07/12/2016 through 07/29/2016				

Inspection Results

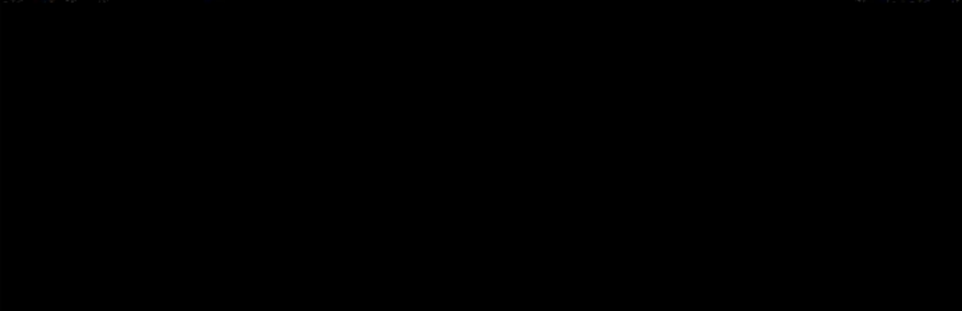
An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

In ⁽⁰³⁾ instances, the licensee failed to properly sign and/or date the ATF Form 4473 at the time the transfer was conducted.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



Citation: 27 CFR 478.124(e)(5)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to properly sign and date ATF Form 4473 at the time the transfer is conducted.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Lombard Gun Shop & Range LTD	Street Address 250 Cortland Ave	City Lombard	State IL	Zip Code 60148-	County DuPage	Page 6 of 9 Pages
License/Permit/Registry Number <i>(If any)</i> 336043017K03247		Expiration Date 10/1/2017	Date(s) or Period of Inspection 07/12/2016 through 07/29/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: **6**

Nature of Violation:

In **6** instances, the licensee failed to ensure all of the information called for in each form was furnished as indicated by the headings on the form and the instructions on or pertaining to the form.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure all information called for in each form is furnished as indicated by the headings on the form and the instructions on or pertaining to the form.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Lombard Gun Shop & Range LTD	Street Address 250 Cortland Ave	City Lombard	State IL	Zip Code 60148-	County DuPage	Page 7 of 9 Pages
License/Permit/Registry Number (if any) 336043017K03247		Expiration Date 10/1/2017	Date(s) or Period of Inspection 07/12/2016 through 07/29/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

blank.

Citation: 27 CFR 478.21(a)

Number: 7

Nature of Violation:

In ^{(b)(3)} instances, the licensee failed to prepare and submit a properly completed Report of Multiple Sale or Other Disposition of Pistols and Revolvers form.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee will ensure to prepare and submit a properly completed Report of Multiple Sale or Other Disposition of Pistols and Revolvers form by the close of business on the day that the multiple sale or other disposition occurs.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Lombard Gun Shop & Range LTD	Street Address 250 Cortland Ave	City Lombard	State IL	Zip Code 60148-	County DuPage	Page 8 of 9 Pages
License/Permit/Registry Number (If any) 336043017K03247		Expiration Date 10/1/2017	Date(s) or Period of Inspection 07/12/2016 through 07/29/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: **8**

Nature of Violation:

Failure to properly record the acquisition of the following ^{(b)(3)} firearms:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to properly enter into a record each receipt and disposition of a firearm.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Lombard Gun Shop & Range LTD	Street Address 250 Cortland Ave	City Lombard	State IL	Zip Code 60148-	County DuPage	Page 9 of 9 Pages
License/Permit/Registry Number (If any) 336043017K03247		Expiration Date 10/1/2017	Date(s) or Period of Inspection 07/13/2016 through 07/29/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Failure to properly record the disposition of the following ^(b)firearms:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.125(e)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Francis A. Sisk President

Date

7/29/16

Signature and Title of ATF Officer

(b)(6)

INDUSTRY OPERATIONS INVESTIGATOR

Date

7/29/16

For Official Use Only



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Downers Grove Satellite Office

