

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) MCGEE, RALPH WAYNE; GREEN, RONALD EVERETT City Pawn & Gun 203 S CENTER ST STATESVILLE, NC 28677, IREDELL		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 763025-2015-0361-B1B		
		3. PERMIT/LICENSE NUMBER 156097026H37242	4a. TARGET DATE 9/14/2015	4b. TARGET HOURS 80
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6) . Acting Area Supervisor, 07/13/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS Staged DE; 10/1/2014 - Conduct Full De Investigation. Complete all N-Spect tabs when finished, including Date of Last Inspection.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS		5	NO. OF REFERRALS	
NO. OF TECS CHECKS		231	NO. OF TECS HITS	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2111 - PER DIEM
		\$ VALUE OF TAX DECREASES		2112 - P.O.A.
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2113 - COMM. AIR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2114 - RENTAL CAR
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2115 - GPV EXPENSES
				2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 09/23/2015 Report of Violations, Warning Letter only and No Recall as per requested Alternate Recommendation. Viols WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
AUG 2015		18.00		
SEP 2015		48.00		
ATF OFFICER'S SUBTOTAL		66.00		
		ATF OFFICER'S SIGNATURE		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
JUL 2015		9.00		
ATF OFFICER'S SUBTOTAL		9.00		
		ATF OFFICER'S SIGNATURE		
TOTAL HOURS		75.00		
13. REVIEW AND ROUTING				
REVIEW COMMENTS AND RECOMMENDATION Concur with IOI (b)(6) alternate recommendation of Viols, WL only and No Recall Viols WC with WL and Recall				
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input type="checkbox"/> FINAL DISPOSITION				
SIGNATURE AND TITLE (b)(6) - Area Supervisor			REVIEW DATE 09/23/2015	

Amended
Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor MCGEE, RALPH WAYNE; GREEN, RONALD EVERETT City Pawn & Gun	Street Address 203 S CENTER ST	City STATESVILLE	State NC	Zip Code 28677	County IREDELL	Page 1 of 3 Pages
License/Permit/Registry Number (if any) 156097026H37242	Expiration Date 8/1/2016	Date(s) or Period of Inspection 08/28/2014 through 08/28/2015				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Licensee failed to obtain accurate/complete ATF form 4473: REPEAT VIOLATION
In (b)(3) instances Sec. A question 11a were left blank or answered No.
In (b)(3) instances Sec. C items 24 and 25 were left blank.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee will review the forms more closely, perform a secondary review and also conduct an educational conference with his employees.

For Official Use Only

ATF E-Form 5030.3
Revised April 2005

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one for their files.

Name of Proprietor MCGEE, RALPH WAYNE; GREEN, RONALD EVERETT City Pawn & Gun	Street Address 203 S CENTER ST	City STATESVILLE	County IREDELL	Page 2 of 3 Pages
License/Permit/Registry Number (if any) 156097026H37242	Expiration Date 8/1/2016	Date(s) 08/28,		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explain.

Number: 2

Nature of Violation:

Licensee failed to obtain accurate/complete ATF 4473: REPEAT VIOLATION
In ^{(b)(3)} instances failed to obtain complete/accurate information in Sec. A Item 2 (residence address)
In ^{(b)(3)} instance Sec. A Question 11b was left blank
In ^{(b)(3)} instance Sec. A Question 11c, 11e and 11j were left blank on one form
In ^{(b)(3)} instances Sec. A Question 11d was left blank
In ^{(b)(3)} instances Sec. A Question 11k was left blank
In ^{(b)(3)} instances Sec. A Question 11l was left blank
In ^{(b)(3)} instance Sec. A Item 16 was left blank
In ^{(b)(3)} instance Sec. A Item 17 was left blank

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(1)

Date Corrections to
(If not corrected in

Corrective Action to run on Etraco. Licensee states that he
(If not corrected in day review and conduct an educational
 s employees.

Number: 3

Nature of Violation:

Licensee failed to obtain accurate/complete ATF form 4473: REPEAT VIOLATION
In ^{(b)(3)} instance Sec. B Item 20a firearms serial # was put in where NCDL number should go.

