

Assignment and Report

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|---|--|---|------------------------------|
| 1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) B AND Z HOLDINGS LLC AMERICAN GUNS UNLIMITED 7407 N OAK TRAFFICWAY KANSAS CITY, MO 64118, CLAY | | 2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779075-2017-0613-B1B | |
| | | 3. PERMIT/LICENSE NUMBER 543047019D13099 | 4a. TARGET DATE 9/30/2017 |
| | | 4b. TARGET HOURS | |
| 5. REQUESTED BY (SIGNATURE, TITLE AND DATE) | | | |

| | |
|--|--|
| 6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator (b)(6) - Assigned Investigator | |
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| | |
|---|--|
| 7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 05/23/2017 | |
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| | |
|--|--|
| 8. PURPOSE/SPECIAL INSTRUCTIONS FULL COMPLIANCE | |
|--|--|

| | | | |
|---|----|--------------------------------|--|
| 9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC | | 10. TRAVEL EXPENSES (OPTIONAL) | |
| NO. OF VIOLATIONS | 10 | NO. OF REFERRALS | |
| NO. OF TECS CHECKS | 2 | NO. OF TECS HITS | |
| NO. OF TAX ADJUSTMENTS | | \$ VALUE OF TAX INCREASES | |
| | | \$ VALUE OF TAX DECREASES | |
| NO. OF ASSESSMENTS | | \$ VALUE OF ASSESSMENTS | |
| NO. OF CLAIMS | | \$ VALUE OF CLAIMS | |
| NO. OF TAX PERIODS | | \$ VALUE OF TAXES VERIFIED | |
| | | TOTAL \$ FOR INSP. | |

| | |
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| 11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 07/07/2017 Viols WC with WL and Recall | |
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|--|-------|
| 12. TIME ACCOUNTING DATA | |
| ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6) | |
| JUN 2017 | 18.00 |
| JUL 2017 | 7.00 |
| ATF OFFICER'S SUBTOTAL | 25.00 |
| ATF OFFICER'S SIGNATURE | |
| ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6) | |
| JUN 2017 | 18.00 |
| ATF OFFICER'S SUBTOTAL | 18.00 |
| ATF OFFICER'S SIGNATURE | |
| TOTAL HOURS | 43.00 |

| | |
|---|---------------------------|
| 13. REVIEW AND ROUTING | |
| REVIEW COMMENTS AND RECOMMENDATION First compliance inspection under this license Viols WL ONLY and Recall Inspection | |
| <input checked="" type="checkbox"/> REVIEWED <input type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION | |
| SIGNATURE AND TITLE (b)(6) - Area Supervisor | REVIEW DATE 07/07/2017 |

Assignment and Report

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| 1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) B AND Z HOLDINGS LLC AMERICAN GUNS UNLIMITED 7407 N OAK TRAFFICWAY KANSAS CITY,MO 64118, CLAY | 2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779075-2017-0613-B1B | | |
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| | | | |

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

| | | | | | | |
|---|---|-----------------------------|-------------|--|----------------|----------------------|
| Name of Proprietor B AND Z HOLDINGS LLC AMERICAN GUNS UNLIMITED | Street Address 7407 N OAK TRAFFICWAY | City KANSAS CITY | State MO | Zip Code 64118- | County CLAY | Page 1 of 5 Pages |
| License/Permit/Registry Number <i>(If any)</i> 543047019D13099 | | Expiration Date 4/1/2019 | | Date(s) or Period of Inspection 06/21/2017 through 06/22/2017 | | |

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Date Corrections to be Made: 06/26/2017
(If not corrected immediately)

Nature of Violation:

The licensee failed to correctly maintain an A&D book.
•(b)(3) firearms were not timely logged out of the A&D book.
•(b)(3) firearm was not recorded in the A&D book.
•(b)(3) firearm was recorded in the A&D book with an incorrect serial number.
•On approximately (b)(3) acquisition entries the licensee didn't include a complete address or FFL number from whom the firearm was acquired.

