

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Corner Pawn & Auto Sales, LLC 608 N Wall Street Calhoun, GA 30701, Gordon	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <p style="text-align: center;">760560-2016-0128-B1B</p> 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS <p style="text-align: center;">158129027H04913 9/23/2016</p> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)
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6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 01/20/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
 The inspection should be conducted in accordance with the "Firearms Disposition Emphasis Inspection Quick Reference Guide" and the Industry Operations Coding Guidelines.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	12	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	10	NO. OF TECS HITS	1	2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** - Industry Operations Investigator

 Submitted on: 06/20/2016

 Viols WC with WL and Recall

12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
MAY 2016	60.00
JUN 2016	7.00
ATF OFFICER'S SUBTOTAL	67.00
TOTAL HOURS	67.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
 A total of 12 violations were disclosed, one of which warrants a warning conference in that the licensee failed to conduct a NICS check or obtain an alternative permit and the person was not prohibited in ⁽⁹⁾ instance where an expired carry permit was accepted. The remaining violations either warrant a warning letter or no administrative action. Although this is the first compliance inspection, a warning conference is recommended due to the number of violations and to ensure future compliance.

Viols WC with WL and Recall

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 06/23/2016
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Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Corner Pawn & Auto Sales, LLC 608 N Wall Street Calhoun, GA 30701, Gordon	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <p style="text-align: center;">760560-2016-0128-B1B</p> 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS <p style="text-align: center;">158129027H04913 9/23/2016</p> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)
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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

The investigation disclosed a total of 12 violations of which six required a warning letter involving violations 27 CFR 478.21(a); 27 CFR 478.102(a)(1); 27 CFR 478.99(c)(1); 27 CFR 478.99(c)(9); 27 CFR 478.99(c)(6); 27 CFR 478.124(c)(3)(i); 27 CFR 478.126a. This is the FFLs first compliance investigation.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE

DDMITCHEL2 - Director, Industry Operations



Digitally signed by DIANA MITCHELL
 DN: c=US, o=U.S. Government, ou=Dept of
 Justice, ou=ATF, cn=DIANA MITCHELL,
 0.9.2342.19.200300.100.1.1=150010012838
 10
 Date: 2016.06.29 08:49:29 -0400

REVIEW DATE

06/27/2016

ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Corner Pawn & Auto Sales, LLC	Street Address 608 N Wall Street	City Calhoun	State GA	Zip Code 30701-	County Gordon	Page 1 of 8 Pages
License/Permit/Registry Number <i>(If any)</i> 158129027H04913	Expiration Date 8/1/2017	Date(s) or Period of Inspection 05/11/2016 through 05/23/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

- Failure to record the acquisition of [redacted] firearms in the A&D Record no later than the close of the next business day following the date of acquisition.
- Failure to record the disposition of [redacted] firearms in the A&D Record no later than seven days following the date of disposition.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Record the acquisition of any firearm no later than the close of business following the date of acquisition. Record the sale or disposition of any firearms not later than seven days following the date of such transaction. The A&D Record shall show the date of the sale or other disposition of each firearm, the name and address of the person to whom the firearm is transferred, or the name and full license number of the person to whom transferred if such person is a licensee. Record the disposition information for each firearm above as required. Report any firearms whose disposition cannot be determined as missing.

Citation: 27 CFR 478.125(e)

Report of Violations

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Name of Proprietor Corner Pawn & Auto Sales, LLC	Street Address 608 N Wall Street	City Calhoun	State GA	Zip Code 30701-	County Gordon	Page 2 of 8 Pages
License/Permit/Registry Number <i>(If any)</i> 158129027H04913		Expiration Date 8/1/2017	Date(s) or Period of Inspection 05/11/2016 through 05/23/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

Failure to obtain all of the information required on each ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form on (b)(3) 112 Public Law 55 125 STAT 552 forms, involving (b)(3) 112 Public Law 55 125 STAT 552 instances:

• In item 11a on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee either answered "no" to or left blank the question, "Are you the actual buyer of the firearm(s) listed on this form?" Examples: (b)(3) 112 Public Law 55 125 STAT 552

• In item 18 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferor did not mark the type of weapon to be transferred. Examples: (b)(3) 112 Public Law 55 125 STAT 552

• In item 24 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee did not sign the form when the date of transfer took place on a different day from when the form was originally completed. Examples: (b)(3) 112 Public Law 55 125 STAT 552

• In item 25 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee did not date the form when the date of transfer took place on a different day from when the form was originally completed. Examples: (b)(3) 112 Public Law 55 125 STAT 552

• In item 31 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferor did not print their name. Example: (b)(3) 112 Public Law 55 125 STAT 552

• In item 35 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferor did not provide their title. Examples: (b)(3) 112 Public Law 55 125 STAT 552

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Obtain all of the information required on each ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form. If the customer indicates that they are not the transferee/buyer of the firearm, do not continue with the transaction. If the transfer of the firearm occurs on a day different from the day the form was originally completed, the transferee must complete items 24 and 25. Review the completed ATF Form 4473 prior to the transfer of any firearms. If any mistakes are noted prior to the transfer of the firearm, have the transferee correct the mistake and initial and date the change made. If mistakes are found after the transfer of the firearm, make a copy of the ATF Form 4473 and have the transferee and/or transferor correct the mistakes and initial and date any changes made.

