

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) SHAWN DELVIN CURL, WILLIAM HERBERT GRIFFIN GRIFFIN, CO. 1209 N CASHUA DRIVE FLORENCE, SC 29501, FLORENCE	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 763075-2017-0108-B1B		
	3. PERMIT/LICENSE NUMBER 157041016G02779	4a. TARGET DATE 2/22/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED  
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
(b)(6), Area Supervisor, 11/22/2016

8. PURPOSE/SPECIAL INSTRUCTIONS  
Conduct Firearms Compliance Investigation

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION  
Submitted by (b)(6) - Industry Operations Investigator  
Submitted on: 04/04/2017  
  
Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
NOV 2016	6.00		
DEC 2016	27.00		
JAN 2017	8.00		
FEB 2017	22.00		
MAR 2017	36.00		
APR 2017	8.00		
ATF OFFICER'S SUBTOTAL	107.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	107.00		

13. REVIEW AND ROUTING			
REVIEW COMMENTS AND RECOMMENDATION Name of Entity – SHAWN DELVIN CURL, WILLIAM HERBERT GRIFFIN FFL/COMP – 1-57-02779 Lead – (b)(6) Narrative: A firearms compliance investigation was conducted. Recommendation: Viols WL ONLY and No Recall. Last Inspection Date: 3/8/17 E-mailed to FEL Actions-Charlotte/Examiner (b)(6)  Viols WL ONLY and No Recall Inspection  <input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION			
SIGNATURE AND TITLE (b)(6) - Area Supervisor		REVIEW DATE 04/04/2017	

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ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_  
☐ 2. \_\_\_\_\_  
☐ 3. \_\_\_\_\_  
☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page <u>1</u> of <u>1</u> Pages
Shawn D Curl, William H Griffin	1209 N. Cashua Drive	Florence	SC	29501	Florence	
License/Permit/Registry Number (if any)		Expiration Date	Date(s) or Period of Inspection			
1-57-041-01-6G-02779		7/1/16	December 9th, 2015 - December 9th, 2016			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1.	27 CFR 478.124(c)(4)	On (b)(3) 112 Pub occasion, the licensee failed to identify the firearm to be transferred by listing on the ATF Form 4473 the correct serial number. (b)(3) 112 Public Law 55 125 STAT 552	The licensee was instructed to double check each ATF Form 4473 to ensure the form is completed and all firearm information is correct prior to transferring a firearm. The licensee was also encouraged to review the ATF Form 4473 a third time prior to filing.	
2.	27 CFR 478.125(e)	The licensee failed to properly complete and/or timely record the acquisition, disposition and/or firearm description.  On (b)(3) 112 Public Law 55 125 ST occasions, the licensee failed to record the date of acquisition, Mfg/Importer, model, serial number, type, caliber/gauge, and from whom received (Name & Address or Name and License Number) no later than the close of the next business day following the date of receipt.  On (b)(3) 112 Public Law 55 125 ST occasions, the licensee failed to record the disposition date and from whom transferred (Name & Address or Name and License Number) no later than seven (7) days following the date of transfer.	The licensee was instructed to ensure all A&D information such as acquisitions, dispositions and firearm description is completed and recorded in a timely manner.	

I Have Received a Copy of This Report of Violations. (Proprietor's signature and date)

Signature and

(b)(6)

101



Date  
3-8-17

Date  
3-8-17

Copy 2 - Inspection Report Copy

720

ATF Form 3030.5  
Revised April 2005

## Report of Violations

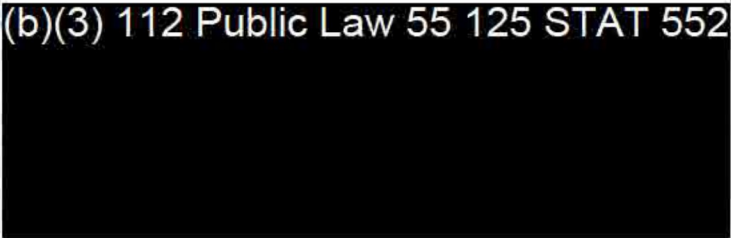
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Shawn D Curl, William H Griffin	1209 N. Cashua Drive	Florence	SC	29501	Florence	
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		(b)(3) 112 Public Law 55 125 STAT 552 		



U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

*Charlotte Field Division, Columbia II*

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1835 Assembly Street  
Columbia, SC 29201  
www.atf.gov