3250 Lacey Road, Suite 400
Downers Grove, IL 60515-4
www.atf.gov

August 30, 2016

772060 (b)(6)
5300

**CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

Lombard Gun Shop & Range LTD
ATTN: Frances Soskin
250 Cortland Ave.
Lombard, IL 60148

FFL #3-36-043-01-7K-03247

Dear Mrs. Soskin:

During a recent compliance inspection at your firearms business covering the period of July 12, 2015 through July 12, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

The violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact IO Investigator (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor
Springfield II
Dyers Grove SO

Enclosure

c: Federal Firearms Licensing Center

FIREARMS INSPECTION REPORT

Name: Lombard Gun Shop & Range LTD
Trade Name:

UI#: 772060-2016-0047-B1B
FFL#: 3-36-043-01-7K-03247

Address: 250 Cortland Ave.
Lombard, IL 60148 (DuPage County)

1 - Introduction

Inspection Profile:

ATF Industry Operations Investigator (IOI) (b)(6) conducted a full scope firearms recall compliance inspection July 12 - 29, 2016 at the licensed premises. Advanced notice of the inspection was not provided to the licensee. Upon arrival on July 12, 2016, IOI (b)(6) identified himself as a Bureau of Alcohol, Tobacco, Firearms and Explosives Investigator and presented his credentials. Assisting throughout the inspection were IOIs (b)(6).

The licensee operates as a Type 01 Dealer in Firearms Other Than Destructive Devices. The license has been in business since 2011. The sole Responsible Person on the license is Frances Soskin. Mrs. Soskin was present during the entirety of the inspection and was identified by her Illinois State Firearm Owner Identification: (b)(6) Expiration 5/1/20.

Also present during the entirety of the inspection was (b)(6), husband of Responsible Person Mrs. Soskin. Mr. Soskin was identified by his Illinois State Firearm Owner Identification: (b)(6) Expiration Date 1/1/20.

The inspection period was from July 12, 2015 to July 12, 2016.

Business Profile:

The licensee is in the business of selling firearms to the general public. A gun range is co-located on the premises, but operated by a separate entity, Article II. In addition to dealing in firearms, the licensee sells other sporting goods such as clothing, firearm accessories, and ammunition. Concealed carry classes and firearms training classes are also available at the premises. An additional FFL, Original AK Incorporated (3-36-03813), also conducts business from the premises. Original AK Incorporated conducts business as a manufacturer of firearms from a small office space within the building. According to (b)(6) and Mrs. Soskin, Article II rents out space to Lombard Gun Shop & Range LTD and Original AK Incorporated. The premises is located in an industrial area located within the Village of Lombard. IOI (b)(6) corresponded with (b)(6) of the Village of Lombard Community Development Department who stated a Conditional Use Permit has been granted allowing for the licensee to conduct sales of firearms from the premises.

FIREARMS INSPECTION REPORT

Name: Lombard Gun Shop & Range LTD
Trade Name:

UI#: 772060-2016-0047-B1B
FFL#: 3-36-043-01-7K-03247

For the last 12 months, the licensee recorded the acquisition of (b)(4) retail firearms and the disposition of approximately (b)(4) retail firearms. Approximately (b)(4) of the licensee's inventory was used firearms. Of the used firearms, approximately (b)(4) were handguns and (b)(4) were long guns.

The licensee generally carries an inventory of approximately 2,000 firearms on the premises at any given time. The primary suppliers of new firearms are (b)(4). The primary suppliers of used firearms are unlicensed individuals wishing to trade in firearms for store credit or wishing to sell firearms on consignment through the licensee.

The licensee stated it has not sold firearms at gun shows and does not have immediate plans to do so. IOI (b)(6) reviewed the rules and regulations as they pertain to gun shows in the event the licensee does conduct business at such locations in the future.

The licensee does not currently sell firearms using the internet. However, the licensee has considered conducting such business in the future. The licensee stated it has been apprehensive to begin such business activity as it does not have a list of what firearms are permissible in each state. IOI (b)(6) reviewed the federal regulations pertaining to internet sales in the event the licensee conducts such business in the future. The licensee does conduct business as the transfer agent for individuals who purchase firearms using the internet.

