

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) D & L SHOOTING SUP INC. 3314 West Shore Road Warwick, RI 02886, Kent		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 762055-2016-0118-B1B		
		3. PERMIT/LICENSE NUMBER 605003017F02185	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS 120
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED				
(b)(6) - Assigned Investigator				
(b)(6) - Lead Investigator				
(b)(6) - Assigned Investigator				
(b)(6) - Assigned Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)				
(b)(6), Area Supervisor, 11/25/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS				
CHECK OF TRAFFICKING INDICATORS. HIGH NUMBER OF TRACES WITH SHORT TIME TO CRIME.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	6	NO. OF REFERRALS	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF TECS CHECKS	56	NO. OF TECS HITS	2111 - PER DIEM	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	2112 - P.O.A.	
		\$ VALUE OF TAX DECREASES	2113 - COMM. AIR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	2114 - RENTAL CAR	
NO. OF CLAIMS		\$ VALUE OF CLAIMS	2115 - GPV EXPENSES	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	2116 - MISC.	
			TOTAL \$ FOR INSP.	
11. ATF OFFICER'S RECOMMENDATION				
Submitted by (b)(6) - Industry Operations Investigator				
Submitted on: 04/28/2016				
On February 22, 2016, ATF IOIs (b)(6) initiated a Disposition Emphasis				
firearms compliance inspection of D&L Shooting Supply, Inc., holder of a Type 01 Dealer's license. Owner of D&L, Alan Syslo and his				
son (b)(6) were present for and interviewed during the inspection. In addition to the Type 01 FFL, D&L also holds a (b)(7)(E)				
(b)(7)(E)				
(b)(7)(E)				
This inspection covered the period of February 22, 2016 to February 22, 2016. No advanced notice was provided for this inspection.				
Violts WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
FEB 2016	54.00			
MAR 2016	21.00			
ATF OFFICER'S SUBTOTAL	75.00	ATF OFFICER'S SIGNATURE		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
FEB 2016	49.00			
MAR 2016	44.50			
APR 2016	37.00			
ATF OFFICER'S SUBTOTAL	130.50	ATF OFFICER'S SIGNATURE		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
FEB 2016	52.50			
MAR 2016	36.00			

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) D & L SHOOTING SUP INC. 3314 West Shore Road Warwick, RI 02886, Kent	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 762055-2016-0118-B1B		
	3. PERMIT/LICENSE NUMBER 605003017F02185	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS 120
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
APR 2016	1.00
ATF OFFICER'S SUBTOTAL	89.50
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
FEB 2016	36.00
MAR 2016	24.00
ATF OFFICER'S SUBTOTAL	60.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	355.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

DE inspection completed, onsite end date 4/1/2016. The FFL was cited for six Records and Reports violations. One violation meets the threshold for a Warning Letter, failure to initiate a new NICS check when the transaction is not completed within the 30 day period from the date of the the initial NICS contact.

Recommend Violations Warning Letter only, no recall.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

(b)(6)

(b)(6)

REVIEW DATE

05/02/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor D&L Shooting Supply, Inc.	Street Address 3314 West Shore Road	City Warwick	State RI	ZIP Code 02886	County Kent	Page 1 of 2 Pages
---	--	-----------------	-------------	-------------------	----------------	----------------------

License/Permit/Registry Number (if any) 6-05-003-01-7F-02185	Expiration Date June 1, 2017	Date(s) or Period of Inspection 02/22/2015 - 02/22/2016
---	---------------------------------	--

