

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Candice & Dustin's Family Pawn LLC 646 A Piney Forest Rd Danville, VA 24540, Danville City		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 768090-2017-0099-B1B		
		3. PERMIT/LICENSE NUMBER 154590027J09356	4a. TARGET DATE	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED				
(b)(6) - Assigned Investigator				
(b)(6) - Lead Investigator				
(b)(6) - Assigned Investigator				
(b)(6) - Assigned Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)				
(b)(6), Area Supervisor, 04/11/2017				
8. PURPOSE/SPECIAL INSTRUCTIONS				
pls conduct a DE inspection -- expires 9/1/2017				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	8	NO. OF REFERRALS		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF TECS CHECKS	27	NO. OF TECS HITS		2111 - PER DIEM
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION				
Submitted by (b)(6) - Industry Operations Investigator				
Submitted on: 05/31/2017				
Violts WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)		
APR 2017	1.00			
MAY 2017	24.50			
ATF OFFICER'S SUBTOTAL	25.50	ATF OFFICER'S SIGNATURE		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)		
MAY 2017	67.00			
ATF OFFICER'S SUBTOTAL	67.00	ATF OFFICER'S SIGNATURE		
TOTAL HOURS	92.50			

## Assignment and Report

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	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

#### SIGNATURE AND TITLE

(b)(6) - Area Supervisor

(b)(6)

#### REVIEW DATE

06/13/2017

#### ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

## Inspection Results

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An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Date Corrections to be Made:**  
(If not corrected immediately)

**Corrective Action to be Taken:**  
*(If not corrected immediately)*

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

**Citation:** 27 CFR 478.21(a)

## Report of Violations

### Instructions

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Name of Proprietor Candice & Dustin's Family Pawn LLC	Street Address 646 A Piney Forest Rd	City Danville	State VA	Zip Code 24540-	County Danville City	Page 2 of 7 Pages
License/Permit/Registry Number <i>(If any)</i> 154590027J09356	Expiration Date 9/1/2017	Date(s) or Period of Inspection 05/16/2016 through 05/16/2017				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 2

#### Nature of Violation:

The licensee, by and through its agents and employees, failed to obtain properly completed ATF Forms 4473 from the transferee prior to making an over-the-counter transfer of a firearm to a non-licensee. (b)(3) 112 Public Law 88 123-571 instances cited.

The transferee failed to record a response in Item 14, 2012 edition (b)(6) instances.  
The transferee recorded an inaccurate response in item 17, 2012 edition. (b)(6) instances.  
The transferee failed to record a response in Item 2, 2016 edition. (b)(6) instance.  
The transferee failed to record a response in Item 11d, 2016 edition. (b)(6) instance.  
The transferee failed to record a response in Item 11f, 2016 edition. (b)(6) instance.  
The transferee failed to record a response in Item 11i, 2016 edition. (b)(6) instance.  
The transferee failed to record a response in Item 12a, 2016 edition. (b)(6) instances.  
The transferee failed to record a response in Item 12b, 2016 edition. (b)(6) instance.  
The transferee failed to record a response in Item 12d.1, 2016 edition. (b)(6) instances.

**Citation:** 27 CFR 478.124(c)(1)

#### Date Corrections to be Made:

*(If not corrected immediately)*

#### Corrective Action to be Taken:

*(If not corrected immediately)*

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.



U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The licensee, by and through its agents and employees failed to verify the identity of the transferee by examining the identification document presented, and noting the type of identification used on the ATF Form 4473. [REDACTED] instances cited.

The licensee failed to record an accurate response in Item 20a, 2012 edition [REDACTED] instances.

The license failed to record a response in Item 18a, 2016 edition [REDACTED] instance.

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

Citation: 27 CFR 478.124(c)(3)(i)

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308

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ATF E-Form 5030 5  
Revised April 2005

## Report of Violations

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License/Permit/Registry Number <i>(If any)</i> 154590027J09356	Expiration Date 9/1/2017	Date(s) or Period of Inspection 05/16/2016 through 05/16/2017				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 4

**Nature of Violation:**

The licensee, by and through its agents and employees, transferred a firearm to a non-licensee without recording required NICS information on the ATF Forms 4473, (b)(3) 112 Pub instances cited.