April 5, 2017

763075: (b)(6)  
5373

**CERTIFIED MAIL - RETURN RECEIPT REQUESTED**

**WARNING LETTER**

Shawn Delvin Curl  
Griffin, Co.  
1209 N Cashua Drive  
Florence, SC 29501

REF: 1-57-041-01-6G-02779

Dear Mr. Curl,

During a recent compliance inspection at your firearms business covering the period December 9, 2015 to December 9, 2016, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator(s). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b)(6) at

(b)(6)

Sincerely yours,

(b)(6)

Area Supervisor, Industry Operations Investigator  
Columbia II, Charlotte Field Division

cc: Federal Firearms Licensing Center  
Columbia II Office

# FIREARMS INSPECTION REPORT

**Name:** Shawn Delvin Curl, William Herbert Griffin  
**UI#:** 763075-2017-0108-B1B  
**Trade Name:** Griffin Co  
**FFL#:** 1-57-041-01-6G-02779

**Address:** 1209 N. Cashua Drive  
Florence, SC 29501  
Florence County

## 1 - Introduction

### Inspection Profile:

On December 9<sup>th</sup>, 2016, Industry Operations Investigator (IOI) (b)(6) traveled to the licensed premises of Shawn Delvin Curl and William Herbert Griffin doing business as Griffin Co., located at 1209 N. Cashua Drive, Florence, SC 29501 in Florence County to conduct a Firearm Compliance Investigation. Advance notice was not given. Upon arrival, Investigator (b)(6) discovered the establishment was not open for business. Thereafter, Investigator (b)(6) called and left a voice message for Mr. Curl to return the call. Shortly after, Mr. Curl returned the call. Mr. Curl stated he was out of town and would not be able to meet with Investigator (b)(6). Investigator (b)(6) rescheduled the investigation for December 12<sup>th</sup>, 2016.

On December 12<sup>th</sup>, 2016, Investigator (b)(6) returned to 1209 N. Cashua Drive, Florence, SC 29501 to continue with the Firearm Compliance Investigation. Present during the investigation was Owners, Shawn Delvin Curl and William Herbert Griffin. Investigator (b)(6) identified Mr. Curl and Mr. Griffin by their South Carolina Driver's License.

Shawn Delvin Curl (SCDL# (b)(6) )  
William Herbert Griffin (SCDL# (b)(6) )

This compliance investigation was conducted in conjunction with another compliance investigation under (UI# 763075-2017-0059-B1B), Shawn Delvin Curl doing business as Griffin Co. (FFL# 1-57-03755).

The investigation period covered December 9<sup>th</sup>, 2015 through December 9<sup>th</sup>, 2016. No other Federal, State, or Local agencies were present during the investigation.

### Business Profile:

Shawn Delvin Curl and William Herbert Griffin doing business as Griffin Co. is a low volume dealer. The licensee sells new and used handguns and long-guns. The company provides a transfer service. Mr. Curl stated the business does not attend gun shows, take firearms in on consignment or conduct internet sales.



## FIREARMS INSPECTION REPORT

**Name:** Shawn Delvin Curl, William Herbert Griffin  
**Trade Name:** Griffin Co

**UI#:** 763075-2017-0108-B1B  
**FFL#:** 1-57-041-01-6G-02779

During the investigation period, the business acquired (b)(4) firearms and disposed of (b)(4) (b)(4) are some of the licensee's primary suppliers.

The business maintains a SC Retail License (# 021326637) under Griffin Co. The hours of operation are from 12pm – 1pm on Fridays. Mr. Curl stated he currently works full time at the Honorage Nursing Center located in front of the license premises. Mr. Curl stated in most cases, he can be available during the day Monday – Friday for ATF purposes outside the licensee's normal business hours.

The licensee does not have any off-site storage.

### **Ownership and Control:**

Shawn Delvin Curl and William Herbert Griffin operate as a partnership and conduct business under the trade name Griffin Co. Mr. Curl and Mr. Griffin are the only responsible persons listed on the license.

On November 14<sup>th</sup>, 2016, Investigator (b)(6) researched the Federal Firearms Licensing Center (FLS) database and discovered Shawn Devlin Curl; William Herbert Griffin / Griffin Co. license was "Pending Renewal". Investigator (b)(6)

(b)(6)

(b)(6)

(b)(6)



## FIREARMS INSPECTION REPORT

**Name:** Shawn Delvin Curl, William Herbert  
Griffin

**UI#:** 763075-2017-0108-B1B

**Trade Name:** Griffin Co

**FFL#:** 1-57-041-01-6G-02779

(b)(6)

## FIREARMS INSPECTION REPORT

**Name:** Shawn Delvin Curl, William Herbert  
Griffin  
**Trade Name:** Griffin Co

**UI#:** 763075-2017-0108-B1B  
**FFL#:** 1-57-041-01-6G-02779

(b)(6)

No hidden ownership suspected, and no responsible persons were added or removed from the license.