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27 CFR 478.21(a)	REPEAT OF VIOLATION CITED ON 01/14/2014 Failure to obtain properly completed ATF Forms 4473 in [REDACTED] out of 1990 forms examined. • In [REDACTED] instance, Section B, item 18 was incorrect: both hand gun and long gun was checked off. • In [REDACTED] instances, Section D, item 30a, total number of firearms, was blank. • In [REDACTED] instances, Section D, item 30a, total number of firearms, was incorrect. • In [REDACTED] instance, Section D, item 31, name & address of transferor, was blank. • In [REDACTED] instance, Section D, item 32, FFL#, was blank.	Preventative action discussed.	Corrected immediately.
2	27 CFR 478.102(c)	Failure to initiate a new NICS check when the transaction is not completed within 30 days, in [REDACTED] out of 1990 forms examined. (b)(3) 112 Public Law 55 125 STAT 552	Preventative action discussed.	Corrected immediately.
3	27 CFR 478.124(c)(1)	REPEAT OF VIOLATION CITED ON 01/14/2014 Failure to obtain properly completed ATF Forms 4473 in [REDACTED] out of 1990 forms examined. • In [REDACTED] instance, Section A, item 5 (buyer's weight) was blank. • In [REDACTED] instance, Section A, item 11b, [a]re you under indictment...for a felony... could imprison you for more than one year, was blank. • In [REDACTED] instance, Section A, item 11c, [h]ave you ever been convicted...of a felony...could have imprisoned you for more than one year..., was blank.	Preventative action discussed.	Corrected immediately.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date 4.1.16

Signature and Title of ATF Officer

(b)(6)

Date 4.1.16

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor D&L Shooting Supply, Inc.	Street Address 3314 West Shore Road	City Warwick	State RI	ZIP Code 02886	County Kent	Page 2 of 2 Pages
License/Permit/Registry Number (if any) 6-05-003-01-7F-02185		Expiration Date June 1, 2017	Date(s) or Period of Inspection 02/22/2015 - 02/22/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
3 (cont.)	27 CFR 478.124(c)(1)	<ul style="list-style-type: none"> In (b)(3) 112 instance, Section A, item 11h, [a]re you subject to a court ordered restraining order, was blank. In (b)(3) 112 instances, Section A, item 11k, [a]re you an alien illegally in the United States, was blank. 	Preventative action discussed.	Corrected immediately.
4	27 CFR 478.124(c)(3)(i)	<p>REPEAT OF VIOLATION CITED ON 01/14/2014</p> <p>Failure to obtain properly completed ATF Forms 4473 in (b)(3) 112 out of 1990 forms examined.</p> <ul style="list-style-type: none"> In (b)(3) 112 instance, Section B, item 20a was incomplete; the expiration date of identification year was blank. 	Preventative action discussed.	Corrected immediately.
5	27 CFR 478.124(c)(3)(iv)	<p>REPEAT OF VIOLATION CITED ON 01/14/2014</p> <p>Failure to obtain properly completed ATF Forms 4473 in (b)(3) 112 out of 1990 forms examined.</p> <ul style="list-style-type: none"> In (b)(3) 112 instance, Section B, item 21b was blank; NICS transaction number was not recorded at the time of inspection. In (b)(3) 112 instance, Section B, item 21c was blank; proceed checkbox was not recorded at the time of inspection. 	Preventative action discussed.	Corrected immediately.
6	27 CFR 478.125(e)	<p>Failure to accurately record acquisitions in A&D Record.</p> <ul style="list-style-type: none"> In (b)(3) 112 instances, firearms serial numbers were recorded inaccurately. 	The licensee has made the necessary corrections to the A&D record	Corrected immediately.



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

450 Main St., Rm. 610
Hartford, CT 06103

April 28, 2016^{www.atf.gov}

762055(b)(6)
5300

WARNING LETTER

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Mr. Allen Syslo
D&L Shooting Supply, Inc.
3314 West Shore Road
Warwick, RI 02886

REF: FFL# 6-05-003-01-7F-02185

Dear Mr. Syslo:

During a recent firearms compliance inspection at your business covering the period **February 22, 2015 to February 22, 2016** you were cited for a violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection, is enclosed.

The violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations, and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain, and the business operations you conduct, are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

Mr. Allen Syslo

REF: FFL# 6-05-003-01-7F-02185

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact the Hartford Area Office, at 860-240-3400.