Corrective Action to be Taken: The licensee was advised to amend the A&D book. The licensee was advised to contact the repair customers to determine when the firearms were picked up.
(If not corrected immediately)

Citation: 27 CFR 478.125(e)

Number: 2

Date Corrections to be Made:
(If not corrected immediately)

Nature of Violation:

The licensee failed to conduct a NICS check prior to transferring a firearm to a nonlicensee.

Corrective Action to be Taken: The licensee was advised that a concealed carry permit may not be used in lieu of a NICS check. The licensee was advised to conduct a NICS check on each of the purchaser's, document the NICS information, and attach the NICS information to the form.
(If not corrected immediately)

Citation: 27 CFR 478.102(a)(1)

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

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|---|---|---------------------|-------------|--------------------|----------------|----------------------|

| | | |
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| License/Permit/Registry Number <i>(If any)</i> 543047019D13099 | Expiration Date 4/1/2019 | Date(s) or Period of Inspection 06/21/2017 through 06/22/2017 |
|---|-----------------------------|--|

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:
The licensee failed to file a multiple sales report (3 instances).

Citation: 27 CFR 478.126(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Number: 4

Nature of Violation:
The licensee sold a Palmetto State Armory receiver to a Florida resident.

Citation: 27 CFR 478.99(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee was advised that a receiver may only be sold to a MO resident who is 21 years old. The licensee was advised that the receiver could have been transferred to a FFL in FL.

For Official Use Only

October 9 2019 Production

515

ATF E-Form 5030 5
Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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| License/Permit/Registry Number <i>(If any)</i> 543047019D13099 | Expiration Date 4/1/2019 | Date(s) or Period of Inspection 06/21/2017 through 06/22/2017 | | | | |

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:
The licensee failed to obtain all required information in Section A of the ATF Form 4473.
•Transferee's Address: 0/1 forms
•City of Birth 0 form
•Prohibitory Question 0/3/31 forms
•Date of Birth 0/1 form
•Country of Citizenship: 0 forms
•State of Residence: 0 forms
•Certification Signature: 0 forms
•Certification Date 0/3/31 forms

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee was advised to review Section A of the forms.
(If not corrected immediately)

Number: 6

Nature of Violation:
The licensee failed to correctly document the NICS information.
•NICS contact date: 0 forms
•NTN: 0 form
•NICS response: 0/3/31 forms

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee was advised to always correctly document the NICS information on the form.
(If not corrected immediately)

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ATF E-Form 5030 5
Revised April 2005

Report of Violations

Instructions

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| Name of Proprietor B AND Z HOLDINGS LLC AMERICAN GUNS UNLIMITED | Street Address 7407 N OAK TRAFFICWAY | City KANSAS CITY | State MO | Zip Code 64118- | County CLAY | Page 4 of 5 Pages |
| License/Permit/Registry Number <i>(If any)</i> 543047019D13099 | | Expiration Date 4/1/2019 | | Date(s) or Period of Inspection 06/21/2017 through 06/22/2017 | | |

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

| | |
|---|---|
| Number: 7 | Date Corrections to be Made: <i>(If not corrected immediately)</i> |
| Nature of Violation: In [REDACTED] instances the licensee transferred a firearm to a person who certified he was not the actual buyer of the firearm. | Corrective Action to be Taken: <i>(If not corrected immediately)</i> The licensee should not have sold the firearm to a person who certified he wasn't the buyer of the firearm. |
| Citation: 27 CFR 478.21(a) | |
| Number: 8 | Date Corrections to be Made: 06/23/2017 <i>(If not corrected immediately)</i> |
| Nature of Violation: [REDACTED] forms didn't include the complete firearm information. | Corrective Action to be Taken: <i>(If not corrected immediately)</i> The licensee was advised to amend the following forms: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6) [REDACTED] |
| Citation: 27 CFR 478.124(c)(4) | |

