

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Collectors Coin Gallery Inc, The 89 B Hancock Street Stoneham, MA 02180-2640, Middlesex		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 762030-2016-0069-B1B	
		3. PERMIT/LICENSE NUMBER 604017018M36823	4a. TARGET DATE 9/30/2016
		4b. TARGET HOURS	
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator			
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Acting Area Supervisor, 10/07/2015			
8. PURPOSE/SPECIAL INSTRUCTIONS Please conduct a DE recall inspection after 10/15/15. Field work must be started by 10/15/16.			
9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	
NO. OF VIOLATIONS	9	NO. OF REFERRALS	
NO. OF TECS CHECKS	148	NO. OF TECS HITS	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	
		\$ VALUE OF TAX DECREASES	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	
NO. OF CLAIMS		\$ VALUE OF CLAIMS	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	
		2111 - PER DIEM	
		2112 - P.O.A.	
		2113 - COMM. AIR	
		2114 - RENTAL CAR	
		2115 - GPV EXPENSES	
		2116 - MISC.	
		TOTAL \$ FOR INSP.	
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 03/11/2016 Violations with Warning Letter and No Recall Inspection. DE Recall inspection was completed. Licensed dealer was cited with nine violations. Viols WL ONLY and No Recall Inspection			
12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
NOV 2015		3.00	
DEC 2015		95.00	
JAN 2016		79.00	
FEB 2016		48.00	
MAR 2016		5.00	
ATF OFFICER'S SUBTOTAL		230.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS		230.00	
13. REVIEW AND ROUTING			
REVIEW COMMENTS AND RECOMMENDATION A DE inspection was conducted starting on 12/1/15. Nine violations were cited. Warning letter and no recall was recommended. FLS is accurate. Only one violation warranted a warning letter (b)(3)-P 4473 missing ID. Viols WL ONLY and No Recall Inspection			
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION			
SIGNATURE AND TITLE (b)(6) - Industry Operations Investigator		(b)(6) (b)(6)	REVIEW DATE 03/16/2016

Assignment and Report

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	3. PERMIT/LICENSE NUMBER 604017018M36823	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
10 Causeway Street, Room 791
Boston, MA 02222

www.atf.gov

762030: (b)(6)
5300

March 16, 2016

WARNING LETTER

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Mr. John Gallagher and Mr. William Gallagher
The Collector's Coin Gallery, Inc.
86 B Hancock Street
Stoneham, MA 02180

REF: FFL# 6-04-017-01-8M-36823

Dear Mr. Gallagher and Mr. Gallagher:

During a recent firearms compliance inspection at your business covering the period December 1, 2014, through November 30, 2015, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection, is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Senior Investigator (b)(6). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations, and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain, and the business operations you conduct, are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

Mr. John Gallagher
Mr. William Gallagher
FFL# 6-04-017-01-8M-36823

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact (b)(6), Acting Area Supervisor, at (b)(6).

Sincerely,

(b)(6)

Acting Area Supervisor
Boston Area Office

Enclosure: Report of Violations

cc: Federal Firearms Licensing Center
Boston Group V
Director, Industry Operations, Boston Field Division

7006 0810 0002 6796 1627

U.S. Postal Service™
CERTIFIED MAIL™ RECEIPT
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OFFICIAL USE

Postage	\$	Sent 3-16-16 Postmark Here
Certified Fee		
Return Receipt Fee (Endorsement Required)		
Restricted Delivery Fee (Endorsement Required)		
Total Postage & Fees	\$	

Sent To: *Mr. John Gallagher & Mr. William Gallagher / The Collector's Coin Gallery, Inc.*
 Street, Apt. No., or PO Box No. *86 B Hancock St*
 City, State, ZIP+4 *Stoneham, MA 02180*

PS Form 3800, June 2002 See Reverse for Instructions

SENDER: COMPLETE THIS SECTION	COMPLETE THIS SECTION ON DELIVERY
<ul style="list-style-type: none"> Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired. Print your name and address on the reverse so that we can return the card to you. Attach this card to the back of the mailpiece, or on the front if space permits. 	<p>A. <input checked="" type="checkbox"/> (b)(6) <input type="checkbox"/> Agent <input checked="" type="checkbox"/> Addressee</p> <p>B. Received by (Printed Name) _____ C. Date of Delivery <i>3/18</i></p> <p>D. Is delivery address different from item 1? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If YES, enter delivery address below _____</p>
<p>1. Article Addressed to:</p> <p>Mr. John Gallagher & Mr. William Gallagher The Collector's Coin Gallery, Inc 86 B Hancock St Stoneham, MA 02180</p>	<p>3. Service Type <input checked="" type="checkbox"/> Certified Mail <input type="checkbox"/> Priority Mail Express™ <input type="checkbox"/> Registered <input checked="" type="checkbox"/> Return Receipt for Merchandise <input type="checkbox"/> Insured Mail <input type="checkbox"/> Collect on Delivery</p>
<p>2. Article Number (Transfer from service label)</p>	<p>4. Restricted Delivery (Extra Fee) <input type="checkbox"/> Yes</p>
<p>7006 0810 0002 6796 1627</p>	