Sincerely,

(b)(6)

Area Supervisor
Hartford Area Office

Enclosure: Report of Violations

cc: Federal Firearms Licensing Center
Hartford Area Office
Director, Industry Operations, Boston Field Division

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Allen Syslo
D&L Shooting Supply
3314 West Shore Rd.
Warwick, RI 02886

COMPLETE THIS SECTION ON DELIVERY

- A. Signature ☒ ☐ Agent
☐ Addressee
- B. Received by (Printed Name) ☐ C. Date of Delivery

- D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type

- ☒ Certified Mail® ☐ Priority Mail Express™
☐ Registered ☒ Return Receipt for Merchandise
☐ Insured Mail ☐ Collect on Delivery

4. Restricted Delivery? (Extra Fee) ☐ Yes ☐ No

2. Article Number

(Transfer from service label)

7012 3050 0000 6997 5097

PS Form 3811, July 2013

Domestic Return Receipt

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Allen Syslo
D&L Shooting Supply
3314 West Shore Rd.
Warwick, RI 02886

COMPLETE THIS SECTION ON DELIVERY

- A. Signature ☒ ☐ Agent
☐ Addressee

- B. Received by (Printed Name) ☐ C. Date of Delivery

- D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type

- ☒ Certified Mail® ☐ Priority Mail Express™
☐ Registered ☒ Return Receipt for Merchandise
☐ Insured Mail ☐ Collect on Delivery

4. Restricted Delivery? (Extra Fee) ☐ Yes ☐ No

2. Article Number

(Transfer from service label)

7012 3050 0000 6997 5097

PS Form 3811, July 2013

Domestic Return Receipt

U.S. Postal Service™ RECEIPT
CERTIFIED MAIL™
(Domestic Mail Only; No Insurance Coverage Provided)

For delivery information visit our website at www.usps.com

OFFICIAL USE

Postage

\$

Certified Fee

Return Receipt Fee
(Endorsement Required)Restricted Delivery Fee
(Endorsement Required)

Total Postage & Fees

\$6.73

Postmark
Here

5/21/16

Allen Syslo
D&L Shooting Supply
3314 W. Shore Rd.
Warwick, RI 02886

PS Form 3800, August 2005

See Reverse for Instructions

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185

Address: 3314 West Shore Road
Warwick, RI 02886

1 - Introduction

Inspection Profile:

On February 22, 2016, ATF IOIs (b) (6) initiated a Disposition Emphasis firearms compliance inspection of D&L Shooting Supply, Inc., holder of a Type 01 Dealer's license. Owner of D&L, Allen Syslo and his son (b) (6) were present for and interviewed during the inspection. In addition to the Type 01 FFL, D&L also holds a Type 29 Dealer of Black Powder License (6-RI-00009). An SEA inspection of the Type 29 license was conducted on 4/29/15. Refer to UI #762055-2015-0029 for more information.

This inspection covered the period of February 22, 2016 to February 22, 2016. No advanced notice was provided for this inspection.

Business Profile:

The licensee operates the largest store front firearms and accessories business in the state of Rhode Island. The shop transfers firearms at the licensed premises and on internet auction sites such as Gunbroker and GunsAmerica. They do not conduct business at gun shows. The shop also provides gunsmithing services to its customers. The licensee does not perform manufacturing, import or NFA activities.

The Type 01 license held by D&L is appropriate for the business activities conducted. Additionally, the FFL holds a RI State Permit to Make Sales at Retail.

During the last 12 months prior to this inspection, the business disposed of approximately (b) (4) firearms and acquired approximately (b) (4). Approximately (b) (4) percent of D&L's sales are retail and the remaining (b) (4) percent is wholesale dealer to dealer business.

New firearms and receivers make up approximately (b) (4) percent of D&L's business. The other (b) (4) percent are used firearms obtained from local residents. Long guns make up approximately (b) (4) percent of the inventory, and the remaining (b) (4) percent are hand guns and receivers.

The licensed premises is located in a commercial building which is zoned "Large Business Mod-94". The building is situated in a suburban setting in

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185

Warwick, RI. According to the Warwick Assessors Office, the building is owned by The Great Outdoors Realty, LLC. Great Outdoor Realty is a registered corporation in RI with three directors: Allen Syslo; (b) (6)

(b) (6)

There is no off-site storage associated with this FFL. However, D&L does store firearms and old ATF 4473s in three steel shipping containers on the property next to the shop. Alan Syslo stated that construction on the shop is scheduled to start in May 2016. This construction will expand the building to give D&L more showroom and storage space. Syslo stated that when construction is complete, the shipping containers will be disposed of and the records and firearms will be moved into the building.

