

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)  HALL, ANDREW HARRISON PROFIRE ARMS & SUPPLY 519 KENTUCKY AVENUE INDIANAPOLIS, IN 46225, MARION	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773075-2016-0117-B1B		
	3. PERMIT/LICENSE NUMBER 435097077M04393	4a. TARGET DATE 3/30/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED  
(b)(3) 112 Public Law 55 125 STAT 552 - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
(b)(3) 112 Public Law 55 125 STAT 552, ACTING Area Supervisor, 01/07/2016

8. PURPOSE/SPECIAL INSTRUCTIONS  
FULL COMPLIANCE-100% INVENTORY REQUIRED. IF FFL HAS FAILED TO FILE ANNUAL MANUF REPORT, PLEASE OBTAIN COPY AND HAVE REPORT FILED.

The Firearms and Explosives Services Division has created a NEW Annual Firearms Manufacturing and Exportation Report, Form 5300.11 (AFMER) request e-mail box. Any IOIs requesting information on firearms manufacturers' production reports may send requests to the e-mail address AFMERrequests@atf.gov. The following information should be provided with all requests for copies of past AFMER forms: 1) licensee name, 2) license number, and 3) filing periods desired, 4) name and contact phone number of requestor.

Note: Please do not confuse the new IOI request mailbox with the AFMER2@atf.gov e-mail address, which is for use by industry members' when electronically submitting their AFMER forms to ATF.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 05/11/2016

Industry Operations Investigators (IOI) (b)(6) and (b)(6) initiated a compliance inspection on 03/25/2016. The period of inspection covered between 03/25/2015 and 03/25/2016.

The compliance inspection was initiated with no advance notice given.

Responsible Person (RP) / Owner Andrew Hall was present during the entire inspection.

Report of Violations issued.

The licensee was cited for seven violations; none of the violations rose above the level of a Warning Letter. The licensee had 76 ATF Forms on file for the inspection period and only 1 forms had violations.

Recommend a Warning Letter and Recall Inspection.

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
MAR 2016	31.50
APR 2016	14.50

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) HALL, ANDREW HARRISON PROFIRE ARMS & SUPPLY 519 KENTUCKY AVENUE INDIANAPOLIS, IN 46225, MARION	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773075-2016-0117-B1B		
	3. PERMIT/LICENSE NUMBER 435097077M04393	4a. TARGET DATE 3/30/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

### 12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
MAY 2016	2.00
ATF OFFICER'S SUBTOTAL	48.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	48.00

### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

An unannounced compliance inspection was initiated on 3/25/16 on RP Andrew Hall. This was the licensee's first compliance inspection since becoming licensed in 2011. RP Hall was cited for seven violations, 27 CFR 478.21(a), 27 CFR 478.124(c)(1), 27 CFR 478.124(c)(3)(i), 27 CFR 478.124(c)(3)(iv), 27 CFR 478.124(c)(4), 27 CFR 478.124(c)(5), and 27 CFR 478.125(e); none of which rose above the level of a Warning Letter. The licensee had 76 ATF Forms on file for the inspection period and only 1 forms had violations.

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Industry Operations Investigator	REVIEW DATE 05/10/2016
--	---------------------------

#### ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

# Report of Violations

## Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor HALL, ANDREW HARRISON PROFIRE ARMS & SUPPLY	Street Address 519 KENTUCKY AVENUE	City INDIANAPOLIS	State IN	Zip Code 46225-	County MARION	Page 1 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 435097077M04393		Expiration Date		Date(s) or Period of Inspection 03/25/2016 through 03/31/2016		

## Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

<b>Number:</b> 1	<b>Date Corrections to be Made:</b> <i>(If not corrected immediately)</i>
<b>Nature of Violation:</b> A review of the licensee's 76 ATF Forms 4473 revealed that on (b)(3) form, the licensee failed to ensure the transferee entered the correct information.  (b)(3) 112 Public Law 55 125 STAT 552	<b>Corrective Action to be Taken:</b> The licensee was instructed how to verify that Section A of the ATF Form 4473 was properly completed. <i>(If not corrected immediately)</i>
<b>Citation:</b> 27 CFR 478.124(c)(1)	
<b>Number:</b> 2	<b>Date Corrections to be Made:</b> <i>(If not corrected immediately)</i>
<b>Nature of Violation:</b> A review of the licensee's 76 ATF Forms 4473 revealed that on (b)(3) forms the following errors occurred:  (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	<b>Corrective Action to be Taken:</b> The licensee was instructed how to verify that Section B of the ATF Form 4473 was properly completed. <i>(If not corrected immediately)</i>
<b>Citation:</b> 27 CFR 478.124(c)(3)(i)	

