

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) ABERDALES INC. A & J SPORTING GOODS 10 Depot Street Housatonic, MA 01236, Berkshire		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 762055-2016-0155-B1B		
		3. PERMIT/LICENSE NUMBER 604003079A03780	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS 40
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				
6. ATF OFFICER(S) ASSIGNED				
(b)(6) Lead Investigator				
(b)(6) - Assigned Investigator				
(b)(6) - Assigned Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)				
(b)(6) Area Supervisor, 12/11/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS				
FMI				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS		9	NO. OF REFERRALS	
NO. OF TECS CHECKS		37	NO. OF TECS HITS	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		
		\$ VALUE OF TAX DECREASES		
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		
NO. OF CLAIMS		\$ VALUE OF CLAIMS		
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		
10. TRAVEL EXPENSES (OPTIONAL)				
2111 - PER DIEM				
2112 - P.O.A.				
2113 - COMM. AIR				
2114 - RENTAL CAR				
2115 - GPV EXPENSES				
2116 - MISC.				
TOTAL \$ FOR INSP.				
11. ATF OFFICER'S RECOMMENDATION				
Submitted by (b)(6) - Industry Operations Investigator				
Submitted on: 12/07/2017				
Violations Warning Letter No Recall Inspections.				
Based upon current ATF Policy, Licensee merits Warning Letter No Recall Inspection recommendation.				
The following violation merit's a warning letter as the minimum administrative action.				
(1) 27 CFR 478.123(b) - Failure of the licensee to timely and/or accurately record the disposition of firearms in the manner prescribed by ATF regulations in (b)(3) 112 instances. Errors included in (b)(3) 112 Public Law 55 125 S instances the licensee failed to record the disposition of a firearm transferred. In (b)(3) 112 Public Instance a firearm was not located and a Theft/Loss Report ATF Form 3310.11 was filed on 9/21/2017.				
Violts WL ONLY and No Recall Inspection				

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
DEC 2015	3.00
JAN 2016	3.00
FEB 2016	7.00
JUL 2016	4.00
DEC 2016	7.00
JAN 2017	2.00
MAR 2017	8.00
APR 2017	8.00
MAY 2017	2.00
JUL 2017	6.00
AUG 2017	14.00
SEP 2017	88.00
OCT 2017	21.00

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) ABERDALES INC. A & J SPORTING GOODS 10 Depot Street Housatonic, MA 01236, Berkshire	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 762055-2016-0155-B1B		
	3. PERMIT/LICENSE NUMBER 604003079A03780	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS 40
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
NOV 2017	19.00
DEC 2017	4.00
ATF OFFICER'S SUBTOTAL	196.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
SEP 2017	53.00
ATF OFFICER'S SUBTOTAL	53.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
SEP 2017	52.00
ATF OFFICER'S SUBTOTAL	52.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	301.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Aberdales Inc.
FMI Inspection, 6-04-03780
IOI (b)(6)
Final N-Force query 11/29/2017, no hits.

FMI inspection completed, onsite end date 9/15/2017. Nine violations cited, one violation cited for failure to timely and or accurately maintain A&D disposition records, 27 CFR 478.123(b) meets threshold for Admin Action. Instances, (b)(6), exceeded the five percent limit, Warning Letter was issued to FFL on Nov. 7, 2017.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	(b)(6)	Digitally signed by (b)(6) Date: 2018.01.09 12:55:35 -05'00'	REVIEW DATE 01/09/2018
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ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

450 Main Street, Room 610, Hartford, CT 06103

November 7, 2017

www.atf.gov

**CERTIFIED MAIL
RETURN RECEIPT**

762055: (b)(6)
5300

WARNING LETTER

Mr. Joseph Aberdale
Aberdales Inc.
d/b/a A&J's Sporting Goods
10 Depot Street
Housatonic, Berkshire County, MA 01236
FFL# 6-04-003-07-9A-03780

Dear Mr. Aberdale:

During a recent compliance inspection at your firearms business covering the period of September 11, 2016 through September 11, 2017, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of inspection was corrected and re-issued on October 26, 2017 is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and ensure the public's safety. It is essential that you comply with all Federal laws and regulations that govern your firearms business. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Area Supervisor (b)(6) at (860) 240-3400.