PS Form 3811, July 2013 Domestic Return Receipt

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor The Collector's Coin Gallery, Inc	Street Address 89 B Hancock Street	City Stoneham	State MA	ZIP Code 02180	County Middlesex	Page 1 of 4 Pages
License/Permit/Registry Number (if any) Dealer in Firearms License # 6-04-017-01-8M-36823		Expiration Date December 1, 2018	Date(s) or Period of Inspection Period of Inspection: From December 1, 2014 to November 30, 2015			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1.	27 CFR § 478.125(e) - Record of Receipt and Disposition	Nature of Violation - A violation is cited for a failure to accurately record the acquisition and disposition of firearms into the A&D record. In (b)(7)(C) instances, the disposition entry in the A&D record contained errors. In (b)(7)(C) instances, there was no disposition information recorded for a firearm which had been transferred. This is a repeat of a violation cited on July 22, 2009 and on November 6, 2014.	Corrective Action: In future, the licensee will ensure that the required information is entered into the A&D record every time that the business receives or transfers a firearm. The licensee immediately resolved the discrepancy by entering the correct information into the A&D record. Preventive action was discussed with the licensee.	
2.	27 CFR § 478.99(c) - Conduct of Business	Nature of Violation: A violation is cited for the transfer of a firearm to an individual who appeared to be disqualified from possessing and receiving firearms at the time of the transfer. Discrepancies were observed on (b)(7)(C) forms. The licensed dealer retained forms on which the purchaser answered "Yes" to Question 11b. Although the purchaser had certified that he was disqualified from possessing and receiving firearms, the seller completed the firearm transfer.	Corrective Action: In future, the licensee will not transfer firearms to anyone if there is a reason to believe that person may be prohibited from possessing firearms. The licensee will make certain that none of the answers to questions in the purchaser's certification section of the form ATF F 4473 indicate that the purchaser is prohibited from possessing and receiving firearms. Preventive action was discussed with the licensee.	

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date 2-17-16

Signature and Title of ATF Officer

STOI

(b)(6)

Date Feb 17, 2016

ATF E-Form 5070.5
Revised April 2005

Report of Violations

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Name of Proprietor The Collector's Coin Gallery, Inc	Street Address 89 B Hancock Street	City Stoneham	State MA	ZIP Code 02180	County Middlesex	Page 2 of 4 Pages
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License/Permit/Registry Number (if any)	Expiration Date	Date(s) or Period of Inspection
Dealer in Firearms License # 6-04-017-01-8M-36823	December 1, 2018	Period of Inspection: From December 1, 2014 to November 30, 2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
3.	27 CFR § 478.21(a) – Forms Prescribed	Nature of Violation: A violation is cited for a failure to obtain forms ATF F 4473 that were completed as required by the form's instructions. Discrepancies were observed on [REDACTED] forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 11a, 18, 24, 25, 33, and 35. This is a repeat of a violation cited on July 22, 2009 and on November 6, 2014.	Corrective Action: In future, the licensee will ensure that the form ATF F 4473 is completed according to the form's headings and instructions. When necessary, the licensee will ensure that any required corrections are made by the purchaser onto a photocopy of the form ATF F 4473. Preventive action was discussed with the licensee.	
4.	27 CFR § 478.124(c)(1) – Firearms Transaction Record	Nature of Violation: A violation is cited for a failure to obtain forms ATF F 4473 that had Section A completed accurately by the purchaser. Discrepancies were observed on [REDACTED] forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 2, 4, 11b, 11d, 11g, 11h, 11j, 11k, and 17. This is a repeat of a violation cited on July 22, 2009.	Corrective Action: In future, the licensee will ensure that Section A of the form ATF F 4473 is properly completed by the purchaser prior to transferring any firearms. When necessary, the licensee will ensure that any required corrections are made by the purchaser onto a photocopy of the original form ATF F 4473. Preventive action was discussed with the licensee.	
5.	27 CFR § 478.124(c)(3)(i) – Firearms Transaction Record	Nature of Violation: A violation is cited for a failure to properly record the information from the purchaser's identification document onto a form ATF F 4473. Discrepancies were observed on [REDACTED] forms. The licensed dealer had forms on file which contained errors or omissions relating to Question 20a. This is a repeat of a violation cited on July 22, 2009.	Corrective Action: In future, the licensee will ensure that the purchaser displays a government issued identification document. The licensee will ensure that the required information from the purchaser's identification documents is recorded onto a form ATF F 4473. Preventive action was discussed with the licensee.	