# Report of Violations

## Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor HALL, ANDREW HARRISON PROFIRE ARMS & SUPPLY	Street Address 519 KENTUCKY AVENUE	City INDIANAPOLIS	State IN	Zip Code 46225-	County MARION	Page 2 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 435097077M04393		Expiration Date		Date(s) or Period of Inspection 03/25/2016 through 03/31/2016		

## Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

<b>Number:</b> 3	<b>Date Corrections to be Made:</b> <i>(If not corrected immediately)</i>
<b>Nature of Violation:</b> A review of the licensee's 76 ATF Forms 4473 revealed that on (b)(3) form, the following errors occurred: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	<b>Corrective Action to be Taken:</b> The licensee was instructed how to properly record NICS information on the ATF Form 4473. <i>(If not corrected immediately)</i>
<b>Citation:</b> 27 CFR 478.124(c)(3)(iv)	
<b>Number:</b> 4	<b>Date Corrections to be Made:</b> <i>(If not corrected immediately)</i>
<b>Nature of Violation:</b> A review of the licensee's 76 ATF Forms 4473 revealed the on (b)(3) form the following errors occurred. (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)	<b>Corrective Action to be Taken:</b> The licensee will properly record all firearm information in Section D on the ATF Form 4473. <i>(If not corrected immediately)</i>
<b>Citation:</b> 27 CFR 478.124(c)(4)	

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor HALL, ANDREW HARRISON PROFIRE ARMS & SUPPLY	Street Address 519 KENTUCKY AVENUE	City INDIANAPOLIS	State IN	Zip Code 46225-	County MARION	Page 3 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 435097077M04393		Expiration Date		Date(s) or Period of Inspection 03/25/2016 through 03/31/2016		

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

#### Nature of Violation:

A review of the licensee's 76 ATF Forms 4473 revealed that on (b)(3) forms the following errors occurred.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(5)

#### Date Corrections to be Made:

*(If not corrected immediately)*

#### Corrective Action to be Taken:

*(If not corrected immediately)*

The licensee will ensure that #34, Transferor's/ Seller's Signature, & #36, Date Transferred, are completed for all firearm transfers.

Number: 6

#### Nature of Violation:

1) A complete review of the licensee's Acquisition and Disposition (A&D) record and inventory determined that the licensee failed to correctly enter acquisition information for (b)(3) firearms.

(b)(3) 112 Public Law 55 125 STAT 552

2) A review of the licensee's A&D record determined that the licensee was maintaining his A&D record using an Excel Spreadsheet. Per ATF Ruling 2013-5 this is an unapproved format.

#### Date Corrections to be Made:

*(If not corrected immediately)*

#### Corrective Action to be Taken:

*(If not corrected immediately)*

The licensee was instructed to correct the A&D record and reminded of the importance of accurately maintaining the A&D bound book and was advised to conduct periodic inventories of all firearms. The licensee also purchased an A&D bound book and transferred all information from the Excel spreadsheet to the bound book.

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor HALL, ANDREW HARRISON PROFIRE ARMS & SUPPLY	Street Address 519 KENTUCKY AVENUE	City INDIANAPOLIS	State IN	Zip Code 46225-	County MARION	Page 4 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 435097077M04393		Expiration Date		Date(s) or Period of Inspection 03/25/2016 through 03/31/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.125(e)

Number: 7

Nature of Violation:

A review of the licensee's 76 ATF Forms 4473 revealed that on 

0115

 forms, the following errors occurred:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed how to properly complete the ATF Form 4473.