Report of Violations

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Name of Proprietor Corner Pawn & Auto Sales, LLC	Street Address 608 N Wall Street	City Calhoun	State GA	Zip Code 30701-	County Gordon	Page 3 of 8 Pages
License/Permit/Registry Number <i>(If any)</i> 158129027H04913		Expiration Date 8/1/2017	Date(s) or Period of Inspection 05/11/2016 through 05/23/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

- Failure to obtain a complete and accurate ATF Form 4473 from the transferee prior to the transfer of a firearm with the certification by the transferee that they are not prohibited by the Act from transporting, shipping, receiving or possessing a firearm in interstate or foreign commerce on (b)(3) 112 Public Law 55 125 STAT 552 forms, involving (b)(3) 112 Public Law 55 125 STAT 552 instances, in that:
- In item 1 on (b)(3) 112 Public Law 55 125 STAT 552 form, the transferee did not provide their full middle name. Example: (b)(3) 112 Public Law 55 125 STAT 552
 - In item 2 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee did not provide their complete residence address. Examples: (b)(3) 112 Public Law 55 125 STAT 552
 - In item 3 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee did not provide their complete Place of Birth. Examples: (b)(3) 112 Public Law 55 125 STAT 552
 - On (b)(3) 112 Public Law 55 125 STAT 552 form, the transferee left the answer to item 4, item 5, item 6 and item 7 blank. Example (b)(3) 112 Public Law 55 125 STAT 552
 - In item 11i or (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee left the answer to the question "Are you an alien admitted to the United States under a nonimmigrant visa?" blank. Example: (b)(3) 112 Public Law 55 125 STAT 552
 - In item 11g or (b)(3) 112 Public Law 55 125 STAT 552 form the transferee left the answer to the question "Have you been discharged from the Armed Forces under dishonorable conditions?" blank. Example: (b)(3) 112 Public Law 55 125 STAT 552
 - In item 13 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee did not provide their State of residence. Examples: (b)(3) 112 Public Law 55 125 STAT 552

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Prior to making a transfer of a firearm to a non-licensee, obtain a complete and accurate ATF Form 4473. If the transferee does not answer the certification questions, stop the transfer process. Review all ATF F 4473s prior to completing the transaction. If any mistakes are noted prior to the transfer of the firearm, have the transferee correct the mistake and initial and date the change made. If mistakes are found after the transfer of the firearm, make a copy of the ATF Form 4473 and have the transferee and/or transferor correct the mistakes and initial and date any changes made.

Report of Violations

Instructions

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Name of Proprietor Corner Pawn & Auto Sales, LLC	Street Address 608 N Wall Street	City Calhoun	State GA	Zip Code 30701-	County Gordon	Page 4 of 8 Pages
License/Permit/Registry Number <i>(If any)</i> 158129027H04913		Expiration Date 8/1/2017	Date(s) or Period of Inspection 05/11/2016 through 05/23/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

- In item 14 on (b)(3) 112 forms, the transferee did not provide their country of citizenship.
Examples: (b)(3) 112 Public Law 55 125 STAT 552
- In item 17 on (b)(3) 112 forms, the transferee did not provide the correct certification date.
Examples: (b)(3) 112 Public Law 55 125 STAT 552

Citation: 27 CFR 478.124(c)(1)

Number: 4

Nature of Violation:

- Failure to verify the identity of the transferee by obtaining a document meeting the requirements of an identification document as defined in 27 CFR 478.11 and documenting the required information in item 20a on the ATF Form 4473 on (b)(3) 112 form. Example: (b)(3) 112 Public Law 55 125 STAT 552
- Failure to verify the identity of the transferee by examining the identification document presented and, when the identification document's residence address does not match the residence address provided by the transferee in item 2 of the ATF Form 4473, obtaining additional government issued documentation as required by ATF Ruling 2001-5 and documenting this information in item 20b on (b)(3) 112 form. Example: (b)(3) 112 Public Law 55 125 STAT 552

- Date Corrections to be Made:**
(If not corrected immediately)
- Corrective Action to be Taken:**
(If not corrected immediately)

All transferees must present an identification document, as defined in the Federal Firearms Regulations Reference Guide in 27 CFR 478.11, as a means for the licensee to verify the transferee's identity prior to the transfer of a firearm. The licensee must note on the ATF Form 4473 in item 20a the type of identification document presented, as well as the identification document number and expiration date (if any). If the residence address on the transferee's identification document does not match the residence address provided by the transferee in item 2, additional government issued documentation must be obtained to verify the transferee's residence address. This government issued documentation must be documented in item 20b. Reference ATF Ruling 2001-5.

Report of Violations

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License/Permit/Registry Number <i>(If any)</i> 158129027H04913		Expiration Date 8/1/2017	Date(s) or Period of Inspection 05/11/2016 through 05/23/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(3)(i)

Number: 5

Nature of Violation:

- Failure to record in item 21a on the ATF Form 4473 the complete date the transferee's identifying information in Section A was transmitted to NICS on (b)(3) form. Example: (b)(3) 112 Public Law 55 125 STAT 552.
- Failure to record in item 21b on the ATF Form 4473 the NTN provided by NICS on (b)(3) form. Example: (b)(3) 112 Public Law 55 125 STAT 552.
- Failure to record in item 21d the response received from NICS if the initial response received is "delayed" or (b)(3) forms. Examples (b)(3) 112 Public Law 55 125 STAT 552

Citation: 27 CFR 478.124(c)(3)(iv)

Number: 6

Nature of Violation:

- Failure to provide the type of firearm transferred in item 29 on (b)(3) form. Examples: (b)(3) 112 Public Law 55 125 STAT 552

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

When the licensee contacts the NICS to conduct a background check on a purchaser, the licensee must note on every ATF Form 4473 the date on which the NICS is contacted in item 21a, as well as any response received from the NICS in items 21b through 21e as required.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee must record the type of firearm transferred in item 29 on every ATF Form 4473.

Report of Violations

Instructions

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License/Permit/Registry Number <i>(If any)</i> 158129027H04913	Expiration Date 8/1/2017	Date(s) or Period of Inspection 05/11/2016 through 05/23/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:

Failure to sign the form in item 34 on ^{(b)(3)} form and provide the correct date on the form in item 36 on ^{(b)(3)} forms on the day the firearm transfer is to take place if the licensee does not know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm and transfer the firearm described on the ATF Form 4473. Examples: ^{(b)(3) 112 Public Law 55 125 STAT 552}

^{(b)(3) 112 Public Law 55 125 STAT 552}

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee must sign in item 34 and provide the correct date that the firearm is transferred in item 36 on every ATF Form 4473.

Number: 8

Nature of Violation:

Failure to conduct a NICS background check on a person who is not licensed under 27 CFR 478.41, prior to the sale, delivery or transfer of a firearm by using an expired Georgia Weapons Carry License. Example: ^{(b)(3) 112 Public Law 55 125 STAT 552}

Citation: 27 CFR 478.102(a)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Contact NICS to conduct a background check prior to the completion of the transfer of every firearm unless the purchaser has a valid permit that functions as an exemption to the background check.