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

cc: National Licensing Center
Hartford I/O Office

Report of Violations

CORRECTED COPY

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Aberdales, Inc	Street Address 10 Depot Street	City Housatonic	State Massachusetts	ZIP Code 01236	County Worcester	Page 1 of 2 Pages
License/Permit/Registry Number (if any) 6-04-003-07-9A-03780		Expiration Date 01/01/2019	Date(s) or Period of Inspection September 11, 2016 through September 11, 2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1.	27 CFR 478.123 (h)	Failure of the licensee to timely and/or accurately record the disposition of firearms in the manner prescribed by ATF regulations in instances. Errors included in instances the licensee failed to record the disposition of a firearm transferred. In instance a firearm was not located and a Theft/Loss Report ATF Form 3310.11 was filed on 9/21/2017.	The licensee was counseled regarding the importance of recording accurate and timely information on all required forms and ensuring they are filed appropriately and timely.	Corrected immediately.
2.	27 CFR 478.126a	Failure of the licensee to complete and submit the ATF Form F 3310.4 Report of Multiple Sale of Pistols or Revolvers by the close of business on the day the transaction in instances involving firearms.	The licensee shall complete and submit a multiple handgun sale form (ATF F3310.4) by the close of business on the day of the transaction.	The ATF F 3310.4 was completed and submitted for instances not reported that were identified during the inspection.
3.	27 CFR 478.21a	Failure of the licensee to furnish information on the ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form, on form(s). Errors included boxes 31, 32, 33, 34, 35, 36 being left blank.	The licensee shall ensure all required information is provided in accurately.	Unable to correct.
4.	27 CFR 478.124(c)(1)	Failure of the licensee to obtain a properly completed ATF Form 4473 from the transferee on forms. Errors included Box 2, 3, 4 being left blank.	The licensee shall obtain a properly completed ATF Form 4473 from the transferee prior to transferring a firearm.	Unable to correct.
5.	27 CFR 478.124(c)(3)(i)	Failure of the licensee to verify the identity and the current address of the transferee by examining a valid identification document and/or alternate documentation presented and accurately recording the identification document on the ATF Form 4473, box 20 (a) on form.	The licensee shall record the type of identification document or alternate documentation, the number on the identification document and the complete expiration date of a valid identification document on the ATF Form 4473.	Unable to correct.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Sign the title

Date
10/26/2017

Signature and Title of ATF Officer

(b) (6)

Revised April 2005
Form 2007 04-26 10 07 28 0000

Date
10/26/2017

Copy 1 - Proprietor's Copy

ATF Form 5030.5
Revised April 2005

Report of Violations

Corrected Copy

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Aberdules, Inc.	Street Address 10 Depot Street	City Housatonic	State MA	ZIP Code 01236	County Berkshire	Page <u>2</u> of <u>2</u> Pages
License/Permit/Registry Number (if any) 6-04-003-07-3A-03780		Expiration Date 01/01/2019	Date(s) or Period of Inspection September 11, 2016 through September 11, 2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
6	27 CFR 478.124(c)(3) (iii)	Failure of the licensee to furnish information on the ATF Form 4473 box 20 (c) as indicated by the headings on the form and the instructions on or pertaining to the form, on (b)(3) 112 Pub Form(s).	The licensee shall obtain a properly completed ATF Form 4473 from the transferee prior to transferring a firearm.	Unable to correct.
7	27 CFR 478.124(c)(3) (iv)	Failure of the licensee to record on the ATF Form 4473 information pertaining to the NICS or the appropriate State agency contact for a firearms transfer on (b)(3) ATF Form(s) 4473 box 19.	The licensee shall record the type of identification document and or alternate documentation, the number on the identification document and the complete expiration date of a valid identification document on the ATF Form 4473.	Unable to correct.
8	27 CFR 478.124(c)(4)	Failure of the licensee to record on the ATF Form 4473 information pertaining to the headings on (b)(3) 112 Pub forms. Errors included box 26, 27, 28, 28, 30 being left blank.		
9	27 CFR 478.124(c)(5)	Failure of the licensee to have the transferor sign and/or date on (b)(3) 112 Pub ATF Forms 4473. Errors included box 34 & 35 being left blank.	The licensee shall ensure all required information is recorded accurately.	Unable to correct.
10	27 CFR 478.123(a)	Failure of the licensee to timely and/or accurately records the acquisition of firearms in the manner prescribed by ATF regulations in (b)(3) 112 Pub instances.	The licensee shall ensure all required information is recorded accurately.	Unable to correct.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Sept 2016