Date Corrections to
(If not corrected in

Corrective Action to from secondary reviews of forms and will
(If not corrected in onal conference with his employees.

R.E.G.
9/10/15

Amended
Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor MCGEE, RALPH WAYNE; GREEN, RONALD EVERETT City Pawn & Gun	Street Address 203 S CENTER ST	City STATESVILLE	State NC	Zip Code 28677-	County IREDELL	Page 3 of 3 Pages
License/Permit/Registry Number (If any) 156097026H37242		Expiration Date 8/1/2016		Date(s) or Period of Inspection 08/28/2014 through 08/28/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

Licensee failed to obtain accurate/complete ATF form 4473:
In Instance Sec. B Item 21d proceed had no date (after delay)
(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee will perform secondary reviews of forms. Licensee will also conduct an educational conference with his employees.

Number: 5

Nature of Violation:

Licensee failed to obtain accurate/complete ATF forms 4473: REPEAT VIOLATION
In Instance Sec. A Question 11c was answered Yes
In Instance Sec. A Question 11f was answered Yes
In Instance Sec. A Question 11k was answered Yes
In Instance Sec. A Question 11i was answered Yes
(b)(3) 112 Public Law 55 125 STAT 552 (b)(6) In Instance Sec. A Question 11b was answered Yes.

Citation: 27 CFR 478.99(e)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Purchasers will be run on Etrace. Licensee will perform secondary review of forms. Licensee will also conduct an educational conference with his employees.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Ronald E. Green GENERAL PARTNER

Signature and Title of ATF Officer

(b)(6)

IOT

For Official Use Only

Date 09/04/15
Date 9/4/15

ATF E-Form 3030.5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
6701 Carmel Road, Suite 200
Charlotte, North Carolina 28226

www.atf.gov

763025 (b)(6)
5300

CERTIFIED RETURN RECEIPT REQUESTED

Ralph Wayne McGee & Ronald Everett Green
City Pawn & Gun
203 S. Center Street
Statesville, NC 28677

RE: 1-56-097-02-6H-37242

Dear Mr. McGee & Mr. Green:

During a compliance inspection at your firearms business covering the period August 28, 2014 – August 28, 2015, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

You should be aware that any willful violations of the Gun Control Act may result in revocation of your Federal Firearms License. As a result of the violations cited, it is important that we have a meeting with you to discuss the violations found. The conference will be held on Wednesday, October 21, 2015 at 10:00 a.m. at 6701 Carmel Road, Suite 200, Charlotte, North Carolina 28226. The agenda for the meeting will include a discussion of the reasons for the violations, a review of the legal requirements, and a discussion of steps you have taken to ensure future compliance. Although we do not believe it necessary, legal counsel may assist you at your own expense if you so choose. Please bring with you documentation verifying the corrective actions you have taken.

City Pawn & Gun, 1-56-097-02-6H-37242

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business to aid in this effort to combat violent crime.

We will conduct a follow-up inspection in the future. Any violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license.

Please contact Investigator (b)(6) to confirm this appointment, and to ask any questions you may have regarding this conference. I look forward to meeting with you to resolve these issues.

Sincerely yours,

(b)(6)

Director of Industry Operations
Charlotte Field Division

Enclosure



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
6701 Carmel Road, Suite 200
Charlotte, North Carolina 28226

www.atf.gov

763025 (b)(6)
5300

CERTIFIED RETURN RECEIPT REQUESTED

Ralph Wayne McGee & Ronald Everett Green
City Pawn & Gun
203 S. Center St.
Statesville, NC 28677

RE: 1-56-097-02-6H-37242

Dear Mr. McGee and Mr. Green:

This letter is a follow-up to the warning conference held with you on October 21, 2015. During this conference, the violations cited during the inspection covering the period August 28, 2015 – September 04, 2015 and the necessary corrective action to prevent the violation(s) from reoccurring were discussed.

You were given the opportunity to comment on the violation(s) and what specific action you have taken to ensure that the violation(s) will not reoccur. With respect to each of the violation(s) cited below we are providing a summary of your response to each violation.