The licensee does not conduct business as it pertains to importing or manufacturing firearms. During the course of the inspection, it was observed that reloading equipment was used to manufacture ammunition for use on the gun range. IOI (b)(6) was informed by the licensee that the ammunition is reloaded by Article II and then used in rental firearms on the range or the ammunition is included in a Groupon package for use on the range. IOI (b)(6) inquired with the Firearms Industry Program Branch (FIPB) to determine if a Type 06 Manufacturer of Ammunition License would be required for such operation. FIPB determined that a Manufacturer License would be required for such business (*Exhibit 44*). IOI (b)(6) informed Mrs. Soskin, (b)(6) (representative of Article II), and (b)(6) (employee) of such determination. (b)(6) stated he would pursue obtaining such license.

The licensee also conducts business in the repair of firearms. During the inspection period, the licensee acquired approximately (b)(4) firearms for repair. The licensee stated it generally returns repaired firearms directly to the individual from whom it was received. IOI (b)(6) advised the licensee that a

FIREARMS INSPECTION REPORT

Name: Lombard Gun Shop & Range LTD
Trade Name:

UI#: 772060-2016-0047-B1B
FFL#: 3-36-043-01-7K-03247

4473 and background check must be conducted if the repaired firearm is returned to an individual other than from whom received.

The licensee does not conduct business as it pertains to sales of black powder or NFA firearms. There is no off-site storage of firearms.

Handguns are displayed in locked glass display cases. Many of these display cases also serve as the sales counter. Long guns are on display on the wall behind the sales counter, in gun racks behind the sales counter, or on racks contained within the island display on the sales floor. The front door is protected by a metal rollup door that is secured during nonbusiness hours. Windows have glass break sensors. Multiple motion detectors and cameras are present throughout the premises. The premises is also secured by an alarm system monitored by Stanley Security.

Ownership and Control:

The licensee is in business as a limited corporation. A query of the Illinois Secretary of State website resulted in finding the corporation holds an active status within the State (*Exhibit 45*). Mrs. Soskin indicated there has been no change in ownership since the previous inspection in 2015. Mrs. Soskin owns 100% of the corporation.

No Responsible Persons were added to or removed from the license as a result of this inspection.

Variance:

No variances were requested nor required.

2 - Recommendation

IOI (b)(6) issued a Report of Violations (ROV) with 8 citations. The inspection resulted in citations related to recordkeeping and administrative issues. As a result of the inventory reconciliation, there were no missing firearms from inventory. IOI (b)(6) found no indications of trafficking. There were (b)(3) traces during the inspection period, each has now been resolved to an individual purchaser.

IOI (b)(6) recommends a ROV, Warning Letter and no Recall Inspection.

IOI (b)(6) issued a ROV with 8 citations:

1. 27 CFR 478.124(c)(1) – REPEAT VIOLATION – JUNE 9, 2015
2. 27 CFR 478.124(c)(3)(i) – REPEAT VIOLATION – JUNE 9, 2015
3. 27 CFR 478.124(c)(3)(iv) – REPEAT VIOLATION – JUNE 9, 2015

FIREARMS INSPECTION REPORT

Name: Lombard Gun Shop & Range LTD
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UI#: 772060-2016-0047-B1B
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4. 27 CFR 478.124(c)(4) – REPEAT VIOLATION – JUNE 9, 2015
5. 27 CFR 478.124(c)(5) – REPEAT VIOLATION – JUNE 9, 2015
6. 27 CFR 478.21(a) – REPEAT VIOLATION – JUNE 9, 2015
7. 27 CFR 478.126a – REPEAT VIOLATION – JUNE 9, 2015
8. 27 CFR 478.125(e) – REPEAT VIOLATION – JUNE 9, 2015

3 - Inspection History

A search of NSPECT resulted in the following inspections:

