

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) AYRES, JOHN WISE, II none 14200 Southwell Ct Midlothian, VA 23113, Chesterfield	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 768035-2016-0210-B1B			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 154041016J39156</td> <td style="width: 33%;">4a. TARGET DATE</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table>	3. PERMIT/LICENSE NUMBER 154041016J39156	4a. TARGET DATE	4b. TARGET HOURS
3. PERMIT/LICENSE NUMBER 154041016J39156	4a. TARGET DATE	4b. TARGET HOURS		
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

6. ATF OFFICER(S) ASSIGNED  
**(b) (6)** - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
**(b) (6)**, Area Supervisor, 03/23/2016

8. PURPOSE/SPECIAL INSTRUCTIONS  
 \*\*Please conduct a DE inspection on this 01 FFL. The 01 license was issued on 8/17/1995. Please conduct a DE inspection to determine if FFL is compliant with ATF law and regulations.

9. INSPECTION RESULTS	<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)
NO. OF VIOLATIONS	7	2111 - PER DIEM
NO. OF TECS CHECKS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS	\$ VALUE OF TAX INCREASES	2113 - COMM. AIR
	\$ VALUE OF TAX DECREASES	2114 - RENTAL CAR
NO. OF ASSESSMENTS	\$ VALUE OF ASSESSMENTS	2115 - GPV EXPENSES
NO. OF CLAIMS	\$ VALUE OF CLAIMS	2116 - MISC.
NO. OF TAX PERIODS	\$ VALUE OF TAXES VERIFIED	TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION  
 Submitted by **(b) (6)** - Industry Operations Investigator

Submitted on: 07/20/2016  
 This inspection disclosed that the FFL made **(b) (6)** firearms sales on ATF Forms 4473 during the inspection period. **(b) (6)** Forms 4473 was not completed by the seller. FFL was cited for the violations and completed all items on a photocopy of page three and attached it to the original Form 4473. This violation resulted in a 25% error rate which could warrant a Warning Conference; however, IO **(b) (6)** with A/S concurrence, recommends a Report of Violation Only, based on the **(b) (6)** forms that had errors, which was immediately corrected during the inspection. The last inspection in 2009 resulted in no violations. In addition, the FFL has no trace history, is a low transfer FFL dealer and the log book was complete and accurate. On 5/25/16, a query in N-Force and Openfox was conducted on Responsible Person John Wise Ayres, II by IOI **(b) (6)** with no disabling information resulting from this query.

Violts WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	<b>(b) (6)</b>	
MAR 2016	1.00	
MAY 2016	13.00	
JUN 2016	12.00	
JUL 2016	1.00	
ATF OFFICER'S SUBTOTAL	27.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	27.00	

# Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) AYRES, JOHN WISE, II none 14200 Southwell Ct Midlothian, VA 23113, Chesterfield	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 768035-2016-0210-B1B		
	3. PERMIT/LICENSE NUMBER 154041016J39156	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

IOI (b) (6) concurs with alternate recommendation of Warning Letter in lieu of Warning Conference, based on the low amount of transfers and trace activity.

Viols WL ONLY and No Recall Inspection

REVIEWED       CONCUR       SEE COMMENTS       FINAL DISPOSITION

SIGNATURE AND TITLE (b) (6) Industry Operations Investigator	REVIEW DATE 07/20/2016
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#### ROUTING SEQUENCE AND DATE

- 1. \_\_\_\_\_
- 2. \_\_\_\_\_
- 3. \_\_\_\_\_
- 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

# Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor AYRES, JOHN WISE, II none	Street Address 14200 Southwell Ct	City Midlothian	State VA	Zip Code 23113-	County Chesterfield	Page 1 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 154041016J39156	Expiration Date 9/1/2016	Date(s) or Period of Inspection 05/26/2016 through 06/02/2016				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 1

**Nature of Violation:**

FORMS PRESCRIBED

The licensee, by and through its agents and employees failed to obtain/execute Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form, (b) (3) - Public instances cited.

