

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) KENNETH LYMAN STRAIGHT SHOOTERS 99 MAIN STREET JAY, ME 04239, FRANKLIN	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <div style="text-align: center;">762030-2017-0236-B1B</div> 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS <div style="display: flex; justify-content: space-between;"> 601007019H01537 9/30/2017 </div> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)
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6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 01/15/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
 Please conduct a DE compliance inspection. The FFL has not had a compliance inspection since origination. The licensee is associated to FBI Denials. Ensure the firearms were not subsequently transferred to a straw purchaser. Verify SOP to prevent straw purchasers/firearm trafficking.

9. INSPECTION RESULTS			<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	10	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** - Industry Operations Investigator

 Submitted on: 03/22/2017

 Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)			(b)(6)
FEB 2017	22.00		
MAR 2017	8.50		
ATF OFFICER'S SUBTOTAL	30.50	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	30.50		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Corrective and preventative action has been taken and the FFL has been fully educated regarding his responsibilities and it is believed that future compliance can be achieved through a Warning Letter.

NCIC checks were conducted of RP with negative results.

Recommend FLS be updated to reflect that a Warning Letter was issued on 03/31/2017 via Certified Mail #7006 0810 0002 6795 7705. The last inspection date in FLS should now read 02/22/2017.

PII e-mailed to **(b)(6)**, FFLC, on March 31, 2017.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 03/31/2017
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Assignment and Report

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	3. PERMIT/LICENSE NUMBER 601007019H01537	4a. TARGET DATE 9/30/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
10 Causeway Street, Room 791
Boston, MA 02222

www.atf.gov

March 31, 2017

762030: (b)(6)
5400

WARNING LETTER

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Kenneth Lyman
Straight Shooters
99 Main Street
Jay, Maine 04239

REF: FFL #6-01-007-01-9H-01537

Dear Mr. Lyman:

During a recent firearms compliance inspection at your business covering the period February 20, 2016 through February 20, 2017, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection, is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations, and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and ensure the public's safety. It is essential that you comply with all Federal laws and regulations that govern your firearms business. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in this regard.

Mr. Kenneth Lyman
REF: FFL #6-01-007-01-9H-01537

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact me at (b)(6).

Sincerely,

(b)(6)

Area Supervisor
Boston Area Office

Enclosure: Report of Violations

cc: Federal Firearms Licensing Center
Boston Group V
Director, Industry Operations, Boston Field Division

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Kenneth Lyman	Street Address 99 Main St	City Jay	State ME	ZIP Code 04239	County Franklin	Page <u>1</u> of <u>2</u> Pages
License/Permit/Registry Number (if any) 6-01-007-01-9H-01537		Expiration Date 08/01/2019	Date(s) or Period of Inspection 02/20/2016 - 02/20/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken <i>(If not corrected immediately)</i>	Date Corrections to be Made <i>(If not corrected immediately)</i>
1	27 CFR 478.21(a)	The director is authorized to prescribe all forms required by this part. All of the information called in each form shall be furnished as indicated by the heading on the form and the instructions on or pertaining to the form. In (b)(3)(1) instances the purchaser failed to answer question 10a. In (b)(3)(1) instances the licensee indicated they were not the actual purchaser of the firearm on question 11a.	The licensee will ensure that all forms are completed fully and accurately in the future.	Immediately
2	27 CFR 478.102(a)(2)(ii)	For sales or deliveries of firearms after November 30, 1998 a licensed dealer shall not transfer a firearm to a non-licensee without contacting NICS. If a delay response is provided, the licensee shall wait for three business days to elapse before making the transfer. In (b)(3)(1) instance the licensee failed to wait the three business days before transferring the firearm after a delayed response was received.	The licensee will ensure that the delay waiting period is observed in all future transfers.	Immediately
3	27 CFR 478.124(c)(1)	Prior to making an over the counter transfer of a firearm to a non-licensee the licensed dealer shall obtain a Form 4473 containing the information required in 478.124(c)(1). In (b)(3)(1) instances the purchaser failed to provide a full street address. In (b)(3)(1) instance the purchaser failed to answer question 11I. In (b)(3)(1) instances the purchaser failed to date item 17. In (b)(3)(1) instance the purchaser failed to answer question 11k.	The licensee will ensure that all forms are completed fully and accurately in the future.	Immediately
4	27 CFR 478.124(c)(3)(i)	Prior to making an over the counter transfer of a firearm to a non-licensee and after the transferee has executed the form 4473, the licensed dealer shall verify the identity of the transferee by examining the identification document presented. In (b)(3)(1) instances the licensee failed to record a purchaser's identification document, number and expiration date. In (b)(3)(1) instance, the licensee failed to receive a valid alternate document to establish residency.	The licensee will ensure that all forms are completed fully and accurately in the future.	Immediately