Date
10/26/2017

Signature and Title of ATF Officer

(b)(6)

Digitally signed by (b)(6) DN: cn=(b)(6), o=ATF, ou=ATF, email=(b)(6), c=US

Date
10/26/2017

Copy 1 - Proprietor's Copy

ATF 1-4 (Rev. 5-03-05)
Revised April 2005

U.S. DEPARTMENT OF JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS
AND EXPLOSIVES
BUREAU OF ALCOHOL, TOBACCO & FIREARMS
450 MAIN STREET, ROOM 610
HARTFORD, CT 06103
OFFICIAL BUSINESS
PENALTY FOR PRIVATE USE \$300



Mr. Joseph Aberdale
Aberdales Inc.
(d.b.a.) A&J's Sporting Goods
10 Depot Street
Housatonic, MA 01236

E 1363.3

288

SENDER: COMPLETE THIS SECTION		U.S. Postal Service TM CERTIFIED MAIL TM RECEIPT (Domestic Mail Only; No Insurance Coverage Provided)	
<p>1. Article Addressed to:</p> <p>Mr. Joseph Aberdale Aberdales Inc. (d.b.a.) A&J's Sporting Goods 10 Depot Street Housatonic, MA 01236</p>		<p>For delivery information visit our website at www.usps.com</p> <p>OFFICIAL USE</p>	
<p>2. Article Number (Transfer from service label)</p> <p>7012 3050 0000 6997 0818</p>		<p>Postage \$ 1.46</p> <p>Certified Fee 3.35</p> <p>Return Receipt Fee (Endorsement Required) 2.75</p> <p>Restricted Delivery Fee (Endorsement Required)</p> <p>Total Postage & Fees \$ 7.56</p>	
<p>3. Article Description:</p> <p>Mr. Joseph Aberdale Aberdales Inc. (d.b.a.) A&J's Sporting Goods 10 Depot Street Housatonic, MA 01236</p>		<p>Sent To Mr. Joseph Aberdale Aberdales Inc. Street, Apt. No. or PO Box No. (d.b.a.) A&J's Sporting Goods City, State, Zip 10 Depot Street Housatonic, MA 01236</p>	
<p>4. Restricted Delivery? (Extra Fee)</p> <p><input type="checkbox"/> Insured Mail <input type="checkbox"/> Collect on Delivery <input type="checkbox"/> Yes</p>		<p>Postmark Here</p>	

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780

Address: 10 Depot Street
Housatonic, Berkshire County
Massachusetts 01236

Mailing address:
P.O. Box 511
Housatonic, Berkshire County
Massachusetts 01236

1 – Introduction

Inspection Profile:

On September 11, 2017, Industry Operations Investigator (IOI)'s (b) (6) (b) (6) commenced an on-site compliance inspection at the above FFL. IOI's met with Joseph Aberdale identified by a Massachusetts Driver's License (b) (6)

Aberdales, Inc. is a Type 07 Manufacturer of Firearms Other Than Destructive Devices. The time period covered by this inspection is September 11, 2016 through September 11, 2017.

There was no advance notification.

Business Profile:

Aberdales, Inc. is a retail storefront in Housatonic, Massachusetts. In addition to selling firearms, Aberdales sells ammunition, accessories and provides light gunsmithing.

Aberdales is a Type 07 licensee although they have not conducted any manufacturing activities during the inspection nor at any other time. Therefore, they have indicated that they will be applying for a new Type 01 License as this type of license is more suitable to their current business model.

During the inspection period of September 11, 2016 through September 11, 2017, Aberdales acquired approximately (b) (4) acquisitions and (b) (4) dispositions.

Approximate (b) (4) percent of the Licensee's sales are retail (over the counter transfers) and the remaining (b) (4) percent are wholesale dealer to dealer transfers.

With approximately (b) (4) new and (b) (4) used firearms. Also with (b) (4) handgun and (b) (4) long guns.