Violation #1, 27 CFR 478.124(c)(1) in (b)(3) 1 instances failed to complete/accurate information in Sec. A item 2, in (b)(3) 1 instance Sec. A 11b was left blank, in (b)(3) 1 instance Sec. A questions 11c, 11e and 11j were left blank on the same form, in (b)(3) 1 instances Sec A question 11d was left blank, in (b)(3) 1 instances Sec. A question 11k was left blank, in (b)(3) 1 instances Sec. A question 11l was left blank, in (b)(3) 1 instance Sec. A item 16 was left blank, in (b)(3) 1 instance Sec. A item 17 was left blank.

You stated that you have met with your co-workers and have reviewed the ATF 4473 form and the errors mentioned above. Steps such as proof reading the form prior to the customer leaving the premises was discussed in an agreement signed by your employees.

Violation #2, 27 CFR 478.99(c) in (b)(3) 1 instance Sec. A question 11c was answered Yes, in (b)(3) 1 instance Sec. A question 11f was answered Yes, in (b)(3) 1 instance Sec. A question 11k was answered

McGee, Ralph Wayne and Green, Ronald Everett
RE: 1-56-097-02-6H-37242

Yes, in (b)(3) instance Sec. A question 11i was answered Yes, in (b)(3) instance Sec. A question 11b was answered Yes.

You stated that the employees made the mistakes, but that in the review stage you need to review fewer at a time. Instead of reviewing 50 at a time maybe 10 and in doing so give them each more attention. Making it easier to review each form.

Violation #3, 27 CFR 478.21(a) in (b)(3) 112 instances Sec. A question 11a were left blank or answered No. in (b)(3) instance Sec. C items 24 and 25 were left blank.

You stated that a secondary review of the forms will help to eliminate this problem.

Violation #4, 27 CFR 478.124(c)(3)(i) in (b)(3) 112 Pu instances, the licensee failed to obtain accurate/complete ATF form 4473, Sec. B item 20a serial number of firearm was put in where NCDL should go.

You stated that you were unsure how that had happened. Again you proofreading the form would resolve the issued.

Violation #5, 27 CFR 478.124(c)(3)(iv) in (b)(3) 11 instances Sec. B item 21d proceed had not date (after delay).

You stated that you usually review the forms but missed these errors and are now using a new method of going through the forms utilizing your index finger to highlight sections of the form and find any possible mistakes.

The violation(s) for which you were cited could adversely impact law enforcement's ability to reduce violent crime and protect the public. You are reminded that future violations, repeat or otherwise, could be viewed as willful and may result in the revocation of your license. You may anticipate further inspections to ensure your compliance.

Please contact us at (b)(6) if you have any questions concerning your responsibilities as a licensee or if you require further clarification about particular requirements of Federal firearms laws.

Sincerely yours,

(b)(6)

Area Supervisor
Charlotte III, Charlotte Field Office

Enclosure

Cc: Federal Firearms Licensing Center
Charlotte III Field office

FIREARMS INSPECTION REPORT

Name: McGee, Ralph Wayne; Green, Ronald
Everett
UI#: 763025-2015-0361-B1B
Trade Name: City Pawn & Gun
FFL#: 1-56-097-02-6H-37242

Address: 203 S. Center Street
Statesville, NC 28677
(704) 873-1970

1 - Introduction

Inspection Profile:

On August 28, 2015 Industry Operations Investigator (IOI) (b) (6) traveled to 203 S. Center Street, Statesville, NC 28677, City Pawn & Gun to conduct a Disposition Emphasis investigation. Present for the interview was Ronald Everett Green, Partner/Owner of the business, who was identified through his North Carolina Driver's License number (b) (6) that expires on (b) (6).

Mr. Green was provided with the scope of the investigation.

The investigation commenced on August 28, 2015 and concluded on September 4, 2015. The period covered was August 28, 2014 through August 28, 2015.

No prohibiting factors were disclosed. Violations were cited see paragraphs 5 and 13, ROV and applicable worksheets.

