

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) MEADOW FARM ENTERPRISES, INC 2ND AMENDMENT GUN SHOP 3568 SAGUNTO ST STE E SANTA YNEZ, CA 93460, SANTA BARBARA		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 784025-2017-0040-B1B		
		3. PERMIT/LICENSE NUMBER 977083019G02198	4a. TARGET DATE	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED (b)(6) - Assigned Investigator (b)(6) - Lead Investigator	
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 10/03/2016	

8. PURPOSE/SPECIAL INSTRUCTIONS PLEASE CONDUCT A COMPLIANCE INSPECTION.	
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9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Investigator Submitted on: 07/24/2017 Violts WL ONLY and Recall Inspection	
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12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
MAR 2017	16.00
ATF OFFICER'S SUBTOTAL	16.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)	
DEC 2016	16.00
FEB 2017	6.00
MAR 2017	19.00
APR 2017	11.00
JUL 2017	21.00
ATF OFFICER'S SUBTOTAL	73.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	89.00

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	3. PERMIT/LICENSE NUMBER 977083019G02198	4a. TARGET DATE	4b. TARGET HOURS
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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

REVIEW DATE

07/31/2017

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor MEADOW FARM ENTERPRISES, INC 2ND AMENDMENT GUN SHOP	Street Address 3568 SAGUNTO ST STE E	City SANTA YNEZ	State CA	Zip Code 93460-	County SANTA BARBARA	Page 1 of 3 Pages
License/Permit/Registry Number <i>(If any)</i> 977083019G02198	Expiration Date 7/1/2019	Date(s) or Period of Inspection 12/01/2016 through 03/03/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:
Licensee failed to report and file ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers on 1 instances

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Date Corrections to be Made: 12/23/2016
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Number: 2

Nature of Violation:
Licensee failed to enter the firearms information on ATF Forms 4473 on 1 instances

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Date Corrections to be Made: 12/23/2016
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Number: 3

Nature of Violation:
Licensee failed to complete all the required items of in Section D on ATF F 4473. Licensee failed to enter the information on items #34 and #36 on 1 instances

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Date Corrections to be Made: 12/23/2016
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

September 9 2019 Production

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For Official Use Only

ATF E-Form 5030 5
Revised April 2005

Report of Violations

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License/Permit/Registry Number <i>(If any)</i> 977083019G02198		Expiration Date 7/1/2019	Date(s) or Period of Inspection 12/01/2016 through 03/03/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: Licensee failed to file and report to ATF Form 3310.12, Report of Multiple Sales of Certain Rifles, on [REDACTED] Instances	Corrective Action to be Taken: <i>(If not corrected immediately)</i> Licensee will immediately file ATF Form 3310.12 Report of Multiple Sale of Other Disposition of Certain Rifles and submit to ATF.
Citation: 18 U.S.C. 923(g)(5)(A)	
Number: 5	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: Licensee failed to properly, accurately and/or timely record the NICS information on ATF Form 4473 prior to transferring a firearm on [REDACTED] instances	Corrective Action to be Taken: <i>(If not corrected immediately)</i> Licensee will ensure to enter the NICS information on ATF Forms 4473 prior to transferring a firearm to a non-licensee
Citation: 27 CFR 478.124(c)(3)(iv)	
Number: 6	Date Corrections to be Made: 12/23/2016 <i>(If not corrected immediately)</i>
Nature of Violation: Licensee failed to obtain properly completed ATF F 4473 prior to the transfer of a firearm to a non-licensee...ie failure to ensure the non-licensee enter the recertification date on [REDACTED] instances	Corrective Action to be Taken: <i>(If not corrected immediately)</i> Licensee will ensure all sections of the ATF Forms 4473 are accurate and complete prior to transferring a firearm to a non-licensee
Citation: 27 CFR 478.21(a)	

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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Name of Proprietor MEADOW FARM ENTERPRISES, INC 2ND AMENDMENT GUN SHOP	Street Address 3568 SAGUNTO ST STE E	City SANTA YNEZ	State CA	Zip Code 93460-	County SANTA BARBARA	Page 3 of 3 Pages
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License/Permit/Registry Number <i>(If any)</i> 977083019G02198	Expiration Date 7/1/2019	Date(s) or Period of Inspection 12/01/2016 through 03/03/2017
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:
Licensee failed to enter (b)(3) 112 Public Law 55 firearms acquisitions and (b)(3) 112 Public Law 55 125 51 firearms disposition into the A&D record in a timely manner.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made: 12/23/2016
(If not corrected immediately)