Citation: 27 CFR 478.21(a)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*

Date

Signature and Title of ATF Officer

Date

For Official Use Only

November 1 2019 Production

630

ATF E-Form 5030 5  
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco  
Firearms & Explosives  
151 N. Delaware Street, Suite 1000  
Indianapolis, IN 46204  
(317) 287-3500 (317) 287-3502 FAX

[www.atf.gov](http://www.atf.gov)

May 24, 2016

773075-**(b)(6)**  
5373

Mr. Andrew Harrison Hall  
Profire Arms & Supply  
519 Kentucky Avenue  
Indianapolis, IN 46225

FFL: 4-35-097-01-7M-04393

Dear Mr. Hall:

During a compliance inspection at your firearms business covering the period of March 25, 2015 through March 25, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. The violations were discussed with you during the inspection. A copy of the Report of Violations, Form 5035.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator(s). You indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Andrew Harrison Hall

Should you have any questions regarding this matter, please contact (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

C: National Licensing Center  
Indianapolis Area Office



# FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

Address: 519 Kentucky Ave.  
Indianapolis, IN 46225

## 1 - Introduction

### Inspection Profile:

This firearms compliance inspection was conducted in accordance with the Industry Operations Manual and any Special Instructions. Industry Operations Investigator (IOI) (b)(6) and Acting Area Supervisor (b)(6) initiated this compliance inspection on 03/25/2016. The period of inspection covered between 03/25/2015 and 03/25/2016.

The compliance inspection was initiated with no advance notice given.

Responsible Person (RP) / Owner Andrew Hall was present during the entire inspection.

The closing conference was held on 04/05/2016 with Mr. Hall, Owner/RP. An ATF Form 5030.5, Report of Violations, was issued and the applicable law, regulations and recordkeeping requirements were reviewed.

(See Exhibit 1 for Report of Violations)

(See Exhibit 2 for Acknowledgement of Federal Firearms Regulations.)

### Business Profile:

Profire Arms & Supply is a firearms business conducted out of an industrial/warehouse style storefront located in urban Indianapolis, IN.

Profire Arms & Supply maintains a Special Occupational Tax (SOT) in order to deal in National Firearms Act (NFA) Firearms.

During the inspection period, Profire Arms & Supply acquired (b)(4) firearms and disposed of (b)(4) firearms.

At the time of the inspection, Profire Arms & Supply had 87 total firearms in inventory. These firearms are stored in a large commercial safe located in the back of the store. None of the inventory is available for viewing by the general public since Profire Arms & Supply currently operates on an appointment only basis.

# FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

Profire Arms & Supply did not participate in any gun shows during the covered inspection period but has participated in the past as part of its business practices.

Profire Arms & Supply does not have any off-site storage of firearms.

Profire Arms & Supply regularly conducts internet sales of firearms via Gunbroker.com and other similar websites.

The Type 07 Federal Firearms License was issued on 11/07/2011.

Profire Arms & Supply primarily deals in NFA weapons and conducts transfers of firearms for customers who purchase via the internet. The NFA weapons sold by Profire Arms & Supply are generally (b)(4). The weapons transferred are a mix of new and used handguns and long guns. The inventory at Profire Arms & Supply is comprised of approximately (b)(4) NFA items and (b)(4) non-NFA items.

Profire Arms & Supply also sells supplies such as ammunition and firearms accessories.

## Ownership and Control:

The FFL is held by RP/Owner Andrew Hall who operates under a sole proprietorship called Profire Arms & Supply. Mr. Hall is the only RP listed on the FFL and no additional RP's will be added as a result of this inspection. No changes need be made to the FLS database.

Mr. Hall has recently formed a Limited Liability Company called The Shotworks LLC. Mr. Hall has obtained an FFL for the new LLC (4-35-06706) and plans to eventually transfer all operations to the LLC. Mr. Hall plans to go out of business after all operations and NFA items are transferred to the new license.

No hidden ownership is suspected.

## Variance:

There are no variances on file for this FFL and none were requested during the inspection.

## 2 - Recommendation

Report of Violations issued.

# FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

The licensee was cited for seven violations; none of the violations rose above the level of a Warning Letter. The licensee had [REDACTED] ATF Forms on file for the inspection period and only [REDACTED] forms had violations.

Recommend a Warning Letter and Recall Inspection.

## 3 - Inspection History

Date of Inspection:	09/23/2015
Type of Inspection:	Amended Application (address change)
UI#:	773075-2015-0319-B1B
Inspection Results:	Amended Application Approved
Cited Violations:	None.
Date of Inspection:	05/27/2015
Type of Inspection:	Amended Application (address change)
UI#:	773075-2015-0264-B1B
Inspection Results:	Application Withdrawn
Cited Violations:	None.
Date of Inspection:	10/18/2013
Type of Inspection:	Amended Application (address change)
UI#:	773075-2014-0180-B1B
Inspection Results:	Amended Application Approved
Cited Violations:	None.
Date of Inspection:	12/20/2012
Type of Inspection:	Amended Application (address change)
UI#:	773075-2012-0438-B1B
Inspection Results:	Application Withdrawn
Cited Violations:	None.
Date of Inspection:	10/26/2011
Type of Inspection:	Qualification Inspection
UI#:	773075-2012-0132-B1B
Inspection Results:	Application Approved
Cited Violations:	None.

# FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

## 4 - Acquisition & Disposition (A&D) Record - Inventory

A full inventory was conducted and compared with the open dispositions in the licensee's A&D record. Profire Arms & Supply Inc. had 87 firearms in inventory and a comparison of the A&D record revealed (b)(3) open entries. The following two firearms were initially found not to be in the Acquisition and Disposition Record:

**(b)(3) - 112 Public Law 55 125 Stat 552**

RP (b)(6) indicated that he forgot to log the firearms into the A&D Record. Both firearms are NFA items and listed on the licensee's NFA Registration and Transfer Record.

Violations of 27 CFR 478.125(e) was cited. See violation section, Report of Violations (ROV) and Worksheets for more information.

The licensee has had two previous Theft/Losses submitted, in 2012 and 2014, reporting the theft of multiple firearms as the result of a breaking and entering to the licensee's vehicle (2012) and the licensee's trailer (2014). Both thefts involved NFA and non-NFA firearms. For more information on the 2012 theft/loss see UI#773075-2012-0348-B1B. No special or compliance inspection was initiated in the 2014 theft/loss because the licensee was the subject of an open criminal enforcement investigation.

RP (b)(6) was maintaining his A&D record using an Excel Spreadsheet. Per ATF Ruling 2013-5 this is an unapproved format. RP Hall purchased an A&D bound book and transferred all information from the Excel spreadsheet to the bound book.

A violation of 27 CFR 478.125(e) was cited. See violation section, Report of Violations (ROV).

There were no firearms purchased using law enforcement letter head, no illegal firearms were discovered and no firearms with an obliterated serial number were discovered.

See exhibits, worksheets, and ROV section for more information concerning the A&D record.

## 5 - ATF Forms 4473 – NICS & Other Dispositions

# FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

All ATF Forms 4473 reviewed covered the period of 3/25/2015 and 3/25/2016. The licensee had (b)(3) ATF Forms 4473 on file for transactions completed during the inspection period. All (b)(3) ATF Forms 4473 were reviewed.

A Firearms Compliance Inspection FFL Audit Report was requested, and received, for the period ending on 3/03/2016. There were four transactions and all NICS transaction numbers (NTN) from the ATF Forms 4473 were compared with the audit log. No discrepancies were found.

(See Exhibit 3 FFL Audit Report)

(b)(3) ATF Forms 4473 were reviewed for accuracy and completeness. Discrepancies were noted and violations were cited for 27 CFR 478.124(c)(1), 27 CFR 478.124(c)(3)(i), 27 CFR 478.124(c)(3)(iv), 27 CFR 478.124(c)(4), 27 CFR 478.124(c)(5) and 27 CFR 478.21(a).

See violation section, exhibits and report of violations for more information.

Profire Arms & Supply files their ATF Forms 4473 numerically.

No firearms sales were made to law enforcement officers using agency letterhead.

## 6 - Multiple Sales

A Violent Crimes Analysis Branch Query indicated that during the inspection period, there were (b)(3)-(12) multiple sales totaling (b)(3)-(12) handguns.

A review of the licensee's (b)(3) ATF Forms 4473 revealed no discrepancies with multiple sales.

Profire Arms & Supply submits their ATF Forms 3310.4 via facsimile.  
(See Exhibit 4: VCAB Report)

## 7 - Suspicious/Prohibited Purchasers

No prohibited persons or stolen firearms were discovered as a result of this inspection.

## 8 - Trace Activity

IOI (b)(6) conducted an E-Trace query on the licensee and acquired trace information related to the inspection period. Profire Arms & Supply successfully completed (b)(3) traces during the inspection period. (b)(3)-(12) of these traces resulted when firearms from the FFL's 2014 Theft/Loss were recovered.