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

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| | | | | | | |
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| Name of Proprietor B AND Z HOLDINGS LLC AMERICAN GUNS UNLIMITED | Street Address 7407 N OAK TRAFFICWAY | City KANSAS CITY | State MO | Zip Code 64118- | County CLAY | Page 5 of 5 Pages |
|---|---|---------------------|-------------|--------------------|----------------|----------------------|

| | | |
|--|-----------------------------|--|
| License/Permit/Registry Number (If any) 543047019D13099 | Expiration Date 4/1/2019 | Date(s) or Period of Inspection 06/21/2017 through 06/22/2017 |
|--|-----------------------------|--|

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 9

Nature of Violation:

(b)(3) 112

 forms didn't have the identification correctly recorded.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee was advised that a U.S. passport was not an acceptable form of identification. The licensee was advised that additional documentation would be required. The licensee was advised that a MO Gas and Energy bill wasn't an acceptable form of alternate identification. The licensee was advised to always record the ID information on the form.

Number: 10

Nature of Violation:

(b)(3) 112

 ATF Forms 4473 didn't have the correct date of transfer.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made: 06/23/2017
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee was advised to amend the following forms:
(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

Signature and Title of ATF Officer

Date

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ATF E-Form 5030 5
Revised April 2005

B AND Z HOLDINGS LLC
AMERICAN GUNS UNLIMITED
7407 N OAK TRAFFICWAY
KANSAS CITY, MO 64118-
RE: 543047019D13099

Dear Mr. Michael Balano, Mr. Phillip Balano, and Mr. Sargis Zadoyan:

During a recent inspection at your firearms business covering the period June 21, 2016 to June 21, 2017 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator(s). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

B AND Z HOLDINGS LLC

Should you have any questions regarding this matter, please contact (b)(6)
(b)(6), Area Supervisor at (816)410-6000.

Sincerely yours,

(b)(6)
Area Supervisor

Enclosure

c: Federal Firearms Licensing Center
Kansas City VI (IO) Field Office

FIREARMS INSPECTION REPORT

Name: B & Z Holdings LLC
Trade Name: American Guns Unlimited

UI#: 779075-2017-0613-B1B
FFL#: 5-43-01-13099

Address: 7407 N Oak Trafficway
Kansas City, MO 64118

1 - Introduction

Inspection Profile:

On June 21-22, 2017 IOI (b)(6) and IOI (b)(6) conducted an unannounced compliance inspection. Iain Dow, manager, Phillip Balano, member, and Michael Balano, member, assisted with the inspection. The inspection period covered June 21, 2016 through June 22, 2017.

Michael Balano: (b)(6)
Phillip Balano: (b)(6)
Iain Dow: (b)(6)

Business Profile:

The license was issued in March 2016. The licensee operates from a storefront in Gladstone, MO. (FLS incorrectly associates the zip code with Kansas City, MO. The licensee tried to change the information with the licensing center.) The licensee buys and sells new and used firearms. The licensee will special order firearms and process interstate transfers. The licensee also offers gunsmithing services. The licensee does not sell firearms via the internet. The licensee does not sell firearms at gun shows. In the past 12 months the licensee acquired approximately (b)(4) firearms and disposed of approximately (b)(4) firearms. New inventory is acquired from (b)(4).

The licensee had a MO Retail Sales License: 22762353. The licensee stated that they have not received a copy of the city business license from Gladstone, MO.

Philip Balano is also a member of MLB Holdings LLC dba American Pawn (5-43-02-08977). American Pawn shared the same premise address as American Guns Unlimited. However, the license was not being used, since the City of Gladstone, MO did not allow pawn shops. Balano surrendered the American Pawn license to IOI (b)(6) on June 22, 2017.