There is no off-site storage associated with this FFL.

Ownership and Control:

The business is a corporation registered in the state of RI, ID# 5781. Allen Syslo is the only corporate officer listed with the state.

Syslo is also the sole RP listed on D&L's FFL.

Syslo was queried in NCIC and NLETS with negative results.

Hidden ownership is not suspected.

Variance:

This FFL holds no variances. The need for a variance was not identified during this inspection.

2 - Recommendation

Violations, WL Only and No Recall Inspection.

3 - Inspection History

Date of Inspection: January 14, 2014
Type of Inspection: Firearms Compliance
UI#: 762030-2013-0214
Inspection Results: Violations, ROV Only, No Recall Inspection

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185

Cited Violations: 478.21(a); 478.102(a); 478.102(a)(2)(ii); 478.124(c)(1); 478.124(c)(3)(i); 478.124(c)(3)(iv); 478.124(c)(5); 27 CFR 478.125(c).

Date of Inspection: August 20, 2009
Type of Inspection: Firearms compliance
UI#: 762030-2009-0422
Inspection Results: No further action
Cited Violations: None

4 - Acquisition & Disposition (A&D) Record - Inventory

Due to the fact that during the last inspection, conducted in 2014 revealed no firearms were discovered not logged out or not logged into the A&D record, and the fact that only [redacted] firearms were found incorrectly recorded in the log [redacted] (incorrect serial numbers), IOIs received authorization from DIO Houchens to conduct a partial inventory. At the time of inspection, there were [redacted] firearms in inventory and [redacted] open dispositions in the A&D record. IOIs verified [redacted] of the [redacted] firearms in D&Ls inventory at the time of inspection [redacted]. No discrepancies were noted.

The A&D record is kept in multiple books dating back to the opening of the shop in the 1970's. Most of the older books have been closed out, but a few open entries remain. IOI [redacted] (b) (6) suggested to Allen Syslo the he should close out the old books and consolidate the old entries into the current books. Syslo stated he would consider that advice. The A&D books are in the proper format, but at the time of inspection did not contain all required information: IOIs discovered that [redacted] firearm serial numbers were incorrectly recorded. Refer to **Violation #6** and the **Reference the ATF Form 4473 Review Worksheets**.

No illegal firearms were discovered during this inspection. IOIs traced [redacted] firearms through the licensee's required records from acquisition through disposition. No discrepancies were discovered.

During this inspection, IOI's queried [redacted] used firearms from the FFL's inventory in the NCIC and ETRACE systems. No hits were returned.

5 - ATF Forms 4473 – NICS & Other Dispositions

D&L had approximately [redacted] ATF 4473's on file for the inspection period. Due to the fact that during the 2014 inspection, errors were only found on [redacted] of the [redacted] forms reviewed [redacted] DIO Houchens approved the IOIs request to conduct a partial review of the 4473s during this inspection. IOIs conducted a random sampling review of [redacted]

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185

of the ATF 4473s on file [REDACTED] IOIs found errors or omissions of [REDACTED] of the Forms 4473 reviewed (approximately [REDACTED] Refer to: **Violation #'s 1, 2, 3, 4, & 5 and the ATF Form 4473 Review Worksheets.**

D&L is conducting transfers to other licensees in the proper manner. During the inspection period, D&L made approximately [REDACTED] dealer to dealer transfers. No discrepancies were noted during the review of dealer to dealer transfers. The licensee made no sales to law enforcement officers using department letterhead, but stated that he is aware of the proper procedure.

The FFL is retaining denied 4473s in a separate file as required.

As part of the inspection process, IOI (b) (6) obtained [REDACTED] audit log from the FBI NICS branch. The log listed [REDACTED] proceed transactions and [REDACTED] denied transactions for the inspection period. IOIs compare [REDACTED] 4473s to the log and discovered no discrepancies.