Report of Violations

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License/Permit/Registry Number <i>(If any)</i> 158129027H04913	Expiration Date 8/1/2017	Date(s) or Period of Inspection 05/11/2016 through 05/23/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 9

Nature of Violation:

Failure to prepare a report of multiple sales or other disposition whenever the licensee sells or otherwise disposes of, at one time or during any five consecutive business days, two or more pistols, or revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person on [REDACTED] occasions. Examples: (b)(3) 112 Public Law 55 125 STAT 552 [REDACTED].

Citation: 27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee must prepare a report of multiple sales or other disposition whenever the licensee sells or otherwise disposes of, at one time or during any five consecutive business days, two or more pistols, or revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person and forward to ATF as required.

Number: 10

Nature of Violation:

Failure to prevent the transfer of a firearm to a transferee who appeared to be prohibited from purchasing and/or possessing a firearm at the time of the transfer, in that on [REDACTED] form, the transferee answered "yes" to question 11c "Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year?" Example (b)(3) 112 Public Law 55 125 STAT 552 [REDACTED].

Citation: 27 CFR 478.99(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or otherwise dispose of any firearm or ammunition to any person knowing or having reasonable cause to believe that the transferee is prohibited by law from possessing a firearm or ammunition. If the transferee answers "no" to item 11a or "yes" to items 111b through 11k, the transaction must stop at that time.

Report of Violations

Instructions

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License/Permit/Registry Number <i>(If any)</i> 158129027H04913	Expiration Date 8/1/2017	Date(s) or Period of Inspection 05/11/2016 through 05/23/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 11

Nature of Violation:

Failure to prevent the transfer of a firearm to a transferee who appeared to be prohibited from purchasing and/or possessing a firearm at the time of the transfer, in that on (b)(3) forms, the transferee answered "yes" to question 11i., "Have you ever been convicted in any court of a misdemeanor crime of domestic violence?" Examples: (b)(3) 112 Public Law 55 125 STAT 552.

Citation: 27 CFR 478.99(c)(9)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or otherwise dispose of any firearm or ammunition to any person knowing or having reasonable cause to believe that the transferee is prohibited by law from possessing a firearm or ammunition. If the transferee answers "no" to item 11a. or "yes" to items 111b. through 11k., the transaction must stop at that time.

Number: 12

Nature of Violation:

Failure to prevent the transfer of a firearm to a transferee who appeared to be prohibited from purchasing and/or possessing a firearm at the time of the transfer, in that on (b)(3) form, the transferee answered "yes" to question 11g "Have you been discharged from the Armed Forces under dishonorable conditions?" Example: (b)(3) 112 Public Law 55 125 STAT 552.

Citation: 27 CFR 478.99(c)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

A licensed dealer shall not sell or otherwise dispose of any firearm or ammunition to any person knowing or having reasonable cause to believe that the transferee is prohibited by law from possessing a firearm or ammunition. If the transferee answers "no" to item 11a or "yes" to items 111b through 11k, the transaction must stop at that time.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Atlanta Field Division

Atlanta, GA 30345

www.atf.gov

June 29, 2016

760560 (b)(6)
5373

Corner Pawn & Auto Sales, LLC
Mr. Larry Knight, Owner
608 N. Wall Street
Calhoun, GA 30701

1-58-129-02-7H-04913

Dear Mr. Knight:

During a recent compliance inspection at your firearms business, covering the period of May 11, 2015 to May 10, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5035.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Mr. Knight, Owner

Please contact us if you have any questions concerning your responsibilities as a licensee or if you require further clarification about particular requirements of Federal firearms laws.

Should you have any questions regarding this matter, please contact me at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor, Atlanta VIII (IO)

Enclosure

c: DIO, Atlanta Field Division
Federal Firearms Licensing Center

FIREARMS INSPECTION REPORT

Name: Corner Pawn & Auto Sales, LLC
Trade Name:

UI#: 760560-2016-0128-B1B
FFL#: 1-58-129-02-7H-04913

Address: 608 N. Wall Street
Calhoun, Georgia 30701 (Gordon County)

1 - Introduction

Inspection Profile:

On May 11 – 13, 16 and 23, 2016, Industry Operations (IO) Investigator (b)(6) conducted a full firearms Disposition Emphasis compliance inspection at the above listed premises. Mr. Larry Knight, Owner, was present for the inspection. Mr. Knight was identified by Georgia Driver's License number (b)(6), which expires August 29, 2017. The period of the inspection was from May 11, 2015 through May 10, 2016. Advance notification of the inspection was not given to the licensee per current Bureau policy. Area Supervisor (b)(6) provided advance notification of the inspection via email to the Atlanta Field Division Special Agent Group Supervisors. No information concerning ongoing criminal investigations was provided to IO Investigator (b)(6) from the Atlanta Field Division Special Agent Group Supervisors. IO Investigator (b)(6) queried the Federal Licensing System (FLS) for Special Attention Flags (SAF) and queried N-Force to determine if the inspection would compromise any ongoing investigation. No derogatory information was disclosed.

Business Profile:

Corner Pawn & Auto Sales, LLC operates a pawn shop at the premises. They accept firearms as pawns and they also sell new and used firearms. The premises is a commercial building located in rural Calhoun, Georgia. The licensee has a current City of Calhoun business license, number 77, which expires December 31, 2016. **Reference Exhibit 4.**

The licensee acquired approximately (b)(3) 112 firearms (approximately (b)(3) 112 handguns and (b)(3) 112 long guns) in the previous twelve months. The licensee disposed of approximately (b)(3) 112 firearms ((b)(3) 112 handguns, (b)(3) 112 long guns and (b) receivers) in the previous twelve months. The licensee sells all firearms at retail or as pawn redemptions. The licensee sells approximately 60% used and 40% new firearms. The primary suppliers are: individuals, (b) (4). The licensee does not make sales at gun shows. The licensee accepts firearms transfers for internet purchases. The licensee occasionally makes sales via the internet, using www.gunbroker.com and www.auctionarms.com. The licensee does not have their own website. The licensee also operates a used auto sales business at the premises. Mr. Knight owns a residential rental property as well.