I Have Received a Copy of This Report of Violations (*Proprietor's signature and title*)



Date
3/3/17

Signature and Title of ATF Officer

(b)(6)

Date
3/3/2017

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Kenneth Lyman	Street Address 99 Main St	City Jay	State ME	ZIP Code 04239	County Franklin	Page <u>1</u> of <u>2</u> Pages
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License/Permit/Registry Number (if any) 6-01-007-01-9H-01537	Expiration Date 08/01/2019	Date(s) or Period of Inspection 02/20/2016 - 02/20/2017
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
5	27 CFR 478.124(c)(3)(iv)	Prior to making an over the counter transfer of a firearm to a non-licensee and after the transferee has executed the form 4473 the licensee shall comply with the requirements of 478.102 and record on the form the date on which the licensee contacted NICS as well as any response provided by the system, including any identification number. In (b)(3) instances the licensee failed to record the response received by NICS or if the firearm was transferred on a no resolution after a delay.	The licensee will ensure that all forms are completed fully and accurately in the future.	Immediately
6	27 CFR 478.124(c)(5)	Prior to making an over the counter transfer of a firearm to a non-licensee and after the transferee has executed the form 4473 the licensee shall sign and date the form if the licensee does not have reasonable cause to believe the transferee is disqualified from receiving the firearm. On (b)(3) forms the licensee failed to correctly certify the transaction.	The licensee will ensure that all forms are completed fully and accurately in the future.	Immediately
7	27 CFR 478.125(e)	Each licensed dealer shall enter into a record each receipt and disposition of firearms in the format prescribed and in the timeframe outlined in 478.125(e). In (b)(3) instances the licensee failed to enter a serial number in the A&D book. In (b)(3) instances the licensee failed to log a firearm into the A&D book. In (b)(3) instance the licensee failed to log out a firearm after it was transferred.	The licensee will ensure that all A&D entries are completed and all firearms are logged in/out in the future.	Immediately

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OFFICIAL USE

7006 0810 0002 6795 7705
5022 5629 2000 0780 9002



CERTIFIED MAIL™
PLACE STICKER AT TOP OF ENVELOPE TO THE RIGHT
OF THE RETURN ADDRESS, FOLD AT DOTTED LINE

Postage \$	6-01-01537	Postmark Here
Certified Fee		
Return Receipt Fee (Endorsement Required)		2017-0236
Restricted Delivery Fee (Endorsement Required)		
Total Postage & Fees \$		

Sent To Kenneth Lyman
Street, Apt. No., or PO Box No. 99 Main St
City, State, ZIP+4 JAY, MAINE 04239

PS Form 3830, June 2002 See Reverse for Instructions

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Kenneth Lyman
Straight Shooters
99 Main St
JAY, MAINE 04236



9590 9403 0689 5196 3065 07

7006 0810 0002 6795 7705

COMPLETE THIS SECTION ON DELIVERY

A. Signature Agent Addressee
X

B. Received by (Printed Name) C. Date of Delivery

D. Is delivery address different from item 1? Yes No
If YES, enter delivery address below:

3. Service Type

<input type="checkbox"/> Adult Signature	<input type="checkbox"/> Priority Mail Express®
<input type="checkbox"/> Adult Signature Restricted Delivery	<input type="checkbox"/> Registered Mail™
<input checked="" type="checkbox"/> Certified Mail®	<input type="checkbox"/> Registered Mail Restricted Delivery
<input type="checkbox"/> Certified Mail Restricted Delivery	<input type="checkbox"/> Return Receipt for Merchandise
<input type="checkbox"/> Collect on Delivery	<input type="checkbox"/> Return Receipt for Signature Confirmation™
<input type="checkbox"/> Collect on Delivery Restricted Delivery	<input type="checkbox"/> Signature Confirmation™
<input type="checkbox"/> Insured Mail	<input type="checkbox"/> Signature Confirmation Restricted Delivery
<input type="checkbox"/> Insured Mail Restricted Delivery (over \$500)	

FIREARMS INSPECTION REPORT

Name: Kenneth Lyman
Trade Name: The Straight Shooters

UI#: 762030-2017-0236-B1B
FFL#: 6-01-001-01-9H-01537

Address: 99 Main St
Jay, ME 04239

1 - Introduction

Inspection Profile:

On February 20th 2017, Industry Operations Investigator (b) (6) initiated a compliance inspection for the federal firearms licensee Kenneth Lyman d/b/a Straight Shooters. The inspection took place at the business premises address of 99 Main St Jay, ME 04239. Present for the inspection was Kenneth Lyman, identified by his Maine DL (b) (6) who is the owner and sole responsible person on the FFL. Also present for the inspection was (b) (6) Kenneth (b) (6) and an employee of the business.

