

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) VICECO LLC Top Dollar Pawn 8 5741 St. Vincent Ave Shreveport, LA 71108, Caddo		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 777070-2016-0278-B1B																																																
		3. PERMIT/LICENSE NUMBER 572017029C04085	4a. TARGET DATE 8/1/2016	4b. TARGET HOURS																																														
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)																																																		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Assigned Investigator (b)(6) - Lead Investigator (b)(6) - Assigned Investigator																																																		
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 04/05/2016																																																		
8. PURPOSE/SPECIAL INSTRUCTIONS																																																		
<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="2" style="width: 20%;">9. INSPECTION RESULTS</td><td colspan="2" style="width: 40%;"><input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC</td><td colspan="2" style="width: 40%;">10. TRAVEL EXPENSES (OPTIONAL)</td></tr><tr><td>NO. OF VIOLATIONS</td><td>3</td><td>NO. OF REFERRALS</td><td></td><td>2111 - PER DIEM</td><td></td></tr><tr><td>NO. OF TECS CHECKS</td><td>4</td><td>NO. OF TECS HITS</td><td></td><td>2112 - P.O.A.</td><td></td></tr><tr><td rowspan="2">NO. OF TAX ADJUSTMENTS</td><td rowspan="2"></td><td>\$ VALUE OF TAX INCREASES</td><td></td><td>2113 - COMM. AIR</td><td></td></tr><tr><td>\$ VALUE OF TAX DECREASES</td><td></td><td>2114 - RENTAL CAR</td><td></td></tr><tr><td>NO. OF ASSESSMENTS</td><td></td><td>\$ VALUE OF ASSESSMENTS</td><td></td><td>2115 - GPV EXPENSES</td><td></td></tr><tr><td>NO. OF CLAIMS</td><td></td><td>\$ VALUE OF CLAIMS</td><td></td><td>2116 - MISC.</td><td></td></tr><tr><td>NO. OF TAX PERIODS</td><td></td><td>\$ VALUE OF TAXES VERIFIED</td><td></td><td>TOTAL \$ FOR INSP.</td><td></td></tr></table>					9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)		NO. OF VIOLATIONS	3	NO. OF REFERRALS		2111 - PER DIEM		NO. OF TECS CHECKS	4	NO. OF TECS HITS		2112 - P.O.A.		NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR		NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES		NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.		NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	
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11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 07/15/2016 Viols WL ONLY and Recall Inspection																																																		
12. TIME ACCOUNTING DATA																																																		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)																																																		
JUL 2016 24.00																																																		
ATF OFFICER'S SUBTOTAL		24.00	ATF OFFICER'S SIGNATURE																																															
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JUL 2016 30.00																																																		
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TOTAL HOURS		54.00																																																
13. REVIEW AND ROUTING																																																		
REVIEW COMMENTS AND RECOMMENDATION Viols WL ONLY and No Recall Inspection																																																		
<input checked="" type="checkbox"/> REVIEWED <input type="checkbox"/> CONCUR <input type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION																																																		
SIGNATURE AND TITLE (b)(6) - Area Supervisor			REVIEW DATE 07/27/2016																																															

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) VICECO LLC Top Dollar Pawn 8 5741 St. Vincent Ave Shreveport, LA 71108, Caddo	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 777070-2016-0278-B1B		
	3. PERMIT/LICENSE NUMBER 572017029C04085	4a. TARGET DATE 8/1/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor VICECO LLC Top Dollar Pawn 8	Street Address 5741 St. Vincent Ave	City Shreveport	State LA	Zip Code 71108-	County Caddo	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 572017029C04085		Expiration Date 3/1/2019		Date(s) or Period of Inspection 07/11/2016 through 07/13/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: The Licensee failed to obtain all required answers from the transferee prior to the transfer of a firearm. (b)(3) 112 Public Law 55 125 STAT 552	Corrective Action to be Taken: The Licensee was instructed to obtain all required answers from the transferee prior to the transfer of a firearm. <i>(If not corrected immediately)</i>
Citation: 27 CFR 478.124(c)(1)	
Number: 2	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: The Licensee failed to record the information received from NICS on ATF Form 4473. (b)(3) 112 Public Law 55 125 STAT 552	Corrective Action to be Taken: The Licensee was instructed to record the information received from NICS on ATF Form 4473. <i>(If not corrected immediately)</i>
Citation: 27 CFR 478.124(c)(3)(iv)	
Number: 3	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: The licensee failed to provide all information called for as indicated by the headings and instructions on ATF Form 4473. (b)(3) 112 Public Law 55 125 STAT 552	Corrective Action to be Taken: The Licensee was instructed to provide all information called for as indicated by the headings and instructions on ATF F 4473. <i>(If not corrected immediately)</i>