FIREARMS INSPECTION REPORT

Name: Corner Pawn & Auto Sales, LLC
Trade Name:

UI#: 760560-2016-0128-B1B
FFL#: 1-58-129-02-7H-04913

The licensee does not have any other ATF licenses; none are needed. The licensee has held the license for six years. There is no off-site storage of firearms.

Ownership and Control:

The licensee is a limited liability company (LLC) registered in the State of Georgia on September 26, 2007, control number 07080911. Mr. Knight is the only registered member of the LLC. Hidden ownership is not suspected. The responsible person is correctly shown. There are no corrections to the FLS database needed.

Mr. Knight asked how to add a responsible person to his license as he is thinking about adding one of his sons as the manager so he can spend less time in the store. IO Investigator (b)(6) educated Mr. Knight on the procedure to add a responsible person.

Variance:

The licensee does not have a variance with ATF. No variance was requested during the inspection.

2 - Recommendation

Violations. Warning Conference with Warning Letter and Recall.

3 - Inspection History

The following is the inspection history for the licensee:

Date of Inspection:	July 1, 2008
Type of Inspection:	Qualification New Onsite
UI#:	771030-2008-0341-B1B
Inspection Results:	Approve Original.
Cited Violations:	None

4 - Acquisition & Disposition (A&D) Record - Inventory

On May 11 & 12, 2016, IO Investigator (b)(6) conducted a full physical inventory at the premises. There were (b)(6) firearms in the physical inventory. There were (b)(6) open entries in the A&D Record. The firearms found in the physical inventory were compared to the open entries in the A&D Records. The following is a summary of the inventory reconciliation:

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- (b)(3) 112
 - (b)
 - + (b)
 - + (b)
 - (b)(3) 112
 - (b)(3) 112
 - (b)(3)
 - (b)
 - (b)
 - (b)
 - (b)(3)
 - (b)
- Open entries to account for
Firearms in inventory, but not recorded as acquisitions
Open entry logged out in error
Open entries to account for
Firearms found in inventory and reconciled to open entries in the A&D Record
Open entries to account for
Duplicate entry
Firearms as open entries twice
Firearms sold on ATF Forms 4473, but not logged out of the A&D Record
Firearms reported missing

During the reconciliation process, it was determined that there were (b)(3) 112 firearms in inventory that were not found as open entries in the A&D Record. By using shipping invoices and pawn tickets, Mr. Knight was able to determine the acquisition date and from whom the firearm was received. It was also determined that there was (b)(3) 112 firearm that was in inventory, but was logged out of the A&D Record in error. Mr. Knight corrected the entries in the A&D Record as required.

(b)(3) 112 firearm was found to be a duplicate entry. A copy of the A&D Record was not obtained. Mr. Knight corrected the A&D Record as required.

There were (b)(3) 112 firearms found as open entries twice. Of these (b)(3) 112 open entries, (b)(3) 112 was a part of a large shipment. By using the shipping invoice, Mr. Knight determined that he had recorded the same serial number twice instead of recording the serial numbers for each of the (b)(3) 112 firearms received. (b)(3) 112 firearm was determined to have been pawned and the pawn paid off. The customer immediately re-pawned the firearm, never having taken possession of the firearm. When the firearm was re-pawned, Mr. Knight found the pawn ticket and incorrectly re-entered the firearm as an open entry. Mr. Knight corrected the A&D Record as required.

There were (b)(3) 112 Public firearms sold on ATF Forms 4473, but were not logged out of the A&D Record. Copies of the forms were obtained for each transaction. Mr. Knight corrected the entries in the A&D Record as required. The licensee was cited in violation of 27 CFR 478.125(e). **Reference ATF EF 5030.5, Report of Violations, Violation Number 1; Worksheet 1; and Exhibit 1.**

The licensee did not submit any theft or loss reports during the inspection period. No theft or loss reports were submitted as a result of this inspection. The A&D Record is in the proper format and all the required information is accurate. The acquisition and disposition entries are not timely made as discussed above. (b)(3) 112 Public Law 55 125 517 firearms were traced through the licensee's required records from acquisition through disposition with no additional discrepancies found. No trafficking issues relating to acquisition and

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disposition of firearms were found. No obliterated serial numbers or illegal firearms were found.

Mr. Knight stated that only he and one other person are responsible for entering information into the A&D Record. He stated that he tries to record the acquisition of all firearms as soon as he receives them. He stated that he tries to record the disposition of all firearms at the end of the business day. He does not use a gun log number system, but he does place the acquisition date on the tag attached to the firearm. Mr. Knight stated that he does not conduct an inventory of firearms. Mr. Knight stated that he does not review the ATF Forms 4473 after the transaction is finished. He stated that he sees that he should probably review the forms better, and that he might start reviewing them at the end of each week. He files the forms by transferor's transaction serial number. Mr. Knight also stated that the employee who he has helping him with firearms is "a single mother working two jobs. She is very sweet, but not very smart." He further stated that he gave her a job as a favor to a friend.

5 - ATF Forms 4473 – NICS & Other Dispositions

There were 637 forms on file for the previous twelve months. All forms were reviewed. During the review of the ATF Forms 4473, IO Investigator (b)(6) found that the licensee failed to obtain all of the information required on each ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form on (b)(3) 112 Public Law 55 125 STAT 552 forms, involving (b)(3) 112 Public Law 55 125 STAT 552 instances:

- In item 11a on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee either answered "no" to or left blank the question "Are you the actual buyer of the firearm(s) listed on this form?"
Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 .
- In item 18 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferor did not mark the type of weapon to be transferred. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 .
- In item 24 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee did not sign the form when the date of transfer took place on a different day from when the form was originally completed. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 .
- In item 25 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee did not date the form when the date of transfer took place on a different day from when the form was originally completed. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 .
- In item 33 on (b)(3) 112 Public Law 55 125 STAT 552 form, the transferor did not print their name. Example: ATF Form 4473 Number (b)(3) 112 Public Law 55 125 STAT 552 .
- In item 35 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferor did not provide their title. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 .