Special instructions stated that the licensee was associated with FBI NICS denials and to ensure that the licensee is taking measures to prevent straw purchases from occurring. IOI (b) (6) reviewed the denials and subsequent purchases with no apparent straw purchases found. IOI (b) (6) discussed methods to prevent straw purchases with the licensee.

Business Profile:

Kenneth Lyman d/b/a Straight Shooters operates a retail store offering new and used firearms as well as ammunition and various shooting accessories. Lyman also offers scope mounting and bore sighting services. The premises is located in a commercial area of rural Jay, Maine. The shop is located in the bottom floor of a building which also houses Lyman's other business, Ke Appliance. There were approximately (b) (4) firearms acquired and (b) (4) firearms disposed of. Firearms sales are approximately (b) (4) handguns. New firearms account for (b) (4) of sales. The licensee participates in two gunshows, Ancient Ones Gunshow and Norway Gunshow. All sales are retail. Primary suppliers are (b) (4) internet sales are occasionally made via gunbroker.com. A website for the business is not maintained. No other ATF licenses are held or needed. The licensee has held the license since July 17th 2010.

On June 11th 2014, Code Enforcement Officer (b) (6) stated firearms sales are an allowable use from the property.

Ownership and Control:

Kenneth Lyman owns and operates the business as a sole proprietor. No additional responsible persons were added at the time of the inspection. Hidden ownership is not suspected.

FIREARMS INSPECTION REPORT

Name: Kenneth Lyman
Trade Name: The Straight Shooters

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Kenneth Lyman indicated he may wish to incorporate at some point in the future. IOI (b) (6) informed Lyman that if the business incorporated, it would require a new FFL.

Variance:

No variances were held or requested at the time of the inspection.

2 - Recommendation

Viols WL ONLY and No Recall Inspection

3 - Inspection History

Date and Type of Inspection: June 4th 2014 Application
UI#: 762030-2014-0447
Inspection Results: Application Approved
Cited Violations: N/A

4 - Acquisition & Disposition (A&D) Record - Inventory

A 100% inventory was conducted utilizing the acquisition and disposition record to verify each individual firearm in inventory. A total of (b) (6) pen entries were found in the A&D book with (b) (6) firearms found in physical inventory. No theft or loss reports were submitted during the inspection period or as a result of this inspection. IOI (b) (6) trace (b) (6) firearms through the licensee's required records from acquisition through disposition with no discrepancies noted. No trafficking issues were discovered.

There were (b) (6) firearms found to be not timely logged into the A&D record. There was (b) (6) firearm not logged out of the A&D record. There were (b) (6) duplicate entries found. Violation information can be found in **Worksheet 1, Violation 7 and ROV.**

5 - ATF Forms 4473 – NICS & Other Dispositions

There were (b) (6) ATF form 4473s in the prior 12 month period. IOI (b) (6) reviewed 100% of the forms. Firearms were transferred firearms to other licensees properly and did not conduct any sales to law enforcement officers. IOI (b) (6) verified (b) (6) NICS transaction numbers with the NICS audit log. (b) (6) issues was identified.

A 4473 with a corresponding NICS transaction number which was issued on

FIREARMS INSPECTION REPORT

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December 23rd 2016 and appear [REDACTED] the NICS audit log was unable to be located in the required records. There were [REDACTED] NICS transaction numbers for December 23rd 2016. Lyman stated he only sold [REDACTED] guns that day, which IOI (b) (6) [REDACTED] was able to corroborate by checking the A&D book for dispositions occurring on that day. Lyman stated he was put on hold during the initial research of the NICS background check and was disconnected. Lyman stated he called back but was put on hold for over an hour, and the NICS employee was unable to resume under the previous transaction number. A new number was subsequently issues.

During the 4473 review a total of [REDACTED] forms with violations which can be found documented in **Violations 1, 2, 3, 4, 5, 6, Exhibits 1, 2, 3, 4, 5, 6, Worksheet 2 and ROV.**

6 – Multiple Sales

During the inspection period there were [REDACTED] multiple sales reported. IOI (b) (6) [REDACTED] verified all multiple sales against the Violent Crime Analysis Branch FFL Master Query with no discrepancies found.

