

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) High Tech Outdoors & Archery, LLC None 3688 Richmond Road Suite 2 Keswick, VA 22947, Albemarle		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 768035-2016-0234-B1B																																																
		3. PERMIT/LICENSE NUMBER 154003018B09980	4a. TARGET DATE	4b. TARGET HOURS																																														
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)																																																
6. ATF OFFICER(S) ASSIGNED (b) (6) - Lead Investigator																																																		
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b) (6), Area Supervisor, 04/27/2016																																																		
8. PURPOSE/SPECIAL INSTRUCTIONS A Warning letter was issued to the FFL on 2/2/2015 due to violations disclosed by IOI (b) (6). Please conduct a recall inspection to ensure FFL is compliant with all ATF laws and regulations.																																																		
<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="2" style="vertical-align: top;">9. INSPECTION RESULTS</td><td colspan="2" style="vertical-align: top;"><input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC</td><td colspan="2" style="vertical-align: top;">10. TRAVEL EXPENSES (OPTIONAL)</td></tr><tr><td style="width: 20%;">NO. OF VIOLATIONS</td><td style="width: 10%;">4</td><td style="width: 20%;">NO. OF REFERRALS</td><td style="width: 10%;"></td><td style="width: 20%;">2111 - PER DIEM</td><td style="width: 10%;"></td></tr><tr><td>NO. OF TECS CHECKS</td><td>1</td><td>NO. OF TECS HITS</td><td></td><td>2112 - P.O.A.</td><td></td></tr><tr><td rowspan="2">NO. OF TAX ADJUSTMENTS</td><td rowspan="2"></td><td>\$ VALUE OF TAX INCREASES</td><td></td><td>2113 - COMM. AIR</td><td></td></tr><tr><td>\$ VALUE OF TAX DECREASES</td><td></td><td>2114 - RENTAL CAR</td><td></td></tr><tr><td>NO. OF ASSESSMENTS</td><td></td><td>\$ VALUE OF ASSESSMENTS</td><td></td><td>2115 - GPV EXPENSES</td><td></td></tr><tr><td>NO. OF CLAIMS</td><td></td><td>\$ VALUE OF CLAIMS</td><td></td><td>2116 - MISC.</td><td></td></tr><tr><td>NO. OF TAX PERIODS</td><td></td><td>\$ VALUE OF TAXES VERIFIED</td><td></td><td>TOTAL \$ FOR INSP.</td><td></td></tr></table>					9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)		NO. OF VIOLATIONS	4	NO. OF REFERRALS		2111 - PER DIEM		NO. OF TECS CHECKS	1	NO. OF TECS HITS		2112 - P.O.A.		NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR		NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES		NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.		NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	
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11. ATF OFFICER'S RECOMMENDATION Submitted by (b) (6) - Industry Operations Investigator Submitted on: 05/11/2016 Report of Violations with Warning Letter and No Recall Inspection. Mr. Sprouse was queried in N-Force by IOI (b) (6) and Open Fox by IA (b) (6) on May 11, 2016, with no hits resulting. Viols WL ONLY and No Recall Inspection																																																		
12. TIME ACCOUNTING DATA																																																		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6) MAY 2016 14.00																																																		
ATF OFFICER'S SUBTOTAL		14.00	ATF OFFICER'S SIGNATURE																																															
TOTAL HOURS		14.00																																																
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SIGNATURE AND TITLE (b) (6) - Area Supervisor			REVIEW DATE 05/27/2016																																															

Assignment and Report

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	3. PERMIT/LICENSE NUMBER 154003018B09980	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
1011 Boulder Springs Drive
Suite 300, Richmond, VA 23225

May 27th, 2016

www.atf.gov

High Tech Outdoors & Archery, LLC
3688 Richmond Road
Keswick, VA 22947

FFL No. 1-54-003-01-8B-09980

Dear Mr. Brecht Sprouse:

During a recent compliance inspection at your firearms business covering the audit review period 5/10/2015 through 5/9/2016 you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b) (6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

If you have any questions regarding this matter, please contact (b) (6) or (b) (6).

Sincerely yours,

(b) (6)

(b) (6)

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
Richmond Area Office

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor High Tech Outdoors & Archery, LLC None	Street Address 3688 Richmond Road Suite 2	City Keswick	State VA	Zip Code 22947	County Albemarle	Page 1 of 3 Pages
License/Permit/Registry Number (if any) 154003018B09980		Expiration Date 2/1/2018	Date(s) or Period of Inspection 05/09/2016 through 05/11/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee, by and through its agents and employees, failed to report the theft or loss of a firearm ^{(b)(3)} instance cited.