6 - Multiple Sales

During the inspection period, the FFL submitted [REDACTED] multiple sales reports involving [REDACTED] handguns. The submitted multiple sales forms were compared to the corresponding 4473s. No discrepancies were noted. IOIs discovered no unreported multiple sales during the 4473 review.

7 - Suspicious/Prohibited Purchasers

No indicators of straw sales were discovered. No transfers to suspicious or prohibited purchasers were noted. However [REDACTED] customers failed to answer at least [REDACTED] of the prohibitive questions in section A of the 4473s (**Refer to Violation #3 & Worksheet 2**) and [REDACTED] customer's NICS approval was over 30 days old at the time of the transfer (**Refer to Violation #2 & Worksheet 2**). These [REDACTED] customers were queried in the NCIC system to check for criminal histories: no hits were returned.

8 - Trace Activity

There were [REDACTED] traces involving D&L Shooting during the inspection period. Of the traces [REDACTED] of the firearms involved were sold within the last 12 months. During a review of the trace information received from VCAB, IOIs noted that [REDACTED] traces associated with D&L were unresolved [REDACTED] was still open, and [REDACTED] trace which was listed as successful but did not have purchaser information listed. IOIs resolved all [REDACTED] of these traces and recorded the information on **ATF Traces Perfected During Inspection Worksheets**, which are attached to this report. These worksheets were

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185

emailed to the VCAB on April 28, 2016 by IOI (b) (6). There are no longer unsuccessful traces associated with this FFL.

A query of the Etrace system (Dealer Profile Report) for this dealer lists an average time to crime of 7 years, 8 months.

This FFL has acquired approximately (b) (6) used firearms in the last 12 months, and sold approximately (b) (6).

IOI (b) (6) queried (b) (6) used guns from the licensee's A&D record in NCIC & ETRACE, with (b) (6) returned.

No firearms were reported lost or stolen by the FFL during the inspection period, and no lost or stolen firearms were discovered during this inspection.

9 - NFA/Importer/Collector/Manufacturer

D&L does not conduct any NFA/Importer/Collector/Manufacturer activities.

10 - Referrals

No referrals were generated as a result of this inspection.

11 - Other

The licensee is in compliance with the Youth Handgun Safety Act (poster and notice), secure gun/storage devices, and the Child Safety Lock Act.

Prior to conducting this inspection, IOI (b) (6) received notice from Providence RAC (b) (6) that there were no ongoing criminal investigations or safety issues concerning (b) (6).

NFORCE queries on the business, Syslo and the address were negative.

12 - Closing Actions

On April 1, 2016 IOI (b) (6) held a closing conference with Allen and (b) (6) Syslo at the licensed premises. (b) (6) and the Syslo's discussed the cited violations, and Allen stated that he understood how prevent further occurrences of the cited violations. During the closing conference, IOI (b) (6) explained to Syslo the proper procedures regarding recordkeeping requirements of 27CFR478.

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185

The following forms and information documents were provided to Syslo during the closing conference: current list of ATF phone numbers; "Important Notice to Dealers and Other Participants at this Gun Show"; Report of Multiple Handgun Sales; Sales to Resident/Non-Resident Aliens; Reporting Changes of Address; FFL Best Practices Guide and a FFL Safety & Security Information booklet. IOI (b) (6) reviewed the Acknowledgement of Federal Firearms Regulations with Syslo. Reference **Exhibit 1**.

13 - Violations

1. **27 CFR 478.21(a) - REPEAT OF VIOLATION CITED JANUARY 14, 2014**

Nature of violation:

Failure to obtain properly completed ATF Forms 4473 i (b) (6) ut of (b) (6) forms examined.

- I (b) (6) instance, Section B, item 18 was incorrect: both hand gun and long gun was checked off.
- In (b) (6) instances, Section D, item 30a, total number of firearms, was blank.
- In (b) (6) instances, Section D, item 30a, total number of firearms, was incorrect.
- In (b) (6) instance, Section D, item 31, name and address of transferor, was blank.
- I (b) (6) instance, Section D, item 32, FFL#, was blank.