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

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License/Permit/Registry Number <i>(If any)</i> 572017029C04085	Expiration Date 3/1/2019	Date(s) or Period of Inspection 07/11/2016 through 07/13/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.21(a)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*

Date

Signature and Title of ATF Officer

Date

For Official Use Only

October 9 2019 Production

1208

ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
100 W. Capitol, Suite 1403
Jackson, MS 39269

www.atf.gov

July 27, 2016

777070: (b)(6)
5373

WARNING LETTER

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

Mr. John Henry Vice II
VICECO LLC d.b.a. Top Dollar Pawn 8
5741 St Vincent Ave.
Shreveport, LA 71108
RE: 572017029C04085

Dear Mr. Vice:

During a recent compliance inspection at your firearms business covering the period of July 12, 2015 through July 12, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All of the violations were fully explained to you by the Bureau of Alcohol Tobacco Firearms and Explosives (ATF) Investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, will be considered as willful and may result in the revocation of your license.

Please ensure that future compliance is achieved. Should you have any further questions regarding this matter, please contact (b)(6).

Sincerely Yours,

(b)(6)

Area Supervisor

Enclosure

Cc: National Licensing Center
Jackson II Field Office

FIREARMS INSPECTION REPORT

Name: VICECO LLC
Trade Name: Top Dollar Pawn 8

UI#: 777070-2016-0278-B1B
FFL#: 5-72-017-02-9C-04085

Address: 5741 St. Vincent Ave.
Shreveport, LA 71108 Caddo Parish

1 - Introduction

Inspection Profile:

On July 12, 2016, Industry Operations Investigators (IOIs) (b)(6) and (b)(6) initiated an onsite compliance inspection of VICECO LLC d.b.a. Top Dollar Pawn 8. (b)(6), Floor Manager was interviewed. Mr. Still was positively identified by his Louisiana Identification Card (b)(6), expiration date January 1, 2017. The investigation period was from July 12, 2015 to July 12, 2016. Advanced notification was not given.

Mr. John Henry Vice II, responsible person was not present for the inspection. (b)(6), manager was limitedly available due to (b)(6).
(b)(6).

Business Profile:

VICECO LLC operates a pawn shop under the name Top Dollar Pawn 8 in Shreveport, LA. Top Dollar Pawn 8 sells new and used handguns and long guns as well as pawning firearms. There is no other business conducted on the premises other than pawn broking operations. During the past twelve months the licensee acquired approximately (b)(4) firearms and disposed of approximately (b)(4) firearms. Approximately (b)(4) of all transfers were to other licensed pawn shops controlled by John Henry Vice, II, while (b)(4) involved retail sales and (b)(4) were pawn redemptions. The total numbers include approximately (b)(4) % long guns and (b)(4) % handguns. The primary suppliers are (b)(4) other pawn shops controlled by John Henry Vice, II, pawns, and buys from individuals. No firearms sales are conducted at gun shows at this time.

The licensee has a website (bigasspawnshop.com), but does not conduct any sales of firearms over the internet. The website only gives contact and service information for the business.