7 – Suspicious/Prohibited Purchasers

No suspected traffickers were identified.

Accurint checks were conducted on a purchaser identified in **Worksheet 2 and Violation 1** who on [REDACTED] occasions answered no to question 11a. No indicators that the firearms were obtained in a straw purchase could be found. The licensee knows the purchaser and believe the mistakes were due to the purchaser being complacent with the form.

NCIC checks were conducted on [REDACTED] purchasers identified in **Worksheet 2 and Violation 3** that failed to answer all prohibiting questions on the 4473. No prohibiting results were returned.

NLETS checks were conducted to establish Maine state residency for [REDACTED] purchasers of handguns identified in **Worksheet 2 and Violation 4**. In [REDACTED] instance the licensee failed to enter in identification information in item 20a. Maine residency was established with Maine drivers license info returned in NLETS. In [REDACTED] instance the licensee failed to obtain alternate documentation to establish residency. Accurint checks were used to establish property ownership in Maine.

NCIC checks were conducted on a purchaser identified in **Worksheet 2 and Violation 2** who was transferred a firearm before the 3 day period elapsed after

FIREARMS INSPECTION REPORT

Name: Kenneth Lyman
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receiving a delay from NICS. No criminal history was found.

8 - Trace Activity

There were § 87(2)(b) successful traces in the previous 12 month period. There were no unresolved traces.

There were six secondary market guns checked in NCIC. No hits were returned.

9 - NFA/Importer/Collector/Manufacturer

The licensee does not conduct NFA/Importing/Collecting/Manufacturing activities.

10 - Referrals

No referrals were generated as a result of this inspection.

11 - Other

On February 7th 2017, RAC § 87(2)(b) indicated there were no conflicts with criminal enforcement to prevent the inspection from occurring.

Licensee is compliant with the YGSA Poster and locking devices.

IO § 87(2)(b) queried responsible person Kenneth Lyman in NCIC with no criminal history found. FLS showed no additional associations with other ATF licenses or permits. Nforce was queried with negative results.

12 - Closing Actions

On February 22nd 2017, a closing conference was held at the business premises of Kenneth Lyman. Present for the closing conference was IOI § 87(2)(b) owner Kenneth Lyman and Employee § 87(2)(b). During the closing conference IOI § 87(2)(b) discussed the violations found during the inspection. Lyman stated that he had wished he had a compliance inspection earlier because he learned a lot during the process and is confident that he will limit future mistakes.

IOI § 87(2)(b) covered the Acknowledgement of Federal Firearms Regulation with Lyman. Lyman signed and dated the Acknowledgement (**Exhibit 7**) and a copy was given to him for his records.

A copy of the Report of Violations was signed by Lyman and returned on March 3rd 2017.

FIREARMS INSPECTION REPORT

Name: Kenneth Lyman
Trade Name: The Straight Shooters

UI#: 762030-2017-0236-B1B
FFL#: 6-01-001-01-9H-01537

13 - Violations

1. 27 CFR 478.21(a)

Nature of violation:

The director is authorized to prescribe all forms required by this part. All of the information called in each form shall be furnished as indicated by the heading on the form and the instructions on or pertaining to the form. In instances the purchaser failed to answer question 10a. I instances the licensee indicated they were not the actual purchaser of the firearm on question 11a.

Licensee's response: The licensee was not sure how they would have missed that.

Corrective action: The licensee will ensure that all forms are completed fully and accurately in the future.

Exhibit 2, Worksheet 2, ROV

2. 27 CFR 478.102(a)(2)(ii)

Nature of violation:

For sales or deliveries of firearms after November 30, 1998 a licensed dealer shall not transfer a firearm to a non-licensee without contacting NICS. If a delay response is provided, the licensee shall wait for three business days to elapse before making the transfer. I instance the licensee failed to wait the three business days before transferring the firearm after a delayed response was received.

Licensee's response: The licensee was not sure how they would have missed that.

Corrective action: The licensee will ensure that the delay waiting period is observed in all future transfers.

Exhibit 1, Worksheet 2, ROV

3. 27 CFR 478.124(c)(1)

Nature of violation:

Prior to making an over the counter transfer of a firearm to a non-licensee the licensed dealer shall obtain a Form 4473 containing the information required in 478.124(c)(1). I instances the purchaser failed to provide a full street address. In instance the purchaser failed to answer question 11l. I instances the purchaser failed to date item 17. I instance the purchaser failed to answer question 11k.