**(b)(3) 112 Public Law 55 125 STAT 552**

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

**Citation:** 27 CFR 478.124(c)(3)(iv)

## Report of Violations

### Instructions

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License/Permit/Registry Number <i>(If any)</i> 154590027J09356	Expiration Date 9/1/2017	Date(s) or Period of Inspection 05/16/2016 through 05/16/2017				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 5

#### Nature of Violation:

The licensee, by and through its agents and employees, failed to identify the firearm to be transferred by listing on the ATF Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm, (b)(3)

The licensee failed to record a response in Items 27 and 28, 2016 edition. (b)(3) 112 Public Law 95-125 (STAT)

#### Date Corrections to be Made:

*(If not corrected immediately)*

#### Corrective Action to be Taken:

*(If not corrected immediately)*

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

**Citation:** 27 CFR 478.124(c)(4)

## Report of Violations

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Name of Proprietor Candice & Dustin's Family Pawn LLC	Street Address 646 A Piney Forest Rd	City Danville	State VA	Zip Code 24540-	County Danville City	Page 6 of 7 Pages
License/Permit/Registry Number <i>(If any)</i> 154590027J09356		Expiration Date 9/1/2017	Date(s) or Period of Inspection 05/16/2016 through 05/16/2017			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 6

**Nature of Violation:**

The licensee, by and through its agents and employees, failed to sign and date the ATF Form 4473 certifying that the licensee does not know or have reason to believe the transferee is disqualified by law from receiving the firearm described on the Form. (b)(3) 112 Pub instance cited.

(b)(3) 112 Public Law 55 125 STAT 552

**Citation:** 27 CFR 478.124(c)(5)

**Number:** 7

**Nature of Violation:**

The licensee, by and through its agents and employees, failed to prepare ATF Form 3310.4, Report of Multiple Sale or other Disposition of Pistols and Revolvers after the licensee sold or otherwise disposed of, at one time or during five consecutive business days, two or more pistols and/or revolvers to an unlicensed person. (b)(3) 112 Pub instances cited involving (b)(3) 112 Public Law 55 firearms.

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

IOI (b)(6) directed the licensee to ensure that an ATF Form 3310.4, Report of Multiple Sale or other Disposition of Pistols and Revolvers, is accurately prepared not later than the close of the business day that the multiple sale or other disposition takes place. IOI (b)(6) directed the licensee to prepare the ATF Forms 3310.4 for the (b)(3) 112 Pub instances cited.



U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

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License/Permit/Registry Number (If any) 154590027J09356	Expiration Date 9/1/2017	Date(s) or Period of Inspection 05/16/2016 through 05/16/2017
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.126a

Number: 8

Nature of Violation:

The licensee, by and through its agents and employees, failed to timely and/or accurately record the acquisition of (b)(6) firearms in to the A&D Record. (b)(3), 112-F-001 instances cited.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

IOI (b)(6) directed the licensee to research his records and make the corrections in the A&D Record. IOI (b)(6) reminded the licensee that the purchase or other acquisition of a firearm shall, except as provided in paragraph (g) under 27 CFR 478.125 in the regulations manual, be recorded not later than the close of the next business day following the date of such acquisition. The licensee shall record the sale or disposition of a firearm not later than seven (7) days following the date of such transaction.

Citation: 27 CFR 478.125(e)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

Signature and Title of ATF Officer  
March 11 2019 Document Production312

Date

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ATF E-Form 5030 5  
Revised April 2005



**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives  
310 First Street SW, Suite 500  
Roanoke, VA 24011

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June 13, 2017

[www.atf.gov](http://www.atf.gov)

Candice & Dustin's Family Pawn LLC  
646 A Piney Forest Rd  
Danville, VA 24540-  
RE: 1-54-590-02-7J-09356

Dear Mr. Pierce

During a recent inspection at your firearms business covering the period May 2016 to May 2017 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved. Should you have any questions regarding this matter, please contact (b)(6), Area Supervisor at (b)(6).