(See Exhibit 1) – (b)(6)

# FIREARMS INSPECTION REPORT

**Name:** Shawn Delvin Curl, William Herbert  
Griffin  
**Trade Name:** Griffin Co

**UI#:** 763075-2017-0108-B1B  
**FFL#:** 1-57-041-01-6G-02779

## Variance:

No variances were on file for the licensee and none were requested during the investigation.

## **2 - Recommendation**

Violations, WL ONLY and No Recall Inspection

## **3 - Inspection History**

**Date of Inspection:** 4/27/2010  
**Type of Inspection:** Qualification  
**UI#:** 763075-2010-0213-B1B  
**Inspection Results:** Application Approved  
**Cited Violations:** None

## **4 - Acquisition & Disposition (A&D) Record - Inventory**

On December 12<sup>th</sup>, 2016, Investigator (b)(6) initiated a physical inventory. The licensee had zero (0) firearms in inventory and the acquisition and disposition (A&D) record book disclosed zero (0) open entries. After further review, Investigator (b)(6) found on (b)(6) occasions, the licensee failed to record the required acquisition information. In addition, on (b)(6) occasions, the licensee failed to record the required disposition information. The licensee was cited for violating **27 CFR 478.125(e)** for failed to properly complete and/or timely record the acquisition and disposition of a firearm(s) in the A&D record book within the time required. Investigator (b)(6) discover a total of (b)(6) discrepancies in the licensee's A&D record book. The licensee was able to reconcile the discrepancies within the allotted time. (See Violation 1, Worksheet 1, Exhibit 2).

The licensee maintains a manual acquisition and disposition record book.

## **5 - ATF Forms 4473 – NICS & Other Dispositions**

The licensee executed approximately ten (10) ATF Form 4473s during the past year. The ATF Form 4473s are maintained in chronological order. Investigator (b)(6) reviewed the ATF Form 4473s for any prohibited transfers, multiple sales of firearms, and improper recordkeeping. During the investigation, it was found on (b)(6) occasion

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the licensee failed to properly identify the firearm to be transferred. The licensee was cited for violating **27 CFR 478.124(c)(4)**. (See Violation 1, Worksheet# 1, Exhibit 3).

### **6 - Multiple Sales**

Shawn Delvin Curl reported (b)(3) 112 Public Law 5 multiple sales of handguns involving (b)(3) 112 Public Law 55 125 S handguns in the past year. On November 4<sup>th</sup>, 2016, Investigator (b)(6) requested a FFL History Query for Shawn Delvin Curl and William Herbert Griffin. No discrepancies were found.

### **7 - Suspicious/Prohibited Purchasers**

No suspicious purchasers were identified, and no referrals were generated.

### **8 - Trace Activity**

Investigator (b)(6) acquired the Trace History Report for Shawn Delvin Curl and William Herbert Griffin from the Violent Crime Analysis Branch. According to the report, no firearms have been traced to this licensee.

### **9 - NFA/Importer/Collector/Manufacturer**

The licensee does not possess a Special Occupational Tax Stamp as a NFA dealer and is not an importer, collector or manufacturer of firearms.

### **10 - Referrals**

No suspicious purchasers were identified, and no referrals were generated.

### **11 - Other**

On November 4<sup>th</sup>, 2016, Investigator (b)(6) queried the Federal Firearms Licensing System (FLS) to obtain any additional FFL information associated with Mr. Curl and/or Mr. Griffin. Investigator (b)(6) discovered Mr. Curl was listed as a responsible person on an additional license.

- FFL# 1-57-03755 (Type 07) (Sole Proprietor) Shawn Devlin Curl / Griffin Co.

No prohibiting or other significant findings were made during this investigation.

# FIREARMS INSPECTION REPORT

**Name:** Shawn Delvin Curl, William Herbert  
Griffin  
**Trade Name:** Griffin Co

**UI#:** 763075-2017-0108-B1B  
**FFL#:** 1-57-041-01-6G-02779

## 12 - Closing Actions

On March 8<sup>th</sup>, 2017, Investigator (b)(6) conducted a closing conference with Mr. Shawn Devlin Curl. During the conference, Investigator (b)(6) reviewed the ATF Form 5030.5, Report of Violations. Investigator (b)(6) explained each violation, and the corrective action required. Mr. Curl stated that all corrective actions that he was able to correct, were completed. Mr. Curl stated that he understands the violations cited, and that he will continue to work to maintain compliance with all firearms laws and regulations. Mr. Shawn Curl acknowledged receipt of the ATF Form 5030.5, Report of Violations, by signing and dating it on 3/8/17.