Business Profile:

Business Photos (Exhibit #1)

The business is a pawnshop that sells a variety of items amongst which are electronics, televisions, power tools, sporting equipment, lawn equipment, music equipment, videos and firearms.

The Licensee does not attend gun shows. The Licensee does not sell NFA firearms or do consignment sales. Mr. Green states that they do not perform any gunsmithing.

The percentage of new firearms to used firearms is (b) (4). The number of firearms disposed in the last twelve months was (b) (4). The number of firearms acquired in the same time was (b) (4).

Ownership and Control:

The business is a partnership between Ralph Wayne McGee and Ronald Everett Green. They are the only responsible persons on the license and the Federal Licensing System is correct no changes need to be made. Hidden ownership is not suspected.

Variance:

FIREARMS INSPECTION REPORT

Name: McGee, Ralph Wayne; Green, Ronald
Everett
Trade Name: City Pawn & Gun

UI#: 763025-2015-0361-B1B
FFL#: 1-56-097-02-6H-37242

b3 Public Law 112-55 (125 Stat. 552)

2 - Recommendation

Report of Violations, with Warning Letter only no recall as per requested Alternate Recommendation

3 - Inspection History

Date of Inspection: May 25, 2010
Type of Inspection: Recall Inspection
UI#: 763025-2010-0132-B1B
Inspection Results: No Violations, No Further Actions, No Referrals
Cited Violations: None

4 - Acquisition & Disposition (A&D) Record - Inventory

A full inventory was taken, there were [REDACTED] firearms in inventory and [REDACTED] open entries in the record. There were no thefts filed in the past year. No firearms were traced from acquisition through disposition. There were no trafficking indicators or obliterated serial numbers uncovered during this investigation. [REDACTED] firearms run through E-trace with no hits.

5 - ATF Forms 4473 – NICS & Other Dispositions

IOI(b)(6) reviewed the ATF form 4473s for the inspection period of 08/28/14 to 08/28/15 for a total of [REDACTED] forms. The forms were reviewed for its accuracy and entirety. The Licensee was cited for the following violations:

- **Violation #1 27 CFR 478.21(a)**

Licensee failed to obtain accurate/complete ATF form 4473:

[REDACTED] stances Sec. A question 11a were left blank or answered No.

[REDACTED] stance Sec. C items 24 and 25 were left blank.

b3 Public Law 112-55 (125 Stat. 552)

***Purchasers were run on Open fox with no hits.**

- **Violation #2 27 CFR 478.124(c)(1)**

Licensee failed to obtain complete/accurate ATF 4473:

[REDACTED] stances failed to obtain complete/accurate info. in Sec. A item 2

FIREARMS INSPECTION REPORT

Name: McGee, Ralph Wayne; Green, Ronald Everett
UI#: 763025-2015-0361-B1B
Trade Name: City Pawn & Gun
FFL#: 1-56-097-02-6H-37242

I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. A Question 11b was left blank
I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. A Questions 11c, 11e and 11j were left blank on the same form
I [b3 Public Law 112-55 (125 Stat. 552)] instances Sec. A Question 11d was left blank.
I [b3 Public Law 112-55 (125 Stat. 552)] instances Sec. A Question 11k was left blank.
I [b3 Public Law 112-55 (125 Stat. 552)] instances Sec. A Question 11l was left blank.
I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. A Item 16 was left blank.
I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. A Item 17 was left blank.

b3 Public Law 112-55 (125 Stat. 552)

***Purchasers were run on Open fox with no hits.**

- **Violation #3 27 CFR 478.124(c)(3)(i)**

Licensee failed to obtain accurate/complete ATF form 4473:

I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. B Item 20a firearms serial # was put in where NCDL number should go [b3 Public Law 112-55 (125 Stat. 552)] **Exhibit #4).**

- **Violation #4 27 CFR 478.124(c)(3)(iv)**

Licensee failed to obtain accurate/complete ATF form 4473:

I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. B Item 21d proceed had no date (after delay).
[b3 Public Law 112-55 (125 Stat. 552)] **Exhibit #5).**

- **Violation #5 27 CFR 478.99(c)**

Licensee failed to obtain accurate/complete ATF forms 4473:

I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. A Question 11c was answered Yes.