Date of Inspection:	April 20, 2015 – June 9, 2015
Type of Inspection:	Compliance Inspection
UI#:	772060-2015-0438
Inspection Results:	Warning Conference held on July 13, 2015.
Cited Violations:	<ol style="list-style-type: none">1. 27 CFR 478.103(a) – (b)(3) instance2. 27 CFR 478.103(d) – (b)(3) instance3. 27 CFR 478.124(c)(1) – (b)(3) 112 instances4. 27 CFR 478.124(c)(3)(i) – (b)(3) instances5. 27 CFR 478.124(c)(3)(iv) – (b)(3) instances6. 27 CFR 478.124(c)(4) – (b)(3) instances7. 27 CFR 478.124(c)(5) – (b)(3) instances8. 27 CFR 478.21(a) – (b)(3) instances9. 27 CFR 478.126a – (b)(3) instance10. 27 CFR 478.129(b) – (b)(3) instance11. 27 CFR 478.125(e) – (b)(3) 112 instances

Date of Inspection:	August 2, 2011
Type of Inspection:	Application Inspection
UI#:	772050-2011-0237
Inspection Results:	Recommend issuance of Type 01 License
Cited Violations:	No cited violations.

4 - Acquisition & Disposition (A&D) Record - Inventory

The physical inventory was taken on July 12, 2016 and consisted of 1,899 firearms. The physical inventory was compared to open entries in the A&D Record. On the initial day of the inspection, the A&D Record contained (b)(3) 112 Public open entries. This left a discrepancy of (b)(3) 112 Public firearms that were not found in inventory. However, the licensee was able to produce ATF Forms 4473 and repair records to document the disposition of all (b)(3) firearms. (b)(3) such dispositions were outside of the allotted timeframe to record dispositions into the A&D Record. The licensee was cited for the

FIREARMS INSPECTION REPORT

Name: Lombard Gun Shop & Range LTD
Trade Name:

UI#: 772060-2016-0047-B1B
FFL#: 3-36-043-01-7K-03247

failure to properly record the disposition of such firearms (*ROV #8 and Worksheet 1*). The remaining (b)(3) - 112 Public Law 55 125 STAT 552 dispositions were within the allotted timeframe to record dispositions into the A&D Record and were therefore not cited. As a result of the inventory and reconciliation, there were no missing firearms.

The licensee maintains its A&D Records in electronic format. The electronic system was reviewed and found to meet the requirements of the current computerized A&D ruling.

The review of the A&D Records during the inspection period resulted in finding (b)(3) 112 Public Law 55 125 STAT 552 errors: (b)(3) 112 Public Law 55 125 STAT 552 errors related to improperly recording the acquisition of a firearms and (b)(3) 112 Public Law 55 errors related to improperly recording the disposition of a firearm (*ROV #8 and Worksheet 1*). During the inspection period, the licensee acquired (b)(4) firearms and disposed of approximately (b)(4). Acquisition and disposition errors were present in less than 1 percent of all entries made within the inspection period. The A&D Record entries with errors are included as *Exhibit 43*.

There were no thefts or losses of firearms during the inspection period.

5 - ATF Forms 4473 – NICS & Other Dispositions

IOIs (b)(6) reviewed (b)(4) ATF Forms 4473 which represent transfers to unlicensed individuals during the inspection period. (b)(3) 112 Public Law 55 125 STAT 552 of the forms, or 1.9%, were found to contain errors (*Worksheet 2*). The errors include incomplete or incorrect completion of required entries to the ATF Form 4473 on the part of the transferees and the transferor.

The ATF Forms 4473 with errors are included as *Exhibits 1 - 42*.

(b)(3) - 112 NICS/FTIP checks were verified through a comparison of the ATF Forms 4473 to the FTIP Audit Log. No discrepancies were noted. IOI (b)(6) also compared dispositions as recorded on ATF Forms 4473 to dispositions as recorded in the A&D Record. (b)(3) 112 Public Law 55 discrepancy was found for an incorrect date of disposition recorded in the A&D Record. Such instance was included in *ROV #8 (Worksheet 2)*.

Disposition to other FFLs were properly recorded in the A&D Record.

The licensee requires law enforcement officers purchasing for duty use to complete an ATF Form 4473, to include the background check. Therefore, there were no certification letters found in lieu of the ATF Form 4473 for such dispositions.