Report of Violations

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Name of Proprietor The Collector's Coin Gallery, Inc	Street Address 89 B Hancock Street	City Stoneham	State MA	ZIP Code 02180	County Middlesex	Page 3 of 4 Pages
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License/Permit/Registry Number (if any)	Expiration Date	Date(s) or Period of Inspection
Dealer in Firearms License # 6-04-017-01-8M-36823	December 1, 2018	Period of Inspection: From December 1, 2014 to November 30, 2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
6.	27 CFR § 478.124(c)(3)(iv) – Firearms Transaction Record	Nature of Violation: A violation is cited for a failure to properly record the information from the NICS background check onto a form ATF F 4473. Discrepancies were observed on (b)(3) forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 21a, 21b, 21c, and 21d. This is a repeat of a violation cited on July 22, 2009.	Corrective Action: In future, the licensee will ensure that all required NICS information is recorded onto the form ATF F 4473 prior to transferring any firearms. Preventive action was discussed with the licensee.	
7.	27 CFR § 478.124(c)(4) – Firearms Transaction Record	Nature of Violation – A violation is cited for a failure to accurately record the identifying information for a firearm being transferred onto a form ATF F 4473. Discrepancies were observed on (b)(3) 112 forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 28, 29, and 30. This is a repeat of a violation cited on July 22, 2009.	Corrective Action: In future, the licensee will ensure that all of the firearm's identifying information is accurately recorded on the form ATF F 4473 prior to completing the transfer. When necessary, the licensee will ensure that any required corrections are made onto a photocopy of the original form ATF F 4473. Preventive action was discussed with the licensee.	
8.	27 CFR § 478.124(c)(5) – Firearms Transaction Record	Nature of Violation: A violation is cited for a failure to obtain a form ATF F 4473 that has been signed and dated by the seller. Discrepancies were observed on (b)(3) forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 34 and 36. This is a repeat of a violation cited on July 22, 2009.	Corrective Action: In future, the licensee will ensure the person transferring the firearm signs and dates the form ATF F 4473 prior to completing the transfer. When necessary, the licensee will ensure that any required corrections are made onto a photocopy of the original form ATF F 4473. Preventive action was discussed with the licensee.	

Report of Violations

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Name of Proprietor	Street Address	City	State	ZIP Code	County	Page <u>4</u> of <u>4</u> Pages
The Collector's Coin Gallery, Inc	89 B Hancock Street	Stoneham	MA	02180	Middlesex	

License/Permit/Registry Number (if any)	Expiration Date	Date(s) or Period of Inspection
Dealer in Firearms License # 6-04-017-01-8M-36823	December 1, 2018	Period of Inspection: From December 1, 2014 to November 30, 2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
9.	27 CFR § 478.126a -- Reporting Multiple Sales or Other Disposition of Pistols and Revolvers	Nature of Violation: A violation is cited for a failure to report the multiple purchase of handguns by an unlicensed person. There were ^{(b)(3) 112} discrepancies observed in the business' records. In ^{(b)(3) 112} instances, no Multiple Handgun Sales Report was completed when the licensed dealer sold two or more handguns within a five business day period to the same individual.	Corrective Action: In future, the licensee will ensure that the Report of Multiple Sales is properly completed and submitted whenever an unlicensed person acquires two or more handguns in a five day period. Preventive action was discussed with the licensee.	

FIREARMS INSPECTION REPORT

Narrative

Name: The Collector's Coin Gallery, Inc.
Trade Name:

UI#: 762030-2016-0069-B1B
FFL#: 6-04-017-01-8M-36823

Address: Business Premises: 89 B Hancock Street, Stoneham, MA

1 - Introduction

Inspection Profile:

A compliance inspection was conducted on The Collector's Coin Gallery's business operations as a federally licensed firearms dealer. The inspection commenced on December 1, 2015. The inspection was conducted at the licensed premises. The inspection covered the period of December 1, 2014 to November 30, 2015. John Gallagher, president, was interviewed for this inspection. The inspection was conducted by Senior Investigator (b)(6). No advance notice was provided for this inspection.

Business Profile:

Collector's Coin Gallery operates as a retail seller of firearms. The business sells ammunition, pistols, revolvers, rifles, receivers, and shotguns. The business also operates as a dealer of National Firearms Act weapons. The firearms business' customers are the general public. The business acquires firearms from distributors, such as (b)(4); from other licensed dealers; and from the secondary market. There is a website for this business: ccgguns.com. The firearms business is conducted from a commercial premises within a suburban area. Collector's Coin Gallery has two licenses that have been issued by the Commonwealth of Massachusetts: a firearms dealer's license, # D2001400D, and an ammunition dealer's license, # D1001093I.

Collector's Coin Gallery does not import or export any firearms using this license. The business does not conduct business at gun shows. The business does not sell firearms using internet auction sites. The business does not take in firearms for repair and gunsmith services. The business does not sell black powder. There is no off-site storage. A Type 01 Dealer in Firearms License is appropriate for Collector's Coin Gallery's operations.

A review of the business records revealed that in the past 12 months, the business acquired (b)(4) firearms. A review of the business records revealed that in the past 12 months, the business disposed of (b)(4) firearms. Of the firearms acquired by the business, (b)(4)% were handguns, (b)(4)% were long arms, (b)(4)% were receivers, and (b)(4)% were NFA weapons. Of the firearms acquired by the business, (b)(4)% were new and (b)(4)% were used. The business is comprised of (b)(4)% as retail sales; (b)(4)% as transfers to other federally licensed firearm dealers; and (b)(4)% as NFA firearm sales.

FIREARMS INSPECTION REPORT

Narrative

Name: The Collector's Coin Gallery, Inc.
Trade Name:

UI#: 762030-2016-0069-B1B
FFL#: 6-04-017-01-8M-36823

Ownership and Control:

Collector's Coin Gallery operates as a domestic profit corporation. A review of the Massachusetts corporate database shows that the business is registered. John Gallagher and William Gallagher are the two corporate officers listed for this business. The two corporate officers are the only responsible persons listed for the license. The business has held a federal firearms licensee since November of 1997. No changes have been made to the business structure. There were no indications of hidden ownership.