The licensee was cited in violation of 27 CFR 478.21(a). **Reference ATF EF 5030.5, Report of Violations, Violation Number 2; Worksheet 2; and Exhibit 2.**

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The licensee failed to obtain a complete and accurate ATF Form 4473 from the transferee prior to the transfer of a firearm with the certification by the transferee that they are not prohibited by the Act from transporting, shipping, receiving or possessing a firearm in interstate or foreign commerce on [REDACTED] forms, involving [REDACTED] instances, in that:

- In item 1 on [REDACTED] form, the transferee did not provide their full middle name. Example: ATF Form 4473 Number [REDACTED].
- In item 2 on [REDACTED] forms, the transferee did not provide their complete residence address. Examples: ATF Form 4473 Numbers [REDACTED] [REDACTED].
- In item 3 on [REDACTED] forms, the transferee did not provide their complete Place of Birth. Examples: ATF Form 4473 Numbers [REDACTED] [REDACTED].
- On [REDACTED] form, the transferee left the answer to item 4, item 5, item 6 and item 7 blank. Example: ATF Form 4473 Number [REDACTED].
- In item 11l on [REDACTED] forms, the transferee left the answer to the question “Are you an alien admitted to the United States under a nonimmigrant visa?” blank. Example: ATF Form 4473 Numbers [REDACTED].
- In item 11g on [REDACTED] form the transferee left the answer to the question “Have you been discharged from the Armed Forces under dishonorable conditions?” blank. Example: ATF Form 4473 Number [REDACTED].
- In item 13 on [REDACTED] forms, the transferee did not provide their State of residence. Examples: ATF Form 4473 Numbers [REDACTED] [REDACTED].
- In item 14 on [REDACTED] forms, the transferee did not provide their country of citizenship. Examples: ATF Form 4473 Numbers [REDACTED] [REDACTED].
- In item 17 on [REDACTED] forms, the transferee did not provide the correct certification date. Examples: ATF Form 4473 Numbers [REDACTED] [REDACTED].

The licensee was cited in violation of 27 CFR 478.124(c)(1). **Reference ATF EF 5030.5, Report of Violations, Violation Number 3; Worksheet 2; and Exhibit 2.**

The licensee failed to verify the identity of the transferee by obtaining a document meeting the requirements of an identification document as defined in 27 CFR 478.11 and documenting the required information in item 20a on the ATF Form 4473 on [REDACTED] form (ATF Form 4473 Number 15-0523.) The licensee also failed to verify the identity of the transferee by examining the identification document presented and, when the identification document’s residence address does not match the residence address provided by the transferee in item 2 of the ATF Form 4473, obtaining additional government issued documentation as required by ATF Ruling 2001-5 and documenting

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this information in item 20b on (b)(3) form (ATF Form 4473 Number 15-0496.) The licensee was cited in violation of 27 CFR 478.124(c)(3)(i). **Reference ATF EF 5030.5, Report of Violations, Violation Number 4; Worksheet 2; and Exhibit 2.**

The licensee failed to record in item 21a on the ATF Form 4473 the complete date the transferee's identifying information in Section A was transmitted to NICS on (b)(3) form. Example: ATF Form 4473 Number 15-0365. The licensee failed to record in item 21b on the ATF Form 4473 the NTN provided by NICS on (b)(3) form. Example: ATF Form 4473 Number 15-0635. The licensee failed to record in item 21d the response received from NICS if the initial response received is "delayed" on (b)(3) forms. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552. The licensee was cited in violation of 27 CFR 478.124(c)(3)(iv). **Reference ATF EF 5030.5, Report of Violations, Violation Number 5; Worksheet 2; and Exhibit 2.**

The licensee failed to identify the type of firearm transferred in item 29 on (b)(3) form. Example: ATF Form 4473 Number 15-0401. The licensee was cited in violation of 27 CFR 478.124(c)(4). **Reference ATF EF 5030.5, Report of Violations, Violation Number 6; Worksheet 2; and Exhibit 2.**

The licensee failed to sign the form in item 34 on (b)(3) form and provide the correct date on the form in item 36 on (b)(3) forms on the day the firearm transfer is to take place if the licensee does not know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm and transfer the firearm described on the ATF Form 4473. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552. The licensee was cited in violation of 27 CFR 478.124(c)(5). **Reference ATF EF 5030.5, Report of Violations, Violation Number 7; Worksheet 2; and Exhibit 2.**

The licensee accepted a non-qualifying State permit and failed to conduct a NICS background check on a person who is not licensed under 27 CFR 478.41, prior to the sale, delivery or transfer of a firearm by using an expired Georgia Weapons Carry License. Example: ATF Form 4473 Number (b)(3) 112 Public Law. The licensee was cited in violation of 27 CFR 478.102(a)(1). **Reference ATF EF 5030.5, Report of Violations, Violation Number 8; Worksheet 2; and Exhibit 2.**

The licensee failed to prevent the transfer of a firearm to a transferee who appeared to be prohibited from purchasing and/or possessing a firearm at the time of the transfer, in that on (b)(3) form, the transferee answered "yes" to question 11c "Have you ever been convicted in any court of a felony, or any other crime, for which the judge could have imprisoned you for more than one year?" Example: ATF Form 4473 Number (b)(3) 112 Public Law. This transferee was in possession of a State of Georgia Carry Permit that was used as an exemption to the background check. IO Investigator (b)(6) initiated a background check to determine that the transferee was, in fact, not prohibited. The licensee was

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cited in violation of 27 CFR 478.99(c)(1). **Reference ATF EF 5030.5, Report of Violations, Violation Number 10; Worksheet 2; and Exhibit 2.**

