

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)  Carson's Pawn Shop Inc. 2706 N Pace Blvd Pensacola, FL 32505, Escambia	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <p style="text-align: center;">767065-2016-0028-B1B</p> 3. PERMIT/LICENSE NUMBER    4a. TARGET DATE    4b. TARGET HOURS <p style="text-align: center;">159033027C07719                      12/31/2015</p> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)
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6. ATF OFFICER(S) ASSIGNED  
**(b)(6)** - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
**(b)(6)**, Area Supervisor, 10/20/2015

8. PURPOSE/SPECIAL INSTRUCTIONS  
 Conduct an onsite compliance inspection

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	7	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION  
 Submitted by **(b)(6)** - Industry Operations Investigator

Submitted on: 01/11/2016  
 On October 26, 2015, ATF Industry Operations Investigator (IOI) **(b)(6)** conducted an onsite Tri-State Border Initiative inspection at the above captioned address. Randall Martin Spivey, President, was interviewed in connection with the inspection. The inspection review period covered October 27, 2014 to October 26, 2015. No advance notification was provided. ROV cited two violations of the GCA.

Recommendation:  
 Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	<b>(b)(6)</b>	
OCT 2015	43.00	
NOV 2015	9.00	
DEC 2015	22.00	
JAN 2016	7.00	
ATF OFFICER'S SUBTOTAL	81.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	81.00	

# Assignment and Report

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	3. PERMIT/LICENSE NUMBER 159033027C07719	4a. TARGET DATE 12/31/2015	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

### 13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION  
Viols WL ONLY and No Recall Inspection

REVIEWED       CONCUR       SEE COMMENTS       FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	Digitally signed by (b)(6) DN: cn=(b)(6), o=Bureau of ATF, ou=Jacksonville III Area Office, email=(b)(6), c=US Date: 2016.01.14 08:39:59 -05'00'	REVIEW DATE 01/14/2016
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ROUTING SEQUENCE AND DATE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_



U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

*Tampa Field Division*

Jacksonville, FL 32301

www.atf.gov

1-13-2016

767065: (b)(6)  
5300

**WARNING LETTER**

**CERTIFIED MAIL - RETURN RECEIPT REQUESTED**

CARSON'S PAWN SHOP INC.  
Attn: Mr. Randall Spivey  
2706 N Pace Blvd  
Pensacola, FL 32505

RE: 159-053-60-7C-07719

Dear Mr. Spivey:

During a recent inspection at your firearms business covering the period October 27, 2014 to October 26, 2015 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives Investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that

criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact the Investigator who conducted your inspection at (b)(6)

Sincerely yours,

(b)(6)

Area Supervisor  
Jacksonville III Area Office  
Tampa Field Division

c: Federal Firearms Licensing Center  
Jacksonville III Area Office

Enclosure

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Carson's Pawn Shop Inc.	Street Address 2706 N Pace Blvd	City Pensacola	State FL	Zip Code 32505-	County Escambia	Page 1 of 1 Pages
License/Permit/Registry Number (if any) 159033027C07719	Expiration Date 3/1/2017	Date(s) or Period of Inspection 10/26/2015 through 10/30/2016				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

**Nature of Violation:**

The licensee failed to retain in alphabetical (by name of purchaser), chronological (by date of disposition), or numerical (by transaction serial number) order, and as part of the required records, each Form 4473 obtained in the course of transferring custody of the firearms.

Citation: 27 CFR 478.124(b)

**Date Corrections to be Made:**  
(if not corrected immediately)

**Corrective Action to be Taken:**  
(if not corrected immediately)

The licensee placed the ATF Forms 4473 in alphabetical order. The licensee was advised to retain Forms 4473 in alphabetical, chronological, or numerical order in the future.

Number: 2

**Nature of Violation:**

In (b)(6) instances, involving (b)(6) firearms, the licensee failed to prepare a Report of Multiple Sale or Other Disposition of Pistols and Revolvers, ATF Form 3310.4, for the sale of two pistols/revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person at one time or during any five consecutive business days.

Citation: 27 CFR 478.126a

**Date Corrections to be Made:**  
(if not corrected immediately)

**Corrective Action to be Taken:**  
(if not corrected immediately)

The licensee completed (b)(6) ATF Forms 3310.4 and reported the multiple sales to the National Tracing Center on October 29, 2015. The licensee was advised to prepare and forward ATF Form 3310.4 as required in the future.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

*[Signature]*  
Pres.