**(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)**

**Citation:** 27 CFR 478.21(a)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** Preventative action discussed

*(If not corrected immediately)*

**Number:** 2

**Nature of Violation:**

FAILURE TO PROPERLY EXECUTE ATF FORM 4473

The licensee, by and through its agents and employees, prior to making an over-the-counter transfer of a firearm to a non-licensee, failed to obtain the required information in Section A of the ATF Form 4473, Questions 1 – 17 (b) (3) - Public instances cited.

**(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)**

**Citation:** 27 CFR 478.124(c)(1)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** Preventative action discussed.

*(If not corrected immediately)*

# Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor AYRES, JOHN WISE, II none	Street Address 14200 Southwell Ct	City Midlothian	State VA	Zip Code 23113-	County Chesterfield	Page 2 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 154041016J39156		Expiration Date 9/1/2016	Date(s) or Period of Inspection 05/26/2016 through 06/02/2016			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 3

**Nature of Violation:**

FAILURE TO PROPERLY EXECUTE ATF FORM 4473  
 Failure to verify the identity of the transferee by examining the identification document (as defined in 478.11) presented, and furnish as indicated on the F 4473 heading (type of identification, number on identification, and expiration date of identification) - (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

**Citation:** 27 CFR 478.124(c)(3)(i)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** Preventative action discussed.

*(If not corrected immediately)*

**Number:** 4

**Nature of Violation:**

FAILURE TO PROPERLY EXECUTE ATF FORM 4473  
 The licensee, by and through its agents and employees, transferred a firearm to a nonlicensee without recording required NICS information on the Form 4473 (b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6) stance cited.

**Citation:** 27 CFR 478.124(c)(3)(iv)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** Licensee was instructed to make a copy of the affected form and make the correction to the copy and initial and date the change.

*(If not corrected immediately)*

# Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor AYRES, JOHN WISE, II none	Street Address 14200 Southwell Ct	City Midlothian	State VA	Zip Code 23113-	County Chesterfield	Page 3 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 154041016J39156		Expiration Date 9/1/2016	Date(s) or Period of Inspection 05/26/2016 through 06/02/2016			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 5

**Nature of Violation:**

FAILURE TO PROPERLY EXECUTE ATF FORM 4473

The licensee, by and through its agents and employees, failed to identify the firearm to be transferred on Form 4473. instance cited.

**(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)**

**Citation:** 27 CFR 478.124(c)(4)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

Licensee was instructed to make a copy of the affected form and make the correction to the copy and initial and date the change.

**Number:** 6

**Nature of Violation:**

FAILURE TO PROPERLY EXECUTE ATF FORM 4473

The licensee, by and through its agents and employees, failed to sign and date the Form 4473 certifying that the licensee does not know or have reason to believe the transferee is disqualified by law from receiving the firearm described on the Form. instances cited.

**(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)**

**Citation:** 27 CFR 478.124(c)(5)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

Licensee was instructed to make a copy of the affected form and make the correction to the copy and initial and date the change.

# Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor AYRES, JOHN WISE, II none	Street Address 14200 Southwell Ct	City Midlothian	State VA	Zip Code 23113-	County Chesterfield	Page 4 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 154041016J39156	Expiration Date 9/1/2016	Date(s) or Period of Inspection 05/26/2016 through 06/02/2016				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 7

**Nature of Violation:**

FAILURE TO DISTRIBUTE YOUTH HANDGUN PAMPHLET  
 The licensee, by and through its agents and employees, failed to distribute the Youth Handgun Safety Act pamphlet, one instance cited.

**Citation:** 27 CFR 478.103(c)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** Preventative action discussed.

*(If not corrected immediately)*

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer  March 11 2019 Document Production	Date  597



**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives  
1011 Boulder Springs Drive  
Suite 300, Richmond, VA 23225

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July 20, 2016

[www.atf.gov](http://www.atf.gov)

John W. Ayres, II  
14200 Southwell Ct  
Midlothian, VA 23113

FFL No. 1-54-041-01-6J-39156

Dear Mr. John Ayres:

During a recent compliance inspection at your firearms business covering the audit review period 5/26/2016 through 6/02/2016 you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b) (6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

If you have any questions regarding this matter, please contact (b) (6) at (b) (6) - (b) (6)

Sincerely yours,

(b) (6), Industry Operations Investigator, on behalf of  
(b) (6), Area Supervisor

**Enclosure**

cc: Federal Firearms Licensing Center  
Richmond Area Office

# FIREARMS INSPECTION REPORT

**Name:** John Wise Ayres, II  
**Trade Name:**

**UI#:** 768035-2016-0210  
**FFL#:** 1-54-041-01-6J-39156

**Address:** 14200 Southwell Court  
Midlothian, VA 23113

## 1 - Introduction

### Inspection Profile:

IOI (b)(6) conducted this DE firearms compliance inspection on John Wise Ayres, II located at 14200 Southwell Court in Midlothian, VA.