The business premise is located in an urban commercial building located at 5741 St. Vincent Avenue, Shreveport, LA 71108. There are no off-site storage locations. The licensee also maintains an FFL at another location in Shreveport (FFL #5-72-017-02-7H-01466) and an FFL in Memphis, Tennessee (FFL #1-62-157-02-8M-02569). John Henry Vice also holds other licenses under various named limited liability companies and corporations. Top Dollar Pawn

FIREARMS INSPECTION REPORT

Name: VICECO LLC
Trade Name: Top Dollar Pawn 8

UI#: 777070-2016-0278-B1B
FFL#: 5-72-017-02-9C-04085

8 has been in business selling firearms since 2013. The business is located inside the city limits of Shreveport, LA. The City of Shreveport Occupational License Tax Number is (b)(3) - 26 USC 6103 the Louisiana Commissioner of Financial Institution's Pawnbroker License Number is 950750-1005275, and the Louisiana State Sales Tax ID is (b)(3) - 26 USC 6103. All licenses and permits were valid. It appears that Top Dollar Pawn 8 is in compliance with all other State and local laws.

Ownership and Control:

According to the Louisiana Secretary of State's website, John Henry Vice, II (Member/Manager) is the only Member and Responsible Person of VICE CO LLC and the limited liability company is in good standing. There has been no change of ownership or control of the business and no hidden ownership is suspected. Mr. John Henry Vice, II is the only Responsible Person listed on the license, which is correctly reflected in the Federal Licensing System.

Variance:

No variances are held by the licensee and none were requested.

2 - Recommendation

Viols WL ONLY and Recall Inspection.

3 - Inspection History

The licensee had two (2) inspection in the past five (5) years. The results of the last two (2) inspections are as follows:

Date of Inspection:	March 9, 2015
Type of Inspection:	Firearms Compliance Inspection
UI#:	777070-2015-0077-B1B
Inspection Results:	Viols WC with WL and Recall Inspection
Cited Violations:	27 CFR 478.124(c)(3)(iv) The licensee failed to obtain a properly completed ATF Form 4473 prior to disposition of a firearm.
	27 CFR 478.124(c)(1) The licensee failed to obtain a properly completed ATF Form 4473 prior to disposition of a firearm.
	27 CFR 478.124(c)(5) The licensee failed to properly certify the ATF Form 4473.

FIREARMS INSPECTION REPORT

Name: VICECO LLC
Trade Name: Top Dollar Pawn 8

UI#: 777070-2016-0278-B1B
FFL#: 5-72-017-02-9C-04085

27 CFR 478.128(c)

The licensee knowingly made a false statement or Representation in the Acquisition and Disposition Record.

27 CFR 478.39(a)

The licensee failed to report (b)(3) - 112 Public Law 5 firearms on ATF Form 3310.11, Federal Firearms Licensee Firearms Inventory Theft/Loss Report within 48 hours of determining the firearms were missing.

27 CFR 478.125(e)

The licensee failed to accurately maintain the Acquisition/Disposition Record.

Date of Inspection: January 30, 2013
Type of Inspection: Firearms Qualification Inspection
UI#: 777070-2013-0185-B1B
Inspection Results: Approval of Application
Cited Violations: None

4 - Acquisition & Disposition (A&D) Record - Inventory

The Acquisition and Disposition (A&D) record contained (b)(3) - 112 Public Law 55 125 Stat 552 open dispositions. The physical inventory revealed twenty seven (27) firearms. All firearms were physically accounted for. The rest of the bound record contained all required information and was being maintained in the proper format. Entries appear to be made in a timely manner. There was (b)(3) - 112 Public Law 55 125 Stat 552 successful firearms trace from acquisition through disposition through the licensee's record with no discrepancies noted. There was (b)(3) - 112 Public Law 55 125 Stat 552 theft/loss reported (b)(3) - 112 Public Law 55 125 Stat 552 during the inspection period. No illegal firearms, or firearms with obliterated serial numbers, were discovered. No trafficking indicators were disclosed during the inspection. The licensee has three employees who are responsible for making entries into the A&D Record, which is updated at the end of each business day. (Worksheet #1)

5 - ATF Forms 4473 – NICS & Other Dispositions

There were (b)(3) - 112 Public Law 55 125 Stat 552 ATF Forms 4473 on file for the past twelve (12) months. There were (b)(3) - 112 Public Law 55 125 Stat 552 denied forms on file for the past twelve (12) months. Transfers to other licensees were being conducted properly. There were no sales to law enforcement officers. The FFL Audit Log contained three (3) NICS Transaction Numbers, which were confirmed for accuracy. A review of (b)(3) - 112 Public Law 55 125 Stat 552 forms on

FIREARMS INSPECTION REPORT

Name: VICECO LLC
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UI#: 777070-2016-0278-B1B
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file revealed three (3) violations. For a detailed discussion of the discrepancies, See Section 13 Violations, Report of Violation #1-3, Exhibits #1-4, and Worksheet #2.