I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. A Question 11f was answered Yes.

I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. A Question 11k was answered Yes.

I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. A Question 11l was answered Yes.

I [b3 Public Law 112-55 (125 Stat. 552)] instance Sec. A Question 11b was answered Yes.

b3 Public Law 112-55 (125 Stat. 552) Exhibit #6).

The ROV was amended to add Murray which was initially missed.

***The Purchasers were run on Open fox with no hits.**

IOI [b3 Public Law 112-55 (125 Stat. 552)] (b) (6) verified a total of [b3 Public Law 112-55 (125 Stat. 552)] NICS check. No discrepancies were noted. There were [b3 Public Law 112-55 (125 Stat. 552)] transactions on the FFL Audit Log Non-Denied Status date range from date 06/28/2015 to 08/27/2015 which include [b3 Public Law 112-55 (125 Stat. 552)] proceed responses [b3 Public Law 112-55 (125 Stat. 552)] denied transactions, 0 delayed transactions and 0 currently open transactions.

6 - Multiple Sales

There were no unreported multiple sales for the investigation period.

FIREARMS INSPECTION REPORT

Name: McGee, Ralph Wayne; Green, Ronald
Everett
Trade Name: City Pawn & Gun

UI#: 763025-2015-0361-B1B
FFL#: 1-56-097-02-6H-37242

7 - Suspicious/Prohibited Purchasers

There were no indications of trafficking and no suspicious/prohibited purchasers were found.

8 - Trace Activity

The Licensee had 03 Public Law 112-55
(125 Stat. 552) traces for the investigation period. All the traces were successful.

9 - NFA/Importer/Collector/Manufacturer

The Licensee does not deal in NFA firearms, is not an Importer, Collector or Manufacturer of firearms.

10 - Referrals

No referrals were issued as a result of this investigation.

11 - Other

Regarding the report of violations some of the violations were labeled as repeat violations related to report number 763025-2006-0479-B1B which is beyond the 5 year period.

As to the Alternate Recommendation the violations do not command a Warning Conference (WC) with the exception of **Violation #5 27 CFR 478.99(c)** has 03 Public Law
112-55 (125 Stat
552) instances and qualifies it for the WC. The Licensee has no immediate history of violations (the last inspection 2010 there were no violations) and the Licensee has ensured an educational conference with his employees to avoid future errors/omissions.

Mr. Green was also advised that ATF's website address provides the ATF Quarterly Newsletters which are now available online and can be reviewed or printed out. This website address is also a way for Mr. Green to keep informed of any updates to the Federal Firearms Regulations. Mr. Green was also provided with ATF telephone numbers if he has any questions or needs further assistance in the future.

12 - Closing Actions

A closing conference was held on September 4, 2015 with Ronald Everett Green. During the closing conference there was a comprehensive explanation of the Federal Firearms Rules and Regulations including definitions, miscellaneous provisions, licenses, conduct of business, required records, state laws and local ordinances.

Acknowledgement of Federal Firearms Regulations: Mr. Green, indicated that he understood all of the information provided by signing and dating the Acknowledgement of the Federal Firearms Rules and Regulations (**Exhibit #7**).

The following documents were provided and explained to the Licensee:

FIREARMS INSPECTION REPORT

Name: McGee, Ralph Wayne; Green, Ronald
Everett
UI#: 763025-2015-0361-B1B
Trade Name: City Pawn & Gun
FFL#: 1-56-097-02-6H-37242

- NICS Voluntary Appeal File
- ATF P5300.18 Non-Immigrant Aliens Purchasing Firearms and Ammunition in the U.S.
- Letter of Requirements for Computerized Records A&D
- ATF I5300.1 Youth Handgun Safety Act Poster
- ATF 5300.2 Youth Handgun Safety Act Notice
- ATF P 3317.2 Safety and Security Information
- ATF P5300.15 FFL General Guidelines and Information
- ATF F3310.4 Report of Multiple Sales or Other Dispositions
- ATF F3310.11 Firearms Inventory Theft/Loss Report

13 - Violations

1. **Violation #1 27 CFR 478.21(a)**

Licensee failed to obtain accurate/complete ATF form 4473:

§ 53 Public Law 112-55 112 Stat 1125 Instances Sec. A question 11a were left blank or answered No.

