

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) BARROW, LYNN 9000 E 327TH DREXEL, MO 64742, CASS		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779075-2016-0271-B1B	
		3. PERMIT/LICENSE NUMBER 543037015M10360	4a. TARGET DATE 9/30/2016
		4b. TARGET HOURS	
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator (b)(6) - Assigned Investigator			
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 10/15/2015			
8. PURPOSE/SPECIAL INSTRUCTIONS FULL COMPLIANCE			
9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	
NO. OF VIOLATIONS	3	NO. OF REFERRALS	
NO. OF TECS CHECKS		NO. OF TECS HITS	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	
		\$ VALUE OF TAX DECREASES	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	
NO. OF CLAIMS		\$ VALUE OF CLAIMS	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	
10. TRAVEL EXPENSES (OPTIONAL)			
		2111 - PER DIEM	
		2112 - P.O.A.	
		2113 - COMM. AIR	
		2114 - RENTAL CAR	
		2115 - GPV EXPENSES	
		2116 - MISC.	
		TOTAL \$ FOR INSP.	
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 12/17/2015 Viols WL ONLY and Recall Inspection			
12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
NOV 2015 8.00			
DEC 2015 3.00			
ATF OFFICER'S SUBTOTAL		11.00	ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
NOV 2015 9.00			
DEC 2015 3.00			
ATF OFFICER'S SUBTOTAL		12.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS		23.00	
13. REVIEW AND ROUTING			
REVIEW COMMENTS AND RECOMMENDATION Viols WL ONLY and Recall Inspection			
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION			
SIGNATURE AND TITLE (b)(6) - Area Supervisor			REVIEW DATE 12/17/2015

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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor BARROW, LYNN	Street Address 9000 E 327TH	City DREXEL	State MO	Zip Code 64742-	County CASS	Page 1 of 1 Pages
License/Permit/Registry Number (If any) 543037015M10360		Expiration Date 12/1/2015		Date(s) or Period of Inspection 11/10/2015 through 11/10/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:
Failure to execute ATF F 4473 properly, in 1 instances the date sold (block 36) was left blank or wrong.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee was advised block 36 had to match either block 17 if firearm was sold that day, or block 25 if the transaction was delayed.

Number: 2

Nature of Violation:
Failure to execute NICS check and log response properly. 1 instances, the licensee accepted a MO CWP in lieu of a NICS check; 1 instances, the transaction was delayed and block 21d was not filled out.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee was advised that a MO CWP does not qualify in lieu of a background check and therefore a NICS check should have been conducted. Licensee was also advised that a delayed response in 21c triggers a response in 21d.

Number: 3

Nature of Violation:
Failure to execute A&D Record as prescribed by regulation 1 outstanding firearms from the A&D Record with no disposition; firearms were not in the physical inventory.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee has two weeks to located and properly dispose the firearms in the A&D Record.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

Signature and Title of ATF Officer

Date

For Official Use Only

October 9 2019 Production

618

ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Kansas City, Missouri

www.atf.gov

December 17, 2015

779075: (b)(6)
5373

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

LYNN BARROW, SOLE PROPRIETOR
9000 E 327th
DREXEL, MISSOURI 64742

RE: 5-43-037-01-5M-10360

Dear Mr. Barrow:

During a recent inspection at your firearms business covering the period November 2014 to November 2015, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigators (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat

Lynn Barrow, Sole Proprietor

or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor, Kansas City VI Area Office

Enclosure

cc: Federal Firearms Licensing Center
Kansas City VI Field Office

FIREARMS INSPECTION REPORT

Name: Lynn Barrow
Trade Name:

UI#: 779075-2016-0271
FFL#: 5-43-037-01-5M-10360

Address: 9000 E 327th
Drexel, MO 64742, Cass County

1 - Introduction

Inspection Profile:

On November 10, 2015, IOI's (b)(6) and (b)(6) conducted a compliance inspection on the aforementioned type 01 FFL. Mr. Lynn Barrow, Sole Proprietor, assisted during this inspection. The inspection period covered for this assignment was November 11, 2014 through November 10, 2015. No advanced notification was provided.

Business Profile:

Lynn Barrow is a federally licensed Firearms dealer located in rural Drexel, Cass County, Missouri. He sells new and used firearms, ammunition, and firearms accessories. During the past twelve month period, this FFL acquired (b)(4) firearms and disposed of (b)(4) firearms. The business breakdown is estimated as follows: (b)(4) retail sales, (b)(4) gun shows, (b)(4) internet transfers; (b)(4) handguns, (b)(4) long guns, (b)(4) 'other'; (b)(4) new and (b)(4) used firearms. The business is supplied by (b)(4) and (b)(4). As noted, the licensee does do a small amount of internet transfer and rarely sells at gun shows. Lynn Barrow maintains a Missouri Retail Sales Tax ID (b)(3)-26 USC 6103 and a Cass County Merchant's License #4341. The licensee has held this FFL since 11/05/2012.

Ownership and Control:

There have been no changes to ownership since the previous inspection. No additional responsible persons were added at this time, no hidden ownership is suspected.

Variance:

The licensee does not operate under any variances.

2 - Recommendation

Violts WL ONLY and Recall.