The previous inspection by IOI (b)(6) resulted in a recommendation of “no violations, no further action, no referrals”.

This inspection covered the inspection period of 5/26/15 through 5/26/16. The onsite inspection began on 5/26/16 and ended on 6/2/16. Owner John Ayres was identified by verification of his Virginia driver’s license.

Advance notice was approved by Area Supervisor (b)(6). Mr. Ayres is a doctor by profession and operates the firearms business from his residence with evening business hours, therefore an appointment was made requiring advance notification.

A closing conference was conducted on 6/2/16 with the FFL.

### Business Profile:

The business is located at the residence of John Ayres in Midlothian, VA. He uses a basement office in his residence as the business premises. Mr. Ayres is an orthopedic surgeon who works full time by appointment only at the Chippenham and Johnston-Willis hospitals in the Richmond, VA area.

FFL stated to IOI (b)(6) that for his firearms business, he only conducts firearm transfers to individuals in the local area. His clientele locate his firearm business through the website “cheaper than dirt”, which posts FFLs by zip code. FFL does no advertising for his business. Mr. Ayres is a member of two shooting clubs, the Cavalier Rifle and Pistol Club and the Conservation Club of Virginia. He conducts no firearm sales with members of these clubs.

Licensee acquired and sold (b)(6) firearms during the last 12 months, consisting of (b)(6) handguns, (b)(6) long gun and (b)(6) receiver. All firearms are sold new and at retail. There was no primary firearm supplier discovered during this inspection. FFL does not keep a standing firearms inventory. Licensee does not participate in gun shows. There is no other business conducted on the premises, nor are there any other ATF licenses held by this licensee. There are no off-site storage locations. The business is properly zoned with Chesterfield County, VA. IOI (b)(6) verified that the business holds a Type 01 ATF federal firearms license that expires on 9/1/16. License was issued on 8/17/95. IOI (b)(6) determined the Type 01 FFL is appropriate for the type of business conducted by the FFL. EIN is (b)(3) 26 USC § 6103; DIN is (b)(6).

# FIREARMS INSPECTION REPORT

**Name:** John Wise Ayres, II  
**Trade Name:**

**UI#:** 768035-2016-0210  
**FFL#:** 1-54-041-01-6J-39156

## Ownership and Control:

Mr. Ayres is the Sole Proprietor of the firearms business. There were no unreported changes to ownership or indications of hidden ownership observed during this inspection. The only Responsible Person and owner for the business is John Ayres, II. His RP information is correctly shown in FLS.

## Variance:

There are no variances on file for this licensee and none were requested during this inspection.

## 2 - Recommendation

Violations; WL Only; no Recall Inspection

This inspection disclosed that the FFL made [REDACTED] firearms sales on ATF Forms 4473 during the inspection period. Page three on one of the four Forms 4473 was not completed by the seller. FFL was cited for the violations and completed all items on a photocopy of page three and attached it to the original Form 4473. This violation resulted in a 25% error rate which could warrant a Warning Conference; however, IOI (b)(6) with A/S concurrence, recommends "Violations, WL Only, no Recall" based on the [REDACTED] form out of [REDACTED] forms that had errors, which was immediately corrected during the inspection. The last inspection in 2009 resulted in no violations. In addition, the FFL has no trace history, is a low transfer FFL dealer and the log book was complete and accurate.

On 5/25/16, a query in N-Force and Openfox was conducted on Responsible Person John Wise Ayres, II by IOI (b)(6) with no disabling information resulting from this query.