Ownership and Control:

The members of the LLC are Phillip Balano, Michael Balano, and Sargis Zadoyan. The LLC was registered with the MO Secretary of State. There

FIREARMS INSPECTION REPORT

Name: B & Z Holdings LLC
Trade Name: American Guns Unlimited

UI#: 779075-2017-0613-B1B
FFL#: 5-43-01-13099

was no indication of a change of ownership. The trade name was not registered as a fictitious name with the MO Secretary of State.

Variance:

The licensee does not operate under any variances.

2 - Recommendation

Warning Conference, ROV, Recall

3 - Inspection History

Date of Inspection: March 22, 2016
Type of Inspection: Application Inspection
UI#: 779075-2016-0434
Inspection Results: Approve Original
Cited Violations: No Violations

4 - Acquisition & Disposition (A&D) Record – Inventory

IOI (b)(6) inventoried 144 firearms (92 retail sales and 52 repair firearms). The licensee maintains a manual A&D book. The licensee keeps a separate book for retail sales and repairs. The book contained the required fields of information. However, the licensee did not always correctly record the firearm information into the A&D book. The licensee also did not timely record the acquisition and disposition of firearms. (b)(3) - 112 firearm was not recorded in an A&D book. (b)(3) - 112 Public Law 55 125 Stat 55 firearms were transferred but were not timely logged out of the A&D book. (b)(3) - 112 firearm in inventory had an incorrect serial number in the A&D book. On approximately (b)(3) - 112 acquisition entries the licensee didn't include a complete address or FFL number from whom the firearm was acquired.

The licensee did not file a theft/loss report during the past 12 months.

The A&D book and inventory were maintained by Phillip Balano and Iain Dow.

Reference: ROV #1 & A&D Review Worksheet

5 - ATF Forms 4473 – NICS & Other Dispositions

FIREARMS INSPECTION REPORT

Name: B & Z Holdings LLC
Trade Name: American Guns Unlimited

UI#: 779075-2017-0613-B1B
FFL#: 5-43-01-13099

IOI (b)(6) reviewed (b)(3) - 112 forms that were completed in the past 12 months. The licensee was cited for the following:

- The licensee failed to conduct a NICS check prior to transferring a firearm to a nonlicensee.
- The licensee sold a Palmetto State Armory receiver to a Florida resident.
- The licensee failed to obtain all required information in Section A of the ATF Form 4473. (b)(3) - 112 forms did not have the transferee's complete residential address. (b)(3) - 112 form did not have the transferee's city of birth. On (b)(3) - 112 Public forms the transferee didn't answer a prohibitor question. (b)(3) - 112 form did not include the transferee's date of birth. (b)(3) - 112 forms did not include the transferee's country of citizenship. (b)(3) - 112 forms did not include the transferee's state of residence. (b)(3) - 112 forms did not include the transferee's signature. (b)(3) - 112 Public Law 55 forms did not include the transferee's certification date.
- The licensee failed to correctly document the NICS information. Three forms did not include the NICS contact date. One denied form did not have the correct NICS transaction number. Fourteen forms did not have the NICS response correctly documented.
- On seven instances the licensee transferred a firearm to a person who certified he was not the buyer of the firearm.
- Three forms didn't include the complete firearm information.
- Four forms didn't have the transferee's identification correctly recorded.
- Seven forms didn't have the correct date of transfer.

Prior to the inspection, IOI (b)(6) requested a NICS audit log. IOI (b)(6) compared 76 NICS transactions numbers to the corresponding ATF Form 4473. The licensee was associated with 31 denials in the past 12 months. The denials did not result in any straw purchases. The licensee was unable to locate the following forms:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Phillip Balano could not provide any information about the (b)(3) - 112 missing forms that were approved by NICS. Balano insisted that a NICS check was not run (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6). He said that her name and driver's license would have been entered into the computer prior to initiating a NICS check.

IOI (b)(6) compared (b)(3) - 112 forms to the corresponding entry in the A&D book.