# FIREARMS INSPECTION REPORT

**Name:** Hall, Andrew Harrison  
**Trade Name:** Profire Arms & Supply

**UI#:** 773075-2016-0117-B1B  
**FFL#:** 4-35-097-01-7M-04393

## 9 - NFA/Importer/Collector/Manufacturer

Mr. Andrew Hall has a Type 07 Manufacturer of Firearms other than Destructive Devices license. Mr. Hall submitted his Annual Firearms Manufacturing and Exportation Report (AFMER), ATF 5300.11, for 2015. During 2015 the licensee manufactured six firearms consisting of five machine guns and one any other weapon.

Mr. Andrew Hall primarily deals in NFA weapons and maintains an SOT to facilitate transfers. On the date of the inspection Mr. Hall had 74 NFA firearms in inventory and two NFA firearms at gunsmiths.

Mr. Hall's National Firearm Registration and Transfer Record was compared to his inventory. This resulted in <sup>(b)(3)-</sup> discrepancies:

**(b)(3) - 26 USC 6103**

After reviewing all records Mr. Hall determined that all six of the NFA weapons not in inventory and listed on his NFA Registration Record should have been included on his 2014 Theft/Loss Report. When that theft occurred Mr. Hall's A&D record, 4473's and ATF Form 3's were also stolen since they were packed with the stolen items. At that time Mr. Hall attempted to reconstruct his A&D using available records. Mr. Hall believes that he missed these <sup>(b)(3)-</sup> NFA firearms and failed to include them on the theft/loss submitted at that time. Mr. Hall was also informed that he needed to report if his A&D record was ever stolen again in the future.

Mr. Hall submitted a Theft/Loss Report on 03/30/2016 to amend his 2014 theft/loss report (ATF Incident <sup>(b)(3) - 112 Public Law 86-125 Stat 592</sup>).

**(See Exhibit 5 for Theft/Loss Report)**

## 10 - Referrals

No referrals were generated as a result of this inspection.

## 11 - Other

OpenFox criminal history checks were conducted on RP Hall. No identifiable records were found.

# FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

## 12 - Closing Actions

On 4/05/2016, IOI (b)(6) conducted a closing conference and final review of all records with the licensee. The ATF F 5030.5, Report of Violations (ROV) was discussed with RP Hall (see exhibit EX-1 for signed ROV) and he was provided an opportunity to ask questions about the violations and to explain why they occurred.

During the closing conference, all applicable laws, regulations and recordkeeping requirements, to include secure gun storage and safety devices, were explained to the licensee. An Acknowledgement of Federal Firearms Regulations Review form was reviewed with Mr. Hall who signed and dated the form (see exhibit EX-2). All regulations and forms, to include the ATF Form 4473 were explained and provided during the closing conference. The licensee was provided with copies of the following ATF information:

Website for ATF P 5300.4 - Federal Firearms Regulation Guide,  
ATF F 4473 - Firearms Transaction Record (Revised April 2012),  
ATF F 3310.11 - Report of Theft or Loss,  
ATF F 3310.4 - Report of Multiple Sale,  
ATF I 5300.23A – Important Notice to Dealers and Other Participants at Gun Shows,  
ATF P 5300.15 - FFL Quick Reference Guide, and  
Important Addresses and Telephone Numbers for Federal Firearms Licensees handout.  
ATF Website – [WWW.ATF.GOV](http://WWW.ATF.GOV).

## 13 - Violations

1. 27 CFR 478.124(c) (1)

### Nature of Violation

A review of the licensee's (b)(3) ATF Forms 4473 revealed that on one form, the licensee failed to ensure the transferee entered the correct information.

**(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)**

See exhibit EX-6.

### Licensee's Response

The licensee was busy and did not pay as close attention to his review of the ATF Form 4473 as he should have since it was an NFA transaction. The licensee stated that for all future transactions, he will take his time to ensure the form is properly completed.

### Corrective Action

## FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

The licensee was instructed how to verify that Section A of the ATF Form 4473 was properly completed.

2. 27 CFR 478.21(a)

Nature of Violation

A review of the licensee's (b)(3) ATF Forms 4473 revealed that on (b)(3) forms, the following errors occurred:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



See exhibit EX-7.

Licensee's Response

The licensee stated that either he or his former employees were probably busy and made a mistake. The licensee stated that for all future transactions, he will take his time to ensure the form is properly completed.

Corrective Action

The licensee was instructed how to properly complete the ATF Form 4473.