In this case, the theft was noted in the A&D record, and local law enforcement was notified.

Citation: 27 CFR 478.39a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure any theft or loss of firearms is reported to ATF within 48 hours of discovery.

Number: 2

Nature of Violation:

The licensee, by and through its agents and employees, failed to obtain a properly completed ATF Form 4473 from the transferee prior to making an over-the-counter transfer of a firearm to a non-licensed ^{(b)(3)} instance cited.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure proper completion of the ATF Form 4473 by obtaining a properly completed form to include a full physical address in Item 2.

(b) (3) - Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(1)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor High Tech Outdoors & Archery, LLC None	Street Address 3688 Richmond Road Suite 2	City Keweenaw	State VA	Zip Code 22947	County Albemarle	Page 3 of 3 Pages
License/Permit/Registry Number (If any) 154001018M09980		Expiration Date 2/1/2018		Date(s) or Period of Inspection 05/09/2016 through 05/11/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The licensee, by and through its agents and employees, failed to timely and accurately report the sale or other disposition of two or more pistols and/or revolvers to an unlicensed person [REDACTED] instance cited.

Citation: 27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure timely completion and submission of the multiple sale report any time two or more pistols or revolvers are sold to the same non-licensed individual within a 5 business day period.

Number: 4

Nature of Violation:

The licensee, by and through its agents and employees, sold or otherwise disposed of a firearm to an unlicensed person without recording the transaction on a firearms transaction record, ATF Form 4473 [REDACTED] instance cited.

In this case, the firearm was a consignment returned to its original owner

Citation: 27 CFR 478.124(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure that the ATF Form 4473 is executed when a firearm acquired on consignment is returned to the original owner.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor High Tech Outdoors & Archery, LLC Nona	Street Address 3688 Richmond Road Suite 2	City Keswick	State VA	Zip Code 22947-	County Albemarle	Page 3 of 3 Pages
License/Permit/Registry Number (If any) 154003018B0998D		Expiration Date 2/1/2018	Date(s) or Period of Inspection 05/09/2016 through 05/11/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

The licensee, by and through its agents and employees, transferred a firearm to an unlicensed person without conducting a background check ^{(b)(6)} instance cited.

In this case, the firearm was a consignment returned to its original owner.

Citation: 27 CFR 478.102(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure a background check is conducted in the case that a firearm received on consignment is returned to the original owner.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

5-11-16

Signature and Title of ATF Officer

(b) (6)

IOI

Date

5/11/2016

For Official Use Only

FIREARMS INSPECTION REPORT

Name: High Tech Outdoors and Archery, LLC
Trade Name:

UI#: 768035-2016-0234-B1B
FFL#: 1-54-003-01-8B-09980

Address: 3688 Richmond Rd
Keswick, VA 22947, Albemarle County

1 - Introduction

Inspection Profile:

On May 9, 2016, Industry Operations Investigator (IOI) (b)(6) initiated an on-site compliance inspection of Hi Tech Outdoors and Archery LLC. Mr. Brecht Sprouse, Responsible Person/Owner, was interviewed and assisted with the inspection. No other Federal, State, or local agencies participated. No advance notice was given.

This inspection is being conducted as a recall inspection due to violations disclosed during the previous inspection conducted by IOI (b)(6) and resulting in the issuance of a Warning Letter on 1/5/2013 for failure to properly maintain the Acquisition and Disposition Record and properly execute the ATF Form 4473.

The inspection period covered May 10, 2015 through May 9, 2016. A closing conference was held on May 11, 2016.

Business Profile:

Hi Tech Outdoors and Archery LLC (henceforth referred to as Hi Tech) operates as an outdoor sporting goods retailer, specializing in archery and fishing. In the last 12 months, the licensee acquired (b)(3) - (4) firearms (b)(3) - (4) handguns, (b)(3) - (4) long guns, (b)(3) - (4) receiver) and disposed of (b)(3) - (4) firearms (b)(3) - (4) handguns, (b)(3) - (4) long guns, (b)(3) - (4) receiver). The licensee does not sell firearms through the internet and does not participate in gun shows. Primary suppliers include (b)(4) .

No other businesses are operated from the premises and no other businesses are operated by the licensee. The premises are located in a commercial, semi-rural area. This license was issued on January 9, 2012, and is appropriate for operations conducted.

Ownership and Control:

Hi Tech operates as a limited liability company as recognized by the Virginia State Corporation Commission under VA SCC ID #S3029594. The licensee operates under EIN # (b)(3) 26 USC § 6103, and holds a DIN, (b)(3) 26 USC §, with the Virginia State Police. Mr. Sprouse is the sole responsible person reported by FLS and no responsible persons were added at the time of inspection. No unreported changed were discovered and no hidden ownership is suspected.