Reference: ROV #2, 4, 5, 6, 7, 8, 9 & 10, 4473 Review Worksheet, & Exhibit 1

6 - Multiple Sales

FIREARMS INSPECTION REPORT

Name: B & Z Holdings LLC
Trade Name: American Guns Unlimited

UI#: 779075-2017-0613-B1B
FFL#: 5-43-01-13099

Prior to the inspection, IOI (b)(6) requested a VCAB report. The report included the date range May 26, 2016 through May 26, 2017. The report included multiple sales reports involving firearms.

The licensee failed to file multiple sales reports involving a total of firearms.

Referenece: ROV #3 and 4473 Review Worksheet

7 - Suspicious/Prohibited Purchasers

The inspection did not reveal any suspicious or prohibited purchasers.

8 - Trace Activity

Prior to the inspection, IOI (b)(6) requested a VCAB report. The report included the date range May 26, 2016 through May 26, 2017. The report included traces.

9 - NFA/Importer/Collector/Manufacturer

The licensee does not pay SOT, import, collect, or manufacturer firearms.

10 - Referrals

IOI (b)(6) did not create any referrals.

11 - Other

The licensee had the Youth Handgun Safety Act poster and brochures.

12 - Closing Actions

On June 22, 2017 IOI (b)(6) and IOI (b)(6) conducted a closing conference with Phillip Balano, member, and Iain Dow, employee. IOI (b)(6) reviewed the Acknowledgement of Federal Firearms Regulations with them. A copy of the form was provided to Balano for reference. The form was signed by IOI (b)(6) and (b)(6). Balano was provided contact information for IOI (b)(6) and Kansas City VI.

IOI (b)(6) issued the Report of Violations to Balano and Dow. IOI (b)(6) reviewed each of the violations and the required corrective action. After reviewing all the violations, Balano indicated that he thought that the results of the inspection were better than the inspection that was conducted at MLB Holdings LLC dba American Pawn that was conducted approximately one year ago.

FIREARMS INSPECTION REPORT

Name: B & Z Holdings LLC
Trade Name: American Guns Unlimited

UI#: 779075-2017-0613-B1B
FFL#: 5-43-01-13099

Reference: Exhibit 2

13 – Violations

1. 27 CFR 478.125(e)

Nature of Violation:

The licensee failed to correctly maintain an A&D book.

- (b)(3) firearms were not timely logged out of the A&D book.
- (b)(3) firearm was not recorded in the A&D book.
- (b)(3) firearm was recorded in the A&D book with an incorrect serial number.
- On approximately (b)(3)-11 acquisition entries the licensee didn't include a complete address or FFL number from whom the firearm was acquired.

Licensee's Response:

The licensee did not comment on the violation.

Corrective Action:

The licensee was advised to amend the A&D book. The licensee was advised to contact the repair customers to determine when the firearms were picked up.

Reference: A&D Review Worksheet

2. 27 CFR 478.102(a)(1)

Nature of Violation:

The licensee failed to conduct a NICS check prior to transferring a firearm to a nonlicensee ((b)(3) instances). The licensee accepted MO, KS, IA, and/or National concealed carry permits in lieu of conducting NICS checks.

Licensee's Response:

Dow stated that an attorney advised him that a CCW permit could be accepted instead of conducting a NICS check.

Corrective Action:

The licensee was advised that a concealed carry permit may not be used in lieu of a NICS check. The licensee was advised to conduct a NICS check on each of the purchaser's, document the NICS information, and attach the NICS information to the form.

Reference: 4473 Review Worksheet & Exhibit 1

FIREARMS INSPECTION REPORT

Name: B & Z Holdings LLC
Trade Name: American Guns Unlimited

UI#: 779075-2017-0613-B1B
FFL#: 5-43-01-13099

3. 27 CFR 478.126a

Nature of Violation:

The licensee failed to file a multiple sales report (1 instance).

Licensee's Response:

Phillip Balano stated that he thought he was doing a good job tracking the multiple sales.