Variance:

Collector's Coin Gallery has no variances for its operations. The business requested no variances for its operations.

2 - Recommendation

Violations with Warning Letter and No Recall Inspection.

3 - Inspection History

Date of Inspection: October 15, 2014
Type of Inspection: Firearms DE Inspection
UI#: 762030-2012-0065-B1B
Inspection Results: Violations. Warning Letter Only. Recall Inspection,
Cited Violations: Two violations were cited: 27 CFR § 478.125(e) which was a Repeat Violation; and 27 CFR § 478.21(a) which was a Repeat Violation.

4 - Acquisition & Disposition (A&D) Record - Inventory

Collector's Coin Gallery maintains the Records of Acquisitions and Dispositions in a computerized format. The business uses an EXCEL spreadsheet program for the A&D record. The computerized A&D record meets the conditions set forth in ATF Ruling 2013-5 *Requirements to Keep Firearms A&D Records Electronically*. The A&D record is maintained in the format set forth in regulation. Except as noted below, the entries in the A&D record contain the required information. All of the entries were not being made in a timely fashion. No firearm theft or loss reports were filed by the business during the inspection period. No illegal firearms, or firearms with obliterated serial numbers, were observed during the inspection. There was no indication of firearms trafficking. A selection of 25 firearms were traced from acquisition to disposition. No discrepancies were found.

FIREARMS INSPECTION REPORT

Narrative

Name: The Collector's Coin Gallery, Inc.
Trade Name:

UI#: 762030-2016-0069-B1B
FFL#: 6-04-017-01-8M-36823

4 - Acquisition & Disposition (A&D) Record – Inventory (continued)

At the time of inspection there were 858 firearms in the physical inventory. There were (b)(3) Pub open dispositions in the A&D record. A full inventory reconciliation was conducted. Discrepancies were found with two entries in the A&D record, see Firearms Inspection Inventory and A&D Review Worksheet. There were (b)(3) Pub instances where a firearm had been transferred and no disposition entry had been recorded in the A&D record. The business had forms ATF F 4473 on-file which documented the transfer. Collector's Coin Gallery made the necessary corrections to the A&D record.

Licensed firearms dealers are required to record each receipt and transfer of a firearm into the Record of Acquisitions and Dispositions. In (b)(3) Pub instances, the A&D record contained errors. Collector's Coin Gallery is in violation of 27 CFR § 478.125(e) for a failure to record the disposition of firearms into the A&D record. This is a repeat of a violation cited on July 22, 2009 and on November 6, 2014. The information for this infraction can be found in this report under Section 13 - Violations, see Violation 1. The licensed dealer was issued with a Report of Violations which includes this citation, see Violation 1. The Firearms Inspection Inventory and A&D Review Worksheet contains information relating to this violation.

An evaluation of the business' procedures for maintaining the A&D record was conducted through observation and interview. The premises are equipped with a monitored alarm system; motion detectors; and a video surveillance system. John Gallagher, William Gallagher and one other employee are authorized to make entries in the business' A&D record. A review of the licensee's records showed that the business acquired (b)(3) Pub law firearms and had no errors relating to the A&D records' acquisition entries. The business disposed of (b)(3) Pub law firearms and had two errors relating to the A&D records' disposition entries. This is an error rate of (b)(3) Pub law 112-55 125 % . The licensee was advised to make all A&D entries as promptly as possible. The licensee was also advised to verify the information recorded in the A&D record to what is engraved on the firearm.

5 - ATF Forms 4473 – NICS & Other Dispositions

An examination was made of the Firearms Transaction Records that Collector's Coin Gallery had on-file for the inspection period. There were (b)(3) Pub law forms on file for the past year. The business files the forms in numerical order by the form's transaction serial number. The business obtains a certified copy of the FFL when transferring firearms to other licensed dealers. The business has not conducted any firearm transfers using police letterhead authorizations. A NICS audit log containing 330 transactions was obtained. A comparison was made of (b)(3) Pub law NICS transactions by verifying the forms ATF F 4473 to the NICS Audit Log. There were no discrepancies found. There were two transactions that were denied by NICS within the past year.

The Firearm Transaction Records were examined for completeness and accuracy. All (b)(3) Pub law forms that the business had on file were reviewed.

FIREARMS INSPECTION REPORT

Narrative

Name: The Collector's Coin Gallery, Inc.
Trade Name:

UI#: 762030-2016-0069-B1B
FFL#: 6-04-017-01-8M-36823

5 - ATF Forms 4473 – NICS & Other Dispositions (continued)

This examination revealed (b)(3) Pub forms containing errors, see Firearm Inspection Worksheet ATF Form 4473 Review.