Corrective Action to be Taken: Licensee will enter the acquisitions and dispositions into the A&D record no later than December 23, 2016
(If not corrected immediately)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*

Signature and Title of ATF Officer

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ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

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MEADOW FARM ENTERPRISES, INC
2ND AMENDMENT GUN SHOP
3568 SAGUNTO ST STE E
SANTA YNEZ, CA 93460-
RE: 977083019G02198

Dear :Gabriele Santi

During a recent inspection at your firearms business covering the period November 30, 2016 to November 30-2017 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigators (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

-2-

MEADOW FARM ENTERPRISES, INC

Should you have any questions regarding this matter, please contact (b)(6)
(b)(6), Area Supervisor at (b)(6).

Sincerely yours

(b)(6)

7/24/17

Enclosure

c: Federal Firearms Licensing Center
Glendale III (IO) Field Office

FIREARMS INVESTIGATION REPORT

Name: Meadow Farm Enterprises, Inc.
Trade Name: 2nd Amendment Gun Shop

UI#: 784025-2017-0040-B1B
FFL#: 9-77-083-01-9G-02198

Address: 3568 Sagunto Street Ste E
Santa Ynez, CA 93460

1 - Introduction

Investigation Profile:

On November 30, 2016, Investigators (b)(6) conducted an unannounced firearms compliance investigation incorporating the procedures and guidelines for a firearms investigation in the Industry Operation Manual. This investigation was conducted at the business premises located at 3568 Sagunto Street Suite E, Santa Ynez, CA 93460. Investigator (b)(6) interviewed licensee Gabriele Santi ((b)(6) DOB (b)(6)), President of Meadow Farms Enterprises, Inc. Deconfliction was conducted by Investigator (b)(6) through the Firearms Licensing System with negative results. No advance notification was given to the licensee prior to the investigation. The period of review was from 11-30-2015 to 11-30-2016. There was seven (7) violations cited during this investigation.

Business Profile:

Meadow Farms Enterprises, Inc dba 2nd Amendment Gun Shop maintains a Type 01-Dealer of Firearms License. The licensee is actively engaged in the business of selling and transferring firearms and firearms accessories. The licensee acquired approximately (b)(4) firearms and disposed of approximately (b)(4) firearms in the last twelve (12) months.

The licensee does not maintain any off-site storage. There are no other businesses located on the business premise. This commercial location is suitable for the proposed business operations. The licensee is in compliance with all the required State and local licenses.

- California Firearms Dealer license #21614
- County of Santa Barbara license #30640
- Seller permit #SR GH 622170
- COE 000015960
- Large Capacity Magazine permit #21614
- Permit to Possess/Transport Assault weapons #08490
- Short Barreled Shotgun/Rifle permit #08491
- Special Tax Stamp (b)(3) - 26 USC 6103

FIREARMS INVESTIGATION REPORT

Name: Meadow Farm Enterprises, Inc.
Trade Name: 2nd Amendment Gun Shop

UI#: 784025-2017-0040-B1B
FFL#: 9-77-083-01-9G-02198

Ownership and Control:

Meadow Farms Enterprises, Inc. is a Corporation. There were no changes in ownership or control. There is no indication of hidden ownership. Gabriele Santi is listed as the responsible person.

Variance:

There are no approved variance on file.

2 - Recommendation

Violations, Warning letter only and Recall.

3 - Investigation History

The following compliance investigations conducted during the past five years.

Date and Type of Investigation:	February 2013; Compliance
UI#:	784025-2013-0292-B1B
Investigation Results:	No Viols, No Further Action,
Cited Violations:	None

4 - Acquisition & Disposition (A&D) Record - Inventory

The licensee uses manual Acquisition and disposition (A&D) records. The A&D record had all of the required information in the entries. Investigator (b)(6) verified there were (b)(3) - 112 Public Law 55 125 Stat 552 open entries in the A&D record. Investigator (b)(6) conducted a full inventory. A comparison from the inventory to the A&D records verified the licensee failed to enter the acquisitions of (b)(3) - 112 Public Law 55 125 Stat 552 firearms and the dispositions of (b)(3) - 112 Public Law 55 125 Stat 552 firearms into the A&D record. The licensee was cited the following:

- 27 CFR 478.125(e)- Failure to enter (b)(3) - 112 Public Law 55 125 Stat 552 firearm acquisitions and (b)(3) - 112 Public Law 55 125 Stat 552 firearm dispositions into the A&D record in a timely manner

There were no firearms with obliterated serial numbers found in the inventory. No firearms were report stolen in the past twelve (12) months. Investigator (b)(6) verified this information per the ATF Violent Crime Analysis Branch.