3 - Inspection History

Date and Type of Inspection: 10/18/2012 – Qualification
UI#: 779075-2012-0783-B1B

FIREARMS INSPECTION REPORT

Name: Lynn Barrow
Trade Name:

UI#: 779075-2016-0271
FFL#: 5-43-037-01-5M-10360

Inspection Results: Approve Original
Cited Violations:
N/A

4 - Acquisition & Disposition (A&D) Record - Inventory

A full inventory was conducted by the IOI's. There were 185 firearms in inventory. There were [REDACTED] firearms that could not be located at the time of the inspection, with no disposition entry in the A&D Record. The licensee later located five of the firearms in his residence and found records indicating the other [REDACTED] firearms had been transferred (b)(3) - 112 Public Law 55 125 Stat 552). Therefore, there were actually 190 firearms in inventory. During this inspection period, no thefts or losses have been reported by this licensee. As noted, four of the firearms were not disposed in a timely manner as prescribed by regulation following the licensee's reconciliation. According to Barrow, a yearly inventory is conducted to account for all firearms on location.

Reference: Worksheet 1

5 - ATF Forms 4473 – NICS & Other Dispositions

A total of [REDACTED] ATF 4473's were reviewed for this inspection period. The review revealed [REDACTED] instances where the forms were not dated correctly (box 36) and [REDACTED] instances where a NICS check was not properly performed or recorded ([REDACTED] not performed – accepted MO CCW in lieu of check and [REDACTED] not recorded correctly in 21d). These errors were discussed during the closing conference with the licensee.

The FFL maintains the 4473's in reverse chronological order by date of sale. There were no transactions by law enforcement letterhead. IOI (b)(6) compared 8 ATF Forms 4473 to the corresponding entry in the A&D book with no discrepancies noted. A total of 2 4473's were checked and verified by NTN. No denied ATF F 4473's were on file for the inspection period. There was no evidence of straw sales.

Reference: Worksheet 2, Exhibit 2

6 - Multiple Sales

Per ATF VCAB, there was one reported multiple sale during the inspection period. No unreported multiple sales were discovered during the inspection.

Reference: Exhibit 2

7 - Suspicious/Prohibited Purchasers

FIREARMS INSPECTION REPORT

Name: Lynn Barrow
Trade Name:

UI#: 779075-2016-0271
FFL#: 5-43-037-01-5M-10360

No suspicious or prohibited purchasers were identified as a result of the inspection.

8 – Trace Activity

Lynn Barrow had no gun traces associated with his FFL. No unsuccessful gun traces were needing reconciliation by either IOI.

Reference: Exhibit 2

9 - NFA/Importer/Collector/Manufacturer

N/A

10 - Referrals

No referrals were made as a result of this inspection.

11 - Other

The licensee has the Youth Handgun Safety Act poster properly displayed and also has the brochures readily available. They provides gun locks when applicable.

12 - Closing Actions

IOI Johnson and IOI (b)(6) held a closing conference with Mr. Lynn Barrow, Sole Proprietor. IOI (b)(6) reviewed the Acknowledgement of Federal Firearms Regulations in detail with Mr. Barrow, who signed a copy of said Acknowledgement indicating his understanding of his obligations as a federal firearms licensee. Mr. Barrow later reconciled the missing firearms within the time frame specified on the ROV.

Reference: Exhibit 1

13 - Violations

1. 27 CFR 478.124(c)(5)

Nature of Violation: Failure to Sign / Date ATF F 4473 as Prescribed (b)(3) instances).
- Box 36 blank, (b)(3) instances.

Licensee Response: I overlooked this box when completing the forms.

Corrective Action: Always ensure each ATF F 4473 is signed and dated the day the firearm leaves the premise, as required by regulation.

Reference: Worksheet 2.

FIREARMS INSPECTION REPORT

Name: Lynn Barrow
Trade Name:

UI#: 779075-2016-0271
FFL#: 5-43-037-01-5M-10360

2. **27 CFR 478.124(c)(3)(iv)**

Nature of Violation: Failure to Conduct / Record NICS Check as Required (b)(6) instances).

- Accepted MO CCW in lieu of NICS check, (b)(6) instances.
- Box 21d blank, (b)(6) instances.

Licensee Response: Licensee stated he didn't know a MO CCW did not qualify in place of a NICS check.

Corrective Action: Always ensure NICS check is conducted for each transaction and recorded fully and properly in the appropriate boxes on ATF F 4473.

Reference: Worksheet 2.

3. **27 CFR 478.125(e)**

Nature of Violation: Failure to Maintain A&D Record (b)(6) instances).

- (b)(6) instances, missing firearm.

Licensee Response: The licensee responded within 2 weeks with the dispositions of all 9 firearms. (b)(6) had been transferred (b)(3) - 112 Public Law 55 125 Stat 552) and not disposed of properly in the A&D Record. 5 firearms were located in his home.

Corrective Action: Licensee was given two weeks to locate and properly dispose of the missing firearms. Licensee was advised to book personal firearms out in the A&D Record to avoid confusion.

Reference: Worksheet 1.

12/17/2015	
x	(b)(6)
Industry Operations Investigator Signed by: (b)(6)	

Index of Worksheets

1. Workbook

Index of Exhibits

1. Acknowledgement
2. VCAB email/FFL Audit