Licensed firearms dealers are prohibited from transferring a firearm to any person who the seller has reasonable cause to believe is disqualified from possessing and receiving firearms. There were (b)(3) Pub forms observed which were answered "Yes" for Question 11b. Collector's Coin Gallery is in violation of 27 CFR § 478.99(c) for transferring a firearm to an individual who appeared to be prohibited from acquiring firearms. Criminal history checks were conducted on both individuals. No prohibitive information was found. The information for this infraction can be found in this report under Section 13 - Violations, see Violation 2. The licensed dealer was issued with a Report of Violations which includes this citation, see Violation 2. The Firearms Inspection ATF Form 4473 Review Worksheet contains information relating to this violation. Copies were obtained of (b)(3) Pub forms ATF F 4473 relating to this violation; see Exhibit 1.

Licensed firearms dealers are required to ensure that the forms ATF F 4473 have been completed as required by the form's directions and headings. There were (b)(3) Pub forms observed which contained errors or omissions relating to Questions 11a, 18, 24, 25, 33, and 35. Collector's Coin Gallery is in violation of 27 CFR § 478.21(a) for a failure to obtain forms ATF F 4473 that contained all of the information required by the form's instructions. This is a repeat of a violation cited on July 22, 2009 and on November 6, 2014. The information for this infraction can be found in this report under Section 13 - Violations, see Violation 3. The licensed dealer was issued with a Report of Violations which includes this citation, see Violation 3. The Firearms Inspection ATF Form 4473 Review Worksheet contains information relating to this violation. Copies were obtained of (b)(3) Pub forms ATF F 4473 relating to this violation; see Exhibit 2.

Licensed firearms dealers are required to ensure that the purchaser has accurately and completely recorded all required information in Section A of the form ATF F 4473. There were (b)(3) Pub forms observed which contained errors or omissions relating to Questions 2, 4, 11b, 11d, 11g, 11h, 11j, 11k, and 17. Collector's Coin Gallery is in violation of 27 CFR § 478.124(c)(1) for a failure to obtain Firearm Transaction Records that contained all of the information required to be completed by the purchaser. This is a repeat of a violation cited on July 22, 2009. The information for this infraction can be found in this report under Section 13 - Violations, see Violation 4. The licensed dealer was issued with a Report of Violations which includes this citation, see Violation 4. The Firearms Inspection ATF Form 4473 Review Worksheet contains information relating to this violation. Copies were obtained of (b)(3) Pub forms ATF F 4473 relating to this violation; see Exhibit 3.

Licensed firearms dealers are required to accurately record the information from the purchaser's identification document onto a form ATF F 4473.

FIREARMS INSPECTION REPORT

Narrative

Name: The Collector's Coin Gallery, Inc.
Trade Name:

UI#: 762030-2016-0069-B1B
FFL#: 6-04-017-01-8M-36823

5 - ATF Forms 4473 – NICS & Other Dispositions (continued)

There were (b)(3) forms observed which contained errors or omissions relating to Question 20a. Collector's Coin Gallery is in violation of 27 CFR § 478.124(c)(3)(i) for a failure to record all of the required information from the purchaser's identification document. This is a repeat of a violation cited on July 22, 2009. The information for this infraction can be found in this report under Section 13 - Violations, see Violation 5. The licensed dealer was issued with a Report of Violations which includes this citation, see Violation 5. The Firearms Inspection ATF Form 4473 Review Worksheet contains information relating to this violation. Copies were obtained of (b)(3) forms ATF F 4473 relating to this violation; see Exhibit 4.

Licensed firearms dealers are required to accurately record the NICS information for each background check onto a form ATF F 4473. There were (b)(3) forms observed which contained errors or omissions relating to Questions 21a, 21b, 21c, and 21d. Collector's Coin Gallery is in violation of 27 CFR § 478.124(c)(3)(iv) for a failure to record all of the information relating to the NICS background check. This is a repeat of a violation cited on July 22, 2009. The information for this infraction can be found in this report under Section 13 - Violations, see Violation 6. The licensed dealer was issued with a Report of Violations which includes this citation, see Violation 6. The Firearms Inspection ATF Form 4473 Review Worksheet contains information relating to this violation. Copies were obtained of (b)(3) forms ATF F 4473 relating to this violation; see Exhibit 5.

Licensed firearms dealers are required to record the identifying information for each firearm transferred onto a form ATF F 4473. There were (b)(3) forms observed which contained errors or omissions relating to Questions 28, 29 and 30. Collector's Coin Gallery is in violation of 27 CFR § 478.124(c)(4) for a failure to record all of the required identifying information for the firearm being transferred. This is a repeat of a violation cited on July 22, 2009. The information for this infraction can be found in this report under Section 13 - Violations, see Violation 7. The licensed dealer was issued with a Report of Violations which includes this citation, see Violation 7. The Firearms Inspection ATF Form 4473 Review Worksheet contains information relating to this violation. A copy was obtained of (b)(3) form ATF F 4473 relating to this violation; see Exhibit 6.

Licensed firearms dealers are required to sign and date each form ATF F 4473 prior to transferring a firearm. There were (b)(3) forms observed which contained errors relating to Questions 34 and 36. Collector's Coin Gallery is in violation of 27 CFR § 478.124(c)(5) for a failure to obtain a form ATF F 4473 that had been properly signed and dated by the seller. This is a repeat of a violation cited on July 22, 2009. The information for this infraction can be found in this report under Section 13 - Violations, see Violation 8. The licensed dealer was issued with a Report of Violations which includes this citation, see Violation 8. The Firearms Inspection ATF Form 4473 Review Worksheet contains information relating to this violation. Copies were obtained of (b)(3) forms ATF F 4473 relating to this violation; see Exhibit 7.