The licensee failed to prevent the transfer of a firearm to a transferee who appeared to be prohibited from purchasing and/or possessing a firearm at the time of the transfer, in that on [REDACTED] forms, the transferee answered "yes" to question 11i, "Have you ever been convicted in any court of a misdemeanor crime of domestic violence?" Examples: ATF Form 4473 Numbers [REDACTED] (b)(3) 112 Public Law 55 125 STAT 552. In [REDACTED] instances, the licensee contacted NICS to conduct a background check on the transferees, and received a delayed then proceed response for [REDACTED] transferee and a delayed response for the [REDACTED] transferee. IO Investigator (b)(6) initiated a background check on the transferee who received only a delayed response to determine that the transferee was, in fact, not prohibited. The licensee was cited in violation of 27 CFR 478.99(c)(9). **Reference ATF EF 5030.5, Report of Violations, Violation Number 11; Worksheet 2; and Exhibit 2.**

The licensee failed prevent the transfer of a firearm to a transferee who appeared to be prohibited from purchasing and/or possessing a firearm at the time of the transfer, in that on [REDACTED] form, the transferee answered "yes" to question 11g "Have you been discharged from the Armed Forces under dishonorable conditions?" Example: ATF Form 4473 Number [REDACTED] (b)(3) 112 Public Law. The licensee contacted NICS to conduct a background check on the transferee, and received a proceed response. The licensee was cited in violation of 27 CFR 478.99(c)(6). **Reference ATF EF 5030.5, Report of Violations, Violation Number 12; Worksheet 2; and Exhibit 2.**

The licensee transferred firearms to other licensees properly. The licensee does not transfer firearms to law enforcement officers unless they complete an ATF Form 4473. The FFL Audit log had 43 proceed, 2 currently open, and 9 denied transactions for the inspection period, for a total of 54 transactions. All transactions were verified with no discrepancies found.

The forms are filed by transferor's transaction serial number and year. The licensee stated that he tries to review the ATF Form 4473 prior to transferring the firearm, but that he does not review the forms after the transaction is completed.

6 - Multiple Sales

There were twelve multiple sales, involving twenty-eight firearms, reported on the Violent Crime Analysis Branch Master Query. During the review of the ATF Forms 4473, it was determined that the licensee failed to report [REDACTED] multiple sales, involving a total of [REDACTED] (b)(3) 112 firearms, to ATF as required. As the unreported multiple sales were discovered, the licensee reported the multiple sales to ATF as required. The licensee

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was cited in violation of 27 CFR 478.126a. **Reference ATF EF 5030.5, Report of Violations, Violation Number 9; Worksheet 2; and Exhibit 3.**

7 - Suspicious/Prohibited Purchasers

There were no possible traffickers, straw purchasers or any other suspicious purchasers identified during the inspection. As referenced in Paragraph 5 - ATF Forms 4473 – NICS & Other Dispositions, an NCIC check was conducted on [REDACTED] purchasers, with one hit resulting. It was determined that the hit was not prohibiting.

8 - Trace Activity

There was [REDACTED] successful trace and no unsuccessful traces within the previous twelve months. [REDACTED] firearms, inclusive of [REDACTED] secondary market firearms, were traced through NCIC and eTrace with no hits resulting. No discrepancies were found.

9 - NFA/Importer/Collector/Manufacturer

The licensee does not conduct any NFA, Importer, Collector or Manufacturer activities.

10 - Referrals

No referrals made.

11 - Other

No noteworthy anomalies found.

12 - Closing Actions

On May 23, 2016, IO Investigator (b)(6) held a closing conference at the above listed premises. IO Investigator (b)(6) reviewed the Report of Violations with the licensee, as evidenced by Mr. Larry Knight's signature on the form. **Reference ATF EF 5030.5, Report of Violations.** IO Investigator (b)(6) reviewed the Acknowledgement of Federal Firearms Regulations with Mr. Larry Knight, as evidence by his signature on the form. **Reference Exhibit 5.** IO Investigator (b)(6) provided the following reference materials: ATF Ruling 2001-5; Information concerning making changes to the ATF Form 4473 after the transaction is complete; and ATF Important phone numbers.

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13 - Violations

1. 27 CFR 478.125(e)

Nature of Violation:

- Failure to record the acquisition of (b)(3) firearms in the A&D Record no later than the close of the next business day following the date of acquisition.
- Failure to record the disposition of (b)(3) firearms in the A&D Record no later than seven days following the date of disposition.

Licensee's Response:

The licensee stated that he tries to record the acquisition of all firearms immediately and the disposition of all firearms at the end of the day. He stated that sometimes he gets busy and then forgets to enter the information.

Corrective Action:

Record the acquisition of any firearm no later than the close of business following the date of acquisition. Record the sale or disposition of any firearms not later than seven days following the date of such transaction. The A&D Record shall show the date of the sale or other disposition of each firearm, the name and address of the person to whom the firearm is transferred, or the name and full license number of the person to whom transferred if such person is a licensee. Record the disposition information for each firearm above as required. Report any firearms whose disposition cannot be determined as missing.

Worksheets and Exhibits:

Worksheet 1
Exhibit 1

2. 27 CFR 478.21(a)

Nature of Violation:

Failure to obtain all of the information required on each ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form on (b)(3) 112 Public Law 55 125 STAT 552 forms, involving (b)(3) 112 Public Law 55 125 STAT 552 instances:

- In item 11a on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee either answered "no" to or left blank the question "Are you the actual buyer of the firearm(s) listed on this form?"
Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552
- In item 18 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferor did not mark the type of weapon to be transferred. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552
- In item 24 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee did not sign the form when the date of transfer took place on a different day from when the form was originally completed. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552

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- In item 25 on (b)(3) 112 Public Law 55 125 STAT 552 forms, the transferee did not date the form when the date of transfer took place on a different day from when the form was originally completed. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552.
- In item 33 on (b)(3) 112 Public Law form, the transferor did not print their name. Example: ATF Form 4473 Number (b)(3) 112 Public Law.
- In item 35 on (b)(3) 112 Public Law forms, the transferor did not provide their title. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552.

Licensee's Response:

The licensee stated that he doesn't know how these mistakes happened. He stated that he tries to make sure that the forms are complete before the gun is transferred, but that sometimes he gets busy and he doesn't look at the forms closely.