## 3 - Inspection History

**Date and Type of Inspection:** 12/30/09 – Compliance Inspection – IOI (b)(6)  
**UI#:** 768035-2010-0017  
**Inspection Results:** No violation, no further action, no referrals  
**Cited Violations:** N/A

**Date and Type of Inspection:** 5/12/95 - Qualification Inspection – IOI (b)(6)  
**UI#:** 73306-9504BO-FQ  
**Inspection Results:** Issue license  
**Cited Violations:** N/A

# FIREARMS INSPECTION REPORT

**Name:** John Wise Ayres, II  
**Trade Name:**

**UI#:** 768035-2016-0210  
**FFL#:** 1-54-041-01-6J-39156

## **4 - Acquisition & Disposition (A&D) Record - Inventory**

FFL maintains one (1) log book for his firearms inventory. The record book appeared accurate and entries timely made and the log book was in the proper format, with [REDACTED] exception. FFL uses an older log book, with one (1) column heading, "type of action" rather than the required "type of firearm". This was noted and not cited in this inspection. IOI (b)(6) instructed the FFL to record the "type of firearm" into his log book. Mr. Ayres completed this during the inspection. Mr. Ayres indicated the "type" of firearm would be properly entered into the log book in the future.

There was no firearms inventory conducted, as the FFL does not keep a standing firearms inventory. There were no theft/loss reports submitted during the inspection period or as a result of this inspection. There were no indications of trafficking, firearms with obliterated serial numbers or illegal firearms observed during the inspection.

Mr. Ayres told IOI (b)(6) that he enters all firearm acquisitions and dispositions into his log book on the same day.

## **5 - ATF Forms 4473 – NICS & Other Dispositions**

A total of [REDACTED] ATF Forms 4473 were executed during the inspection period of 5/26/15 – 5/26/16. Forms 4473 are filed chronologically. IOI (b)(6) examined all the Forms 4473 during the inspection period. There were discrepancies discovered during this examination. (b)(3) - 112 Public Law 55 125 Stat 552

[REDACTED] FFL completed a photocopy of page three entering all the required information on the ATF F4473 and attached the copy to the original F4473.

IOI (b)(6) described all ATF Forms 4473 discrepancies under *Violations, Section 13, items #1-6 and Report of Violations, items #s 1-6. Also, see Worksheet #2 (ATF Form 4473 Review Worksheet)*

\*\*\*All ATF Forms 4473 requiring correction by the FFL were completed during this inspection.

There were no denied firearm sales during the inspection period. There were no gun show sales or sales to law enforcement officers noted during this inspection. During the inspection period, licensee conducted [REDACTED] firearm transfer to another FFL; these transfers were conducted properly.

# FIREARMS INSPECTION REPORT

**Name:** John Wise Ayres, II  
**Trade Name:**

**UI#:** 768035-2016-0210  
**FFL#:** 1-54-041-01-6J-39156

IOI (b)(6) conducted NICS verification by comparing one (1) NICS approval number, as provided by the Virginia State Police (VASP). The date of 2/4/16 was examined; no discrepancy was noted.

Mr. Ayres stated to IOI (b)(6) that he had not been conducting a second review of the Forms 4473 for completeness and accuracy. He attributed not conducting this second review to the Forms 4473 errors discovered during this inspection. He said that he would do a second review of the completed Form 4473 for accuracy and completeness in the future.

## **6 – Multiple Sales**

There were no multiple sales made during the inspection period.

## **7 – Suspicious/Prohibited Purchasers**

IOI (b)(6) examined the licensee's records to determine if there was any presence of trafficking or straw purchase indicators. No individual purchasers were identified as traffickers, straw purchasers, suspicious or prohibited persons during her examination of the FFL's records. No referrals were made during this inspection.

## **8 – Trace Activity**

There was no trace history associated with this licensee. There were no used firearms acquired nor sold by this FFL. All firearms handled by this FFL are new firearms, so there was no secondary market analysis conducted during this inspection.

## **9 - NFA/Importer/Collector/Manufacturer**

Licensee does not deal in NFA weapons. He is not an importer, collector, nor manufacturer of firearms.

## **10 - Referrals**

No referrals were generated during this inspection.