Sincerely yours

(b)(6)

Enclosure

c: Federal Firearms Licensing Center  
Roanoke II (IO) Field Office  
March 11 2019 Document Production

# FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

**Address:** 646 A Piney Forest Rd.  
Danville, VA 24540  
N 36.610175 W -79.405789  
Contact: Dustin Pierce  
[cdfamilypawn@gmail.com](mailto:cdfamilypawn@gmail.com)  
Phone: (434)791-2366  
Cell: (b)(6)

## 1 - Introduction

### Inspection Profile:

On 5/16/2017, Industry Operations Investigator (IOI) (b)(6) initiated a Full DE Compliance Inspection for a Type 02 Federal Firearms License (FFL) maintained by Candice and Dustin's Family Pawn LLC. IOI (b)(6) interviewed Mr. Dustin Pierce, Owner and Responsible Person, at the licensed premises. IOI (b)(6) did not give the licensee advance notice. The inspection period covered 5/16/2016 – 5/16/2017. IOI (b)(6) assisted with the inspection. No other agencies were present for the inspection.

IOI (b)(6) held a Closing Conference with the licensee on 5/25/2017.

### Business Profile:

Candice and Dustin's Family Pawn LLC is licensed as a Pawnbroker in Firearms other than Destructive Devices (02). The licensee operates from a commercially zoned storefront in an urban area of Danville, VA.

In the last 12 months, the licensee acquired (b)(4) firearms, approximately (b)(4) handguns and (b)(4) long guns, and disposed of (b)(4) firearms, approximately (b)(4) handguns and (b)(4) long guns. The licensee conducts retail sales only. In the last 12 months the licensee transferred approximately (b)(4) new firearms and (b)(4) pre-owned firearms.

The licensee's primary suppliers include (b)(4), (b)(4). The licensee does not conduct firearm sales at gun shows nor internet sales. The licensee does not maintain a website; however, the licensee does maintain a store page on Facebook - [www.facebook.com/Candice-Dustins-Family-Pawn-208785365829184/](https://www.facebook.com/Candice-Dustins-Family-Pawn-208785365829184/). The licensee stated that the store utilizes social media for advertisements only.

In addition to the FFL, the licensee's business premises consists of a fully operational Pawn Shop. The licensee buy, sells, and trades electronics, tools, jewelry, and other items associated with the Pawn industry. The licensee does

# FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

not hold any additional ATF licenses. The licensee holds a Danville, VA business license for Retailers and Short Term Rental (license #906008) **Exhibit 1** and Repair, Personal and Business Services (license #906007) **Exhibit 2**. The licensee's FFL indicates an issue date of 8/26/2011 and it expires on 9/1/2017 **Exhibit 3**. The licensee does not utilize off-site storage.

The licensee's EIN is (b)(3) 26 USC § 6103 and the DIN is (b)(3) 26 USC §

## Ownership and Control:

Candice and Dustin's Family Pawn LLC is a Limited Liability Company, certified by the Virginia State Corporation Commission. IOI (b)(6) verified through the Virginia State Corporation Commission website that Candice and Dustin's Family Pawn LLC is properly registered in the Commonwealth of Virginia and has been since 4/29/2011. Mr. Pierce stated that there are no changes in ownership or control **Exhibit 4**.

IOI (b)(6) did not disclose any indications of hidden ownership. All Responsible Persons are correctly shown in the Federal Licensing System database.

The Responsible Persons (RPs) for the FFL are listed below:

- 1.) Mr. Dustin Pierce, Owner and Responsible Person
- 2.) Mrs. Crandlee Pierce, Owner and Responsible Person

## Variance:

The licensee does not have any ATF approved variances.

## 2 - Recommendation

Violts WL ONLY and No Recall Inspection

Failure to file multiple sales reports when legally required, in (b)(3) - 112 Public Law 55 126 Stat 552 instances. (b)(3) 112 Public Law 55 126 Stat 552 instances cited involving (b)(3) 112 Public Law 55 126 Stat 552 firearms.

## 3 - Inspection History

IOI (b)(6) documented the inspection history for this licensee:

**Date of Inspection:** 8/23/2011 – IOI (b)(6)  
**Type of Inspection:** New Application, Type 02  
**UI#:** 768090-2011-0124  
**Inspection Results:** Application Approved



# FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

**Cited Violations:** N/A

## 4 - Acquisition & Disposition (A&D) Record - Inventory

On 5/16/2017, IOIs (b)(6) initiated a full physical inventory by comparing all of the licensee's open disposition entries in the A&D Record against all of the licensee's firearms in inventory. IOI (b)(6) determined the following:

### Inventory Results for this Inspection:

- 1.) There are (b)(3)-11 open disposition entries in the A&D Record.
- 2.) There are 349 firearms in inventory.
- 3.) There is (b)(3) acquisition incorrectly entered in the A&D Record.
- 4.) There is (b)(3) acquisition entry missing from the A&D Record.