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5 - ATF Forms 4473 – NICS & Other Dispositions (continued)

An evaluation of the business' procedures for executing and filing the forms ATF F 4473 was conducted through observation and interview. A review of the forms revealed (b)(3) Pub law different people had signed the forms as seller. The business files the forms in numerical order by the form's transaction serial number. The business verifies the identity by checking the purchaser's State-issued firearms license. The business uses both the telephone and the E-NICS system to initiate background checks. The business had (b)(3) Pub law forms ATF F 4473 on file for the inspection period; and errors were discovered on (b)(3) Pub law forms. This is an error rate of (b)(3) Pub law %. The licensee was advised to ensure that all forms ATF F 4473 are reviewed for completeness and accuracy. The licensee was advised to have someone review the forms ATF F 4473 in order to ensure that all multiple handgun sales are being properly reported.

6 - Multiple Sales

A Crime Gun Analysis Branch FFL Master Query was obtained for Collector's Coin Gallery's firearms business. The Master Query and an eTrace report show that the business filed (b)(3) Pub law Multiple Handgun Sales Reports during the inspection period. A review of the forms ATF F 4473 and the A&D record revealed (b)(3) Pub law unreported multiple handgun sales involving (b)(3) Pub law firearms.

Licensed firearms dealers are required to execute a Multiple Handgun Sales Report when selling two or more handguns to the same individual within a five day period. In (b)(3) Pub law instances the dealer conducted a multiple handgun sale and did not complete the form. Collector's Coin Gallery is in violation of 27 CFR § 478.126a for a failure complete the Report of Multiple Handgun Sales. The information for this infraction can be found in this report under Section 13 - Violations, see Violation 9. The licensed dealer was issued with a Report of Violations which includes this citation, see Violation 9. The Firearms Inspection ATF Form 4473 Review Worksheet contains information relating to this violation. Copies were obtained of (b)(3) Pub law forms ATF F 4473 relating to this violation; see Exhibit 8. The business filed Multiple Handgun Sales Reports to notify the National Tracing Center of these (b)(3) Pub law transactions. The business provided copies of these reports, see Exhibit 9.

7 - Suspicious/Prohibited Purchasers

An examination was conducted of the business records in order to identify any suspicious firearms purchasers or indicators of firearms trafficking. Due to errors contained on the forms ATF F 4473, criminal history checks were conducted on (b)(3) Pub law persons. Criminal history queries were conducted on the two responsible persons listed for this license. There were no hits for the individuals queried. (b)(3) Pub law 112-55 125 Stat firearms involved in suspicious transactions were queried to determine if they had been traced or reported stolen. There were no hits for the firearms queried.

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8 - Trace Activity

A Crime Gun Analysis Branch FFL Master Query was obtained for Collector's Coin Gallery's firearms business. The query showed (b)(3) successful trace requests for the business in the past 12 months. The business had zero unsuccessful traces in the past year. During the previous 12 months, the business acquired (b)(3) used firearms from the secondary market. A selection of (b)(3) used firearms was queried to determine if they had been traced or stolen. There were no hits returned.

9 - NFA/Importer/Collector/Manufacturer

Collector's Coin Gallery does not import or export firearms. The business has no activity as a collector of curios and relics. The business does not operate as a manufacturer of firearms or ammunition. The business operates as a dealer of NFA weapons. In the past 12 months, Collector's Coin Gallery has acquired (b)(3) machine guns and has transferred (b)(3) machine guns. The business' records related to NFA firearms were reviewed. No discrepancies were observed.

10 - Referrals

No referrals were made for this inspection.

11 - Other

Collector's Coin Gallery had the federal firearms license posted on the wall of the gun shop. The business has a copy the Youth Handgun Safety Act Poster and the Youth Handgun Safety Act pamphlets available. Firearms secure storage or safety devices were available. The Group Supervisor for the Boston Group VI was notified in advance of this inspection.

12 - Closing Actions

A closing conference was held with John Gallagher, president, at the business premise on January 28, 2016. Present for the ATF at the closing conference was SIOI (b)(6). Federal firearms regulations were reviewed. The importance of keeping complete and accurate records was stressed. The procedures to properly execute a form ATF F 4473 and to properly record the receipt and transfer of firearms in the A&D record were reviewed. The procedures for properly reporting multiple handgun sales were reviewed. The business was provided with a copy of the Acknowledgement of Federal Firearms Regulations. John Gallagher signed and returned one copy of the Acknowledgement of Federal Firearms Regulations, see Exhibit 10.

The inspection of Collector's Coin Gallery revealed instances where the business failed to comply with the regulations that pertain to the operations of a licensed firearms dealer.

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12 - Closing Actions (continued)

The licensed business was cited for nine violations of federal firearms regulations. On February 17, 2016, John Gallagher, president, signed and returned one copy of the Report of Violations, which is attached to this report.

13 - Violations

1. 27 CFR § 478.125(e) – Record of Receipt and Disposition

This is a repeat of a violation cited on July 22, 2009 and on November 6, 2014.