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The licensee assigns a Transferor's Transaction Serial Number to each ATF Form 4473 that results in a transfer of a firearm. The ATF Forms 4473 are then filed numerically by such serial number. The transferee's name, residence address, and transaction serial number are all found within the A&D Records.

The licensee maintains denials and no sales separately. A review of such forms was conducted. No noteworthy findings to report.

A review of (b)(3) - 112 Public Law 55 125 STAT 552 ATF Forms 4473 resulted in the following 6 violations (*ROV #1-6*):

1. 27 CFR 478.124(c)(1): (b)(3) instances
2. 27 CFR 478.124(c)(3)(i): (b)(3) instances
3. 27 CFR 478.124(c)(3)(iv): (b)(3) instances
4. 27 CFR 478.124(c)(4): (b)(3) instances
5. 27 CFR 478.124(c)(5): (b)(3) instances
6. 27 CFR 478.21(a): (b)(3) instances

Background checks through LEADS were conducted on unlicensed transferees if the results of the background check were unclear or if the ATF Form 4473 contained an error on a prohibiting question response. No hits were found. See Section 7 for further details.

6 - Multiple Sales

Prior to the inspection, IOI (b)(6) requested and received the report from the Violent Crime Analysis Branch (VCAB). The licensee reported thirty-nine (39) multiple sales during the inspection period.

A review of the records resulted in an additional (b)(3) 112 Public Law 55 125 STAT 552 multiple sales involving (b)(3) 112 Public Law 55 125 STAT 552 firearms that were unreported. On July 27, 2016, the licensee submitted the multiple sale reports and the transactions now appear as the following multiple sales:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

The transfers to (b)(6) took place on 2 different dates and the multiple sale was missed by the licensee. The transfers to (b)(6) took place on the same date but one of the firearms was returned from (b)(6) to the licensee shortly after the physical transfer. IOI (b)(6) informed the licensee that if the transfer of the firearm was made, a multiple sale form should have been completed. The licensee stated its understanding.

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7 - Suspicious/Prohibited Purchasers

Based on the review of the records, the following individuals were queried as suspicious/prohibited purchasers:

- (b)(3) 112 Public Law 552. – Recorded his date of birth incorrectly – No hits in LEADS or eTrace; active FOID card found.
- (b)(6) – Item 11c amended – No hits in LEADS or eTrace; active FOID card found.
- (b)(6) – Item 11a amended – No hits in LEADS or eTrace; active FOID card found.
- (b)(6) – Item 11i amended – No hits in LEADS or eTrace; active FOID card found.
- (b)(6) – Item 21d blank – No hits in LEADS or eTrace; active FOID card found.
- (b)(6) – Item 11b amended – No hits in LEADS or eTrace; active FOID card found.
- (b)(6) – Item 11b amended – No hits in LEADS or eTrace; active FOID card found.
- (b)(6) – Item 11a amended – No hits in LEADS or eTrace; active FOID card found.
- (b)(6) – Item 11a amended – No hits in LEADS or eTrace; active FOID card found.

Queries of N-Force and LEADS on the Responsible Person resulted in no open cases or prohibiting factors.

8 - Trace Activity

The VCAB report indicates (b)(3) 112 Public Law 55 125 Stat 552 traces associated with this licensee during the inspection period. All but (b)(3) 112 Public Law of the traces had been previously resolved. ATF (b)(3) - 112 Public Law 55 125 Stat 552

[REDACTED]

Through A&D Records review and ATF Form 4473 review, the disposition of the firearm was reconciled to an individual purchaser on an ATF Form 4473. IOI (b)(6) provided the ATF Form 4473 to the National Tracing Center. A query of eTrace indicates the trace is now resolved to an individual purchaser.

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IOI (b)(6) conducted queries in LEADS and eTrace on (b)(3) 112 Public Law 55 125 S used firearms that were in inventory and (b)(3) 112 Public Law 55 125 S used firearms that were transferred during the inspection period. There were no results that indicate the firearms were previously traced or stolen.

IOI (b)(6) also conducted queries in LEADS and eTrace on the (b)(3) 112 Public Law 55 125 S firearms involved in the unreported multiple sales. No hits were found.