Firearms in A&D Record –

349

Acquisitions Missing from A&D Record –

(b)(3)-1121

Acquisitions incorrectly listed from A&D Record -

Firearms in Physical Inventory -

349

IOI (b)(6) determined that (b)(3) 112 Public Law 55 125 STAT 552 firearm had been incorrectly entered into the A&D Record and (b)(3) 112 Public Law 55 125 STAT 552 firearm was missing from the A&D Record. Mr. Pierce stated that when an individual pawns an item, PawnMaster saves that item in their record. Mr. Pierce further stated that one of the licensee's normal customers has pawned a (b)(3) 112 Public Law 55 125 STAT 552 numerous times. On the date in question, an employee of the licensee chose the (b)(3) 112 Public Law 55 125 STAT 552 the firearm being pawned, when it was in-fact a (b)(3) 112 Public Law 55 125 STAT 552 in similar packaging. The licensee corrected the A&D book immediately. IOI (b)(6) cited the violations. See violation #8, also reference the ATF Inventory Worksheet #1 and the Report of Violations (ROV). The above mentioned firearms are described as the following:

(b)(3) 112 Public Law 55 125 STAT 552

IOI (b)(6) concluded that the licensee recorded the remaining acquisitions and dispositions in a timely manner. IOI (b)(6) determined that there are no further discrepancies with the A&D Record or with the inventory. Mr. Pierce made all corrections to the A&D Record during the inspection by referencing his filed ATF Forms 4473.

Candice and Dustin's Family Pawn LLC utilizes PawnMaster, an electronic A&D Record, which meets all the requirements for an electronic A&D Record specified in ATF Ruling 2016-1. IOI (b)(6) determined that the licensee formats the A&D Record properly and that the licensee meets ATF requirements for Record Keeping.

# FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
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**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

After the licensee made all corrections to the A&D Record, IOI (b)(6) traced (b)(3)-1 disposition entries in the A&D Record and verified that the licensee obtained the completed ATF Form 4473 for each entry. IOI (b)(6) then verified the entries against the ATF Forms 4473 and determined there were no further discrepancies.

## 5 - ATF Forms 4473 – NICS & Other Dispositions

The licensee completed (b)(3)-11 ATF Forms 4473 for the inspection period (5/16/2016-5/16/2017). IOIs (b)(6) reviewed all of the forms for errors.

IOI (b)(6) determined that the licensee failed to properly complete (b)(3) 112 Public Law 55 125 STAT 552 ATF Forms 4473. IOI (b)(6) cited the licensee for the violations.

IOI (b)(6) described all of the ATF Forms 4473 discrepancies disclosed in this inspection under Section 13- Violations of this report. Reference Violations 1-6. Also reference ATF Forms 4473 Review Worksheet and the Report of Violations (ROV).

ATF Forms 4473 discrepancies (b)(3) forms in error (b)(3)-112 forms examined):

27 CFR 478.21(a) – (b)(3) 112 Public Law 55 instances cited.

27 CFR 478.124(c)(1) – (b)(3) 112 Public Law 55 125 STAT 552 instances cited.

27 CFR 478.124(c)(3)(i) – (b)(3) 112 Public Law 55 instances cited.

27 CFR 478.124(c)(3)(iv) – (b)(3) 112 Public Law 55 instances cited.

27 CFR 478.124(c)(4) – (b)(3) 112 Public Law 55 instance cited.

27 CFR 478.124(c)(5) – (b)(3) 112 Public Law 55 instance cited.

IOI (b)(6) reviewed all of the ATF Forms 4473 discrepancies with Mr. Pierce. Mr. Pierce stated he understands the requirements for properly completing ATF Forms 4473 and the he will comply with those requirements in all future transactions.

Mr. Pierce stated that Candice and Dustin's Family Pawn LLC employees initially complete the ATF Form 4473 and review them for errors prior to a firearm being transferred. The ATF Forms 4473 are reviewed a second time by Mrs. Pierce after the transfer takes place and before the disposition information is recorded into the A&D Record.