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780

The Licensee does not conduct business at Gun Shows.

The Licensee maintains its own web page (ajsportinggoods.com) they also conduct sales via gunbroker.com.

There is no off-site storage associated with this FFL.

The licensee does not import firearms.

The licensee does not maintain a Special Occupational Tax Stamp/Manufacturer.

All records and inventory are located on the business premises.

There are no other businesses on the premises.

The primary supplier is (b) (4)

The licensee consented to the inspection outside of their normal business hours (see exhibit 1).

During the inspection period the Licensee continued to operate within local zoning regulations.

Ownership and Control:

Aberdales, Inc. is a Corporation as per the Massachusetts Secretary of State's Corporations Division. Mr. Joseph Aberdale is listed as the President/Treasurer (see exhibit 2).

Joseph Aberdale and Linda Aberdale are both listed as a Responsible Person(s) (RP) on the ATF license, which is reflected correctly in the Federal Licensing System (FLS) information.

Hidden ownership was not detected nor suspected during this inspection. An NCIC query of Joseph Aberdale/Linda Aberdale was conducted and revealed no disqualifying convictions.

Variance:

No variances were in place and none was requested during this inspection. IOI (b) (6) did not observe the need for a variance as a result of this inspection.

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780

2 - Recommendation

Violations Warning Letter No Recall Inspections.

Based upon current ATF Policy, Licensee merits Warning Letter No Recall Inspection recommendation.

The following violation merit's a warning letter as the minimum administrative action.

- (1) 27 CFR 478.123(b) - Failure of the licensee to timely and/or accurately record the disposition of firearms in the manner prescribed by ATF regulations in [REDACTED] instances. Errors included in [REDACTED] instances the licensee failed to record the disposition of a firearm transferred. In [REDACTED] Instance a firearm was not located and a Theft/Loss Report ATF Form 3310.11 was filed on 9/21/2017.

3 - Inspection History

Date of Inspection: 11/19/2010
Type of Inspection: Qualification
UI#: 762055-2010-0043
Inspection Results: Approve Original
Cited Violations: None

Date of Inspection:
Type of Inspection:
UI#:
Inspection Results:
Cited Violations:

4 - Acquisition & Disposition (A&D) Record - Inventory

IOI's completed a full inventory during the inspection which included a comparison of all firearms in inventory to the A&D record.

After the physical inventory was completed a comparison was made to the computerized A& D record and several discrepancies were observed.

There were [REDACTED] firearms in inventory compared to [REDACTED] open dispositions on the A&D record.

There were [REDACTED] discrepancies [REDACTED] were open entries and determined to be dispositions that had not been recorded into the A&D record.

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780

On the remaining discrepancy the licensee was unable to locate disposition documentation. Therefore, requiring the filing on September 21, 2017 an ATF Form 3310.11 Inventory Theft Loss Report/ATF Incident Number [REDACTED] (see exhibit 3) involving [REDACTED] firearm.

The entries were reconciled by reviewing ATF Forms 4473 and commercial invoices which were transferred to other federal firearms licensee's. The licensee made corrections to the A&D record upon discovery of the discrepancy.

Firearms in inventory had proper markings and there were no firearms with obliterated serial numbers. No illegal firearms were discovered.

The A&D record was observed to be in correct format.

The inventory results are as follows:

Open entries in A&D [REDACTED]
Firearms found in physical inventory based on these entries [REDACTED]
Firearms found on the premises that were not entered into the A&D record (Off Book Firearms): 0

The licensee was cited for violations in the A&D record under 27 CFR 478.123(a) and 27 CFR 478.123(b).

Refer to Report of Violations Reference Number 1, 10 Worksheet 1 and exhibit 3.

5 - ATF Forms 4473 – NICS & Other Dispositions

During the inspection period the licensee generated [REDACTED] completed ATF Forms 4473 which were on hand for the inspection period.

IOI [REDACTED] (b) (6) found errors or omissions on [REDACTED] of the Forms 4473 reviewed.

For a demonstrative sample of these errors see (exhibit 4).

All ATF Forms 4473's were reviewed and compared to the A&D bound book with no issues.

The licensee maintains completed forms by inventory number order.