## **11 - Other**

There was no intelligence information received on this FFL from ATF sources for this inspection. FFL has secure gun storage/safety devices available on the business premises for his customers.

Mr. Ayres stated to IOI (b)(6) that he had not been giving out the Youth Handgun Safety Act pamphlets for handgun transfers to his customers. IOI (b)(6) explained to Mr. Ayres that he had to give these pamphlets to customers for handgun transfers. IOI

# FIREARMS INSPECTION REPORT

**Name:** John Wise Ayres, II  
**Trade Name:**

**UI#:** 768035-2016-0210  
**FFL#:** 1-54-041-01-6J-39156

**(b)(6)** gave Mr. Ayres some of these pamphlets to use and told him how to order more of these pamphlets on the *atf.gov* website.  
*See Violations, Section 14, item #7* for further details.

## 12 - Closing Actions

The closing conference was held on 6/2/16. John Ayres, owner, was present for this meeting. ATF laws and regulations were explained and reviewed with him. He was provided with an information packet including copies of various ATF forms. This information included the following: ATF telephone numbers, ATF theft/loss form (ATF F3310.11), ATF National Tracing Center Division pamphlet (ATF P3312.10), Federal Firearms Licensee General Guidelines and Information (ATF P5300.15), Safety and Security Information for FFLs (ATF P3317.2), Youth Handgun Safety Act pamphlet (ATF I5300.2), the Gun Free School Zone Notice pamphlet (ATF P5310.1) and ATF Rulings 2016-1 and 2016-2. All forms and pamphlets were explained to the licensee.

Items discussed included the inspection findings and a review of the proper completion of the ATF Form 4473. Topics reviewed included the reporting of firearm thefts to ATF and the theft form, proper completion of the ATF Form 4473 and the Acquisition and Disposition Bound Book, multiple handgun and straw purchase sales, Youth Handgun Safety Act, secure gun storage, Child Safety Lock Act guidelines, regulations regarding firearm possession within a school zone and ATF right of entry. The *Acknowledgement of Federal Firearms Regulations* was explained and executed.

*See Exhibit 1*

## 13 - Violations

### 1. **27 CFR 478.21(a) FORMS PRESCRIBED**

**Nature of Violation:** The licensee, by and through its agents and employees failed to obtain/execute Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form, <sup>(b)(6)</sup> instances cited.

**(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)**

: Questions 31, 32, 33 and 35

**Licensee's Response:** Licensee stated that these errors were all an oversight on his part. The Forms 4473 sometimes are not reviewed by a second person, and this resulted in careless, inaccurate, incomplete or blank answers on the Form 4473. FFL stated that it will do better in the future on reviewing the Forms 4473 for accuracy and completeness.

**Corrective Action:** Preventative action discussed.

**SEE WORKSHEET 2 (ATF 4473 REVIEW WORKSHEET)**

## FIREARMS INSPECTION REPORT

**Name:** John Wise Ayres, II  
**Trade Name:**

**UI#:** 768035-2016-0210  
**FFL#:** 1-54-041-01-6J-39156

2. **27 CFR 478.124(c)(1) FAILURE TO PROPERLY EXECUTE ATF FORM 4473**

**Nature of Violation:** The licensee, by and through its agents and employees, prior to making an over-the-counter transfer of a firearm to a non-licensee, failed to obtain the required information in Section A of the ATF Form 4473, Questions 1 – 17, [REDACTED] instances cited.

**(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)**

**Licensee's Response:** Licensee stated that these errors were all an oversight on his part. The Forms 4473 are not reviewed by a second person, and this resulted in careless, inaccurate, incomplete or blank answers on the Form 4473. FFL stated that it will do better in the future on reviewing the Forms 4473 for accuracy and completeness.

**Corrective Action:** Preventative action discussed.

**SEE WORKSHEET 2 (ATF 4473 REVIEW WORKSHEET)**

3. **27 CFR 478.124(c)(3)(i) FAILURE TO PROPERLY EXECUTE ATF FORM 4473**

**Nature of Violation:** Failure to verify the identity of the transferee by examining the identification document (as defined in 478.11) presented, and furnish as indicated on the F 4473 heading (type of identification, number on identification, and expiration date of identification) - [REDACTED] form

**(b)(3) 112 Public Law 55 125 STAT 552**

**Licensee's Response:** Licensee stated that this error was an oversight on his part. The Forms 4473 are not reviewed by a second person, and this resulted in careless, inaccurate, incomplete or blank answers on the Form 4473. FFL stated that it will do better in the future on reviewing the Forms 4473 for accuracy and completeness.