After the licensee made all corrections to the ATF Forms 4473, IOI (b)(6) traced (b)(3)-1 ATF Forms 4473 and verified that the licensee properly recorded the dispositions in the A&D Record. IOI (b)(6) then verified the forms against the A&D Record and determined there were no further discrepancies.

IOI (b)(6) contacted Virginia State Police and they provided the Firearms Transaction Program Statement Inquiries for 3/11/2017 – 5/10/2017. There were one hundred and



# FIREARMS INSPECTION REPORT

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**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

forty two (142) Inquiries for the time-period. IOI (b)(6) compared 138 inquiries against the (b)(3) ATF Forms 4473. There were no discrepancies and no violations.

The licensee files the ATF Forms in chronological order by the date of sale. IOI (b)(6) verified that Candice and Dustin's Family Pawn LLC properly transfers firearms to other licensees. IOI (b)(6) reviewed the separate file for denied ATF Forms 4473. The licensee had 17 denied ATF Forms 4473 for the inspection period. There were no discrepancies.

## 6 - Multiple Sales

IOI (b)(6) queried the ATF Violent Crimes Analysis Branch for Candice and Dustin's Family Pawn LLC and determined that there were (b)(3) multiple handgun sales reported by the licensee during the last twelve (12) months. IOI (b)(6) determined that there were (b)(3) unreported multiple sales during the inspection period, involving (b)(3) firearms. IOI (b)(6) cited the licensee for the following violation:

**27 CFR 478.126a** - The licensee, by and through its agents and employees, failed to prepare ATF Form 3310.4, Report of Multiple Sale or other Disposition of Pistols and Revolvers after the licensee sold or otherwise disposed of, at one time or during five consecutive business days, two or more pistols and/or revolvers to an unlicensed person. (b)(3) instances cited involving (b)(3) firearms. Reference ROV, violation #7.

Mr. Pierce stated that Candice and Dustin's Family Pawn LLC began transferring more than one pistol and/or revolver at one time or during five consecutive business days once the State Police allowed; however, the licensee was unaware of ATF's requirement to prepare the ATF Form 3310.4. Mr. Pierce stated that he was informed by another licensee and began preparing the required forms approximately halfway through this inspection period.

IOI (b)(6) directed the licensee to ensure that an ATF Form 3310.4, Report of Multiple Sale or other Disposition of Pistols and Revolvers, is accurately prepared not later than the close of the business day that the multiple sale or other disposition takes place. IOI (b)(6) directed the licensee to prepare the ATF Forms 3310.4 for the (b)(3) instances cited. The licensee made the corrections during this inspection.

## 7 - Suspicious/Prohibited Purchasers

IOIs (b)(6) investigated documented traces and sale information for the licensee through e-trace. IOIs also used information provided by the Violent Crimes Analysis Branch. IOIs further utilized the licensee's A&D Record and ATF Forms 4473. After analyzing the data, IOI (b)(6) documented the following information:

## FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6), (b)(7)(C)

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6), (b)(7)(C)

provided the information to the ATF  
Special Agent assigned to the Danville, VA area.

IOI (b)(6) conducted a total of two (2) checks through Open Fox for all persons during this investigation. The total number includes criminal history checks conducted for (b)(3) - 112 Responsible Persons listed on the FFL. The results indicated no conditions that prohibit persons from possessing a firearm

### 8 - Trace Activity

IOI (b)(6) requested an analysis of firearms traces, theft or loss reports, and multiple sale information from the Violent Crimes Analysis Branch for the time period 5/10/2016 – 5/10/2017. The results indicated that the licensee was associated with (b)(3) - 112 Public Law 55 125 STAT 552 trace requests within the past year. There were no unsuccessful traces.

The licensee acquired (b)(4) pre-owned firearms and transferred (b)(4) pre-owned firearms in the last twelve (12) months. IOI (b)(6) conducted twenty-five (25) checks through Open Fox for all firearms during this investigation. The results indicated no discrepancies on any of the queried firearms.

(b)(3) - 112 Public Law 55 125 Stat 552

The licensee is not required to submit a report to ATF for pre-owned firearms.

### 9 - NFA/Importer/Collector/Manufacturer

IOI (b)(6) determined that Candice and Dustin's Family Pawn LLC is not engaged in the business of NFA, Importing, Collecting, or Manufacturing.



# FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

## 10 - Referrals

IOI (b)(6) did not generate any referrals as a result of this inspection.