6 - Multiple Sales

There were no multiple sales reported during the inspection period and no unreported multiple sales identified during the inspection period.

7 - Suspicious/Prohibited Purchasers

No suspicious/prohibited purchasers were disclosed during the inspection.

8 - Trace Activity

According to the Violent Crimes Analysis Branch (VCAB) for Top Dollar Pawn 8, there was ^{(b)(3) - 112 Public Law 55-129 Stat 552} 1 firearms trace requests within the last twelve (12) months and no unsuccessful traces. IOI (b)(6) completed a secondary market analysis on three (3) firearms with negative results.

9 - NFA/Importer/Collector/Manufacturer

Top Dollar Pawn 8 does not conduct NFA, Importing, Collecting, or Manufacturing activities.

10 - Referrals

There were no referrals generated as a result of this inspection.

11 - Other

Top Dollar Pawn 8 did have ATF I 5300.1 displayed in the business informing customers of youth handgun safety. Youth Handgun Safety Act Notice, ATF I 5300.2 was provided for all handgun sales.

Secure gun storage and safety devices were available to customers and all firearms in inventory were in a secure location at all times.

IOI (b)(6) conducted a NCIC check in Open Fox on the Responsible Persons with no prohibiting information being disclosed.

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12 - Closing Actions

A closing conference was held with (b)(6), Store Manager on July 13, 2016. The firearms regulations were thoroughly reviewed utilizing the Acknowledgement of Federal Firearms Regulations (**Exhibit #5**). (b)(6) signed and dated the form and was provided with a copy for her records. Any questions concerning ATF Federal firearms laws and regulations were answered by IOI's (b)(6).

13 - Violations

1. 27 CFR 478.21(a)

Nature of Violation:

The licensee failed to provide all information called for as indicated by the headings and instructions on ATF Form 4473.

- In (b)(3) - 112 Public instance, Item 11a was omitted
- In (b)(3) - 112 Public instance, Item 25 was omitted

Licensee's Response:

The licensee stated that this error must have been overlooked by her employees.

Corrective Action

The Licensee was instructed to provide all information called for as indicated by the headings and instructions on ATF F 4473.

2. 27 CFR 478.124(c)(1)

REPEAT OF VIOLATION CITED ON MARCH 9, 2015.

Nature of Violation:

The Licensee failed to obtain all required answers from the transferee prior to the transfer of a firearm.

- In (b)(3) - 112 Public instance, Item 11k was blank.
- In (b)(3) - 112 Public instance, Item 11l was blank.

Licensee's Response:

The licensee stated that this error must have been overlooked by her employees.

Corrective Action

The Licensee was instructed to obtain all required answers from the transferee prior to the transfer of a firearm.

3. 27 CFR 478.124(c)(3)(iv)

FIREARMS INSPECTION REPORT

Name: VICECO LLC
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UI#: 777070-2016-0278-B1B
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REPEAT OF VIOLATION CITED ON MARCH 9, 2015.

The Licensee failed to record the information received from NICS on ATF Form 4473.
• In (b)(3) - 112 Public L instance, Item 21c was blank.

Licensee's Response:

The licensee stated that this error must have been overlooked by her employees.

Corrective Action

The Licensee was instructed to record the information received from NICS on ATF Form 4473.

X

(b)(6)

Industry Operations Investigator

Index of Worksheets and Exhibits

Worksheets:

Worksheet #1 A&D Review
Worksheet #2 ATF Form 4473 Review

Exhibits:

Exhibit 1 (b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)
Exhibit 2
Exhibit 3
Exhibit 4
Exhibit 5 – Acknowledgement of Federal Firearms Regulations

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