Licensee's response:

The licensee stated that he will hold a review with his employees to ensure these violations do not re-occur in the future.

Corrective action:

Preventative action discussed.

Reference the ATF Form 4473 Review Worksheets.

2. **27 CFR 478.102(c)**

Nature of violation:

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185

Failure to initiate a new NICS check when the transaction is not completed within 30 days, but of forms examined.

- In instance, licensee failed to initiate new NICS check when transaction not completed within 30 days.

Licensee's response:

The licensee stated that he will hold a review with his employees to ensure these violations do not re-occur in the future.

Corrective action:

Preventative action discussed.

Reference the ATF Form 4473 Review Worksheets.

3. 27 CFR 478.124(c)(1) – REPEAT OF VIOLATION CITED JANUARY 14, 2014

Nature of violation:

Failure to obtain properly completed ATF Forms 4473 but of forms examined.

- In instance, Section A, item 5 (buyer's weight) was blank.
- In instance, Section A, item 11b, [a]re you under indictment...for a felony... could imprison you for more than one year, was blank.
- In instance, Section A, item 11c, [h]ave you ever been convicted...of a felony...could have imprisoned you for more than one year..., was blank.
- In instance, Section A, item 11h, [a]re you subject to a court order restraining you from harassing, stalking, or threatening..., was blank.
- In instances, Section A, item 11k, [a]re you an alien illegally in the U States, was blank.

Licensee's response:

The licensee stated that he will hold a review with his employees to ensure these violations do not re-occur in the future.

Corrective action:

Preventative action discussed.

Reference the ATF Form 4473 Review Worksheets.

4. 27 CFR 478.124(c)(3)(i) – REPEAT OF VIOLATION CITED JANUARY 14, 2014

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185

Nature of violation:

Failure to obtain properly completed ATF Forms 4473 ^{83 Public Law 112-55 (125 Stat. 552)} out of ^{83 Public Law 112-55 (125 Stat. 552)} forms examined.

- I ^{83 Public Law 112-55 (125 Stat. 552)} instance, Section B, item 20a was incomplete; the expiration date of identification year was blank.

Licensee's response:

The licensee stated that he will hold a review with his employees to ensure these violations do not re-occur in the future.

Corrective action:

Preventative action discussed.

Reference the ATF Form 4473 Review Worksheets.

5. **27 CFR 478.124(c)(3)(iv) – REPEAT OF VIOLATION CITED JANUARY 14, 2014**

Nature of violation:

Failure to obtain properly completed ATF Forms 4473 ^{83 Public Law 112-55 (125 Stat. 552)} out of ^{83 Public Law 112-55 (125 Stat. 552)} forms examined.

- I ^{83 Public Law 112-55 (125 Stat. 552)} instance, Section B, item 21b was blank; NICS transaction number was not recorded at the time of inspection.
- I ^{83 Public Law 112-55 (125 Stat. 552)} instance, Section B, item 21c was blank; proceed checkbox was not recorded at the time of inspection.

Licensee's response:

The licensee stated that he will hold a review with his employees to ensure these violations do not re-occur in the future.

Corrective action:

Preventative action discussed.

Reference the ATF Form 4473 Review Worksheets.

6. **27 CFR 478.125(e) REPEAT OF VIOLATION CITED JANUARY 14, 2014**

Nature of violation:

Failure to accurately record acquisitions in A&D Record.

- I ^{83 Public Law 112-55 (125 Stat. 552)} instances, serial number was recorded inaccurately.

Licensee's response:

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185

The licensee stated he will be more careful in the future when entering firearm information in the A&D record.

Corrective action:

The licensee corrected the A&D record by entering the correct serial numbers.

Reference the ATF Form 4473 Review Worksheets.

X

Industry Operations Investigator

Index of Worksheets and Exhibits

ATF Firearms Worksheets

Exhibit 1: Acknowledgement of Federal Firearms Regulations.

ATF Firearms Worknotes

FIREARMS INSPECTION REPORT

Name: D&L Shooting Supply Inc.
Trade Name:

UI#: 762055-2016-0118
FFL#: 6-05-003-01-7F-02185