## 11 - Other

IOI (b)(6) provided a current list of ATF phone numbers and addresses to Mr. Pierce. IOI (b)(6) provided his direct phone number and email address. IOI (b)(6) answered all questions the licensee had during the inspection and advised the licensee to call ATF if he has any questions in the future. IOI (b)(6) advised the licensee where all of the ATF information (ATF Rulings, Newsletters, Publications, Forms, Regulations, etc.) can be found on-line at [www.atf.gov](http://www.atf.gov). Mr. Pierce stated that he has utilized the website and he will contact the ATF office for information as needed. The licensee was properly displaying a Youth Handgun Safety Act poster and stated that he was providing secure gun storage with every handgun transferred.

## 12 - Closing Actions

On 5/25/2017, IOI (b)(6) held a closing conference with Mr. Pierce. IOI (b)(6) reviewed the Acknowledgement of Federal Firearms Regulations and Mr. Cohen signed the Acknowledgement verifying the information had been explained **Exhibit 5**. IOI (b)(6) provided Mr. Pierce with a copy of the Acknowledgement of Federal Firearms Regulations, ATF Ruling 2016-1, blank Federal Firearms Licensee Firearms Inventory Theft/Loss Report, blank Report of Multiple Sale or Other Disposition of Pistols and Revolvers, Youth Handgun Safety Act Poster and Notices, ATF Publication 5380.1 Loss Prevention for Firearms Retailers, ATF Publication 3317.2 Safety and Security Information for Federal Firearms Licensees, and the ATF Notice for Selling Firearms After Revocation, Expiration, or Surrender of an FFL.

On 5/25/2017, IOI (b)(6) issued a Report of Violations to Mr. Pierce. IOI (b)(6) discussed the violations and explained all of the violations found during the inspection. IOI (b)(6) explained why they were violations, how to correct the violations and how to keep proper records in the future to avoid the violations from occurring again. Mr. Pierce signed the Report of Violations and stated that he understood the ROV. IOI (b)(6) provided Mr. Pierce with a copy.

## 13 - Violations

### 1. 27 CFR 478.21(a)

#### Nature of Violation:

The licensee, by and through its agents and employees failed to obtain/execute ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form, (b)(3) 112 Public Law 5 instance cited.

## FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

The licensee failed to record a response in Item 30a, 2012 edition. (b)(3) Instance.  
The licensee failed to record a response in Items 22 and 23, 2016 edition. (b)(3) instances.  
The licensee failed to record a response in Item 33, 2016 edition. (b)(3) instances.

### Licensee's Response:

The licensee stated the all ATF Forms 4473 are reviewed for accuracy prior to the transfer taking place. The licensee further stated that all ATF Forms 4473 are reviewed again, after the transfer takes place, but prior to filing the Forms appropriately. Mr. Pierce stated that he understands the requirements for properly completing ATF Forms 4473 and any errors found were clerical errors. The licensee stated that he will take the necessary steps to ensure compliance with any and all regulations in future transactions.

### Corrective Action:

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

Reference "Workbook ATF Form 4473 Review Worksheet" and ATF Form 5030.5 ROV.

## 2. 27 CFR 478.124(c)(1)

### Nature of Violation:

The licensee, by and through its agents and employees, failed to obtain properly completed ATF Forms 4473 from the transferee prior to making an over-the-counter transfer of a firearm to a non-licensee, (b)(3) 112 Public Law 55 125 S1A 1504 instances cited.

The transferee failed to record a response in Item 14, 2012 edition. (b)(3) instances.  
The transferee recorded an inaccurate response in item 17, 2012 edition. (b)(3) instances.  
The transferee failed to record a response in Item 2, 2016 edition. (b)(3) instance.  
The transferee failed to record a response in Item 11d, 2016 edition. (b)(3) instance.  
The transferee failed to record a response in Item 11f, 2016 edition. (b)(3) instance.  
The transferee failed to record a response in Item 11i, 2016 edition. (b)(3) instance.  
The transferee failed to record a response in Item 12a, 2016 edition. (b)(3) instances.  
The transferee failed to record a response in Item 12b, 2016 edition. (b)(3) instance.  
The transferee failed to record a response in Item 12d.1, 2016 edition. (b)(3) instances.



## FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

### Licensee's Response:

The licensee stated the all ATF Forms 4473 are reviewed for accuracy prior to the transfer taking place. The licensee further stated that all ATF Forms 4473 are reviewed again, after the transfer takes place, but prior to filing the Forms appropriately. Mr. Pierce stated that he understands the requirements for properly completing ATF Forms 4473 and any errors found were clerical errors. The licensee stated that he will take the necessary steps to ensure compliance with any and all regulations in future transactions.

### Corrective Action:

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

Reference "Workbook ATF Form 4473 Review Worksheet" and ATF Form 5030.5 ROV.

### 3. **27 CFR 478.124(c)(3)(i)**

#### Nature of Violation:

The licensee, by and through its agents and employees failed to verify the identity of the transferee by examining the identification document presented, and noting the type of identification used on the ATF Form 4473. (b)(3) 112 Public Law 55 instances cited.

The licensee failed to record an accurate response in Item 20a, 2012 edition. (b)(6) instances. The license failed to record a response in Item 18a, 2016 edition. (b)(6) instance.

### Licensee's Response:

The licensee stated the all ATF Forms 4473 are reviewed for accuracy prior to the transfer taking place. The licensee further stated that all ATF Forms 4473 are reviewed again, after the transfer takes place, but prior to filing the Forms appropriately. Mr. Pierce stated that he understands the requirements for properly completing ATF Forms 4473 and any errors found were clerical errors. The licensee stated that he will take the necessary steps to ensure compliance with any and all regulations in future transactions.

### Corrective Action:

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each

## FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

Reference "Workbook ATF Form 4473 Review Worksheet" and ATF Form 5030.5 ROV.

4. **27 CFR 478.124(c)(3)(iv)**

**Nature of Violation:**

The licensee, by and through its agents and employees, transferred a firearm to a non-licensee without recording required NICS information on the ATF Forms 4473, (b)(3) 112 Public Law 55 125 STAT 552 instances cited.

**(b)(3) 112 Public Law 55 125 STAT 552**

**Licensee's Response:**

The licensee stated the all ATF Forms 4473 are reviewed for accuracy prior to the transfer taking place. The licensee further stated that all ATF Forms 4473 are reviewed again, after the transfer takes place, but prior to filing the Forms appropriately. Mr. Pierce stated that he understands the requirements for properly completing ATF Forms 4473 and any errors found were clerical errors. The licensee stated that he will take the necessary steps to ensure compliance with any and all regulations in future transactions.

**Corrective Action:**

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

Reference "Workbook ATF Form 4473 Review Worksheet" and ATF Form 5030.5 ROV.

5. **27 CFR 478.124(c)(4)**

**Nature of Violation:**



## FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

The licensee, by and through its agents and employees, failed to identify the firearm to be transferred by listing on the ATF Form 4473 the name of the manufacturer, the name of the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm, [REDACTED] instance cited.

**(b)(3) 112 Public Law 55 125 STAT 552**

### Licensee's Response:

The licensee stated the all ATF Forms 4473 are reviewed for accuracy prior to the transfer taking place. The licensee further stated that all ATF Forms 4473 are reviewed again, after the transfer takes place, but prior to filing the Forms appropriately. Mr. Pierce stated that he understands the requirements for properly completing ATF Forms 4473 and any errors found were clerical errors. The licensee stated that he will take the necessary steps to ensure compliance with any and all regulations in future transactions.

### Corrective Action:

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

Reference "Workbook ATF Form 4473 Review Worksheet" and ATF Form 5030.5 ROV.

### 6. **27 CFR 478.124(c)(5)**

### Nature of Violation:

The licensee, by and through its agents and employees, failed to sign and date the ATF Form 4473 certifying that the licensee does not know or have reason to believe the transferee is disqualified by law from receiving the firearm described on the Form, [REDACTED] instance cited.

**(b)(3) 112 Public Law 55 125 STAT 552**

### Licensee's Response:

The licensee stated the all ATF Forms 4473 are reviewed for accuracy prior to the transfer taking place. The licensee further stated that all ATF Forms 4473 are reviewed

## FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

again, after the transfer takes place, but prior to filing the Forms appropriately. Mr. Pierce stated that he understands the requirements for properly completing ATF Forms 4473 and any errors found were clerical errors. The licensee stated that he will take the necessary steps to ensure compliance with any and all regulations in future transactions.