Corrective Action:

The licensee was advised to submit the ATF Forms 3310.4 to ATF.

Reference: 4473 Review Worksheet

4. 27 CFR 478.99(a)

Nature of Violation:

The licensee sold (b)(3) - 112 Public Law 55 125 Stat 552 to a Florida resident.

Licensee's Response:

Phillip Balano stated that he did not know that a receiver could not be sold to an out-of-state residence.

Corrective Action:

The licensee was advised that a receiver may only be sold to a MO resident who is 21 years old. The licensee was advised that the receiver could have been transferred to a FFL in FL.

Reference: 4473 Review Worksheet and Exhibit 1

5. 27 CFR 478.124(c)(1)

Nature of Violation:

The licensee failed to obtain all required information in Section A of the ATF Form 4473.

- Transferee's Address: 1 form
- City of Birth: 1 form
- Prohibitory Question: 1 form
- Date of Birth: 1 form
- Country of Citizenship: 1 form
- State of Residence: 1 form

FIREARMS INSPECTION REPORT

Name: B & Z Holdings LLC
Trade Name: American Guns Unlimited

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- Certification Signature: [REDACTED] forms
- Certification Date: [REDACTED] forms

Licensee's Response:

The licensee did not comment on the violation.

Corrective Action:

The licensee was advised to review Section A of the forms.

Reference: 4473 Review Worksheet and Exhibit 1

27 CFR 478.124(c)(3)(iv)

6.

Nature of Violation:

The licensee failed to correctly document the NICS information.

- NICS contact date: [REDACTED] forms
- NTN: [REDACTED] form
- NICS response: [REDACTED] forms

Licensee's Response:

The licensee did not comment on the violation.

Corrective Action:

The licensee was advised to always correctly document the NICS information on the form.

Reference: 4473 Review Worksheet

7.

27 CFR 478.21(a)

Nature of Violation:

In [REDACTED] instances the licensee transferred a firearm to a person who certified he was not the actual buyer of the firearm.

Licensee's Response:

The licensee did not comment on the violation.

Corrective Action:

The licensee should not have sold the firearm to a person who certified he wasn't the buyer of the firearm.

Reference: 4473 Review Worksheet

FIREARMS INSPECTION REPORT

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8. 27 CFR 478.124(c)(4)

Nature of Violation:

(b)(3) - 112 Pub forms didn't include the complete firearm information.

Licensee's Response:

The licensee did not comment on the violation:

Corrective Action:

The licensee was advised to amend the following forms:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

Reference: 4473 Review Worksheet

9. 27 CFR 478.124(c)(3)(i)

Nature of Violation:

Four forms didn't have the identification correctly recorded.

Licensee's Response:

The licensee did not comment on the violation.

Corrective Action:

The licensee was advised that a U.S. passport was not an acceptable form of identification. The licensee was advised that additional documentation would be required. The licensee was advised that a MO Gas and Energy bill wasn't an acceptable form of alternate identification. The licensee was advised to always record the ID information on the form.

Reference: 4473 Review Worksheet

10. 27 CFR 478.124(c)(5)

Nature of Violation:

Seven ATF Forms 4473 didn't have the correct date of transfer.

Licensee's Response:

The licensee did not comment on the violation.

Corrective Action:

The licensee was advised to amend the following forms:

FIREARMS INSPECTION REPORT

Name: B & Z Holdings LLC
Trade Name: American Guns Unlimited

UI#: 779075-2017-0613-B1B
FFL#: 5-43-01-13099

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



Reference: 4473 Review Worksheet

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Industry Operations Investigator

Index of Worksheets and Exhibits

Exhibit 1 – Copies of ATF Forms 4473

Exhibit 2 – Acknowledgement of Federal Firearms Regulations

FIREARMS INSPECTION REPORT

Name: B & Z Holdings LLC
Trade Name: American Guns Unlimited

UI#: 779075-2017-0613-B1B
FFL#: 5-43-01-13099