Licensee's response: The licensee was not sure how they would have missed that.

Corrective action: The licensee will ensure that all forms are completed fully and accurately in the future.

FIREARMS INSPECTION REPORT

Name: Kenneth Lyman
Trade Name: The Straight Shooters

UI#: 762030-2017-0236-B1B
FFL#: 6-01-001-01-9H-01537

Exhibit 3, Worksheet 2, ROV

4. 27 CFR 478.124(c)(3)(i)

Nature of violation:

Prior to making an over the counter transfer of a firearm to a non-licensee and after the transferee has executed the form 4473, the licensed dealer shall verify the identity of the transferee by examining the identification document presented. In ^{63 Public Law 112-55 (125 Stat 552)} instances the licensee failed to record a purchaser's identification document, number and expiration date. In ^{63 Public Law 112-55 (125 Stat 552)} instance, the licensee failed to receive a valid alternate document to establish residency.

Licensee's response: The licensee was not sure how they would have missed that.

Corrective action: The licensee will ensure that all forms are completed fully and accurately in the future.

Exhibit 4, Worksheet 2, ROV

5. 27 CFR 478.124(c)(3)(iv)

Nature of violation:

Prior to making an over the counter transfer of a firearm to a non-licensee and after the transferee has executed the form 4473 the licensee shall comply with the requirements of 478.102 and record on the form the date on which the licensee contacted NICS as well as any response provided by the system, including any identification number. In ^{63 Public Law 112-55 (125 Stat 552)} instances the licensee failed to record the response received by NICS or if the firearm was transferred on a no resolution after a delay.

Licensee's response: The licensee was not sure how they would have missed that.

Corrective action: The licensee will ensure that all forms are completed fully and accurately in the future.

Exhibit 5, Worksheet 2, ROV

6. 27 CFR 478.124(c)(5)

Nature of violation:

Prior to making an over the counter transfer of a firearm to a non-licensee and after the transferee has executed the form 4473 the licensee shall sign and date the form if the licensee does not have reasonable cause to believe the transferee is disqualified from receiving the firearm. Or ^{63 Public Law 112-55 (125 Stat 552)} forms the licensee failed to correctly certify the transaction.

Licensee's response: The licensee was not sure how they would have missed that.

Corrective action: The licensee will ensure that all forms are completed fully and

FIREARMS INSPECTION REPORT

Name: Kenneth Lyman
Trade Name: The Straight Shooters

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FFL#: 6-01-001-01-9H-01537

accurately in the future.

7. **Exhibit 6, Worksheet 2, ROV**
27 CFR 478.125(e)

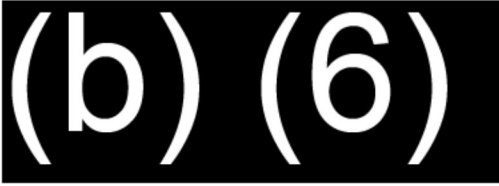
Nature of violation:

Each licensed dealer shall enter into a record each receipt and disposition of firearms in the format prescribed and in the timeframe outlined in 478.125(e). In instances the licensee failed to enter a serial number in the A&D book. In instances the licensee failed to log a firearm into the A&D book. In instance the licensee failed to log out a firearm after it was transferred.

Licensee's response: The licensee was not sure how they forget to make complete of full entries.

Corrective action: The licensee will ensure that all A&D entries are completed and all firearms are logged in/out in the future.

Worksheet 1, ROV

	Industry Operations Investigator	3/3/2017
Signature	Title	Date of Report

Index of Worksheets and Exhibits

ROV

Report of violations

Worksheets

Worksheet 1 – Inventory Discrepancies Worksheet
Worksheet 2 – ATF Form 4473 Review Worksheet

Exhibits

Exhibit 1 – 4473s containing 27 CFR 478.21(a) violations

FIREARMS INSPECTION REPORT

Name: Kenneth Lyman
Trade Name: The Straight Shooters

UI#: 762030-2017-0236-B1B
FFL#: 6-01-001-01-9H-01537

- Exhibit 2 – 4473 containing 27 CFR 478.102(a)(2)(ii)
- Exhibit 3 – 4473s containing 27 CFR 478.124(c)(1) violations
- Exhibit 4 - 4473s containing 27 CFR 478.124(c)(3)(i) violations
- Exhibit 5 - 4473s containing 27 CFR 478.124(c)(3)(iv) violations
- Exhibit 6 - 4473s containing 27 CFR 478.124(c)(5) violations
- Exhibit 7 – Acknowledgement of Federal Firearms Regulations