### **Corrective Action:**

IOI (b)(6) informed the licensee that errors were found on the ATF Forms 4473, explained why the items were in error, and reviewed the proper completion for each item. IOI (b)(6) reminded the licensee of the requirement to ensure that ATF Forms 4473 are completed accurately prior to transferring a firearm to a non-licensee. IOI (b)(6) explained the requirements and reviewed the ATF Forms 4473 instructions with Mr. Pierce. Mr. Pierce stated he understands and will comply with the requirements on all future transactions.

Reference "Workbook ATF Form 4473 Review Worksheet" and ATF Form 5030.5 ROV.

### 7. **27 CFR 478.126a**

### **Nature of Violation:**

The licensee, by and through its agents and employees, failed to prepare ATF Form 3310.4, Report of Multiple Sale or other Disposition of Pistols and Revolvers after the licensee sold or otherwise disposed of, at one time or during five consecutive business days, two or more pistols and/or revolvers to an unlicensed person. (b)(3) 112 Public L instances cited involving (b)(3) 112 Public Law 95-125 S 12 firearms.

### **Licensee's Response:**

Mr. Pierce stated that Candice and Dustin's Family Pawn LLC began transferring more than one pistol and/or revolver at one time or during five consecutive business days once the State Police allowed; however, the licensee was unaware of ATF's requirement to prepare the ATF Form 3310.4. Mr. Pierce stated that he was informed by another licensee and began preparing the required forms approximately halfway through the inspection period.

### **Corrective Action:**

IOI (b)(6) directed the licensee to ensure that an ATF Form 3310.4, Report of Multiple Sale or other Disposition of Pistols and Revolvers, is accurately prepared not later than the close of the business day that the multiple sale or other disposition takes place. IOI (b)(6) directed the licensee to prepare the ATF Forms 3310.4 for the (b)(3) 112 Public instances cited.

Reference "Workbook ATF Form 4473 Review Worksheet" and ATF Form 5030.5 ROV.

# FIREARMS INSPECTION REPORT

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

## 27 CFR 478.125(e)

8.

### Nature of Violation:

The licensee, by and through its agents and employees, failed to timely and/or accurately record the acquisition of (b)(3) - 112 Public Law 55 125 Stat 552 firearms in to the A&D Record. (b)(3) - 112 Public Law 55 125 Stat 552 instances cited.

### Licensee's Response:

Mr. Pierce stated that when an individual pawns an item, PawnMaster saves that item in their record. Mr. Pierce further stated that one of the licensee's normal customers has pawned a (b)(3) - 112 Public Law 55 125 Stat 552 numerous times. On the date in question, an employee of the licensee chose the (b)(3) - 112 Public Law 55 125 Stat 552 as the firearm being pawned, when it was in-fact a (b)(3) - 112 Public Law 55 125 Stat 552 in similar packaging.

### Corrective Action:

IOI (b)(6) directed the licensee to research his records and make the corrections in the A&D Record. IOI (b)(6) reminded the licensee that the purchase or other acquisition of a firearm shall, except as provided in paragraph (g) under 27 CFR 478.125 in the regulations manual, be recorded not later than the close of the next business day following the date of such acquisition. The licensee shall record the sale or disposition of a firearm not later than seven (7) days following the date of such transaction.

Reference "Workbook Inventory and A&D Review Worksheet" and ATF Form 5030.5 ROV.

X

(b)(6)

Industry Operations Investigator

## Index of Worksheets and Exhibits

### I. Report of Violations (ROV)

1.) ROV issued on 5/25/17 and signed by Mr. Pierce.

### II. Worksheets

1.) Worksheet Workbook (Info Page, Inventory, 4473 Review, Statistics)

### III. Exhibits:

## **FIREARMS INSPECTION REPORT**

**Name:** Candice and Dustin's Family Pawn LLC  
**Trade Name:**

**UI#:** 768090-2017-0099  
**FFL#:** 1-54-590-02-7J-09356

- 1.) Copy, Danville, VA Business License, Retailers and Short Term Rental, License #906008.
- 2.) Copy, Danville, VA Business License, Repair, Personal and Business Service, License #906007
- 3.) FLS Report, Candice and Dustin's Family Pawn LLC.
- 4.) Virginia State Corporation Commission, Candice and Dustin's Family Pawn LLC.
- 5.) Acknowledgement of Regulations signed by Mr. Pierce on 5/25/2017