During the closing conference, Investigator (b)(6) also provided Mr. Shawn Curl with a comprehensive explanation of the Federal Firearms Rules and Regulations; including required records & reports, conduct of business, licenses, miscellaneous provisions, state laws & local ordinances, and general questions & answers. Mr. Curl was advised to maintain gun safety locks for prospective customers, and to provide gun safety locks with all handgun sales. Mr. Shawn Curl indicated that he understood all of the information provided by initialing, signing, and dating the Acknowledgement of Federal Firearms Regulations on March 8<sup>th</sup>, 2017 (**Exhibit 4**). Investigator (b)(6) provided Mr. Shawn Curl with a copy of the signed acknowledgement for his records.

The licensee was provided and explained the following documents/publications:

- Safety and Security Information for Federal Firearms Licensees (ATF P 3317.2)
- Federal Firearms Licensee/Quick Reference and Best Practices Guide (ATF P 5300.15)
- Youth Handgun Safety Act (ATF I 5300.1 and ATF I 5300.2)
- Firearms Transaction Record/4473 (ATF F 5300.9)
- Report of Multiple Sales or Other Disposition of Pistols and Revolvers (ATF F 3310.4)
- Federal Firearms Licensee Firearms Inventory Theft/Loss Report (ATF F 3310.11 and ATF F 3310.11A)
- Interstate Firearms Shipment Theft/Loss Report (ATF F 3310.6)
- NICS Voluntary Appeal File
- FFL eZ Check 3.1.2 – Federal Firearms License Search
- Important Notice to Dealers and Other participants at This Gun Show (ATF I 5300.23A)
- ATF List of Important Contact Numbers – ATF Website / News Letter
- Open Letter to FFL's (Nov. 6, 2003) Making Changes to ATF F 4473 after transaction has occurred

## FIREARMS INSPECTION REPORT

**Name:** Shawn Delvin Curl, William Herbert Griffin  
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**UI#:** 763075-2017-0108-B1B  
**FFL#:** 1-57-041-01-6G-02779

### 13 - Violations

1. **27 CFR 478.124(c)(4)**

**Nature of violation:**

On (b)(3) 112 Public Law 55 125 STAT 552 occasion, the licensee failed to identify the firearm to be transferred by listing on the ATF Form 4473 the correct serial number. (b)(3) 112 Public Law 55 125 STAT 552

**Licensee's response:**

Mr. Curl stated he was unaware that he failed to record the correct serial number.

**Corrective action:**

The licensee was instructed to ensure all firearm information was correct prior to transfer. Mr. Curl corrected the serial number on a copy of the ATF Form 4473 and placed the copy with the original ATF Form 4473.

2. **27 CFR 478.125(e)**

**Nature of violation:**

The licensee failed to properly complete and/or timely record the acquisition, disposition and/or firearm description.

On (b)(3) 112 Public Law 55 125 STAT 552 occasions, the licensee failed to record the date of acquisition, Mfg/Importer, model, serial number, type, caliber/gauge, and from whom received (Name & Address or Name and License Number) no later than the close of the next business day following the date of receipt.

On (b)(3) 112 Public Law 55 125 STAT 552 occasions, the licensee failed to record the disposition date and from whom transferred (Name & Address or Name and License Number) no later than seven (7) days following the date of transfer.

**Licensee's response:**

Mr. Curl stated he transferred the firearms from his Type 01 license (FFL# 1-57-03755/ Shawn Delvin Curl, William Herbert Griffin) to his Type 07 (FFL# 1-57-02779/Curl, Shawn Delvin) due to (b)(6). In addition, Mr. Curl stated he assumed by entering Book 07 with an arrow across the firearm description as well as entering the Type 07 disposition information it would be sufficient to lead to the Type 07 record book. Mr. Curl was not aware the two licenses, Type 01 and Type 07 record books, and ATF F 4473's would not coincide with one another. Mr. Curl stated he now understood the acquisition and disposition regulation and/or requirements.



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**UI#:** 763075-2017-0108-B1B  
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**Corrective action:**

The licensee was instructed to ensure all A&D information such as acquisitions, dispositions and firearm descriptions is completed and recorded in a timely manner.

The licensee corrected all the acquisition and disposition information in the A&D record book as instructed by Investigator (b)(6).

X

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Industry Operations Investigator

**Index of Worksheets and Exhibits**

**Exhibit 1** – Mr. Griffin background documentation

**Exhibit 2** – Copies of A&D violations

**Exhibit 3** – Copy of ATF 4473 violation

**Exhibit 4** – Acknowledgement of Federal Firearms Regulations