Nature of Violation – A violation is cited for a failure to accurately record the acquisition and disposition of firearms into the A&D record. In (b)(3) Pub. instances, the disposition entry in the A&D record contained errors. In (b)(3) Pub. instances, there was no disposition information recorded for a firearm which had been transferred.

Licensee Response: John Gallagher stated that these transactions were accidentally overlooked. He said that the business had corrected the A&D book to document the transfers. The business provided two forms ATF F 4473 which documented the transfer of each of these firearms. The disposition information was entered into the A&D record for both of these transactions.

Corrective Action: In future, the licensee will ensure that the required information is entered into the A&D record every time that the business receives or transfers a firearm. The licensee immediately resolved the discrepancy by entering the correct information into the A&D record. Preventive action was discussed with the licensee.

References:

Narrative Report, Section 4 –Acquisition & Disposition (A&D) Record – Inventory
Firearms Inspection Inventory and A&D Review Worksheet
Report of Violations – Violation 1

2. 27 CFR § 478.99(c) – Conduct of Business

Nature of Violation: A violation is cited for the transfer of a firearm to an individual who appeared to be disqualified from possessing and receiving firearms at the time of the transfer. Discrepancies were observed on (b)(3) Pub. forms. The licensed dealer retained forms on which the purchaser answered “Yes” to Question 11b. Although the purchaser had certified that he was disqualified from possessing and receiving firearms, the seller completed the firearm transfer.

Licensee Response: John Gallagher stated that there were times when the store would get busy. During those times, it was difficult to spend the time necessary to thoroughly review the forms. Because of this an error might be missed. The business is much more careful now when the forms are being reviewed.

Corrective Action: In future, the licensee will not transfer firearms to anyone if there is a reason to believe that person may be prohibited from possessing firearms.

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13 – Violations (continued)

The licensee will make certain that none of the answers to questions in the purchaser's certification section of the form ATF F 4473 indicate that the purchaser is prohibited from possessing and receiving firearms. Preventive action was discussed with the licensee.

References:

Narrative Report, Section 5 – ATF Forms 4473 – NICS & Other Dispositions
Firearms Inspection ATF Form 4473 Review Worksheet
Report of Violations – Violation 2
Exhibit 1 – Forms ATF F 4473 With Violations of 27 CFR § 478.99(c)

3. **27 CFR § 478.21(a)** – *Forms Prescribed*

This is a repeat of a violation cited on July 22, 2009 and on November 6, 2014.

Nature of Violation: A violation is cited for a failure to obtain forms ATF F 4473 that were completed as required by the form's instructions. Discrepancies were observed on [REDACTED] forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 11a, 18, 24, 25, 33, and 35.

Licensee Response: John Gallagher stated that the store's employees no longer just glance at the form, but review it line by line. The business is making sure that the forms are properly completed.

Corrective Action: In future, the licensee will ensure that the form ATF F 4473 is completed according to the form's headings and instructions. When necessary, the licensee will ensure that any required corrections are made by the purchaser onto a photocopy of the form ATF F 4473. Preventive action was discussed with the licensee.

References:

Narrative Report, Section 5 – ATF Forms 4473 – NICS & Other Dispositions
Firearms Inspection ATF Form 4473 Review Worksheet
Report of Violations – Violation 3
Exhibit 2 – Forms ATF F 4473 With Violations of 27 CFR § 478.21(a)

4. **27 CFR § 478.124(c)(1)** – *Firearms Transaction Record*

This is a repeat of a violation cited on July 22, 2009.

Nature of Violation: A violation is cited for a failure to obtain forms ATF F 4473 that had Section A completed accurately by the purchaser. Discrepancies were observed on [REDACTED] forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 2, 4, 11b, 11d, 11g, 11h, 11j, 11k, and 17.

Licensee Response: John Gallagher stated that there were times when the store would get busy. During those times, it was difficult to spend the time necessary to thoroughly review the forms. Because of this an error might be missed. The business is much more careful now when the forms are being reviewed.

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13 – Violations (continued)

Corrective Action: In future, the licensee will ensure that Section A of the form ATF F 4473 is properly completed by the purchaser prior to transferring any firearms. When necessary, the licensee will ensure that any required corrections are made by the purchaser onto a photocopy of the original form ATF F 4473. Preventive action was discussed with the licensee.

References:

Narrative Report, Section 5 – ATF Forms 4473 – NICS & Other Dispositions
Firearms Inspection ATF Form 4473 Review Worksheet
Report of Violations – Violation 4
Exhibit 3 – Forms ATF F 4473 With Violations of 27 CFR § 478.124(c)(1)

5. **27 CFR § 478.124(c)(3)(i)** – *Firearms Transaction Record*

This is a repeat of a violation cited on July 22, 2009.

Nature of Violation: A violation is cited for a failure to properly record the information from the purchaser's identification document onto a form ATF F 4473. Discrepancies were observed on (b)(3) forms. The licensed dealer had forms on file which contained errors or omissions relating to Question 20a.

Licensee Response: John Gallagher stated that there were times when the store would get busy. During those times, it was difficult to spend the time necessary to thoroughly review the forms. Because of this an error might be missed. The business is much more careful now when the forms are being reviewed.