Date  
10-30-2015

(b)(6)

ATF Industry Operations Investigator

Date  
10/30/15

**CERTIFIED MAIL™**

U.S. DEPARTMENT OF JUSTICE  
 BUREAU OF ATF TOBACCO, FIREARMS  
 JACKSONVILLE FIELD OFFICE  
 5210 Belfort Road  
 Suite 350  
 JACKSONVILLE, FL 32256 \$300

7012 1010 0000 8248 7582



7012 1010 0000 8248 75  
 02 1P  
 0004108469 JAN 13 2016  
 MAILED FROM ZIP CODE 32256  
 UNITED STATES POSTAGE  
 U.S. OFFICIAL MAIL  
 PAYMENT FOR  
 PRIVATE USE \$300  
 \$ 004.250  
 DIVNEY BOWEN

Carson's Pawn Shop Inc.  
 Attn: Mr. Randall Sprivy  
 2706 N Pace Blvd  
 Pensacola, FL 32505

E 1363.3

PS Form 3800, 10/14 ©2011 USPS® See Reverse for Instructions

Source: CARSON'S PAWN SHOP INC  
 Street, Apt. No.: 2706 N. PACE BLVD  
 or PO Box No.:  
 City, State, ZIP+4: PENSACOLA FL 32505

Postmark Here

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

OFFICIAL USE

1. Addressee  
 (Indicate your service area)  
 7012-2030-0000-0210 7582  
 PS Form 3811, February 2004      **Domestic Return Service**

**SENDER COMPLETE THIS SECTION**

a. Complete lines 1, 2, and 3, also complete line 4 if first-class postage is desired.  
 b. Print your name and address on the reverse so that we can return the card to you.  
 c. Attach this card to the back of the envelope, or of the front if space permits.

1. Who answered?  
 CARSON'S PAWN SHOP INC.  
 2106 Rice Blvd.  
 Pensacola, FL 32505

**ADDRESSEE COMPLETE THIS SECTION**

A. Signature       April  
 X       Address  
 B. Received by ("Check one")      C. Date of delivery  
 Yes       No  
 No       No  
 ? YES, after delivery address below       No

3. Service type  
 Certified Mail       Express Mail  
 Registered Mail       Return Receipt for Merchandise  
 Insured Mail       Signature Required  
 Restricted Delivery/First-Class Mail       No

Carson's Pawn Shop Inc  
 2106 Rice Blvd  
 Pensacola, FL 32505

PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT OF THE RETURN ADDRESS. FOLD AT DOTTED LINE.

# FIREARMS INSPECTION REPORT

**Name:** CARSON'S PAWN SHOP, INC.  
**Trade Name:**

**UI#:** 767065-2016-0028-B1B  
**FFL#:** 1-59-033-02-7C-07719

**Address:** 2706 N Pace Blvd  
Pensacola, FL 32505

## 1 - Introduction

### Inspection Profile:

On October 26, 2015, ATF Industry Operations Investigator (IOI) (b) (6) conducted an onsite Tri-State Border Initiative inspection at the above captioned address. Randall (Randy) Martin Spivey, President, was interviewed in connection with the inspection. The inspection review period covered October 27, 2014 to October 26, 2015. No advance notification was provided.

Upon meeting with Mr. Spivey, Investigator (b) (6) verified his identity via Florida Driver's License (b) (6) (b) (6)

### Business Profile:

The licensee currently holds a Type 02 Pawnbrokers license. The original license was issued on February 29, 2008. The licensee previously held FFL# 1-59-033-02-8A-01056 that was issued on January 23, 2002.

The business is a free standing building which is physically located on a city main street with other commercial businesses. The business activities consist of acquiring disposing firearms through pawn. Approximately (b) (4) percent the firearms at the premises were used firearms acquired through pawns or secondary market sales. During the last 12 months, the licensee acquired (b) (4) firearms and transferred approximately (b) (4) firearms. The licensee stated that their firearms business, in general, involves about (b) (4) percent handguns and (b) (4) percent long guns. The licensee very rarely order w firearms.

The licensee's primary suppliers of firearms (b) (4) The licensee does not attend gun shows or sell firearms via the internet. The licensee does not deal in NFA firearms. The licensee has no offsite storage, and is in compliance with the zoning requirements. The licensee has an alarm system in place. The licensee's email address is pen02@shops.casham.com.

The licensee holds a current Florida state sales tax registration (b) (6) (b) (6) Florida Pawnbroking License (b) (6) and an Escambia County Occupational License.

### Ownership and Control:

The corporation was purchased in March 2006 from (b) (6) by Kenneth Spivey, Vice President of the corporation (10 percent stock owner) and Randall



# FIREARMS INSPECTION REPORT

**Name:** CARSON'S PAWN SHOP, INC.  
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**UI#:** 767065-2016-0028-B1B  
**FFL#:** 1-59-033-02-7C-07719

(Randy) Martin Spivey, (Ken Spivey's son) purchased (b) (6) 90 percent corporate stock and was appointed president of the corporation. (b) (6) was deactivated as President, of the corporation on March 1, 2006. Kenneth Spivey, Vice President, passed away and a deceased letter was received by the Federal Firearms Licensing Center (FFLC) on June 13, 2013. Kenneth Spivey was deactivated by the FFLC on June 27, 2013.