Corrective Action:

Obtain all of the information required on each ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form. If the customer indicates that they are not the transferee/buyer of the firearm, do not continue with the transaction. If the transfer of the firearm occurs on a day different from the day the form was originally completed, the transferee must complete items 24 and 25. Review the completed ATF Form 4473 prior to the transfer of any firearms. If any mistakes are noted prior to the transfer of the firearm, have the transferee correct the mistake and initial and date the change made. If mistakes are found after the transfer of the firearm, make a copy of the ATF Form 4473 and have the transferee and/or transferor correct the mistakes and initial and date any changes made.

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

3. 27 CFR 478.124(c)(1)

Nature of Violation:

Failure to obtain a complete and accurate ATF Form 4473 from the transferee prior to the transfer of a firearm with the certification by the transferee that they are not prohibited by the Act from transporting, shipping, receiving or possessing a firearm in interstate or foreign commerce on (b)(3) 112 Public Law forms, involving (b)(3) 112 Public Law 55 instances, in that:

- In item 1 on (b)(3) 112 Public Law form, the transferee did not provide their full middle name. Example: ATF Form 4473 Number (b)(3) 112 Public Law.
- In item 2 on (b)(3) 112 Public Law forms, the transferee did not provide their complete residence address. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552.

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- In item 3 on (b)(3) 112 forms, the transferee did not provide their complete Place of Birth. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 [REDACTED].
- On (b)(3) 112 form, the transferee left the answer to item 4, item 5, item 6 and item 7 blank. Example: ATF Form 4473 Number (b)(3) 112 Public Law [REDACTED].
- In item 11i on (b)(3) 112 forms, the transferee left the answer to the question “Are you an alien admitted to the United States under a nonimmigrant visa?” blank. Example: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 [REDACTED].
- In item 11g on (b)(3) 112 form the transferee left the answer to the question “Have you been discharged from the Armed Forces under dishonorable conditions?” blank. Example: ATF Form 4473 Number (b)(3) 112 Public Law [REDACTED].
- In item 13 on (b)(3) 112 forms, the transferee did not provide their State of residence. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 [REDACTED].
- In item 14 on (b)(3) 112 forms, the transferee did not provide their country of citizenship. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 [REDACTED].
- In item 17 on (b)(3) 112 forms, the transferee did not provide the correct certification date. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552 [REDACTED].

Licensee’s Response:

The licensee stated that he doesn’t know how these mistakes happened. He stated that he tries to make sure that the forms are complete before the gun is transferred, but that sometimes he gets busy and he doesn’t look at the forms closely.

Corrective Action:

Prior to making a transfer of a firearm to a non-licensee, obtain a complete and accurate ATF Form 4473. If the transferee does not answer the certification questions, stop the transfer process. Review all ATF F 4473s prior to completing the transaction. If any mistakes are noted prior to the transfer of the firearm, have the transferee correct the mistake and initial and date the change made. If mistakes are found after the transfer of the firearm, make a copy of the ATF Form 4473 and have the transferee and/or transferor correct the mistakes and initial and date any changes made.

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

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4. 27 CFR 478.124(c)(3)(i)

Nature of Violation:

-Failure to verify the identity of the transferee by obtaining a document meeting the requirements of an identification document as defined in 27 CFR 478.11 and documenting the required information in item 20a on the ATF Form 4473 on (b)(3) form.

Example: ATF Form 4473 Number (b)(3)112 Public Law.

-Failure to verify the identity of the transferee by examining the identification document presented and, when the identification document's residence address does not match the residence address provided by the transferee in item 2 of the ATF Form 4473, obtaining additional government issued documentation as required by ATF Ruling 2001-5 and documenting this information in item 20b on one form. Example: ATF Form 4473 Number (b)(3)112 Public Law.

Licensee's Response:

The licensee stated that he probably forgot to write down the driver's license information for (b)(3)112 customer since she is a repeat customer and he knows her. He stated that he didn't know that he had to get additional information when the driver's license address doesn't match the address listed on the ATF Form 4473.

Corrective Action:

All transferees must present an identification document, as defined in the Federal Firearms Regulations Reference Guide in 27 CFR 478.11, as a means for the licensee to verify the transferee's identity prior to the transfer of a firearm. The licensee must note on the ATF Form 4473 in item 20a the type of identification document presented, as well as the identification document number and expiration date (if any). If the residence address on the transferee's identification document does not match the residence address provided by the transferee in item 2, additional government issued documentation must be obtained to verify the transferee's residence address. This government issued documentation must be documented in item 20b. Reference ATF Ruling 2001-5.

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

5. 27 CFR 478.124(c)(3)(iv)

Nature of Violation:

-Failure to record in item 21a on the ATF Form 4473 the complete date the transferee's identifying information in Section A was transmitted to NICS on one form. Example: ATF Form 4473 Number (b)(3)112 Public Law.

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-Failure to record in item 21b on the ATF Form 4473 the NTN provided by NICS on (b)(3) 112 form. Example: ATF Form 4473 Number (b)(3) 112 Public Law.

-Failure to record in item 21d the response received from NICS if the initial response received is "delayed" on (b)(3) 112 forms. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 5.

Licensee's Response:

The licensee stated that he doesn't know how these mistakes happened. He stated that he tries to make sure that the forms are complete before the gun is transferred, but that sometimes he gets busy and he doesn't look at the forms closely.

Corrective Action:

When the licensee contacts the NICS to conduct a background check on a purchaser, the licensee must note on every ATF Form 4473 the date on which the NICS is contacted in item 21a, as well as any response received from the NICS in items 21b through 21e as required.

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

6. 27 CFR 478.124(c)(4)

Nature of Violation:

Failure to provide the type of firearm transferred in item 29 on (b)(3) 112 form. Examples: ATF Form 4473 Number (b)(3) 112 Public Law.

Licensee's Response:

The licensee stated that he doesn't know how these mistakes happened. He stated that he tries to make sure that the forms are complete before the gun is transferred, but that sometimes he gets busy and he doesn't look at the forms closely.

Corrective Action:

The licensee must record the type of firearm transferred in item 29 on every ATF Form 4473.