An FFL History Report was queried through the Violent Crime Analysis Branch (VCAB) and eTrace.

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780

Both were reviewed and compared to the ATF Forms 4473 and A&D Record for suspicious or prohibited transactions.

All reviews revealed no suspicious or prohibited purchasers.

As part of the inspection, IOI (b) (6) obtained an audit log from the FBI NICS branch and compared transactions with no issue.

Refer to: Violation 3, Violation 4, Violation 5, Violation 6, Violation 7, Violation 8 Violation 9 and the ATF Form 4473 Review Worksheets.

6 - Multiple Sales

During the inspection period, the FFL submitte (b) (6) multiple sale reports involving (b) (6) handguns.

IOIs discovered that o (b) (6) occasions, the FFL failed to complete and submit a multiple sale report during the inspection period (see exhibit 5). The instance(s) of unreported multiple sales involved (b) (6) firearms.

Refer to: Violation #2, ATF Form 4473 Review Worksheet and exhibit 5.

7 - Suspicious/Prohibited Purchasers

No indicators of straw sales were discovered. No transfers to suspicious or prohibited purchasers were noted.

8 - Trace Activity

There have been not traces involving Aberdales during the period of inspection.

9 - NFA/Importer/Collector/Manufacturer

The license does not deal in NFA, importing and there were no manufacturing during the inspection period.

IOI's verified the yearly filings of the AFMER report.

10 - Referrals

There were no referrals generated from this inspection.

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780

11 - Other

On August 30, 2017, IOI (b) (6) verified that there were no pending matters/concerns with RAC (b) (6) of the Springfield ATF FO.

On November 29, 2017, IOI (b) (6) verified no interest or pending matters in NFORCE for Joseph Aberdale/Linda Aberdale/ Aberdales, Inc. /AJ's Sporting Goods with no issue.

12 - Closing Actions

On September 21, 2017, IOI (b) (6) held a closing conference during the conference an Acknowledgement of Federal Firearms Regulations document was reviewed with Joseph Aberdale at the licensed premises (see exhibit 6). IOI (b) (6) and Aberdale discussed the cited violations, and Aberdale stated that he understood the Report of Violations. Mr. Aberdale explained that he would adjust the internal controls to include a secondary review by a sales person, to prevent further occurrences of the cited violations.

After the closing conference IOI (b) (6) and IOI (b) (6) provided training regarding recordkeeping requirements of 27 CFR 478.

Further, IOI (b) (6) provided the licensee with the following reference materials: FFL Quick Reference and Best Practices Guide and Safety and Security Information for Federal Firearms Licensees (ATF Publication 3317.2). IOI (b) (6) reviewed the ATF Form 4473, Report of Multiple Handgun Sales ATF F 3310.4 and Report of Theft or Losses ATF F 3310.11.

13 - Violations

1. 27 CFR 478.123(a)

Nature of Violation:

Failure of the licensee to timely and/or accurately record the acquisition of firearms in the manner prescribed by ATF regulations in (b) (6) instances.

Licensee's Response:

The licensee responded that they will establish procedures and internal controls to comply with ATF Regulations.

Corrective Action:

The licensee was counseled regarding the importance of recording accurate and timely information on all required forms ensuring they are filed appropriately and timely.

See Worksheet 1 (ATF A&D Review Worksheet).

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780

2. **27 CFR 478.126a**

Nature of Violation:

Failure of the licensee to complete and submit the ATF Form F 3310.4 Report of Multiple Sale of Pistols or Revolvers by the close of business on the day the transaction in [REDACTED] instances involving [REDACTED] firearms.

Licensee's Response:

The licensee responded that they will establish procedures and internal controls to comply with ATF Regulations.

Corrective Action:

The licensee shall complete and submit a multiple handgun sale form (ATF F3310.4) by the close of business on the day of the transaction.

See Worksheet 2 (ATF Form 4473 Review Worksheets) and exhibit 5.

3. **27 CFR 478.21a**

Nature of Violation:

Failure of the licensee to furnish information on the ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form, on [REDACTED] form(s).

Licensee's Response:

The licensee responded that they will establish procedures and internal controls to comply with ATF Regulations.

Corrective Action:

The licensee shall ensure all required information is provided in accurately.