The licensee continues to operate as a corporation. Randall (Randy) Martin Spivey, President, and is listed as such in the Federal Firearms Licensing (FLS) Database. There are no other responsible persons listed on the Federal Firearms License (FFL). There has been no changes in ownership or control of the corporation. The information in the Federal Licensing System (FLS) database is correct and there are no changes necessary. No hidden ownership is suspected.

## Variance:

There are no variances on file and none were requested during the inspection.

## 2 - Recommendation

Violations, Warning Letter only and No Recall Inspection is being recommended by IOI (b) (6)

## 3 - Inspection History

**Date of Inspection:** March 6, 2008.  
**Type of Inspection:** Type 02 Qualification Inspection for an untimely renewal.  
**UI#:** 767050-2008-0088-B1B  
**Inspection Results:** Approve application for a Type 02 FFL and issue the license.  
**Cited Violations:** No violations were disclosed.

## 4 - Acquisition & Disposition (A&D) Record - Inventory

The A&D Record was in the proper format. A full inventory was conducted on October 27, 2015. Each firearm in inventory was compared to the A&D Record. There were (b) (6) firearms in the licensee's inventory and there were (b) (6) open dispositions in the licensee's bound record. There were no discrepancies found.

# FIREARMS INSPECTION REPORT

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**FFL#:** 1-59-033-02-7C-07719

There were [b3 Public Law 112-55 (125 Stat. 552)] firearms that were traced from ATF Forms 4473 to the A&D Record to ensure proper completion of forms and records. There were no discrepancies noted. The licensee has not reported any thefts or loses for the review period.

Dispositions to other licensees were reviewed and found to be proper. Copies of their licenses were inspected and found to be current.

## 5 - ATF Forms 4473 – NICS & Other Dispositions

There were approximately [b3 Public Law 112-55 (125 Stat. 552)] ATF Forms 4473 on file for the review period of October 27, 2014 to October 26, 2015. On October 28, 2015, all forms were reviewed for completeness and accuracy and the record review was completed on October 30, 2015. There were [b3 Public Law 112-55 (125 Stat. 552)] discrepancies found during this review involving unreported multiple sales. See Section 6 - Multiple Sales of this narrative report.

The licensee stated they previously maintained the ATF Forms 4473 in chronological order and the denials and non-sales were properly separated. During the review the forms 4473 were in no particular order. IOI [b3 Public Law 112-55 (125 Stat. 552)] (b) (6) questioned Randy Spivey, President, why their forms were not retained in alphabetical, chronological or assigned serial number. Mr. Spivey stated that the last person there from ATF told them they could not file their ATF Forms 4473 in chronological order as they had previously done for years. IOI [b3 Public Law 112-55 (125 Stat. 552)] (b) (6) advised the licensee they must retain their ATF Forms 4473 in one of three ways: alphabetical (by name of purchaser), chronological (by date of disposition) or numerical (by transaction serial number) order, and as part of the required records, each Form 4473 obtained in the course of transferring custody of the firearms. The licensee was cited for a violation of: **27 CFR 478.124(b), see Report of Violation Reference #1.**

The Florida Department of Law Enforcement (FDLE) Firearm Purchase Program was queried by IOI [b3 Public Law 112-55 (125 Stat. 552)] (b) (6) to obtain a list of approval and non-approval numbers for the review period. There were [b3 Public Law 112-55 (125 Stat. 552)] approval/denial numbers that were compared to the ATF Forms 4473 by date. No discrepancies were noted.

## **Reference Report of Violation, Reference #1.**

## 6 - Multiple Sales

The Violent Crime Analysis Branch (VCAB) FFL Master Query list [b3 Public Law 112-55 (125 Stat. 552)] multiple sales involving [b3 Public Law 112-55 (125 Stat. 552)] firearms for the inspection period. There were [b3 Public Law 112-55 (125 Stat. 552)] more [b3 Public Law 112-55 (125 Stat. 552)] multiple sales involving [b3 Public Law 112-55 (125 Stat. 552)] firearms discovered during the review of ATF [b3 Public Law 112-55 (125 Stat. 552)] forms 4473. The [b3 Public Law 112-55 (125 Stat. 552)] ATF Forms 3310.4 were submitted to the National Tracing Center (NTC) on October 28, 2015. The licensee was cited for a violation of **27 CFR 478.126a, see Report of Violation Reference #2, Worksheet 2 (August 2012 Edition) and Exhibit 1 (ATF Forms 4473 & ATF Form 3310.4: [b3 Public Law 112-55 (125 Stat. 552)]**

# FIREARMS INSPECTION REPORT

**Name:** CARSON'S PAWN SHOP, INC.  
**Trade Name:**


**UI#:** 767065-2016-0028-B1B  
**FFL#:** 1-59-033-02-7C-07719



**Reference Report of Violation, Reference #2, Worksheet 2 (2012 Edition) and Exhibit 1.**

## 7 - Suspicious/Prohibited Purchasers

No potential firearm traffickers, straw purchasers, or prohibited persons were identified during the inspection.