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

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7. **27 CFR 478.124(c)(5)**

Nature of Violation:

Failure to sign the form in item 34 on one form and provide the correct date on the form in item 36 on ^{(b)(3) 112} forms on the day the firearm transfer is to take place if the licensee does not know or have reasonable cause to believe that the transferee is disqualified by law from receiving the firearm and transfer the firearm described on the ATF Form 4473. Examples: ATF Form 4473 Numbers (b)(3) 112 Public Law 55 125 STAT 552

Licensee's Response:

The licensee stated that he doesn't know how these mistakes happened. He stated that he tries to make sure that the forms are complete before the gun is transferred, but that sometimes he gets busy and he doesn't look at the forms closely.

Corrective Action:

The licensee must sign in item 34 and provide the correct date that the firearm is transferred in item 36 on every ATF Form 4473.

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

8. **27 CFR 478.102(a)(1)**

Nature of Violation:

Failure to conduct a NICS background check on a person who is not licensed under 27 CFR 478.41, prior to the sale, delivery or transfer of a firearm by using an expired Georgia Weapons Carry License. Example: ATF Form 4473 Number 15-0439.

Licensee's Response:

The licensee was surprised that he had accepted an expired carry permit. He stated that he "didn't know how that slipped by him."

Corrective Action:

Contact NICS to conduct a background check prior to the completion of the transfer of every firearm unless the purchaser has a valid permit that functions as an exemption to the background check.

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

FIREARMS INSPECTION REPORT

Name: Corner Pawn & Auto Sales, LLC
Trade Name:

UI#: 760560-2016-0128-B1B
FFL#: 1-58-129-02-7H-04913

9. **27 CFR 478.126a**

Nature of Violation:

Failure to prepare a report of multiple sales or other disposition whenever the licensee sells or otherwise disposes of, at one time or during any five consecutive business days, two or more pistols, or revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person on [REDACTED] occasions. Examples: ATF Form 4473 Numbers [REDACTED].

Licensee's Response:

The licensee stated that these must have happened when he first hired his new employee and that she must not have known to report the multiple sales.

Corrective Action:

The licensee must prepare a report of multiple sales or other disposition whenever the licensee sells or otherwise disposes of, at one time or during any five consecutive business days, two or more pistols, or revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person and forward to ATF as required.

Worksheets and Exhibits:

Worksheet 2
Exhibit 3

10. **27 CFR 478.99(c)(1)**

Nature of Violation:

Failure to prevent the transfer of a firearm to a transferee who appeared to be prohibited from purchasing and/or possessing a firearm at the time of the transfer, in that on [REDACTED] form, the transferee answered "yes" to question 11c "Have you ever been convicted in any court of a felony, or any other crime, for which the judge could have imprisoned you for more than one year?" Example: ATF Form 4473 Number [REDACTED].

Licensee's Response:

The licensee stated that he doesn't know how these mistakes happened. He stated that he tries to make sure that the forms are complete before the gun is transferred, but that sometimes he gets busy and he doesn't look at the forms closely.

Corrective Action:

A licensed dealer shall not sell or otherwise dispose of any firearm or ammunition to any person knowing or having reasonable cause to believe that the transferee is prohibited by law from possessing a firearm or ammunition. If the transferee answers "no" to item 11a or "yes" to items 111b through 11k, the transaction must stop at that time.

FIREARMS INSPECTION REPORT

Name: Corner Pawn & Auto Sales, LLC
Trade Name:

UI#: 760560-2016-0128-B1B
FFL#: 1-58-129-02-7H-04913

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

11. 27 CFR 478.99(c)(9)

Nature of Violation:

Failure to prevent the transfer of a firearm to a transferee who appeared to be prohibited from purchasing and/or possessing a firearm at the time of the transfer, in that on [REDACTED] forms, the transferee answered "yes" to question 11i., "Have you ever been convicted in any court of a misdemeanor crime of domestic violence?" Examples: ATF Form 4473 Numbers [REDACTED] (b)(3) 112 Public Law 55 125 STAT 552 .

Licensee's Response:

The licensee stated that he doesn't know how these mistakes happened. He stated that he tries to make sure that the forms are complete before the gun is transferred, but that sometimes he gets busy and he doesn't look at the forms closely.

Corrective Action:

A licensed dealer shall not sell or otherwise dispose of any firearm or ammunition to any person knowing or having reasonable cause to believe that the transferee is prohibited by law from possessing a firearm or ammunition. If the transferee answers "no" to item 11a. or "yes" to items 11b. through 11k., the transaction must stop at that time.

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

12. 27 CFR 478.99(c)(6)

Nature of Violation:

Failure to prevent the transfer of a firearm to a transferee who appeared to be prohibited from purchasing and/or possessing a firearm at the time of the transfer, in that on [REDACTED] form, the transferee answered "yes" to question 11g "Have you been discharged from the Armed Forces under dishonorable conditions?" Example: ATF Form 4473 Number [REDACTED] (b)(3) 112 Public Law .

Licensee's Response:

The licensee stated that he doesn't know how these mistakes happened. He stated that he tries to make sure that the forms are complete before the gun is transferred, but that sometimes he gets busy and he doesn't look at the forms closely.

FIREARMS INSPECTION REPORT

Name: Corner Pawn & Auto Sales, LLC
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UI#: 760560-2016-0128-B1B
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Corrective Action:

A licensed dealer shall not sell or otherwise dispose of any firearm or ammunition to any person knowing or having reasonable cause to believe that the transferee is prohibited by law from possessing a firearm or ammunition. If the transferee answers "no" to item 11a or "yes" to items 111b through 11k, the transaction must stop at that time.

Worksheets and Exhibits:

Worksheet 2
Exhibit 2

X

Industry Operations Investigator

Index of Worksheets and Exhibits

WORKSHEETS

- 1 – Inventory Discrepancies
- 2 – ATF Form 4473 Discrepancies

EXHIBITS

- 1 – Copies for Violation Number 1
- 2 – Copies for Violation Numbers 2-8 and 10-12
- 3 – Copies for Violation Number 9
- 4 – Copy of Business License
- 5 – Acknowledgement of Federal Firearms Regulations

FIREARMS INSPECTION REPORT

Name: Corner Pawn & Auto Sales, LLC
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