3. 27 CFR 478.124(c)(3)(iv)

Nature of Violation

A review of the licensee's (b)(3) ATF Forms 4473 revealed that on (b)(3) form, the following errors occurred:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)



See exhibit EX-8.

Licensee's Response



## FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

The licensee stated that either he or his former employees were probably busy and made a mistake. The licensee stated that for all future transactions, he will take his time to ensure the form is properly completed.

### Corrective Action

The licensee was instructed how to properly record NICS information on the ATF Form 4473.

#### 4. 27 CFR 478.124(c)(3)(i)

A review of the licensee's (b)(3) ATF Forms 4473 revealed that on (b)(3) forms, the following errors occurred:

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

See exhibits EX-9.

### Licensee's Response

The licensee stated that either he or his former employees were probably busy and made a mistake. The licensee stated that for all future transactions, he will take his time to ensure the identification is properly documented. The licensee will pay closer attention to all future transitions.

### Corrective Action

The licensee was instructed how to verify that Section B of the ATF Form 4473 was properly completed.

#### 5. 27 CFR 478.124(c)(4)

### Nature of Violation

A review of the licensee's (b)(3) ATF Forms 4473 revealed the on (b)(3) form the following errors occurred.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

See exhibit EX-10.

### Licensee's Response

## FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

The licensee stated that either he or his former employees were probably busy and made a mistake. The licensee stated that for all future transactions, he will take his time to ensure Section D is completed properly.

### Corrective Action

The licensee will properly record all firearm information in Section D on the ATF Form 4473.

#### 6. 27 CFR 478.124(c)(5)

### Nature of Violation

A review of the licensee's (b)(3) ATF Forms 4473 revealed that on (b)(3) - 112 forms the following errors occurred.

(b)(3) - 112 Public Law 55 125 Stat 552, (b)(6)

See exhibit EX-11.

### Licensee's Response

The licensee stated that either he or his former employees were probably busy and made a mistake.

### Corrective Action

The licensee will ensure that #34, Transferor's/ Seller's Signature, & #36, Date Transferred, are completed for all firearm transfers.

#### 7. 27 CFR 478.125(e)

1) A complete review of the licensee's Acquisition and Disposition (A&D) record and inventory determined that the licensee failed to correctly enter acquisition information for (b)(3) - 112 firearms.

(b)(3) - 112 Public Law 55 125 Stat 552

## FIREARMS INSPECTION REPORT

**Name:** Hall, Andrew Harrison  
**Trade Name:** Profire Arms & Supply

**UI#:** 773075-2016-0117-B1B  
**FFL#:** 4-35-097-01-7M-04393

2) A review of the licensee's A&D record determined that the licensee was maintaining his A&D record using an Excel Spreadsheet. Per ATF Ruling 2013-5 this is an unapproved format.

See Firearms Inspection and A&D Review Worksheet for more information.

### Licensee's Response

The licensee stated that either he forgot to add the firearms to his A&D record and would make sure to immediately add any future firearms obtained. The licensee also stated that he thought it was ok to use Excel to maintain his A&D record.

### Corrective Action

The licensee was instructed to correct the A&D record and reminded of the importance of accurately maintaining the A&D bound book and was advised to conduct periodic inventories of all firearms. The licensee also purchased an A&D bound book and transferred all information from the Excel spreadsheet to the bound book.

X

(b)(6)

Industry Operations Investigator

### Index of Worksheets and Exhibits

#### Worksheets:

Informational Page

Inventory, A&D

ATF F 4473 Review (2012 Edition)

Other Statistics

#### Worknotes:

NFA Inventory

NFA Inventory (2)

#### Exhibits:

## FIREARMS INSPECTION REPORT

Name: Hall, Andrew Harrison  
Trade Name: Profire Arms & Supply

UI#: 773075-2016-0117-B1B  
FFL#: 4-35-097-01-7M-04393

EX-1: ATF F 5030.5, Report of Violations  
EX-2: Acknowledgement of Federal Firearms Regulations Review  
EX-3: FFL Audit Report  
EX-4: VCAB Report  
EX-5: Updated Theft/Loss Report  
EX-6: 4473 with 478.124(c)(1) violations  
EX-7: 4473's with 478.21(a) violations  
EX-8: 4473 with 478.124(c)(3)(iv) violation  
EX-9: 4473's with 478.124(c)(3)(i) violations  
EX-10: 4473 with 478.124(c)(4) violation  
EX-11: 4473's with 478.124(c)(5) violations