FIREARMS INSPECTION REPORT

Name: High Tech Outdoors and Archery, LLC
Trade Name:

UI#: 768035-2016-0234-B1B
FFL#: 1-54-003-01-8B-09980

Variance:

No variances are held by the licensee.

2 - Recommendation

Report of Violations with Warning Letter and No Recall Inspection.

Mr. Sprouse was queried in N-Force by IOI (b)(6) and Open Fox by IA (b)(6) on May 11, 2016, with no hits resulting.

3 - Inspection History

Date of Inspection: 1/12/2015
Type of Inspection: Recall Inspection
UI#: 768035-2015-0026-B1B- IOI Parrish
Inspection Results: Violations with Warning Letter and Recall Inspection
Cited Violations: 27 CFR 478.125(e)-(b)(3) instances; 27 CFR 478.21(a)-(b)(3) forms; 27 CFR 478.124(c)(1); 27 CFR 478.124(c)(3)(i)-(b)(3) forms; 27 CFR 478.124(c)(3)(iv)-(b)(3) forms; 27 CFR 478.124(c)(4)-(b)(3) form; 27 CFR 478.124(c)(5)-(b)(3) forms

Date of Inspection: 12/11/2012
Type of Inspection: Compliance Inspection
UI#: 768035-2013-0062-B1B- IOI (b)(6)
Inspection Results: Violations with Warning Letter and Recall Inspection
Cited Violations: 27 CFR 478.21(a)-(b)(3) forms; 27 CFR 478.124(c)(1)-(b)(3) forms; 27 CFR 478.124(c)(3)(i)-(b)(3) form; 27 CFR 478.124(c)(3)(iv)-(b)(3) form; 27 CFR 478.125(e)-(b)(3) forms.

Date of Inspection: 12/29/2011
Type of Inspection: Qualification Inspection
UI#: 768035-2012-0102-B1B-IOI (b)(6)
Inspection Results: Application Approved
Cited Violations: No violations cited.

FIREARMS INSPECTION REPORT

Name: High Tech Outdoors and Archery, LLC
Trade Name:

UI#: 768035-2016-0234-B1B
FFL#: 1-54-003-01-8B-09980

4 - Acquisition & Disposition (A&D) Record - Inventory

On May 9, 2016, IOI (b)(6) conducted a complete inventory and A&D review, resulting in 13 physical firearms and (b)(6) open entries, with no violations cited. The A&D record is in the proper format, with all required information, and all entries appearing timely made. No theft/loss reports were submitted during the inspection period. IOI (b)(6) cross-referenced (b)(6) ATF Forms 4473 with the corresponding entry in the A&D record, with no discrepancies found for. No illegal firearms or firearms with obliterated serial numbers were detected.

Mr. Sprouse is solely responsible for logging acquisitions and dispositions. Generally, firearms are logged in upon receipt and out upon disposition.

5 - ATF Forms 4473 – NICS & Other Dispositions

On May 9, 2016, IOI (b)(6) reviewed all ATF Forms 4473 on file for the inspection period, totaling (b)(6) forms, resulting in one violation for failure to obtain a physical address and 1 violation for failure to execute the ATF Form 4473 prior to the transfer of a firearm. In this case, the firearm was the return of a consignment to the original consignor. As a result of failing to execute the ATF Form 4473 in this case, neither was a background check executed, resulting in an additional violation for failure to conduct a background check prior to transferring a firearm to a non-licensed individual.

See Report of Violations, See Worksheet 2

Forms are filed chronologically. (b)(6) denial was on file for the inspection period. Transfers to other FFLs are properly conducted, and no transfers to law enforcement were conducted for the inspection period. IOI (b)(6) verified 5 approval codes as provided by the Virginia State Police, resulting in no discrepancies found.

Mr. Sprouse is solely responsible for executing ATF Forms 4473. Mr. Sprouse stated that forms are generally reviewed by himself and his bookkeeper on a weekly basis.

6 - Multiple Sales

The licensee reported no multiple sales for the inspection period. IOI (b)(6) identified (b)(6) unreported multiple sale involving (b)(6) handguns, resulting in a violation cited for failure to execute and submit the multiple sale report.

See Report of Violations, See Worksheet 2

7 - Suspicious/Prohibited Purchasers

No suspicious or prohibited purchasers were identified.