See Worksheet 2 (ATF Form 4473 Review Worksheets) and exhibit 4.

4. **27 CFR 478.124(c)(1)**

Nature of Violation:

Failure of the licensee to obtain a properly completed ATF Form 4473 from the transferee on [REDACTED] forms.

Licensee's Response:

The licensee responded that they will establish procedures and internal controls to comply with ATF Regulations.

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780


Corrective Action:

The licensee shall obtain a properly completed ATF Form 4473 from the transferee prior to transferring a firearm.

See Worksheet 2 (ATF Form 4473 Review Worksheets) and exhibit 4.

5. **27 CFR 478.124(c)(3)(i)**

Nature of Violation:

Failure of the licensee to verify the identity and the current address of the transferee by examining a valid identification document and/or alternate documentation presented and accurately recording the identification document on the ATF Form 4473, on  forms.

Licensee's Response:

The licensee responded that they will establish procedures and internal controls to comply with ATF Regulations.


Corrective Action:

The licensee shall record the type of identification document and or alternate documentation, the number on the identification document and the complete expiration date of a valid identification document on the ATF Form 4473.

See Worksheet 2 (ATF Form 4473 Review Worksheets) and exhibit 4.

6. **27 CFR 478.124(c)(3)(iv)**

Nature of Violation:

Failure of the licensee to record on the ATF Form 4473 information pertaining to the NICS or the appropriate State agency contact for a firearms transfer on  ATF Form(s) 4473.

Licensee's Response:

The licensee responded that they will establish procedures and internal controls to comply with ATF Regulations.

Corrective Action:

The licensee shall record all information pertaining to the NICS system required on the ATF Form 4473.

See Worksheet 2 (ATF Form 4473 Review Worksheets) and exhibit 4.

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780

7. **27 CFR 478.124(c)(4)**

Nature of Violation:

Failure of the licensee to record on the ATF Form 4473 information pertaining to the headings on [REDACTED] forms.

Licensee's Response:

The licensee responded that they will establish procedures and internal controls to comply with ATF Regulations.

Corrective Action:

The licensee shall record all required information is recorded accurately.

See Worksheet 2 (ATF Form 4473 Review Worksheets) and exhibit 4.

8. **27 CFR 478.124(c)(5)**

Nature of Violation:

Failure of the licensee to have the transferor sign and/or date on [REDACTED] ATF Forms 4473.

Licensee's Response:

The licensee responded that they will establish procedures and internal controls to comply with ATF Regulations.

Corrective Action:

The licensee shall record all required information is recorded accurately.

See Worksheet 2 (ATF Form 4473 Review Worksheets) and exhibit 4.

9. **27 CFR 478.123(b)**

Nature of Violation:

Failure of the licensee to timely and/or accurately record the disposition of firearms in the manner prescribed by ATF regulations in [REDACTED] instances. Errors included in [REDACTED] instances the licensee failed to record the disposition of a firearm transferred. In [REDACTED] instance a firearm was not located and a Theft/Loss Report ATF Form 3310.11 was filed on 9/21/2017.

Licensee's Response:

The licensee responded that they will establish procedures and internal controls to comply with ATF Regulations.

FIREARMS INSPECTION REPORT

Name: Aberdales, Inc.
Trade Name: A&J Sporting Goods

UI#: 762055-2016-0155
FFL#: 6-04-003-07-9A-03780

Corrective Action:

The licensee was counseled regarding the importance of recording accurate and timely information on all required forms ensuring they are filed appropriately and timely.

See Worksheet 1 (ATF A&D Review Worksheet) and exhibit 3.

X

(b) (6)

Industry Operations Investigator

Index of Worksheets and Exhibits

- (1) Worksheet 1, Inventory and A&D Review;
- (2) Signed Copy of Report of Violations.

Exhibit(s):

1. Consent to Inspect Outside Business Hours;
2. Massachusetts Secretary of State, Corporations Division in re: Aberdales, Inc.;
3. ATF Form 3310.11 Theft/Loss Report filed 9/21/2017;
4. ATF Form 4473 sample of errors in re: Aberdales, Inc.;
5. ATF Form 3310.4 Report of Multiple Sale or Other Disposition in re: Aberdales, Inc.;
6. Notice of Acknowledgement of Federal Regulations.