**Corrective Action:** Preventative action discussed.

**SEE WORKSHEET 2 (ATF 4473 REVIEW WORKSHEET)**

4. **27 CFR 478.124(c)(3)(iv) FAILURE TO PROPERLY EXECUTE ATF FORM 4473**

**Nature of Violation:** The licensee, by and through its agents and employees, transferred a firearm to a nonlicensee without recording required NICS information on the Form 4473, [REDACTED] instance cited. **(b)(6)**

**Licensee's Response:** FFL stated that this error was an oversight on its part. FFL stated that the employees are supposed to be reviewing the Forms 4473 twice. FFL stated that it will do better in the future on reviewing the Forms 4473 for accuracy and completeness.

**Corrective Action:** Licensee was instructed to make a copy of the affected form and make the correction to the copy and initial and date the change.

**SEE WORKSHEET 2 (ATF 4473 REVIEW WORKSHEET)**

## FIREARMS INSPECTION REPORT

**Name:** John Wise Ayres, II  
**Trade Name:**

**UI#:** 768035-2016-0210  
**FFL#:** 1-54-041-01-6J-39156

5. **27 CFR 478.124(c)(4) FAILURE TO PROPERLY EXECUTE ATF FORM 4473**  
**Nature of Violation:** The licensee, by and through its agents and employees, failed to identify the firearm to be transferred on Form 4473, <sup>(b)(3)</sup> instance cited.

**(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)**

ersight on his part. The Forms 4473 are not reviewed by a second person, and this resulted in careless, inaccurate, incomplete or blank answers on the Form 4473. FFL stated that it will do better in the future on reviewing the Forms 4473 for accuracy and completeness.

**Corrective Action:** Licensee was instructed to make a copy of the affected form and make the correction to the copy and initial and date the change.

**SEE WORKSHEET 2 (ATF 4473 REVIEW WORKSHEET)**

6. **27 CFR 478.124(c)(5) FAILURE TO PROPERLY EXECUTE ATF FORM 4473**  
**Nature of Violation:** The licensee, by and through its agents and employees, failed to sign and date the Form 4473 certifying that the licensee does not know or have reason to believe the transferee is disqualified by law from receiving the firearm described on the Form. <sup>(b)(6)</sup> instances cited.

**(b)(6)**

**Licensee's Response:** Licensee stated that these errors were an oversight on his part. The Forms 4473 are not reviewed by a second person, and this resulted in careless, inaccurate, incomplete or blank answers on the Form 4473. FFL stated that it will do better in the future on reviewing the Forms 4473 for accuracy and completeness.

**Corrective Action:** Licensee was instructed to make a copy of the affected form and make the correction to the copy and initial and date the change.

**SEE WORKSHEET 2(ATF 4473 REVIEW WORKSHEET)**

7. **27 CFR 478.103(c) FAILURE TO DISTRIBUTE YOUTH HANDGUN PAMPHLET**

**Nature of Violation:** The licensee, by and through its agents and employees, failed to distribute the Youth Handgun Safety Act pamphlet, one instance cited.

**Licensee's Response:** Licensee stated that he was not aware that he had to give this pamphlet to everyone who left his business premises with a handgun. He stated that he would make sure he handed out this pamphlet in the future.

**Corrective Action:** Preventative action discussed.

# FIREARMS INSPECTION REPORT

**Name:** John Wise Ayres, II  
**Trade Name:**

**UI#:** 768035-2016-0210  
**FFL#:** 1-54-041-01-6J-39156

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Industry Operations Investigator

## **Index of Worksheets and Exhibits**

### **WORKSHEETS**

Worksheet Informational Page  
Inventory and A & D Review worksheet  
ATF Forms 4473 Worksheet  
Worksheet Statistical Page

### **EXHIBIT**

1 Acknowledgement of ATF Rules and Regulations