§ 53 Public Law 112-55 112 Stat 1125 Instance Sec. C items 24 and 25 were left blank.

Licensee Response:

The store really gets busy and it was purely an oversight.

Corrective Action:

The Licensee will review his forms more closely, will perform a secondary review of the forms and conduct an educational conference with his employees.

2. **Violation #2 27 CFR 478.124(c)(1)**

Licensee failed to obtain complete/accurate ATF 4473:

§ 53 Public Law 112-55 112 Stat 1125 Instances failed to obtain complete/accurate info. in Sec. A item 2

§ 53 Public Law 112-55 112 Stat 1125 Instance Sec. A Question 11b was left blank

§ 53 Public Law 112-55 112 Stat 1125 Instance Sec. A Questions 11c, 11e and 11j were left blank on the same form.

§ 53 Public Law 112-55 112 Stat 1125 Instances Sec. A Question 11d was left blank.

§ 53 Public Law 112-55 112 Stat 1125 Instances Sec. A Question 11k was left blank.

§ 53 Public Law 112-55 112 Stat 1125 Instances Sec. A Question 11l was left blank.

§ 53 Public Law 112-55 112 Stat 1125 Instance Sec. A Item 16 was left blank.

§ 53 Public Law 112-55 112 Stat 1125 Instance Sec. A Item 17 was left blank.

Licensee Response:

The forms were scanned in a hurry and the items were missed.

Corrective Action:

The Licensee will review his forms more closely, will perform a secondary review of the forms and conduct an educational conference with his employees.

FIREARMS INSPECTION REPORT

Name: McGee, Ralph Wayne; Green, Ronald
Everett
UI#: 763025-2015-0361-B1B
Trade Name: City Pawn & Gun
FFL#: 1-56-097-02-6H-37242

3. **Violation #3 27 CFR 478.124(c)(3)(i)**

Licensee failed to obtain accurate/complete ATF form 4473:

I [REDACTED] instance Sec. B Item 20a firearms serial # was put in where NCDL number should go.

Licensee Response:

Licensee states that he was doing too many things at one time.

Corrective Action:

The Licensee will review his forms more closely, will perform a secondary review of the forms and conduct an educational conference with his employees.

4. **Violation #4 27 CFR 478.124(c)(3)(iv)**

Licensee failed to obtain accurate/complete ATF form 4473:

I [REDACTED] instance Sec. B Item 21d proceed had no date (after delay).

Licensee Response:

The store really gets busy and it was purely an oversight.

Corrective Action:

The Licensee will review his forms more closely, will perform a secondary review of the forms and conduct an educational conference with his employees.

5. **Violation #5 27 CFR 478.99(c)**

Licensee failed to obtain accurate/complete ATF forms 4473:

I [REDACTED] instance Sec. A Question 11c was answered Yes.

I [REDACTED] instance Sec. A Question 11f was answered Yes.

I [REDACTED] instance Sec. A Question 11k was answered Yes.

I [REDACTED] instance Sec. A Question 11l was answered Yes.

I [REDACTED] instance Sec. A Question 11b was answered Yes.

Licensee Response:

These were simply not seen by the employees or by Mr. Green.

Corrective Action:

The Licensee will review his forms more closely, will perform a secondary review of the forms and conduct an educational conference with his employees.

FIREARMS INSPECTION REPORT

Name: McGee, Ralph Wayne; Green, Ronald
Everett
Trade Name: City Pawn & Gun

UI#: 763025-2015-0361-B1B
FFL#: 1-56-097-02-6H-37242

X

Industry Operations Investigator

List of Exhibits

1. Business Pictures

2. b3 Public Law 112-55 (125 Stat. 552)

3.

4.

5.

6.

7. Acknowledgement of Federal Firearms Rules and Regulations