9 suspicious purchasers were queried in eTrace and LEADS as appropriate. The queries did not reveal any results to indicate the purchasers were associated with any previous traces or stolen firearms. See Section 7 for details.

The licensee is currently on Demand Letter 2. IOI (b)(6) contacted the National Tracing Center and was notified the licensee is properly reporting used firearms that it has acquired (*Exhibit 46*). A review of the records did not disclose additional unreported used firearms.

9 - NFA/Importer/Collector/Manufacturer

The licensee does not conduct business as it pertains to NFA, importing, manufacturing or collecting firearms.

10 - Referrals

No referrals were generated as a result of this inspection.

11 - Other

During the course of the inspection, it was noted by several IOIs that there was ammunition reloading equipment present on the premises and that such reloading equipment was being used to reload ammunition. IOI (b)(6) inquired with the licensee regarding the reloading equipment. The licensee stated that such ammunition was used at the range in rental firearms or included as part of a Groupon package. The entity reloading and providing such ammunition to customers is Article II.

IOI (b)(6) requested a determination from the Firearms Industry Program Branch (FIPB) regarding whether the above mentioned activities would require a Manufacturer License from ATF. IOI (b)(6) provided FIPB with the Groupon offer and images from the Article II website. FIPB provided a determination that the activities would necessitate a Manufacturer License (*Exhibit 44*).

IOI (b)(6) informed Mrs. Soskin, (b)(6) (employee) of such determination. (b)(6) stated he would apply for such license.

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The GPS coordinates of the licensed premises are 41.9113 N and 88.02371 W.

12 - Closing Actions

A Report of Violations (ROV) was issued on July 29, 2016. The Federal Firearms Regulations were explained and discussed by IOI (b)(6) and all questions were answered. Mrs. Soskin signed and dated the Acknowledgement of Federal Firearms Regulations. (*Exhibit 47*)

IOI (b)(6) provided the licensee with all of the reference materials included in the new applicant packets (*Exhibit 48*). IOI (b)(6) also provided the licensee with ATF contact information.

13 - Violations

1. 27 CFR 478.124(c)(1) – REPEAT VIOLATION – JUNE 9, 2015

Nature of violation:

In (b)(3) instances, the licensee failed to obtain a properly completed ATF Form 4473 from the transferee.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



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(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Licensee's Response

The licensee was surprised so many errors occurred when there are multiple employees reviewing the forms prior to the transfer of the firearms. The licensee stated it would ensure to review the forms more carefully.

Corrective Action

The licensee will ensure to obtain a properly completed ATF Form 4473 from the transferee.

Reference Worksheet 2 and Exhibits 3, 4, 8, 10, 11, 12, 17, 18, 20, 22, 23, 24, 25, 29, 30, 37, 38

2. **27 CFR 478.124(c)(3)(i) – REPEAT VIOLATION – JUNE 9, 2015**

Nature of violation:

In ^{(b)(3)} instances, the licensee failed to complete thoroughly onto ATF Form 4473 the proper identification information of the transferee.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Licensee's Response

The licensee acknowledged the errors but had no further comment.

Corrective Action

The licensee will ensure to complete thoroughly onto ATF Form 4473 the proper identification information of the transferee.

Reference Worksheet 2 and Exhibits 12, 15

3. **27 CFR 478.124(c)(3)(iv) – REPEAT VIOLATION – JUNE 9, 2015**

Nature of violation:

In ^{(b)(3)} instances, the licensee failed to properly record information concerning the background check onto ATF Form 4473.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

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(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Licensee's Response

The licensee acknowledged the errors but had no further comment.

Corrective Action

The licensee will ensure to properly record information concerning the background check onto ATF Form 4473.

IOI (b)(6) conducted background checks through LEADS on individuals whose background check results were not clear. No prohibiting factors were found on such individuals.

Reference Worksheet 2 and Exhibits 1, 4, 25, 28, 33

4. 27 CFR 478.124(c)(4) – REPEAT VIOLATION – JUNE 9, 2015

Nature of violation:

In ^{(b)(3)} instances, the licensee failed to properly identify the firearm to be transferred by listing on the ATF Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and/or the serial number of the firearm.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Licensee's Response

The licensee acknowledged the error and stated an understanding that the type should be recorded as 'receiver' rather than 'lower' when appropriate.