Corrective Action: In future, the licensee will ensure that the purchaser displays a government issued identification document. The licensee will ensure that the required information from the purchaser's identification documents is recorded onto a form ATF F 4473. Preventive action was discussed with the licensee.

References:

Narrative Report, Section 5 – ATF Forms 4473 – NICS & Other Dispositions
Firearms Inspection ATF Form 4473 Review Worksheet
Report of Violations – Violation 5
Exhibit 4 – Forms ATF F 4473 With Violations of 27 CFR § 478.124(c)(3)(i)

6. **27 CFR § 478.124(c)(3)(iv)** – *Firearms Transaction Record*

This is a repeat of a violation cited on July 22, 2009.

Nature of Violation: A violation is cited for a failure to properly record the information from the NICS background check onto a form ATF F 4473. Discrepancies were observed on (b)(3) forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 21a, 21b, 21c, and 21d.

Licensee Response: John Gallagher stated that now the store thoroughly reviews all of the forms.

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13 – Violations (continued)

The employees do not just glance at the form, but look at the form line by line. The business is checking everything on the forms to make sure that they are completed properly.

Corrective Action: In future, the licensee will ensure that all required NICS information is recorded onto the form ATF F 4473 prior to transferring any firearms. Preventive action was discussed with the licensee.

References:

Narrative Report, Section 5 – ATF Forms 4473 – NICS & Other Dispositions
Firearms Inspection ATF Form 4473 Review Worksheet
Report of Violations – Violation 6
Exhibit 5 – Forms ATF F 4473 With Violations of 27 CFR § 478.124(c)(3)(iv)

7. **27 CFR § 478.124(c)(4) – *Firearms Transaction Record***

This is a repeat of a violation cited on July 22, 2009.

Nature of Violation – A violation is cited for a failure to accurately record the identifying information for a firearm being transferred onto a form ATF F 4473. Discrepancies were observed on [REDACTED] forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 28, 29, and 30.

Licensee Response – John Gallagher stated that now the store thoroughly reviews all of the forms. The employees do not just glance at the form, but look at the form line by line. The business is checking everything on the forms to make sure that they are completed properly.

Corrective Action: In future, the licensee will ensure that all of the firearm's identifying information is accurately recorded on the form ATF F 4473 prior to completing the transfer. The licensee made the necessary corrections to the forms containing errors. Preventive action was discussed with the licensee.

References:

Narrative Report, Section 5 – ATF Forms 4473 – NICS & Other Dispositions
Firearms Inspection ATF Form 4473 Review Worksheet
Report of Violations – Violation 7
Exhibit 6 – Forms ATF F 4473 With Violations of 27 CFR § 478.124(c)(4)

8. **27 CFR § 478.124(c)(5) – *Firearms Transaction Record***

This is a repeat of a violation cited on July 22, 2009.

Nature of Violation: A violation is cited for a failure to obtain a form ATF F 4473 that has been signed and dated by the seller. Discrepancies were observed on [REDACTED] forms. The licensed dealer had forms on file which contained errors or omissions relating to Questions 34 and 36.

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13 – Violations (continued)

Licensee Response: John Gallagher stated that now the store thoroughly reviews all of the forms. The employees do not just glance at the form, but look at the form line by line. The business is checking everything on the forms to make sure that they are completed properly.

Corrective Action: In future, the licensee will ensure the person transferring the firearm signs and dates the form ATF F 4473 prior to completing the transfer. When necessary, the licensee will ensure that any required corrections are made onto a photocopy of the original form ATF F 4473. Preventive action was discussed with the licensee.

References:

Narrative Report, Section 5 – ATF Forms 4473 – NICS & Other Dispositions
Firearms Inspection ATF Form 4473 Review Worksheet
Report of Violations – Violation 7
Exhibit 7 – Forms ATF F 4473 With Violations of 27 CFR § 478.124(c)(5)

9. **27 CFR § 478.126a** – *Reporting Multiple Sales or Other Disposition of Pistols and Revolvers*

Nature of Violation: A violation is cited for a failure to report the multiple purchase of handguns by an unlicensed person. There were (b)(3) (Publ) discrepancies observed in the business' records. In (b)(3) (Publ) instances, no Multiple Handgun Sales Report was completed when the licensed dealer sold two or more handguns within a five business day period to the same individual.

Licensee Response: John Gallagher stated that there were instances when the buyer did not like a firearm and would return it within a few days of acquiring it. That individual would then get another firearm. Since the person returned one firearm, the store did not think it needed to file the Multiple Handgun Sales Report. The business has now submitted a Multiple Handgun Sales Report for each of the transactions referenced in the Report of Violations.

Corrective Action: In future, the licensee will ensure that the Report of Multiple Sales is properly completed and submitted whenever an unlicensed person acquires two or more handguns in a five day period. Preventive action was discussed with the licensee.

References:

Narrative Report, Section 6 – Multiple Sales
Firearms Inspection Inventory and A&D Review Worksheet
Report of Violations – Violation 9
Exhibit 8 – Forms ATF F 4473 With Violations of 27 CFR § 478.126a
Exhibit 9 – Multiple Handgun Sales Report

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(b)(6)

Senior Industry Operations Investigator

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