## 8 - Trace Activity

According to a Violent Crime Analysis Branch query, the licensee was involved in  successful firearm traces during the previous 12 months. There were no unsuccessful traces.

The licensee acquired and disposed of approximately  firearms taken in from the secondary market during the past twelve months. A sample of  firearms taken in from the secondary market was queried in OpenFox and eTrace. There were no hits. The licensee did not report any thefts or losses during the review period.

## 9 - NFA/Importer/Collector/Manufacturer

The licensee is not engaged in any of the activities covered by this section.

## 10 - Referrals

No referrals were generated as a result of this inspection.

## 11 - Other

The licensee is in compliance with the Youth Handgun Safety Act and has secure gun safety devices available. The requirements of the Child Safety Lock Act of 2005 was reviewed with Mr. Spivey, President. The licensed premises is not located within 1000 feet of a school zone. No safety concerns were noted.

An OpenFox criminal history query did not disclose any disabling convictions for listed responsible person, Randall (Randy) Martin Spivey, President.

## 12 - Closing Actions

A closing conference was held with Randall Spivey, President, on October 30, 2015. The Report of Violations (ROV), ATF E Form 5030.5, and the Acknowledgement of Federal

# FIREARMS INSPECTION REPORT

**Name:** CARSON'S PAWN SHOP, INC.  
**Trade Name:**

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**FFL#:** 1-59-033-02-7C-07719

Firearms Regulations were reviewed with Mr. Spivey and he certified the Acknowledgement of Federal Firearms Regulations, see **Exhibit 2**.

## Reference Exhibit 2

### 13 - Violations

#### 1. **27 CFR 478.124(b) – Firearms transaction record.**

**Nature of the Violation:** Failure to accurately retain their ATF Forms 4473 in one of three ways: alphabetical (by name of purchaser), chronological (by date of disposition) or numerical (by transaction serial number) order, and as part of the required records, each Form 4473 obtained in the course of transferring custody of the firearms.

**Licensee's Response:** Mr. Spivey stated, "The last ATF person here told us we could not file our forms in alphabetical order. That just threw us off and we are at fault for not doing something with the forms. In the future I will alphabetize the forms as soon as the transfer is complete."

**Corrective Action:** The licensee placed the ATF Forms 4473 in alphabetical (by name of purchaser). The licensee was advised to retain Forms 4473 in one of three ways: alphabetical (by name of purchaser), chronological (by date of disposition) or numerical (by transaction serial number) order in the future.

#### Reference Report of Violation #1

#### 2. **27 CFR 478.126a – Report of Multiple Sale or Other Disposition of Pistols and Revolvers.**

**Nature of the Violation:** I 03 Public Law 112-55 (125 Stat. 552) instances, involving 03 Public Law 112-55 (125 Stat. 552) firearms, the licensee failed to prepare a Report of Multiple Sale or Other Disposition of Pistols and Revolvers, ATF Form 3310.4, for the sale of two pistols/revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person at one time or during any five consecutive business days.

**Licensee's Response:** Mr. Spivey stated, "Due to the change in our filing system we overlooked filing the multiple sales forms." We are going to make sure that in the future we review all of the ATF Forms 4473 to ensure all multiple sales are being reported. We are implementing a new system to hold forms alphabetically by month to ensure all the ATF Form 3310.4 is filed timely."

# FIREARMS INSPECTION REPORT

**Name:** CARSON'S PAWN SHOP, INC.  
**Trade Name:**

**UI#:** 767065-2016-0028-B1B  
**FFL#:** 1-59-033-02-7C-07719

**Corrective Action:** The licensee completed <sup>b3 Public Law 112-55 (125 Stat. 552)</sup> TF Form 3310.4 and reported the multiple sales to the NTC on October 28, 2015. The licensee was advised to prepare and forward ATF Form 3310.4 as required in the future.

**Reference Report of Violation #2 and Worksheet 2 and Exhibit 1.**

**X**

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Industry Operations Investigator

## **Index of Worksheets and Exhibits**

ATF E-Form 5030.5, Report of Violations

Worksheets - Statistical Page

Worksheet 1

Worksheet 2

Informational Page

Exhibit 1 - ATF Forms 3310.4 & 4473 <sup>b3 Public Law 112-55 (125 Stat. 552)</sup> 18 pages.

Exhibit 2 - Acknowledgement of Regulations Review