FIREARMS INVESTIGATION REPORT

Name: Meadow Farm Enterprises, Inc.
Trade Name: 2nd Amendment Gun Shop

UI#: 784025-2017-0040-B1B
FFL#: 9-77-083-01-9G-02198

5 - ATF Forms 4473 – NICS & Other Dispositions

The licensee files the ATF Forms 4473s chronologically. There were (b)(3) - 112 Public Law 55 125 Stat 552) ATF Form 4473s on file for this investigation period. Investigators reviewed all of the forms and the licensee was cited for the following:

- 27 CFR 478.124(c)(4)-Failure to complete the ATF F 4473 accurately...i.e. licensee failed to enter the firearm information
- 27 CFR 478.21a- Failure to obtain a properly completed ATF F 4473 prior to the transfer of a firearm to a non-licensee...i.e. licensee failed to ensure the recertification date.
- 27 CFR 478.124(c) (3) (iv)-Failure complete all section of the ATF F 4473 prior to the transfer of to a non-licensee...i.e. licensee failed to record the DROS information.
- 27 CFR 478.124(c)(5)-Failure to complete ATF F 4473 accurately...i.e. licensee failed to enter the transferor information in Section D, #34 and #36

The DROS history report for the past twelve (12) months was requested from California Department of Justice. Investigator (b)(6) verified fifty (50) DROS numbers to the ATF F 4473s. There were no discrepancies noted.

6 – Multiple Sales

According to the FFL History query from the ATF Violent Crime Analysis Branch, the licensee did not report any multiple sales of handguns. During a review of the ATF Forms 4473, Investigator (b)(6) found (b)(3) - 112 Public Law 55 125 Stat 552 unreported sales of multiple handguns and (b)(3) - 112 Public Law 55 125 Stat 552 unreported multiple sales of certain rifles during this investigation. The licensee was cited for the following:

- 27 CFR 478.126a-Failure to report and file ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers.
- 18 U.S.C. 923(g) (5) (A)-Failure to file and report to ATF Form 3310.12, Report of Multiple Sales of Certain Rifles, on (b)(3) - 112 Public Law 55 125 Stat 552 instances.

Investigator (b)(6) verified the licensee prepared and submitted the ATF Forms 3310.4 and 3310.12 to ATF (*Refer to Exhibit #1 & #2*).

FIREARMS INVESTIGATION REPORT

Name: Meadow Farm Enterprises, Inc.
Trade Name: 2nd Amendment Gun Shop

UI#: 784025-2017-0040-B1B
FFL#: 9-77-083-01-9G-02198

7 - Suspicious/Prohibited Purchasers

The ATF F 4473s were reviewed by purchaser's names and addresses to determine if the purchasers were potential traffickers or straw purchasers or may be engaging in the business without an FFL. The ATF Form 4473s were reviewed for high crime firearms and weapons of choice. No prohibited or straw purchases were disclosed. No firearm trafficking information was disclosed during the review process. No persons that may possibly be dealing in firearms without a license were found.

8 - Trace Activity

A Crime Gun Analysis Branch (CGAB) history report was requested. The licensee had ^{(b)(6)} successful trace requests.

9 - NFA/Importer/Collector/Manufacturer

Meadow Farm Enterprises is a NFA dealer. The licensee has a current Special Tax Stamp ^{(b)(6)} (*Refer to Attachment #3*). The NFRTR registry of weapons registered to Meadow Farm Enterprises was obtained from the NFA Branch. There were ^{(b)(6)} firearms listed as registered to Meadow Farms Enterprises. Investigator ^{(b)(6)} verified the 2 NFA weapons in the inventory.

Meadow Farms Enterprises is not engaged in the business a manufacturer, importer of firearms or collection curio and relic firearms.

10 - Referrals

No referral was generated during this investigation.

11 - Other

The licensee understands the requirement that a licensed dealer must provide a trigger lock to each transferee of a handgun if the handgun does not already come with a trigger lock. No school zone was found to be within a 1000 feet vicinity of the business premises. No other findings were disclosed during this investigation.