Corrective Action

The licensee will ensure to properly identify the firearm to be transferred by listing on the ATF Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm.

Reference Worksheet 2 and Exhibit 6, 34, 36

5. 27 CFR 478.124(c)(5) – REPEAT VIOLATION – JUNE 9, 2015

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Nature of violation:

In ^{(b)(3)} instances, the licensee failed to properly sign and/or date the ATF Form 4473 at the time the transfer was conducted.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



Licensee's Response

The licensee acknowledged the error and Mrs. Soskin stated she made the errors as she has been the only individual to actually transfer firearms.

Corrective Action

The licensee will ensure to properly sign and date ATF Form 4473 at the time the transfer is conducted.

Reference Worksheet 2 and Exhibit 5, 9, 13, 27, 32, 35, 39, 40

6. **27 CFR 478.21(a) – REPEAT VIOLATION – JUNE 9, 2015**

Nature of violation:

In ^{(b)(3)} instances, the licensee failed to ensure all of the information called for in each form was furnished as indicated by the headings on the form and the instructions on or pertaining to the form.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



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(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



Licensee's Response

The licensee acknowledged the errors and was again surprised that there were so many errors when there are multiple employees checking each form.

Corrective Action

The licensee will ensure all information called for in each form is furnished as indicated by the headings on the form and the instructions on or pertaining to the form.

Reference Worksheet 2 and Exhibits 2, 7, 14, 16, 19, 21, 26, 27, 31, 32, 39, 40

7. **27 CFR 478.126a – REPEAT VIOLATION – JUNE 9, 2015**

Nature of violation:

In ³⁹ instances, the licensee failed to prepare and submit a properly completed Report of Multiple Sale or Other Disposition of Pistols and Revolvers form.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



Licensee's Response

The licensee acknowledged that a multiple sale form should have been submitted for each of the above instances.

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Corrective Action

The licensee will ensure to prepare and submit a properly completed Report of Multiple Sale or Other Disposition of Pistols and Revolvers form by the close of business on the day that the multiple sale or other disposition occurs.

The licensee submitted such reports on July 27, 2016.

The transactions appear in eTrace under the following Multiple Sale Transaction Numbers: (b)(3) 112 Public Law 55 125 STAT 552 and (b)(3) 112 Public Law 55 125 STAT 552

Reference Worksheet 2 and Exhibit 41, 42

8. 27 CFR 478.125(e) – REPEAT VIOLATION – JUNE 9, 2015

Nature of violation:

Failure to properly record the acquisition of the following 16 firearms:

(b)(3) 112 Public Law 55 125 STAT 552



Failure to properly record the disposition of the following (b)(3) firearms:

(b)(3) 112 Public Law 55 125 STAT 552



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(b)(3) 112 Public Law 55 125 STAT 552


Licensee's Response

The licensee acknowledged the errors and stated all errors have been corrected. The missing dispositions were due to the ATF Forms 4473 being placed in the incorrect folder and were therefore not recorded appropriately.

Corrective Action

The licensee will ensure to properly enter into a record each receipt and disposition of a firearm.

The licensee made necessary amendments to the A&D Record prior to the date of the closing conference.

Reference Worksheet 1 and Exhibit 

X

Industry Operations Investigator

Index of Worksheets and Exhibits

- Worksheet 1 – Inventory and A&D Review Worksheet
- Worksheet 2 – ATF Form 4473 (2012 Edition) Review Worksheet
- Firearms Inspection Informational Page
- Firearms Inspection Statistical Page

Exhibits

- 1–42. ATF Forms 4473 with errors
- 43. A&D Book errors
- 44. FIPB response regarding Manufacturer License
- 45. Secretary of State Corporation Query
- 46. National Tracing Center Email – Demand Letter 2 Compliance
- 47. Acknowledgement of Federal Firearms Regulations
- 48. Reference Material Checklist