FIREARMS INSPECTION REPORT

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UI#: 768035-2016-0234-B1B
FFL#: 1-54-003-01-8B-09980

8 - Trace Activity

No traces were received during the inspection period. No open traces exist for the licensee.

9 - NFA/Importer/Collector/Manufacturer

The licensee does not conduct operations as a collector, NFA dealer, manufacturer, or importer.

10 - Referrals

No referrals were generated as a result of this inspection.

11 - Other

During the closing conference, Mr. Sprouse produced a theft/loss report which corresponded to firearms previously believed to have been unreported. IOI (b)(6) verified that the report had been received by the Tracing Center, and removed a violation for failure to submit the theft/loss report from the Report of Violations presented to Mr. Sprouse. This removal was designated, and the Report of Violations initialed and dated by IOI (b)(6) to verify the change.

12 - Closing Actions

On May 11, 2016, IOI (b)(6) held a closing conference with Mr. Sprouse. IOI (b)(6) reviewed the Report of Violations, offering opportunity to ask questions. Mr. Sprouse stated he understood these violations, signed and dated the Report, and was provided a copy for the licensee's records (**See Report of Violations**).

IOI (b)(6) then reviewed the Acknowledgment of Federal Firearms Regulations, offering opportunity to ask questions. Mr. Sprouse stated he understood these regulations, signed and dated the Acknowledgment, and was provided a copy for the licensee's records (**See Exhibit 1**).

13 - Violations

1. **27 CFR 478.102(b)**

Nature of Violation: The licensee, by and through its agents and employees, transferred a firearm to an unlicensed person without conducting a background check, instance cited.

FIREARMS INSPECTION REPORT

Name: High Tech Outdoors and Archery, LLC
Trade Name:

UI#: 768035-2016-0234-B1B
FFL#: 1-54-003-01-8B-09980

In this case, the firearm was a consignment returned to its original owner.

Licensee's Response: Mr. Sprouse stated he was fairly certain that he had executed the paperwork and background check, and believes the customer may have accidentally left with the ATF Form 4473.

Corrective Action: Ensure a background check is conducted in the case that a firearm received on consignment is returned to the original owner.

See Report of Violations, See Worksheet 2

2. **27 CFR 478.124(c)(1)**

Nature of Violation: The licensee, by and through its agents and employees, failed to obtain a properly completed ATF Form 4473 from the transferee prior to making an over-the-counter transfer of a firearm to a non-licensee. ^{(b)(7)(D)} instance cited.

-On ^{(b)(7)(D)} form, Item 2, Residence Address, is incomplete. A P.O. Box was recorded in lieu of a physical address.

Licensee's Response: Mr. Sprouse stated that he did not read the form carefully and did not realize this was an issue.

Corrective Action: Ensure proper completion of the ATF Form 4473 by obtaining a properly completed form to include a full physical address in Item 2.

See Report of Violations, See Worksheet 2

3. **27 CFR 478.126a**

Nature of Violation: The licensee, by and through its agents and employees, failed to timely and accurately report the sale or other disposition of two or more pistols and/or revolvers to an unlicensed person, ^{(b)(7)(D)} instance cited.

Licensee's Response: Mr. Sprouse stated he typically avoids conducting multiple sales to avoid the additional paperwork and failed to submit the report in this case.

Corrective Action: Ensure timely completion and submission of the multiple sale report any time two or more pistols or revolvers are sold to the same non-licensed individual within a 5 business day period.

See Report of Violations, See Worksheet 2

FIREARMS INSPECTION REPORT

Name: High Tech Outdoors and Archery, LLC
Trade Name:

UI#: 768035-2016-0234-B1B
FFL#: 1-54-003-01-8B-09980

4. **27 CFR 478.124(a)**

Nature of Violation: The licensee, by and through its agents and employees, sold or otherwise disposed of a firearm to an unlicensed person without recording the transaction on a firearms transaction record, ATF Form 4473, instance cited.

In this case, the firearm was a consignment returned to its original owner.

Licensee's Response: Mr. Sprouse stated he was fairly certain that he had executed the paperwork and background check, and believes the customer may have accidentally left with the ATF Form 4473.

Corrective Action: Ensure that the ATF Form 4473 is executed when a firearm acquired on consignment is returned to the original owner.

X

Industry Operations Investigator

Index of Worksheets and Exhibits

Worksheets:

Informational Page

Worksheet 1: A&D and Inventory Review

Worksheet 2: ATF F 4473 Review (2008 Edition)

Worksheet 2: ATF F 4473 Review (2012 Edition)

Statistical Page

Exhibits:

Exhibit 1: Acknowledgment of Federal Firearms Regulations

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