12 - Closing Actions

On March 3, 2017, Investigator ^{(b)(6)} held a closing conference with Gabriele Santi, President of Meadow Farm Enterprises. The violations recorded on the ATF F 5030.5, Report of Violation (*Refer to Exhibit #4*) was

FIREARMS INVESTIGATION REPORT

Name: Meadow Farm Enterprises, Inc.
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UI#: 784025-2017-0040-B1B
FFL#: 9-77-083-01-9G-02198

discuss along with the cause of the violations and the corrective actions. Each applicable topic was discuss on the Acknowledgement of Federal Firearm Regulations. Emphasis was placed on the acquisition and disposition records, consignment firearms, gunsmith activities, completion of ATF Forms 4473, identification documents and straw purchases. Gabriele Santi signed and dated the Acknowledgement of Federal Regulations, and received a copy (*Refer to Exhibit #5*).

13 - Violations

1.

27 CFR 478.125(e)-

Violation: Failure to enter (b)(3) - 112 Public Law 55 125 Stat 552 firearm acquisitions and (b)(3) - 112 Public Law 55 125 Stat 552) firearm dispositions into the A&D record in a timely manner

Licensee Response: Licensee stated, due to an injury on his hand he was unable to enter the acquisitions and dispositions into the A&D record.

Corrective Action: Licensee will enter all acquisitions and dispositions into the A&D record in a timely manner.

27 CFR 478.126a-

Violation: Licensee failed to report and file ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers on (b)(3) - 112 Public Law instances.

Licensee Response: Licensee stated, due to an injured hand he was unable to prepare and submit the Form 3310.4 to ATF.

Corrective Action: Licensee will immediately prepare and submit ATF Form 3310.4 to ATF

27 CFR 478.124(c)(4)-

Violation: Licensee failed to enter the firearm information in (b)(3) - 112 Public Law 55 125 Stat 552) instances on ATF F 4473

Licensee Response: Licensee stated due to his injured hand he was unable to the required information on ATF F 4473

Corrective Action: Licensee will enter all the required information on ATF F

FIREARMS INVESTIGATION REPORT

Name: Meadow Farm Enterprises, Inc.
Trade Name: 2nd Amendment Gun Shop

UI#: 784025-2017-0040-B1B
FFL#: 9-77-083-01-9G-02198

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27 CFR 478.21a-

Violation: Failure to obtain a properly completed ATF F 4473 prior to the transfer of a firearm to a non-licensee...i.e. licensee failed to ensure the recertification date.

Licensee Response: Licensee stated due to his injured hand he was unable to the required information on ATF F 4473

Corrective Action: Licensee will ensure that all sections of the ATF F 4473s are accurate and complete prior to transferring a firearm to a non-licensee

27 CFR 478.124(c)(3)(iv)-

Violation: Failure complete all section of the ATF F 4473 prior to the transfer of to a non-licensee...i.e. licensee failed to record the DROS information on (b)(3) - 112 Public Law 4473 instances.

Licensee Response: Licensee stated due to his injured hand he was unable to the required information on ATF F 4473

Corrective Action: Licensee will ensure all ATF F 4473s are accurate and complete

27 CFR 478.124(c)(5)-

Violation: Failure to complete ATF F 4473....ie licensee failed to enter the transferor information in Section D, #34 and #36

Licensee Response: Licensee stated due to his injured hand he was unable to enter the required information on ATF F 4473

Corrective Action: Licensee will ensure that all sections of the ATF F 4473s are accurate and complete prior to transferring a firearm to a non-licensee

18 U.S.C. 923(g)(5)(A)-

Violation: Failure to file and report to ATF, Form 3310.12 Report of Multiple Sales of Certain Rifles, on (b)(3) - 112 Public Law instances.

Licensee Response: Licensee stated that due to his injured hand he was unable to file and submit the form to ATF.

FIREARMS INVESTIGATION REPORT

Name: Meadow Farm Enterprises, Inc.
Trade Name: 2nd Amendment Gun Shop

UI#: 784025-2017-0040-B1B
FFL#: 9-77-083-01-9G-02198

Corrective Action: Licensee will immediately file ATF Form 3310.12, Report of Multiple Sales of Other Disposition of Certain Rifles and submit to ATF.

(b)(6)	Investigator	March 14, 2107
Signature	Title	Date of Report

Index of Worksheets and Exhibits

1. Report of Multiple Sale or Other Disposition of Pistols and Revolvers
2. Report of Multiple Sale of Other Disposition of Certain Rifles
3. 2017 Special Tax Stamp
4. Report of Violations
5. Acknowledgement of Federal Regulations.
6. Warning Letter